

LECTURE NOTE

*Introduction to*  
**SUPERCONDUCTIVITY**

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## Introduction to Superconductivity

Michael Tinkham 2nd Edition.

Note prepared by Sung-Tek Lee  
Copy major portion from Hu Tong Lee's  
lecture note.

### Historical Overview

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### FIGURE

### Historical Overview

1911. 范奈斯  $\downarrow$  Kamerlingh Onnes in Leiden  
1950 - 60

Satisfactory theoretical picture of the classical  
Superconductor

1986 Bednorz and Müller

01/84 : 超导体 80年代 世纪初

Orthodox fascinating field of research

1.1. Basic Phenomena

① Perfect Conductivity

但 R = 0 吗?  
lower bound 10<sup>-10</sup> year

超导  
Resonance

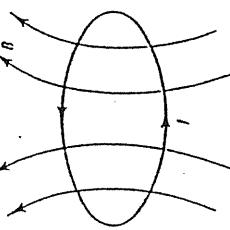


FIGURE 1.1  
Schematic diagram of persistent current experiment.

② Perfect diamagnetism

1933 德 Heissner & Oehsen field

384 - 401

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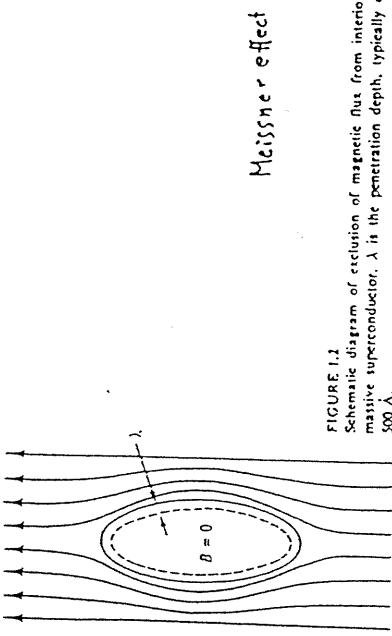


FIGURE 1.2  
Schematic diagram of exclusion of magnetic flux from interior of massive superconductor.  $\lambda$  is the penetration depth, typically only 500 Å.

Magnetic field is excluded from entering a superconductor.

- Perfect conductivity minimizes free energy

In this case, field is?

$$\frac{H_e(\tau)}{8\pi} = f_n(\tau) - f_s(\tau)$$

Helmholtz free energies per unit volume in the respective phases in zero field

$$f_n = \frac{1}{2} \mu_0 H_n^2$$

$$f_s \approx \frac{1}{2} \mu_0 H_s^2$$

## 1.2. The London equation

F. London, H. London & proposal. 1935.

Two equations to govern the microscopic electric & magnetic field.

$$\vec{E} = \frac{2}{c} (\Lambda \vec{J}_s) \quad (1-3)$$

$$\vec{h} = -c \nabla \times (\Lambda \vec{J}_s) \quad (1-4)$$

$$\text{where } \Lambda = \frac{4\pi\lambda^2}{c^2} = \frac{m}{n_s c^2} \quad (1-5)$$

$$\vec{E} \neq 0, \quad J_s \rightarrow \infty \quad (1-3) \quad \text{Perfect Conductivity}$$

2nd eq. In Maxwell eq.  $\nabla \times \vec{h} = \frac{4\pi}{c} \vec{J}$  ~~of 1935~~

$$\nabla^2 \vec{h} = \frac{1}{\lambda^2} \vec{h}$$

Transition in  $H \neq 0$ : 1st order

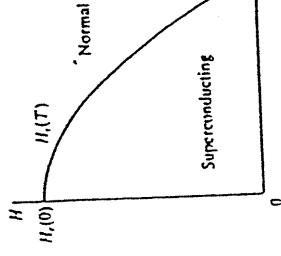


FIGURE 1.2  
Temperature dependence of the critical field.

$\lambda$ : penetration depth

$$\lambda(\tau) \approx \lambda(0) \left[ 1 - \left( \frac{\tau}{\tau_c} \right)^4 \right]^{-\frac{1}{2}}$$

Superconducting electron is rigid.

$$E = \frac{2}{3} \epsilon_0 (\nabla \vec{J}_s) \quad \text{or} \quad \frac{d}{dt} (mv) = e\vec{E}$$

$$\vec{J}_s = n_s e \vec{v} \quad \text{or} \quad \vec{F} = m \vec{v}$$

$$\therefore a \Rightarrow \infty$$

$$\text{Due to } \vec{E} \text{ field } \tau_s \text{ will remain finite}$$

$$\vec{J}_s = n_s e \vec{v} \quad \text{or} \quad \vec{F} = m \vec{v}$$

$$\therefore a \Rightarrow \infty$$

From above  $\vec{E}$  field  $\tau_s$  will remain finite & average ( $\langle \vec{v} \rangle$ ) will be zero.  
 $\vec{J}_s$  will be zero at interface or at  $\vec{v} = 0$ .

$\lambda = \infty$  or infinite effective conductivity remains finite.

High-frequency current  $\langle \vec{v} \rangle$

- Surface resistance  $\tau_s$  remains finite

Profound motivation for the London equation

$$\vec{p} = mv + e\frac{\vec{A}}{c}$$

Absence of  $\vec{E}$  field.

Ground state remains zero momentum

local average velocity in the presence of the field

$$\langle \vec{V}_s \rangle = -\frac{e\vec{A}}{mc}$$

$$\vec{J}_s = n_s e \langle v_s \rangle$$

$$= -\frac{n_s e^2 \vec{A}}{mc} = -\frac{\vec{A}}{\lambda c}$$

$$\tau_s = 0 \quad \text{for } \vec{v} = 0$$

$$\therefore \lambda_L(0) = \left( \frac{mc^2}{4\pi n e^2} \right)^{1/2}$$

$$\text{Since } \lambda_L(0) \text{ is finite}$$

Pippard & Nonlocal electrodynamics.

1.3. The Pippard & Nonlocal Electrodynamics.

$$\vec{J}(\vec{r}) = \sigma \vec{E}(\vec{r})$$

$$\vec{J}(\vec{r}) = \frac{3\sigma}{4\pi\Omega} \int \frac{\vec{R} [\vec{R} \cdot \vec{E}(\vec{r}'),] e^{-\vec{R}/a}}{R^4} d\vec{r}'$$

$$\text{where } \vec{R} = \vec{r} - \vec{r}'$$

Volume  $\Omega$   $\vec{E}$  is a average.

Pippard & Argument

Superconducting wave function should have a similar characteristic dimension  $\xi_0$  which could be estimated by an uncertainty principle argument.

$$\Delta \alpha \approx \frac{\hbar}{\Delta P} \approx \frac{\hbar v_F}{k T_c}$$

$$\xi_0 = \alpha \cdot \frac{\hbar v_F}{k T_c}$$

$\alpha$ : numerical constant of order unity to be determined

Aluminum  $\xi_0 \gg \lambda_L(0)$

or  $\xi_0 \ll$  nonlocal electrodynamics of normal metal  
or  $\xi_0 \ll \lambda_L(0)$

Pippard's proposal

$$J_s(\vec{r}) = - \frac{3}{4\pi \xi_0 \lambda L} \int \frac{\vec{R} [\vec{R} \cdot \vec{A}(\vec{r}')]}{R^4} e^{-R/\xi_0} d\vec{r}'$$

$$\vec{R} = \vec{r} - \vec{r}'$$

$$\frac{1}{\xi_0} = \frac{1}{\xi_0} + \frac{1}{2}$$

$$\alpha = 0.15 \text{ 일때 } \text{실험과 잘 일치.}$$

$$\text{BCS 모식 } \alpha = 0.18 \text{ 일일지?}$$

여기

$$\lambda \gg \lambda_L(0) \text{ 일때 } \text{실험과 잘 일치?}$$

오늘날  $\vec{A}(F)$ 의  $\lambda \ll \xi_0$  일 때  $\alpha \approx 0.18$  일 것.

Field penetration depth  
field penetration depth

#### 1.4. The energy gap and the BCS theory

$$\text{Gap of } \frac{k T_c}{T_c} \text{ is zero.}$$

— Daunt and Mendelsohn  $\xi_0$   
Thermoelectric effect effect zeroon hint

High  $\xi_0$   $\Rightarrow$  small gap  
Corak et al electronic specific heat on 2134

$$C_{es} \approx \gamma T_c \alpha e^{-k T_c / T}$$

$$C_{en} = \gamma T$$

Measurement of electromagnetic absorption in the region of  $\hbar \omega \sim k T_c$

millimeter-microwave technique

Biondi - aluminum

$$T_c \approx 1.2K$$

Small gap  $\frac{k T_c}{T_c}$   
Glover  $\xi_0 \approx T_c$  or  $2H_Z$  0.501

High  $\xi_0$ .

Glover and Tinkham

thin lead film  $T_c \sim 7.2K$

gap  $\alpha \approx \frac{k T_c}{T_c}$  42%

Energy gap  $\sim (3 \sim 4) k T_c$

## Spectroscopic measurement

$E_g$  to create the pair of excitations  
 Thermal measurement  $\frac{E_g}{2}$  per statistically independent particle.

BCS.

Even a weak attractive interaction between electrons,

$\Rightarrow$  Causes an instability of the ordinary

Fermi - Sea ground state of the electron gas w.r.t the formation of bound pairs of electrons occupying states with equal and opposite momentum and spin.

Cooper pairs  $\xi_0$

$$E_g(0) = 2\Delta(0) = 3.528 kT_c$$

for  $T \ll T_c$

Gap  $\Delta$  absorption edge above  $T_{\text{abs}} = E_g$

Glover & Tinkham at  $\approx 77$  K data agree qualitatively agree.

## 1.5. The Ginzburg - Landau theory.

Ginzburg - Landau theory of superconductivity  
 Pseudo wave function  $\psi$  as an order parameter within Landau's general theory of 2nd order phase transition.

local density of superconducting electrons

$$n_s = |\psi(x)|^2$$

Variational principle

$$\frac{1}{2m^*} \left( \frac{\hbar}{i} \nabla - \frac{e^*}{c} \vec{A} \right)^2 \psi + \beta |\psi|^2 \psi = -\alpha(T) \psi$$

$$\overline{J}_s = \frac{e^* h}{i 2 m^*} (\psi^* \nabla \psi - \psi \nabla \psi^*) - \frac{e^{*2}}{m^* c} |\psi|^2 \vec{A}$$

London eq. প্রযোজন করা হয়েছে

- 1)  $n_s$  as spatial variation
- 2) non linear effect of  $\vec{A}$ .
- 3) intermediate state  $\gamma$  অসম্ভব এবং  $H_c$  এর মধ্যে superconductor এর normal domain of  $\gamma$  to  $0$  এর

1959.

I.G. Type II Superconductors

GL or  $\xi_2$  limiting form of the microscopic theory to BCS, valid near  $T_c$

BCS), valid near the

卷之六

$\psi$  : wave ft. of center of mass motion  
of the Cooper pairs.

$$\xi(\tau) = \frac{\tau}{(2m^* d(\tau))^{1/4}}$$

$\Delta U(\vec{r})$  can vary without undue energy increase

|             |                     |                        |         |                  |
|-------------|---------------------|------------------------|---------|------------------|
| $T < T_c$ , | Pure superconductor | $\xi(T) \approx \zeta$ | Pippard | coherence length |
|-------------|---------------------|------------------------|---------|------------------|

$$\xi(\tau) \sim (\tau_c - \tau)^{-\frac{1}{2}}$$

$\delta$  vanishes as  $T \rightarrow T_c$

Energy Out = Energy In + Work Done

High field magnet  $\rightarrow$  High field

quantum of flux

$$\frac{h^c}{\Phi_0} = 2.07 \times 10^{-2} \text{ G} \cdot \text{cm}^2$$

dimensionless linear  $\Gamma_c$

typical classic pure superconductors,

## Scanning tunneling microscopic measurement

- existence of the vortex array

Reduction by a factor  $B/\text{Hz}$ .

$$N \sim 10^{22}$$

$\Phi_0$  &  $\Phi$  both pinned at  $\Phi_0$

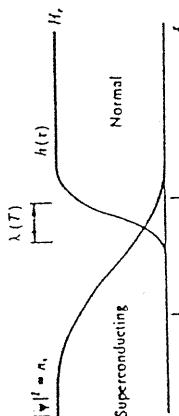


FIGURE 1.4  
Interface between superconducting and normal domain in the intermediate state.

1.7. Phase, Josephson tunneling  
and fluxoid quantization.

Essential universal characteristic of the  
superconducting state.

- Existence of the many particle condensate  
wave function  $\Psi(F)$ .

Amplitude at phase  $\gamma_1 \xi$   
phase coherence  $\xi$  macroscopic distance  $R_{\text{ring}}$   
analogous, but not identical to the familiar  
Bose-Einstein condensate

phase, particle number  $\propto$  duality.

Uncertainty relation

$$\Delta N \cdot \Delta \phi \gtrsim 1$$

both  $N, \phi$  is known to within small fraction of uncertainty  $\rightarrow$  phase  $\xi^2$  semi classical variable?

### Josephson Relation

$$J = J_c \sin(\phi_1 - \phi_2)$$

$$\text{DC Voltage on Thread} =$$

$$\text{Phase difference} \sim \frac{e V_{12} t}{\hbar}$$

$\frac{e \Phi}{\hbar}$  (1) Ultrasensitive voltmeter, magnetometer

$$\textcircled{2} \quad \frac{\hbar}{e} \approx \text{Planck's } \hbar$$

Superconducting ring minimizes

$$\Phi' = \Phi + \frac{m^* c}{e^2} \int \frac{J_s \cdot dS}{14\pi^2}$$

$$\text{where } \Phi = \oint \vec{A} \cdot d\vec{s}$$

Ring  $\oint \vec{A} \cdot d\vec{s} = 0$

$$\Phi' = \Phi \quad \because \frac{J_s}{J_s} = 0$$

$$\Phi' \text{ at quantized}$$

$$\Phi = \frac{h}{2e}$$

$$\Phi' \text{ at quantized}$$

1.8.

### Fluctuations and Nonequilibrium Effect.

$KT$  ପର୍ଯ୍ୟାପ୍ତ ଥର୍ମଲ ଫ୍ଲୁଟ୍ୱୁଲେସନ୍ ହେଉଛି  
 $T_c$  କେନ୍ଦ୍ରିତ ଫ୍ଲୁଟ୍ୱୁଲେସନ୍ ଓହିଲା

$$T > T_c$$

fluctuations cause some vestiges of superconductivity to remain

ଶିଖ୍ୟଠି ଗ୍ଲୋବ୍

conductivity of amorphous films of superconductors

$$\text{drifters} \propto (T - T_c)^{-1} \quad \text{as } T \rightarrow T_c.$$

$$T_c^{\text{low}} \text{ ଲିମିଟ ଦିଅନ୍ତର୍ଭାବୀ ହେବାରେ }$$

② diamagnetic susceptibility of pure bulk

$$\text{sample} \sim (T - T_c)^{-\frac{1}{2}}$$

Resistance - Causing fluctuation of  $\Omega H_f^2$

$$(1) \quad T > \Omega H_f^2 \text{ କେନ୍ଦ୍ରିତ ଜାତି}$$

(2) low electron density  $\Rightarrow \text{low } \Omega R \Rightarrow \text{low } \xi_0$

$$\xi_0 = a \cdot \frac{k_B U_F}{K T}$$

$\therefore$  coherence length  $\gg$  atomic dimension of

(3) high anisotropy

- pinning is less effective

### Nonequilibrium regime

Schmid and Schön

$T_c$  କେନ୍ଦ୍ରିତ ଫ୍ଲୁଟ୍ୱୁଲେସନ୍ ହେଉଛି  
 $\Delta$  ଏବଂ  $\Omega R$  ଏବଂ  $\Omega H_f^2$  ଏବଂ  $\xi_0$

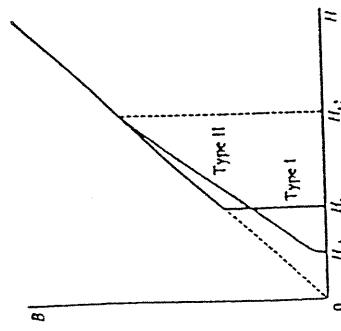


FIGURE 1.5  
Comparison of flux penetration behavior of type I and type II superconductors with the same thermodynamic critical field  $H_c$ .  $H_{II} = \sqrt{2}H_c$ . The ratio of  $B/H_c$  from this plot also gives the approximate variation of  $R/R_n$ , where  $R$  is the electrical resistance for the case of negligible pinning, and  $R_n$  is the normal-state resistance.

1.9. High temperature Superconductivity

Resistance - Causing fluctuation of  $\Omega H_f^2$

$$(1) \quad T > \Omega H_f^2 \text{ କେନ୍ଦ୍ରିତ ଜାତି}$$

(2) low electron density  $\Rightarrow \text{low } \Omega R \Rightarrow \text{low } \xi_0$

$$\xi_0 = a \cdot \frac{k_B U_F}{K T}$$

## Chapter 2.

Basic mechanism - not clear  
 Nature of pairing - remains controversial

favor d wave pairing

Magnetic properties, melting of flux-line lattice

- measurable resistance over a substantial range of fields below  $H_{c2}(T)$

Josephson Coupling

Lawrence - Doniach model

Chap q min

Larkin - Ovchinnikov & Collective pinning theory  
 flux creep  $\leq 74$

$$m \frac{d\vec{v}}{dt} = e \vec{E} - \frac{m\vec{v}}{\tau}$$

Experimental review of unconventional pairing

Steady state drift velocity

$$\vec{v} = \frac{e \vec{E} \tau}{m}$$

$$\therefore \vec{J} = n e \vec{v}$$

$$= \frac{n e^2 \tau}{m} \vec{E}$$

$$= \sigma \vec{E}$$

Introduction to Electrodynamics of Superconductors.

Electrodynamic behavior of the type I superconductors

$$: \text{London equation} \Leftrightarrow \text{London law}$$

$$\text{London eq.} \approx \text{GL} \text{ at good approximation only}$$

has no equilibrium solution

2.1 To The London equations

Normal Metal

Standard Drude Model

## 2.2

## 2.2. Screening of a static Magnetic field.

$$\frac{d\vec{h}}{dt} = \frac{n_s e^2}{m} \vec{E} \quad (\because \frac{d\vec{v}}{dt} = \frac{e}{m} \vec{E})$$

$$= \frac{1}{\lambda} \vec{E}$$

$$= \frac{c^2}{4\pi\lambda} \vec{E}$$

Time dependent Maxwell eq.

$$\nabla \times \vec{h} = \frac{4\pi}{c} \frac{1}{t} \vec{E}, \quad \nabla \times \vec{E} = -\frac{1}{c} \frac{\partial \vec{E}}{\partial t}$$

pf.

$$\frac{\partial}{\partial t} (\nabla \times \vec{h}) = \frac{4\pi}{c} \frac{\partial \vec{E}}{\partial t}$$

$$= \frac{c}{\lambda^2} \vec{E}$$

$$\therefore \nabla \times \left( \frac{1}{c} \frac{1}{\partial t} \vec{h} \right) = \frac{1}{\lambda^2} \vec{E}$$

$$\therefore \nabla \times (\nabla \times \vec{E}) = -\frac{1}{\lambda^2} \vec{E}$$

Note 1.  $\lambda$  (t) is called Debye length & static dielectric.

indep.

2. Time varying magnetic field has  $\vec{B} \propto$ 

indep.

$$\nabla^2 \vec{h} = \frac{1}{\lambda^2} \vec{h} \quad \text{where } \lambda^2 = \frac{mc^2}{n\sigma n_e}$$

## 2.2. Screening of a static Magnetic field.

$$h(x) = h(0) e^{-x/\lambda}$$

i.e.  $\lambda \sim 200 \text{ \AA}$  in typical metallic conductors

$$500 \text{ \AA} \quad \text{pure sample} \quad T \propto T_c$$

- 1. short electronic mean free path
- 2. short coherence length

$\Rightarrow$  electrodynamics become local, as in the London theory.

of  $\lambda$  is due to   
 Corresponding to a much smaller value of the phenomenological parameter  $n_c$ .

## 3. BCS model

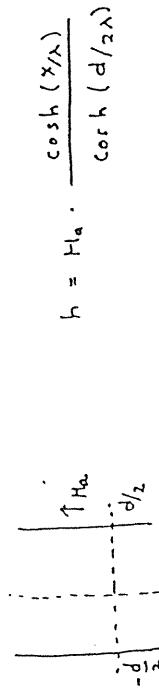
$$\lambda(\tau) \approx \lambda(0) \frac{1}{(1 - t^*)^\alpha}$$

of  $\lambda$  two-fluid temperature dependence  $\propto$    
 Earlier model of Gor'kov, Casimir.

Condensed (superconducting) electron normal

## 2.2.1. Flat Slab in Parallel Magnetic field

$100\text{ \AA}$  thick film of tin



$$B = H_a + \frac{\cosh(x/\lambda)}{\cosh(d/2\lambda)}$$

2.

$$\lambda \approx 500\text{ \AA} \Rightarrow H_{c||} \approx 7500 \text{ Oe} \gg H_c$$

$H_c \approx 300 \text{ Oe}$

of  $\frac{H_{c||}}{H_c}$  magnetization of Meissner value  $\frac{d}{2\lambda}$

$\Omega H_0 \propto \frac{1}{\lambda^2} \propto \frac{1}{d^2}$

$$B = \bar{H}$$

$$= H_a + 4\pi M$$

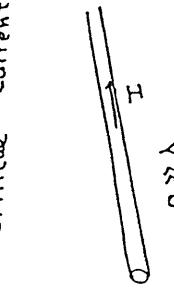
$$= H_a + \frac{2\lambda}{d} \tanh \frac{d}{2\lambda}$$

$$d \gg \lambda, \quad \text{then } B \rightarrow 0 \quad \text{and} \quad M = -\frac{H_a}{4\pi}$$

Meissner effect limit of perfect diamagnetism  
of bulk superconductors.



### 2.2.2. Critical Current of wire



$$H = \frac{2I}{c\alpha}$$

$d \ll \lambda$

$$\tanh x \sim x - \frac{1}{3}x^3 \dots$$

$$B \rightarrow H_a \left( 1 - \frac{d^2}{12\lambda^2} \right)$$

$$M \rightarrow -\frac{H_a}{4\pi} \frac{d^2}{12\lambda^2}$$

$H_{c||} \approx \frac{d}{2\lambda}$

$\Omega H_0 \propto \frac{1}{2\pi\alpha\lambda}$

$$\left( F_n - F_s \right) \Big|_{H=0} = - \int_{-H_n}^{H_n} M(H) dH$$

$$\frac{H_c^2}{8\pi} = (F_n - F_s) \Big|_{H=0}$$

$$= \frac{c}{4\pi} \cdot \frac{H_c}{\lambda}$$

$$\boxed{H_{c||} = \sqrt{12} H_c \frac{\lambda}{d}}$$

$$\boxed{H_c = 500 \text{ Oe}, \quad \text{and} \quad \lambda = 500 \text{ \AA}}$$

$$\boxed{J_c \sim 10^9 \text{ A/cm}^2}$$

Type I superconductors in strong magnetic fields:

The intermediate state

field  $\frac{2}{3} H_a < H_c$  :  $\frac{2}{3} f_{so} = \frac{1}{2} H_a$

$f_{so} = 0$  :  $H = 0$  demagnetization factor zero

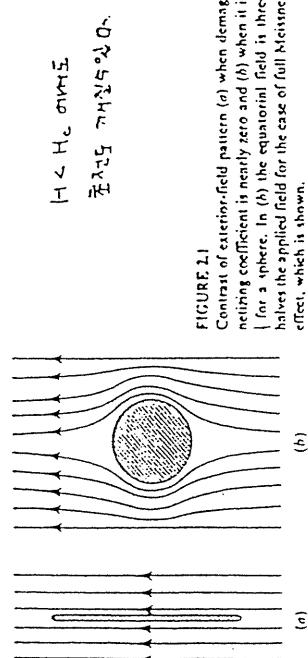


FIGURE 2  
Comparison of exterior field pattern (a), when demagnetizing coefficient is nearly zero and (b) when it is 1 for a sphere. In (b) the equatorial field is three halves the applied field for the case of full Meissner effect, which is shown.

Helmholtz free energy

$$F_n = V f_{so} + V \cdot \frac{H_a^2}{8\pi} + V_{ext} \cdot \frac{H_a^2}{8\pi}$$

field pattern

free energy

$$G = f - \frac{hH}{4\pi}$$

This leads to

$$G_n = V f_{so} - \frac{V H_a^2}{8\pi} - \frac{V_{ext} H_a^2}{8\pi}$$

Since  $h = B = H$  in the normal & outside the sample,

$$G_s = V f_{so} - \frac{V_{ext} H_a^2}{8\pi}$$

$h = B = 0$  in the superconducting state

$$G_n - G_s = V (f_{so} - f_{so}) - \frac{V H_a^2}{8\pi}$$

$$F_n - F_s \Big|_{H_a} = V \left( \frac{H_a^2}{4\pi} \right)$$

$$\bar{\delta}H^2 : H_c \text{ on } H_a \text{ free energy } \propto \delta H^2 \frac{H_c^2}{4\pi} \text{ or } 0$$

$$H_a = H_c \cdot 10^2$$

Okuboard : Helmholtz energy  $\propto H^2$   
oi  $\propto B^2$  constant  $\propto H^2$   $\propto B^2$

Gibbs free energy  
 $: H \propto \frac{1}{2} \nabla^2 \phi$

$$G = f - \frac{hH}{4\pi}$$

This leads to

$$G_n = V f_{so} - \frac{V H_a^2}{8\pi} - \frac{V_{ext} H_a^2}{8\pi}$$

Since  $h = B = H$  in the normal & outside the sample,

$$G_s = V f_{so} - \frac{V_{ext} H_a^2}{8\pi}$$

$h = B = 0$  in the superconducting state

$$G_n - G_s = V (f_{so} - f_{so}) - \frac{V H_a^2}{8\pi}$$

$$f_{so} - f_{so} = \frac{H_a^2}{8\pi}$$

$$f_{so} = \frac{H_a^2}{8\pi}$$

### 2.3.1. Nonzero Demagnetization Factor.

$\frac{H_a}{H_c} < 1$

$B = 0$  at inside. (macroscopic scale)

$$\nabla \cdot \vec{B} = \nabla \times \vec{B} = \nabla^2 \vec{B} = 0$$

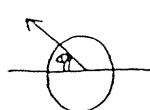
Sphere of  $\approx r_0$

with boundary condition.

$$B \rightarrow H_a \text{ as } r \rightarrow \infty$$

$$B_n = 0 \text{ at } r \rightarrow R$$

$$\therefore \vec{B} = H_a + \frac{H_a R^3}{2} \nabla \left( \frac{\cos \theta}{r^2} \right)$$

$$(B_\theta)_R = \frac{3}{2} H_a \sin \theta$$


$$42^\circ \leq \theta \leq 138^\circ \quad H > H_a \text{ or } H_a > H_c$$

Equator  $B_\theta = \frac{3}{2} H_a$

At  $H_a > H_c$   $H > H_a$  or if sphere is normal  $H > H_a$

$\lambda_H$  or. 그 런 데  $H_a$  부터 zero 인 경우는  $H_a$  입니다.

 $\frac{2}{3} H_a < H_a < H_c$  or  $H_a$  입니다는  $H_a$  입니다.

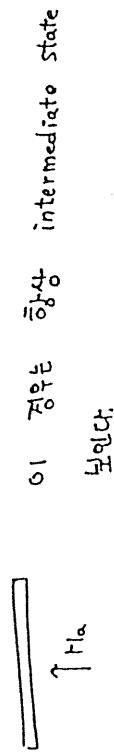
$\frac{2}{3} H_a < H_a < H_c$  or  $H_a$  입니다는  $H_a$  입니다.

int...  $\bar{x}$  는  $\Sigma$ , Normal of  $\vec{E}_{01}$  입니다.

### Ellipsoidal shapes

$$1 - \eta \leq \frac{H_a}{H_c} < 1$$

demagnetization factor  $\eta$



2.3.2. Intermediate State in a Flat Slab  
Landau  $\pi/8$  for

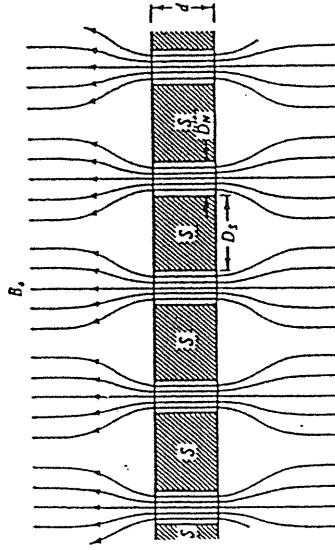


FIGURE 2.7  
Schematic diagram showing magnetic flux channelling through the normal laminae in the intermediate state of a type I superconductor. Flux density is  $B_n$  at large distances and zero or  $h_n(\pi/8)$  in the cross section of the slab. The normal regions are macroscopic, in contrast to the vortices in a type II superconductor, which contain only a single quantum of flux.

$B_a$  : fixed (average  $\vec{B}_X$ )  
 $h = 0$  in the superconducting region

$$\rho_n : \text{normal 부피의 fraction oder. } \left( \rho_n = \frac{B_a}{h_n} \right)$$

## 2.2

ডেসাইন করুন

অন্তর্বর্তী প্রতিক্রিয়া করুন।  
Additional surface energy per unit area  
of interface

$$\gamma = \frac{H_c^2}{8\pi} \delta$$

$$\delta \approx \xi - \lambda$$

$$\sim 10^{-5} \sim 10^{-4} \text{ cm for}$$

Type I

$$F_1 = \frac{\rho_n h_n^2}{8\pi} - \frac{\rho_s^2 h_n^2}{8\pi}$$

জনপ্রিয় এবং নেগেটিভ প্রক্রিয়া রয়েছে।  
⇒ Type II প্রক্রিয়া।

$\gamma > 0$  ও  $\gamma < 0$

$F_1$  : interface energy within the sample

$F_2$  : field energy just outside the sample

Configuration : free energy ক্ষেত্রের আবির্ধন  
geometry এর মধ্যে স্থিতির পরিবর্তন।

Laminar model of the intermediate state

জৰুৰী

$$D = D_n + D_s$$

$$F_1 = \frac{2d\gamma}{D} = \frac{2d\delta}{D} \cdot \frac{H_c^2}{8\pi}$$

interface energy  $H_c$ , per  
unit area of the slab

$$F_2 = F_1 + F_2 \text{ at minimum } \frac{D}{2}$$

$$D \approx 10^{-2} \text{ cm}$$

- 1. moving a tiny magneto resistive or Hall effect probe over the surface
- 2. making powder patterns with ferromagnetic powder

$$\delta \text{ স্থান } G_L \text{ এবং } \frac{\pi}{2} \text{ কেজলি } \\ \text{স্থানীয় } H_c \text{ এবং } B_{\text{ext}} \text{ এর মধ্যে } \frac{\pi}{2} \text{ কেজলি } \text{ পার্শ্ব দাপ্তরিক।}$$

2-12

$$B = H = H_a + \frac{H_c R^2}{2} \nabla \left( \frac{\cos \theta}{r^2} \right) \\ r \geq R, \quad H \leq \frac{2}{3} H_c \\ r > R \text{ এবং } B_a = 0, \\ H_a = H_a$$

### 2.3.3. Intermediate State of a sphere.

$$\frac{2}{3} < \frac{H_a}{H_c} < 1 \text{ এবং } \frac{H_a}{H_c} \\ \text{সম্ভব?}$$

মালিনৈ লামিনেজ পদ্ধতি?  
কোথা পাওয়া?

Macroscopic Maxwell এবং একটি

Flux density in the N laminae is always exactly  $H_c$ .

$$\text{Normal fraction } \rho_n = \frac{B}{H_c}$$

$H_a$  tangent & অভিক্ষেপণ।

Macroscopic field inside the sphere

: Uniform

Outside — dipole field

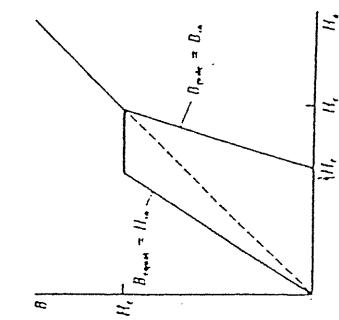


FIGURE 2.3  
Internal values of  $B$  and  $H$  in a superconducting sphere in an applied field  $H_a$ . As indicated, these can be measured externally by measuring the surface field  $B$  at the pole and the equator, respectively. The sphere is in the intermediate state for  $2H_a/3 < H_a < H_c$ .

### 2.4. Superconducting wire

- 2.4. Intermediate State above Critical Current of a Superconducting wire

$$B = H = \frac{2I}{ca}$$

$H = H_c$  এবং সুপারকন্ডেক্টিভ উire নহে।

$$I_c = \frac{H_c ca}{2}$$

Silsbee's rule

ডায়াগ্রাম প্রক্রিয়া

$$H(r) = \frac{2Ir}{ca^2} < H_c \text{ normal অফিচুর}$$

$r \rightarrow 0$

$$T_c = \frac{H_{c2}C_0}{2}$$

$$E = \frac{\rho I}{2\pi\alpha^2} \left\{ 1 \pm \left[ 1 - \left( \frac{I_0}{I} \right)^2 \right]^k \right\}$$

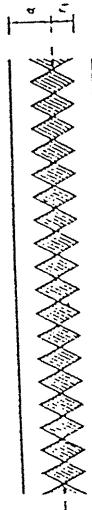


FIGURE 2.4 London's model of the intermediate-state structure in a wire carrying a current in excess of  $I_c$ . The shaded region is superconducting. The core radius  $r_1$  is  $\sigma$  at  $I_c$  and ideally approaches zero only asymptotically as  $I \rightarrow \infty$ .

১২৩৪৫

$$H(r) = H^* \text{ for } r \leq r^*$$

$$H(r) = \frac{2\pi(r)}{cr}$$

$$\frac{dI}{dr} = \frac{1}{2\pi r} = \frac{C H_e}{r}$$

$$\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}$$

by London.

$$\tau = \frac{E r}{\rho v}$$

## Superconducting

$$r_1 = \frac{e^2 H_0}{4\pi E}$$

$$\frac{C_r H_c}{T} = \frac{C^2 H_c P}{\pi^2 k}$$

$$T_2 = \frac{E}{\rho} \pi (a^2 - r_1^2) = \frac{\pi a^2 E}{\rho} = \frac{C^2 H_c \rho}{16 \pi E}$$

$$R = \frac{R_0}{R_0} = \left\{ \frac{1}{2} \left( 1 + \left[ \frac{1 - \sqrt{\lambda}}{1 + \sqrt{\lambda}} \right]^{\frac{1}{\lambda}} \right) \right\}^{\frac{1}{\lambda}}$$

intermediate state pattern suddenly fills the entire wire.

$$I(r) = \frac{1}{r} \ln \left( \frac{r_0}{r} \right) + \frac{1}{2} \ln \left( \frac{r^2 - r_0^2}{r^2 + r_0^2} \right) + \text{total current}$$

H D -

C H,  
=

18  
e  
-  
1  
1  
1

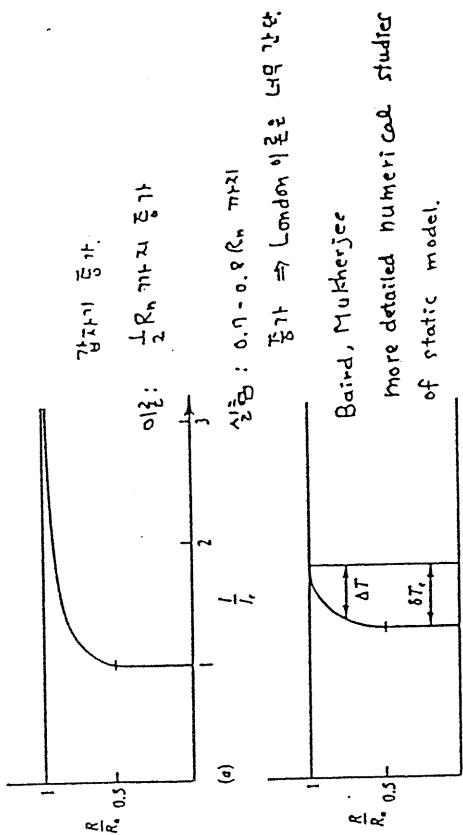
by London.

$$\frac{E_1}{E_0} = 0.69$$

**FIGURE 25** Resistance of a wire in the intermediate state. (a) Current dependence at constant temperature. (b) Temperature dependence at constant current, showing the broadening and depression of the apparent transition temperature. The parameter  $\delta T_r = [(dI_r/dT_r)^{-1}]$ .

Andreyev, Sharvin

四百



**FIGURE 2-5**  
Resistance of a wire in the intermediate state. (a) Current dependence at constant temperature. (b)  
Temperature dependence at constant current, showing the broadening and depression of the apparent  
transition temperature. The parameter  $\delta T_c \equiv [dI/dT]_{T=T_c}^{-1}$ .

Andreyev, Sharvin

$$T_c \propto H_c \propto (\tau_c - \tau) \quad \tau_c \text{ ကြော်စာမျက်နှာ}$$

## 2.5. High frequency Electrodynamics

$$T_c = \left. \frac{dI_c}{dT} \right|_{T_c} \Delta T \approx C_a H_c(\omega) \cdot \frac{\Delta T}{\tau_c}$$

$\Delta T \approx \frac{R_c}{R_n} \frac{V_c}{V_n} \frac{R_n}{R_c} \frac{C_a}{C_n} \frac{H_c(\omega)}{H_n}$

$$\frac{R_c}{R_n} = \frac{1}{2} \left\{ 1 + \left[ 1 - \left( \frac{\Delta T_c}{\delta \tau_c} \right)^2 \right]^{\frac{1}{2}} \right\}$$

$$\delta \tau_c = T_c - \tau \quad \text{Eq 2.5 (6)}$$

လုပ်ခွဲ

Current induced intermediate state  
in thin film superconductors.

σ<sub>c</sub> ကို  
intermediate state structure & magneto-optic  
technique ဖြင့် ရှိနိုင်ပါ။

Huebener

- : Resistance increases in discrete increment
- : Additional channel of heat loss
- : moving domain structure & motion picture ဆိုပါ။

frequency Response မြတ်ဆုံးကြော်စာမျက်နှာ

low frequency in power line

high frequency in microwave and computer application

ဒါဇိုင်းမီး A.C. မှာ ဓာတ် finite distribution မှုကြော်

E field မှာ လျှပ်စီး မြတ်ဆုံးမှုကြော်

Two fluid model ဒါဇိုင်းမီး

2.5.1. Complex conductivity in Two-fluid Approximation.

Two fluid model  
 $n = n_s + n_n$   
 $\tau = \tau_s, \tau_n$  different relaxation time

$\sigma(\omega) \equiv \sigma_1(\omega) - i\sigma_2(\omega)$

$$= \frac{n_s e^2 \tau_s}{m} - \frac{1}{1 + i\omega\tau_i} \quad i = n, s$$

$$\sigma_1(\omega) = \sigma_{0i} / (1 + \omega^2 \tau_i^2)$$

$$\sigma_2(\omega) = \sigma_{0i} \omega \tau_i / (1 + \omega^2 \tau_i^2)$$

where  $\sigma_{0i} \equiv n_i e^2 \tau_i / m$

$$\tau_s \rightarrow \infty \quad \omega_0^2 \quad \frac{1}{\omega_0^2}$$

$$\sigma_{1s} = \sigma_{0s} / \omega^2 \tau_s^2$$

$\tilde{\sigma}_{1s}$  delta function of  $\omega$ .

$$= \frac{\pi}{2} \frac{n_s e^2}{m} \delta(\omega)$$

$\tilde{\sigma}_{1s}$  is limit of

$$\tilde{\sigma}_{2s}(\omega) = \frac{n_s e^2}{m \omega}$$

Oscillator Strength sum rule

$$\int \tilde{\sigma}_{1s}(\omega) d\omega = \frac{\pi}{2} \frac{n_s e^2}{m} \text{ independent of } n_s, \tau_s$$

Historical Carter-Casimir two fluid model

$$n_s \sim t^4, \quad n_s \sim (1-t^4)$$

$$\int \tilde{\sigma}_{1s}(\omega) d\omega \approx 0.1 \text{ at } 0.2$$

$$\tilde{\sigma}_{1s}(\omega) = \frac{\pi n_s e^2}{2m} \delta(\omega) + n_s e^2 \tau_s / m$$

$$\tilde{\sigma}_{2s}(\omega) = \frac{n_s e^2}{m \omega}$$

### 2.5.2.

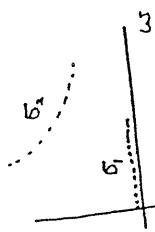
#### High frequency Dissipation in Superconductors

$\tilde{\sigma}_{1s}$  delta function of  $\omega$ .

$$= \frac{\pi}{2} \frac{n_s e^2}{m} \delta(\omega)$$

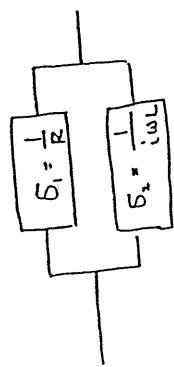
High freq.

$$\begin{aligned} \sigma_1 &= n_s e^2 \tau_n / m \\ \sigma_2 &= n_s e^2 / m \omega \end{aligned}$$



$$\tilde{\sigma}_{1s}(\omega) = \frac{\pi n_s e^2}{2m} \delta(\omega) + n_s e^2 \tau_n / m$$

Resonance frequency



Ratio of current in the two channel

$$\frac{I_s}{J_n} = \frac{n_s e^2 / m \omega}{n_s e^2 \tau_n / m} = \frac{n_s}{n_s \tau_n}$$

Crossover freq

$$\omega = \left( \frac{n_s}{n_n} \right)^{1/2} / \tau_n$$

$\tau_n$  is typically  $10^{-12}$  sec

crossover freq  $10^{10} \text{ Hz} : f \left( \frac{n_s}{n_n} \sim 1 \right)$

$$\text{only } \frac{n_s}{n_n} \approx \frac{1-t^n}{t^n}$$

$$BCS$$

2E : below high microwave range,

most of the current ~ supercurrent

2E dissipation of cr.

dissipating power

Realistic experimental arrangement

Current bias

$$\Rightarrow \frac{2\pi\omega}{\sigma_1^2}$$

Power dissipated per unit volume

$$\rho J^2 = R \left( \frac{1}{\delta} \right) J^2 = \frac{\sigma_1}{\sigma_1^2 + \sigma_2^2} J^2$$

$$\approx \frac{\sigma_1}{\sigma_2} J^2 \quad (\sim \omega^2 \sim \sigma_1)$$

normal electron density

Absorption Coefficient

$$A = \frac{P_{abs}}{P_{inc}} = \frac{J^2 R_s}{C H^{inc} / 4\pi} = \frac{c R_s}{\pi \sigma_1^2} =$$

Surface resistance and absorptivity of a

Superconducting Surface

Metal -

metall उत्तराधि

संवार्गीय विद्युत.



$$A_s = \frac{2 \sigma_1 \omega^2}{\sigma_2^{3/2} \pi^2} \propto \omega^2 \sigma_1$$

$$\tau_L^2 \eta \propto \omega^2$$

$$\vec{H} = \frac{1}{c} 4\pi \vec{J}$$

$$J = \frac{CH^{inc}}{2\pi} flowing in the skin depth \delta.$$

$$\omega \rightarrow \text{THz} \quad A_s \propto \text{take over.}$$

$$A_s \sim 10^{-3} \quad \text{for cr. } L \Omega_T \propto \alpha.$$

$\delta^2 R_s$  where  $R_s$  is a surface resistance.  
is resistance per square of the surface layer of thickness  $\delta$

Skin depth problem of general complex conductivity

$$\delta = c [ 2\pi \omega (|\sigma_1 + \sigma_2|)]^{-1/2}$$

$$R_s = \delta^{-1} \operatorname{Re} \left( \frac{1}{\delta} \right)$$

$$= \delta^{-1} \cdot \frac{\sigma_1}{1\sigma_1}$$

$$\approx \delta^{-1} \cdot \frac{\sigma_1}{\sigma_2}$$

$$\sigma_1 \approx \sigma_2 = 0$$

$$A_n = \left( \frac{2\omega}{\pi \sigma_n} \right)^{1/2} \propto \omega^{1/2}$$

Cavity

metall -

metall उत्तराधि

संवार्गीय विद्युत.

$$Q = \frac{\text{Stored energy}}{\text{loss per radian}} = \frac{\left(\frac{H^2}{8\pi}\right) V}{\left(\frac{c}{4\pi\omega}\right) H^2 A S}$$

$$\frac{1}{A} \approx \frac{1}{\lambda} \approx \frac{\omega}{2c} \frac{v}{s}$$

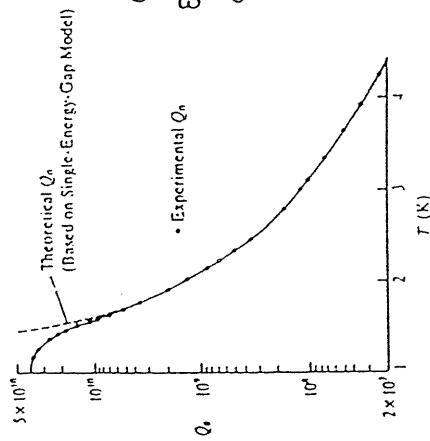


FIGURE 2.6  
Temperature dependence of  $Q_0$  for a 11.2-GHz minibeam cavity. [After Turner and Wittenberg, J. Appl. Phys., 39, 447 (1968).]

$$0.10\text{e}^{-10}$$

A frequency resolution of 1 Hz in a cavity resonant at 10 Hz

## The BCS theory

2nd Quantization ମଧ୍ୟ ଦେଖିଲାମ ଏହା  
ମଧ୍ୟ ଦେଖିଲାମ ଏହା

1956. On 21 July attractive interaction of bound pairs of atoms

### 3.1. Cooper pairs

Bound pair 이중대연 Ground state wave f.t.은

15  
12  
10  
8  
6  
4  
2  
0

|                  |  |                            |
|------------------|--|----------------------------|
| $Q \sim 10^{10}$ | Extremely low absorption<br>compared to normal | $Q \leq 10^4$<br>typically |
|------------------|--|----------------------------|

הנְּצָרָה בְּבִירַעַם

100

Extremely low absorption  
Compared to normal metal  
typically  $\delta \leq 10^4$

卷之三

$$(E - 2\epsilon_k) g_k = \sum_{k' > k} V_{kk'} g_{k'}$$

$$V_{k'k} = \mathcal{G}^{-1} \int v(\frac{t}{\tau}) e^{i(k'-k)\frac{t}{\tau}} dt$$

Origin of the attractive interaction

$$V_{kk'} = -V \frac{\sum g'_{k'}}{2\epsilon_k - E}$$

$$E_F + \hbar\omega_c \quad \text{if } z_1 \\ \text{otherwise zero}$$

$$g_k = V \frac{\sum g'_{k'}}{2\epsilon_k - E}$$

Summation  $\leq g_k$

$$\therefore \frac{1}{V} = \sum_{k > k_F} (2\epsilon_k - E)^{-1}$$

$$= N(0) \int_{E_F}^{E_F + \hbar\omega_c} \frac{d\epsilon}{2\epsilon - E}$$

$$= \frac{1}{2} N(0) \ln \frac{2E_F - E + 2\hbar\omega_c}{2E_F - E}$$

$$N(0) V \ll 1$$

weak coupling approximation

$$E \approx 2E_F - 2\hbar\omega_c \in^{-2/N(0)V}$$

$$\therefore V(\vec{r}) = \frac{4\pi e^2}{q_F^2 + \vec{r}^2}$$

Negative energy w.r.t the Fermi Surface  
made up entirely of electrons with  $R > R_F$   
i.e. with  $R > E_F$

Electronic Screening has eliminated the  
divergence at  $R_F = 0$

but still  $V(\vec{r}) > 0$

Repulsion

$$V(q_F) = V(\vec{k} - \vec{k}') = V_{\vec{k}\vec{k}'}$$

$$= \mathcal{R}^{-1} \int V(\vec{r}) e^{i\vec{q}\cdot\vec{r}} d\vec{r}$$

$$\text{we find } V(\vec{q}) = \frac{4\pi e^2}{\mathcal{R} q^2} = \frac{4\pi e^2}{q^2}$$

$\mathcal{R}$ : unit normalization volume.

dielectric constant

Fermi - Thomas approximation  $\epsilon$

$$\epsilon = 1 + \frac{q_F^2}{q_F^2}$$

$$\therefore V(\vec{q}) = \frac{4\pi e^2}{q_F^2 + \vec{q}^2}$$

(ii)  $\vec{e} \rightarrow$   
 (iii)  $\vec{e}$  attractive force  $\leq \frac{\pi^2 e^2}{2} \frac{1}{r^2}$

(iv)  $\vec{e}$

1950 von Hückel

Electron lattice interaction in explaining  
Superconductivity

Isotope effect & confirm of SIC:

$$T_c \sim H^{-\frac{1}{2}}$$

Tellium model (Pines)

Solid is approximated by a fluid of electrons and  
point ions, with complete neglect of crystal structure  
and Brillouin zone effect as well as of the  
finite ion-core size.

$$V(\vec{q}, \omega) = \frac{4\pi e^2}{q_F^2 + R_s^2} + \underbrace{\frac{4\pi e^2}{q_F^2 + R_s^2} \frac{\omega^2}{\omega^2 - \omega_p^2}}_{\text{Phonon mediated interaction}}$$

$\omega < \omega_p$  old  $\omega$  attractive  $\propto \omega$ .

Detailed TAN: Carbottet  $\approx 184$

band structure & electron phonon coupling

$$-\frac{\hbar^2}{2m} (\nabla_1^2 + \nabla_2^2) \psi_0 + V(\vec{r}_1, \vec{r}_2) \psi_0 = E \psi_0$$

$$\vec{r}_1 - \vec{r}_2 = \vec{r}$$

$$\sum_{\vec{R} > \vec{R}_F} 2 \cdot \frac{\hbar^2 \vec{R}^2}{2m} g_R \cos \vec{k} \cdot \vec{r} + \sum_{\vec{R} > \vec{R}_F} g_R V(\vec{r}_1, \vec{r}_2) \cos \vec{k} \cdot \vec{r}$$

$$= E \sum_{\vec{R} > \vec{R}_F} g_R \cos \vec{k} \cdot \vec{r}$$

$$0 \neq \otimes \int \cos \vec{k}' \cdot \vec{r} d^3 r \neq 0$$

$$2 \cdot \frac{\hbar^2 \vec{R}'^2}{2m} g_{R'} + \sum_{\vec{R}' > \vec{R}_F} g_R V_{RR'} = E g_{R'}$$

$$V_{RR'} = \frac{1}{2} \int V(\vec{r}_1, \vec{r}_2) \cos \vec{k} \cdot \vec{r} \cos \vec{k}' \cdot \vec{r}$$

$$(E - 2\varepsilon_{R'}) g_{R'} = \sum_{\vec{R}' > \vec{R}_F} g_R V_{RR'} = E g_{R'}$$

$$\therefore g_R = \frac{\sum_{\vec{R} > \vec{R}_F} V_{RR'} g_{R'}}{E - 2\varepsilon_R}$$

Cooper assumed

$$V_{RR'} = \begin{cases} -V & \text{const} \\ 0 & \text{else} \end{cases}$$

$$\varepsilon_F < \varepsilon_R < \varepsilon_F + \hbar \omega_c$$

$$\varepsilon_K > \varepsilon_F + \hbar \omega_c$$

wave function.

$$\frac{1}{V} = \sum_{\mathbf{k}} \frac{1}{2\varepsilon_{\mathbf{k}} - E}$$

$$= \sum_{\mathbf{k}} \frac{1}{2\varepsilon_{\mathbf{k}} - E} \underbrace{\varepsilon_{\mathbf{k}}}_{\xi_{\mathbf{k}}} + \underbrace{(E_F - \varepsilon_{\mathbf{k}})}_{\text{binding energy}}$$

$$= N(\alpha) \cdot \int_{\varepsilon_F}^{\varepsilon_F + \hbar\omega_c} \frac{d\varepsilon}{2\varepsilon - E}$$

$$= \frac{N_0}{2} \ln \frac{2(\xi_{\mathbf{k}} + \hbar\omega_c) - E}{2\xi_{\mathbf{k}} - E}$$

Attractive interaction

$$N(\alpha) \cdot V \ll 1 \quad \text{weak coupling limit}$$

$$\rightarrow \text{Strong Coupling Limit: Eliashberg theory}$$

$$\text{ex. Pb}$$

$$\therefore \frac{1}{N(\alpha)V} = \frac{1}{2\varepsilon_{\mathbf{k}} - E} \frac{2\varepsilon_{\mathbf{k}} - E + \hbar\omega_c}{2\varepsilon_{\mathbf{k}} - E} \simeq \frac{1}{2\varepsilon_{\mathbf{k}} - E} \frac{\hbar\omega_c}{2\varepsilon_{\mathbf{k}} - E}$$

$\therefore \overline{E} = \text{Cooper pair Energy}$

$$= 2\varepsilon_{\mathbf{k}} - \hbar\omega_c \underbrace{e^{-2/N(\alpha)V}}_{\text{binding energy part}}$$

$$\therefore \overline{E} < 2\varepsilon_{\mathbf{k}} \quad \text{whenever } V > 0$$

- (1)  $E(V) \uparrow \quad V < 0 \quad \text{min singular point}$   
 Perturbation method  $\xi \leq \hbar\omega_c$
- (2)  $E(V) \uparrow \quad V < 0 \quad \text{min singular point}$   
 Perturbation method  $\xi \leq \hbar\omega_c$

$$g_{\mathbf{k}} = \frac{V \sum g_{\mathbf{k}}}{2\varepsilon_{\mathbf{k}} - E} \sim \frac{1}{2\varepsilon_{\mathbf{k}} - E}$$

$$= \frac{1}{2\xi_{\mathbf{k}} + 2E_F - E}, \quad \xi_{\mathbf{k}} \sim \hbar^2$$

$$V_{\mathbf{k}\mathbf{k}'} = \frac{1}{2C} \int V(r) e^{i\mathbf{k}' \cdot \mathbf{r}} dr$$

$$(i) \quad V = \frac{e^2}{r} = \frac{1}{2C} \frac{4\pi e^2}{\hbar^2} \quad \hbar = \mathbf{r} - \mathbf{r}'$$

Not negative

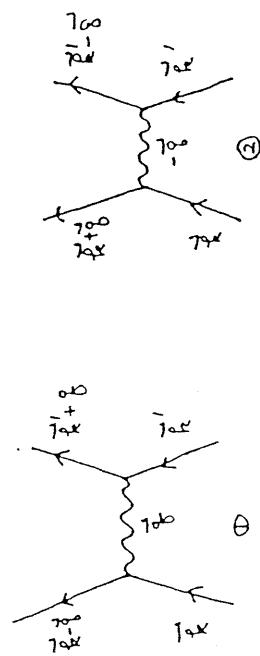
$$V_{\mathbf{k}\mathbf{k}'} = \frac{1}{2C} \int V(r) e^{i\mathbf{k}' \cdot \mathbf{r}} dr$$

(ii) Screening included

$$V = \frac{1}{C} \frac{e^2}{r} \quad C = 1 + \frac{\hbar^2}{\hbar^2} \quad \hbar' \approx 1\text{ Å}$$

$$V_{\mathbf{k}\mathbf{k}'} = \frac{1}{2C} \frac{4\pi e^2}{\hbar^2 + \hbar'^2} \quad \text{Not Negative}$$

(iii) Electron - lattice interaction



$$\left| \frac{\hbar^2 R_F q}{m} \right| < \hbar u_F \quad \dots \quad \textcircled{④}$$

In other words

$$q = |\Delta \vec{r}| < \frac{\hbar u_F}{\hbar R_F}$$

$$|\Delta \vec{r}'| < \frac{\hbar u_F}{\hbar R_F} = zero \text{ or } negative$$

So what is the probability of finding an electron at position  $\vec{R}'$ ?

$$V_{ee'}^\Theta = \frac{N_{k+q, k'}}{E(k') - E(k+q) - \hbar u_F}$$

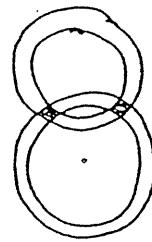
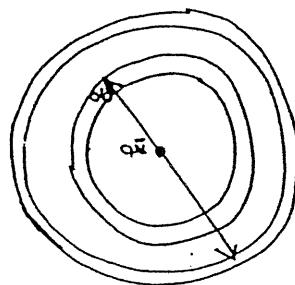
$$V_{ee'}^\Theta = \frac{|M_q|^2}{E(k') - E(k+q) + \hbar u_F}$$

$$T_{free} = \frac{1}{2} \vec{k}^2 = \frac{1}{2} \vec{p}^2 = zero$$

Maximum probability

$$K - R = \Delta \vec{r}$$

$$K' - R' = \Delta \vec{r}'$$



Diffraction

$$|E(k) - E(k-q)| < \hbar u_F \text{ or}$$

$$|E(k) - E(k-q) - \hbar u_F|$$

$$= \frac{2 \hbar u_F |M_q|^2}{\{E(k) - E(k-q)\}^2 - \hbar^2 u_F^2}$$

$$V_{ee'} = V_{eR'} + V_{eR''}$$

BCS energy approximation :  $-v$  over a range of energies near  $E_F$ .

$$\frac{E_C}{k_B T_C} \approx \frac{1}{\sqrt{\pi}}$$

$$3.3. \quad \text{BCS ground state} = \boxed{10^{22}}$$

0.127% of total binding energy due.

$\Delta$

Slater determinant  $\frac{1}{2} m!$ ?

$$\boxed{10^{22}} \frac{m!}{\frac{N}{2}!}$$

BCS wave function

$$10^{22} \boxed{\frac{m!}{\frac{N}{2}!}} |\Psi_0\rangle = \sum_{R.F.} q_{kR} C_{kR}^+ C_{-kR}^- |\bar{F}_R\rangle$$

$$\boxed{\frac{m!}{\frac{N}{2}!}}$$

represents the Fermi sea with all states filled up to  $E_F$ .

Pairs of time-reversed states are guaranteed to be occupied

Electron operators

$$[C_{k\sigma}, C_{k'\sigma'}^+]_+ \equiv C_{k\sigma} C_{k'\sigma'}^+ + C_{k'\sigma'}^+ C_{k\sigma} = \delta_{kk'} \delta_{\sigma\sigma'}$$

$$[C_{k\sigma}, C_{k'\sigma'}]_+ = [C_{k\sigma}^+, C_{k'\sigma'}^+] = 0$$

the electron number operator :

$$n_{k\sigma} = C_{k\sigma}^+ C_{k\sigma}$$

The most general N-electron wave function expressed in terms of momentum eigenfunctions and with the Cooper pairing built in is

$$|\Psi_N\rangle = \boxed{\sum g(k_1, \dots, k_L) C_{k_1}^+ C_{k_2}^+ \dots C_{k_R}^+ C_{-k_1}^- \dots C_{-k_L}^-} |1\rangle$$

$|\Psi_0\rangle$  : vacuum state with no particle present

0.127% of total binding energy due.

$$\Delta$$

$$\frac{M!}{\frac{N}{2}!} \approx \frac{20}{10} \approx 10^{20}$$

$$(M-\frac{N}{2})! \left(\frac{N}{2}\right)!$$

0.127% term of  $\Psi_N$

Hopeless

BCS mean particle of  $\bar{F}_R$  Mean field  $\frac{1}{2} E_F$

$\Rightarrow$  No serious error.

Grand Canonical Ensemble  $\Sigma_{k\sigma}$

BCS wave function

$$|\Psi_G\rangle = \prod_{k\sigma} \frac{(U_k + \epsilon_k - E_F)^{-1}}{K = (k_1, \dots, k_L)} |\phi\rangle$$

where  $|U_k|^2 + |\epsilon_k|^2 = 1$

Some Algebra with pair creation operators

$$\begin{aligned} B_k &= C_{-k\downarrow} C_{k\uparrow} \\ B_k^+ &= C_{k\uparrow}^+ C_{-k\downarrow}^+ \end{aligned}$$

Quasi-Basis operators

$$\begin{aligned} [B_k, B_{k'}]_- &= B_k B_{k'}^+ - B_{k'}^+ B_k \\ &= \underbrace{C_{1\downarrow} C_{k\uparrow} C_{k'\uparrow}^+ C_{-k'\downarrow}} - \underbrace{C_{k\uparrow}^+ C_{-k'\downarrow}^+ C_{-k\downarrow}} \\ &= C_{k\uparrow} C_{k'\uparrow}^+ C_{-k\downarrow} C_{-k'\downarrow} - C_{k'\uparrow}^+ C_{k\uparrow} C_{-k\downarrow}^+ C_{-k\downarrow} \\ &= (\delta_{kk'} - C_{k\uparrow} C_{k\uparrow}) (\delta_{kk'} - C_{k\downarrow} C_{-k\downarrow}) \\ &\quad - C_{k\uparrow}^+ C_{k\uparrow} C_{-k\downarrow}^+ C_{-k\downarrow} \\ &= \delta_{kk'} (1 - C_{k\uparrow}^+ C_{k\uparrow} - C_{k\downarrow}^+ C_{-k\downarrow}) \\ &= \delta_{kk'} (1 - n_{k\uparrow} - n_{k\downarrow}) \end{aligned}$$

Ansatz

3-12

$$= 2 \sum_k \langle \psi_q | C_{k\uparrow}^+ C_{k\uparrow} C_{-k\downarrow}^+ C_{-k\downarrow} | \psi_q \rangle$$

$$= 2 \sum_k \langle \psi_q | C_{k\uparrow}^+ C_{-k\downarrow}^+ C_{k\downarrow} C_{-k\downarrow} | \psi_q \rangle$$

$$\text{or} \quad = 2 \sum_k \langle \psi_q | B_k^+ B_k | \psi_q \rangle$$

$$\begin{aligned} &= 2 \sum_k \langle \psi_q | (U_k^* + U_k^+ B_k) B_k^+ B_k (U_k + U_k B_k^+) \\ &\quad \underbrace{\pi}_{\text{or } k} \left( U_k^* + U_k^+ B_k \right) (U_k + U_k B_k^+) | \phi_0 \rangle \\ &= |U_k|^2 + U_k^* U_k B_k^* + U_k^* U_k B_k + |U_k|^2 B_k B_k^+ \\ &\quad \boxed{\text{or } k=1} \end{aligned}$$

$$\begin{aligned} &\text{or } \pi B_k | \phi_0 \rangle \longrightarrow \text{zero} \\ &\quad \text{Ansatz } B_k^+ B_k \end{aligned}$$

$$\begin{aligned} &= 2 \sum_k \langle \phi_0 | |U_k|^2 B_k B_k^+ B_k B_k^+ | \phi_0 \rangle \\ &= 2 \sum_k |U_k|^2 \langle \phi_0 | (1 - n_{k\uparrow} - n_{k\downarrow}) | \phi_0 \rangle \\ &= 2 \sum_k |U_k|^2 \end{aligned}$$

$$\begin{aligned} N &= \langle \psi_q | \sum_{k\sigma} n_{k\sigma} \rangle \\ &= 2 \sum_k \langle \psi_q | (C_{k\uparrow}^+ C_{k\uparrow} + C_{k\downarrow}^+ C_{k\downarrow}) | \psi_q \rangle \\ &= 2 \sum_k \langle \psi_q | C_{k\uparrow}^+ C_{k\uparrow} | \psi_q \rangle \\ &= 2 \sum_k |U_k|^2 \\ &\therefore \bar{N} = 2 \sum_k |U_k|^2 \end{aligned}$$

Note.

For ground state

$$\sum_{k\sigma} n_{k\sigma} |\psi_{k\sigma}\rangle = 2 \sum_k B_k^\dagger B_k |\psi_{k\sigma}\rangle$$

$$\begin{aligned} \bar{N}^2 &= 4 \sum_{k\sigma} \langle \psi_{k\sigma} | B_{k\sigma}^\dagger B_{k\sigma} B_{k\sigma}^\dagger B_{k\sigma} | \psi_{k\sigma} \rangle \\ &= 4 \sum_{k\sigma} \langle \phi_0 | \Pi (U_{k\sigma}^* + U_{k\sigma}^* B_{k\sigma}) B_{k\sigma}^\dagger B_{k\sigma} B_{k\sigma}^\dagger B_{k\sigma} | \psi_{k\sigma} \rangle \end{aligned}$$

$$\Pi (U_a + U_b B_a^\dagger) | \phi_0 \rangle$$

$$\begin{aligned} &= 4 \sum_k \langle \phi_0 | (U_{k\sigma}^* + U_{k\sigma}^* B_{k\sigma}^\dagger) B_{k\sigma}^\dagger B_{k\sigma} B_{k\sigma}^\dagger B_{k\sigma} (U_{k\sigma} + U_{k\sigma} B_{k\sigma}^\dagger B_{k\sigma}) \\ &\quad \Pi (U_a^* + U_b^* B_a^\dagger) (U_a + U_b B_a^\dagger) | \phi_0 \rangle \\ &\quad + 4 \sum_{k\neq k'} \langle \phi_0 | (U_{k\sigma}^* + U_{k\sigma}^* B_{k\sigma}^\dagger) (U_{k'\sigma} + U_{k'\sigma} B_{k'\sigma}^\dagger) B_{k\sigma}^\dagger B_{k\sigma} B_{k'\sigma}^\dagger B_{k'\sigma} \\ &\quad \cdot (U_{k\sigma} + U_{k\sigma} B_{k\sigma}^\dagger) (U_{k'\sigma} + U_{k'\sigma} B_{k'\sigma}^\dagger) | \phi_0 \rangle \\ &= 4 \sum_k (U_{k\sigma}^* + U_{k\sigma}^* B_{k\sigma}^\dagger) (U_{k\sigma} + U_{k\sigma} B_{k\sigma}^\dagger) | \phi_0 \rangle \end{aligned}$$

$$\therefore \bar{N}^2 - \bar{N}^2 = 4 \left( \sum_k |U_{k\sigma}|^2 + \sum_{k\neq k'} |U_{k\sigma}|^2 |U_{k\sigma'}|^2 - \sum_{k,k'} |U_{k\sigma}|^2 |U_{k\sigma'}|^2 \right)$$

$$= 4 \sum_k (|U_{k\sigma}|^2 - |U_{k\sigma'}|^2)$$

$$= 4 \sum_k |U_{k\sigma}|^2 (1 - |U_{k\sigma'}|^2)$$

$$= 4 \sum_k |U_{k\sigma}|^2 |U_{k\sigma'}|^2$$

Both  $\bar{N}$  and  $\bar{N}^2 - (\bar{N})^2$  scale with the volume

$$\therefore \delta N_{rms} = \left[ \bar{N}^2 - (\bar{N})^2 \right]^{1/2} \sim (\bar{N})^{1/2}$$

fractional # fluctuations:

$$\frac{\delta N_{rms}}{\bar{N}} \sim \frac{1}{\bar{N}^{1/2}} \sim 10^{-10}$$

Extremely small

We can ignore exact particle number conservation.

$$\begin{aligned} B_{k\sigma}^\dagger B_{k\sigma} B_{k\sigma}^\dagger B_{k\sigma} (U_{k\sigma} U_{k\sigma} + U_{k\sigma} U_{k\sigma} B_{k\sigma}^\dagger + U_{k\sigma} U_{k\sigma} B_{k\sigma} + U_{k\sigma} U_{k\sigma} B_{k\sigma}^\dagger B_{k\sigma}^\dagger) | \phi_0 \rangle \\ = 4 \sum_k |U_{k\sigma}|^2 + 4 \sum_{k\neq k'} \langle \phi_0 | |U_{k\sigma}|^2 (U_{k\sigma} B_{k\sigma} B_{k\sigma}^\dagger B_{k\sigma} B_{k\sigma}^\dagger B_{k\sigma}^\dagger B_{k\sigma}^\dagger) | \phi_0 \rangle \end{aligned}$$

$$\bar{N}^2 = 4 \sum_k |U_{k\sigma}|^2 + 4 \sum_{k\neq k'} |U_{k\sigma}|^2 |U_{k\sigma'}|^2$$

$$\Delta N \cdot \Delta \varphi \gtrsim 1$$

### 3.4. Variational Method.

Original BCS paper.

Later somewhat more modern form

#### 3.4.1. Determination of the Coefficients

Pairing Hamiltonian or Reduced Hamiltonian

$$H = \sum_{k\sigma} \epsilon_k n_{k\sigma} + \sum_{k\sigma} V_{k\sigma} c_{k\sigma}^* c_{-k\sigma} c_{k\sigma}$$

or pair  $\Omega_{21}^{\sigma}$   $c_{k\sigma}^* \bar{c}_{k\sigma}$   $\Omega_{41}^{\sigma}$ ?

BCS ground state on  $\Omega_{21}^{\sigma}$  ZERO expectation value  
but maybe important in other applications.

Mean number  $\bar{n}_k$  regulate  $\Omega_{21}^{\sigma}$   $\Omega_{41}^{\sigma}$

$- u N_0 \bar{n}_k$   $u$ : chemical potential

BCS Ground state:

$$c_{-k\downarrow}^+ = -v_{k\downarrow}^* \delta_{k\downarrow} + u_{k\downarrow} \gamma_{-k\downarrow}^+$$

where  $|u_{k\downarrow}|^2 + |v_{k\downarrow}|^2 = 1$

$\delta_{k\downarrow}^*$  : (quasi particle) Fermi Operator

So that  $b_k = \langle c_{-k\downarrow} c_{k\downarrow} \rangle \neq 0$  in S.C.

$$c_{-k\downarrow} c_{k\downarrow} = b_k + (c_{k\downarrow} c_{k\downarrow} - b_k)$$

fluctuation

Pairing Hamiltonian: Only terms involving paired electrons

$$\begin{aligned} H &= \sum_{k\sigma} \xi_k n_{k\sigma} + \sum_{k\sigma} V_{k\sigma} c_{k\sigma}^* c_{-k\sigma} c_{k\sigma} \\ &= \sum_{k\sigma} \xi_k n_{k\sigma} + \sum_{k\sigma} V_{k\sigma} \{ b_k^* + (c_{k\sigma}^* c_{-k\sigma} - b_k^*) \} \{ b_k^* + (c_{k\sigma}^* c_{-k\sigma} - b_k^*) b_k \} \\ &\approx \sum_{k\sigma} \xi_k n_{k\sigma} + \sum_{k\sigma} V_{k\sigma} (c_{k\sigma}^* c_{-k\sigma} b_k + b_k^* c_{k\sigma} c_{-k\sigma} - b_k^* b_k) \end{aligned}$$

Define

$$\Delta_k = -\sum_{k\sigma} V_{k\sigma} b_k$$

$$\begin{aligned} &= \sum_{k\sigma} \xi_k c_{k\sigma}^* c_{k\sigma} - \sum_k (\Delta_k c_{k\sigma}^* c_{-k\sigma} + \Delta_k^* c_{-k\sigma} c_{k\sigma} - \Delta_k b_k^*) \\ &= \sum_{k\sigma} \xi_k c_{k\sigma}^* c_{k\sigma} \end{aligned}$$

Take a linear transformation to diagonalize the Hamiltonian:

$$\begin{aligned} c_{k\sigma} &= u_k^* \gamma_{k\sigma} + v_{k\sigma} \gamma_{-k\sigma}^* \\ c_{-k\downarrow}^+ &= -v_{k\downarrow}^* \delta_{k\downarrow} + u_{k\downarrow} \gamma_{-k\downarrow}^+ \end{aligned}$$

Phase coherent superposition of many body state with  $(k\uparrow, -k\downarrow)$  occupied or unoccupied at units.

$$H = \sum_{k\sigma} \xi_k c_{k\sigma}^* c_{k\sigma} - \sum_k (\Delta_k c_{k\sigma}^* c_{-k\sigma} + \Delta_k^* c_{-k\sigma} c_{k\sigma} - \Delta_k)$$

$$\begin{aligned}
&= \sum_k \left\{ \xi_k (U_k Y_{k\tau}^+ + U_k^* Y_{-k\tau}^-) (U_k^* Y_{k\tau}^- + U_k Y_{-k\tau}^+) \right. \\
&\quad \left. + \xi_k (-U_k^* Y_{k\tau}^- + U_k Y_{-k\tau}^+) (-U_k Y_{k\tau}^+ + U_k^* Y_{-k\tau}^-) \right\} \\
&\quad - \sum_k \Delta_k (U_k Y_{k\tau}^+ + U_k^* Y_{-k\tau}^-) (-U_k^* Y_{k\tau}^- + U_k Y_{-k\tau}^+) \\
&\quad - \sum_k \Delta_k^* (-U_k Y_{k\tau}^+ + U_k^* Y_{-k\tau}^-) (U_k^* Y_{k\tau}^- + U_k Y_{-k\tau}^+) + \sum_k \Delta_k b_k \\
&= \sum_k \xi_k (|U_k|^2 Y_{k\tau}^+ Y_{k\tau}^- + U_k U_{k\tau} Y_{k\tau}^+ Y_{k\tau}^- + U_k^* U_{k\tau} Y_{-k\tau}^- Y_{k\tau}^+ + (U_k^* Y_{k\tau}^- Y_{-k\tau}^+)) \\
&\quad + \sum_k \xi_k (|U_k|^2 Y_{k\tau}^+ Y_{k\tau}^- - (U_k U_{k\tau}^* Y_{k\tau}^- Y_{k\tau}^+ - U_k U_{k\tau} Y_{-k\tau}^- Y_{k\tau}^+ + |U_{k\tau}|^2 Y_{-k\tau}^- Y_{k\tau}^+)) \\
&\quad - \sum_k \Delta_k (-U_k U_{k\tau}^* Y_{k\tau}^- Y_{k\tau}^+ + U_k^2 Y_{k\tau}^+ Y_{k\tau}^- - U_k^* Y_{k\tau}^+ Y_{k\tau}^- + |U_{k\tau}|^2 Y_{-k\tau}^- Y_{k\tau}^+) \\
&\quad - \sum_k \Delta_k^* (-U_k^* U_{k\tau} Y_{k\tau}^- Y_{k\tau}^+ - U_k^2 Y_{k\tau}^+ Y_{k\tau}^- + U_k^* Y_{-k\tau}^- Y_{k\tau}^+ + U_k^* U_{k\tau}^* Y_{-k\tau}^- Y_{k\tau}^+) \\
&\quad + \sum_k \Delta_k b_k \\
&= \sum_k \xi_k \left\{ |U_k|^2 + (|U_k|^2 - |U_{k\tau}|^2) Y_{k\tau}^+ Y_{k\tau}^- + |U_{k\tau}|^2 + (|U_k|^2 - |U_{k\tau}|^2) Y_{-k\tau}^- Y_{k\tau}^+ \right. \\
&\quad \left. + 2 U_k U_{k\tau} Y_{k\tau}^+ Y_{k\tau}^- + 2 U_k^* U_{k\tau}^* Y_{-k\tau}^- Y_{k\tau}^+ \right\} \\
&\quad + \sum_k \left\{ (\Delta_k U_{k\tau}^* + \Delta_k^* U_k^* Y_{k\tau}^-) Y_{k\tau}^+ Y_{k\tau}^- + (-\Delta_k U_k^2 + \Delta_k^* U_k^2) \right. \\
&\quad \cdot Y_{k\tau}^+ Y_{-k\tau}^- + (\Delta_k U_{k\tau}^* - \Delta_k^* U_k^* Y_{k\tau}^-) Y_{-k\tau}^- Y_{k\tau}^+ \\
&\quad + (-\Delta_k U_{k\tau}^* U_k - \Delta_k^* U_k^* Y_{k\tau}^-) Y_{-k\tau}^- Y_{-k\tau}^+ + \sum_k \Delta_k b_k
\end{aligned}$$

diagonalized.

$$\begin{aligned}
&\quad + \sum_k \left\{ (\Delta_k U_{k\tau} U_{k\tau}^* + \Delta_k^* U_k U_k^*) (Y_{k\tau}^+ Y_{k\tau}^- + Y_{-k\tau}^- Y_{-k\tau}^+) + 2(U_k^2 \right. \\
&\quad \left. - \Delta_k U_{k\tau}^2 - \Delta_k^* U_k^* U_k) \Delta_k^* \right\} \quad \textcircled{2} \quad \times \quad \Delta_k^* \\
&\quad U_k^2 \Delta_k^2 + 2 \xi_k U_k U_{k\tau} \Delta_k^* - |\Delta_k| U_k^2 = 0
\end{aligned}$$

$$\therefore \Delta_k^* = -\xi_k U_k U_{k'} + \sqrt{\xi_k^2 U_k^2 U_{k'}^2 + |\Delta_k|^2 U_k^2 U_{k'}^2} / U_k$$

$$= \left( \sqrt{\xi_k^2 + |\Delta_k|^2} - \xi_k \right) \frac{U_k}{U_{k'}}$$

$$\text{or } \Delta_k^* = (E_k - \xi_k) \frac{U_k}{U_{k'}}$$

$$E_k = \sqrt{\xi_k^2 + |\Delta_k|^2}$$

$$\text{or } \quad \left| \frac{U_k}{U_{k'}} \right| = \frac{E_k - \xi_k}{|\Delta_k|}$$

$$|U_k|^2 + |U_{k'}|^2 = 1$$

$$\therefore |U_{k'}|^2 = 1 - |U_k|^2$$

$$= 1 - |U_k|^2 \cdot \frac{K_{kk'}}{(E_k - \xi_k)^2}$$

$$\text{or } |U_{k'}|^2 = \frac{1}{2} \left( 1 - \frac{\xi_k}{E_k} \right)$$

$$\text{or } |U_k|^2 = \frac{1}{2} \left( 1 + \frac{\xi_k}{E_k} \right)$$

Noting that

$$\Delta_k U_k^* = (E_k - \xi_k) U_k^*$$

$$\Delta_k |U_k|^2 = (E_k - \xi_k) U_k^* U_k = \Delta_k \cdot \left( 1 - \frac{\xi_k}{E_k} \right)$$

$$= \frac{\Delta_k}{2E_k} (E_k - \xi_k)$$

$$\rightarrow U_k^* U_k = \frac{\Delta_k}{2E_k}$$

then

$$H = \sum_k \xi_k \left\{ (2|U_k|^2 - 1)(\delta_{k\uparrow}^\dagger \delta_{k\uparrow} + \delta_{k\downarrow}^\dagger \delta_{k\downarrow}) + 2|U_k|^2 \right\} \\ + \sum_k \left\{ 2E_k |U_k|^2 (U_k^2) \underbrace{2(\delta_{k\uparrow}^\dagger \delta_{k\uparrow} + \delta_{k\downarrow}^\dagger \delta_{k\downarrow} - 1)} + \Delta_k b_k^* b_k \right\}$$

$$4E_k \cdot \frac{1}{2} \left( 1 - \frac{\xi_k}{E_k} \right) |U_k|^2$$

$$= \sum_k \left\{ \xi_k (2|U_k|^2 - 1) + 2(E_k - \xi_k) |U_k|^2 \left( \delta_{k\uparrow}^\dagger \delta_{k\uparrow} + \delta_{k\downarrow}^\dagger \delta_{k\downarrow} \right) \right. \\ \left. + \sum_k \left\{ 2\xi_k |U_k|^2 - 2(E_k - \xi_k) |U_k|^2 + \Delta_k b_k^* b_k \right\} \right. \\ \left. 1 - |U_k|^2 \right\}$$

$$= \sum_k \left\{ -\xi_k + 2E_k \cdot \frac{1}{2} \left( 1 + \frac{\xi_k}{E_k} \right) \left( \delta_{k\uparrow}^\dagger \delta_{k\uparrow} + \delta_{k\downarrow}^\dagger \delta_{k\downarrow} \right) \right. \\ \left. + \sum_k \left\{ 2\xi_k - 2E_k \cdot \frac{1}{2} \left( 1 + \frac{\xi_k}{E_k} \right) + \Delta_k b_k^* b_k \right\} \right\}$$

$$H = \sum_k \left( \xi_k - E_k + \Delta_k b_k^* b_k \right) + \sum_k E_k \left( \delta_{k\uparrow}^\dagger \delta_{k\uparrow} + \delta_{k\downarrow}^\dagger \delta_{k\downarrow} \right)$$

Condensation Energy

Quasi particle excitation energy

$$\begin{aligned}\Delta_{\mathbf{k}} &= - \sum_{\mathbf{q}} V_{\mathbf{k}\mathbf{q}} \langle C_{\mathbf{q}\uparrow} C_{\mathbf{q}\uparrow} \rangle \\ &= - \sum_{\mathbf{q}} V_{\mathbf{k}\mathbf{q}} \left( (-U_{\mathbf{q}} \delta_{\mathbf{q}\uparrow}^* + U_{\mathbf{q}}^* \delta_{\mathbf{q}\downarrow}) (U_{\mathbf{q}}^* \delta_{\mathbf{q}\uparrow} + U_{\mathbf{q}} \delta_{\mathbf{q}\downarrow}^*) \right)\end{aligned}$$

$$= - \sum_{\mathbf{q}} V_{\mathbf{k}\mathbf{q}} U_{\mathbf{q}}^* U_{\mathbf{q}} \left\langle -\delta_{\mathbf{q}\uparrow}^* \delta_{\mathbf{q}\uparrow} + 1 - \delta_{\mathbf{q}\downarrow}^* \delta_{\mathbf{q}\downarrow} \right\rangle$$

Finite temperature limit

$$\begin{aligned}T=0 \quad \text{limit} \\ &= -\frac{1}{2} \sum_{\mathbf{q}} V_{\mathbf{k}\mathbf{q}} \left( 1 - \frac{\xi_{\mathbf{q}}^2}{E_{\mathbf{q}}} \right)^{\frac{1}{2}} \quad \text{if } U_{\mathbf{q}}, U_{\mathbf{q}}^* \text{ are real.} \\ &= -\frac{1}{2} \sum_{\mathbf{q}} V_{\mathbf{k}\mathbf{q}} \frac{\Delta_{\mathbf{q}}}{E_{\mathbf{q}}}\end{aligned}$$

BCS approximation

$$V_{\mathbf{k}\mathbf{q}} = \begin{cases} -V & |\xi_{\mathbf{q}}| < \hbar\omega_c \\ 0 & \text{Otherwise} \end{cases}$$

$$\Delta_{\mathbf{k}} = \begin{cases} \Delta & |\xi_{\mathbf{q}}| < \hbar\omega_c \\ 0 & \text{Otherwise} \end{cases}$$

then

$$1 = \frac{V}{2} \sum_{\mathbf{k}} \frac{1}{E_{\mathbf{k}}}$$

$$\text{OR } \frac{1}{N(\omega)V} = \int_0^{\hbar\omega_c} \frac{d\xi}{(\Delta^2 + \xi^2)^{\frac{1}{2}}} = \sinh^{-1} \left( \frac{\hbar\omega_c}{\Delta} \right)$$

$$\text{OR } \Delta(0) = \frac{\hbar\omega_c}{\sinh \left( \frac{1}{N(\omega)V} \right)} \approx 2\hbar\omega_c \cdot e^{-\frac{1}{N(\omega)V}}$$

$E_{\mathbf{k}}$ : an excitation energy of a fermion  
quasi-particles

$$\begin{aligned} &< 1 - \delta_{\mathbf{k}\uparrow}^* \delta_{\mathbf{k}\uparrow} - \delta_{-\mathbf{k}\downarrow}^* \delta_{-\mathbf{k}\downarrow} \rangle = 1 - 2 f(E_{\mathbf{k}}) \\ &\Delta_{\mathbf{k}} = - \sum_{\mathbf{q}} V_{\mathbf{k}\mathbf{q}} U_{\mathbf{q}}^* U_{\mathbf{q}} \langle 1 - \delta_{\mathbf{k}\uparrow}^* \delta_{\mathbf{k}\uparrow} - \delta_{\mathbf{k}\downarrow}^* \delta_{\mathbf{k}\downarrow} \rangle \end{aligned}$$

$$\begin{aligned} &= - \sum_{\mathbf{q}} V_{\mathbf{k}\mathbf{q}} U_{\mathbf{q}}^* U_{\mathbf{q}} \left\{ 1 - 2 f(E_{\mathbf{k}}) \right\} \\ &= - \sum_{\mathbf{q}} V_{\mathbf{k}\mathbf{q}} U_{\mathbf{q}}^* U_{\mathbf{q}} \tanh \left( \frac{\beta E_{\mathbf{q}}}{2} \right) \end{aligned}$$

$$\text{OR } = - \sum_{\mathbf{q}} V_{\mathbf{k}\mathbf{q}} \frac{\Delta_{\mathbf{q}}}{2E_{\mathbf{q}}} \tanh \left( \frac{\beta E_{\mathbf{q}}}{2} \right)$$

Taking BCS approximation, where  
 $\Delta_{\mathbf{k}} = \Delta$

$$\frac{1}{V} = \frac{1}{2} \sum_{\mathbf{k}} \frac{\tanh \left( \frac{\beta E_{\mathbf{k}}}{2} \right)}{E_{\mathbf{k}}}$$

To determine  $T_c$

$$\Delta(T_c) \rightarrow 0$$

$$E_k \rightarrow |\xi_k|$$

The excitation spectrum becomes

the same as in the normal metal

$$\frac{1}{V} = \frac{1}{2} \sum_k \frac{\tanh(\beta_c |\xi_k|/2)}{|\xi_k|}$$

$$\rightarrow \frac{1}{2} \int_{-\infty}^{\hbar\omega_c} \frac{\tanh(\frac{\beta_c \xi}{2})}{\xi} d\xi$$

$$= 0^\circ |\xi_k| \text{ Symmetric w.r.t. } E_F$$

$$\frac{1}{N(0)V} = \int_0^{\infty} \frac{\tanh x}{x} dx$$

$$= \ln(1.13 \beta_c \hbar\omega_c)$$

$$\hbar\omega_c = 1.13 \hbar\omega_c \cdot e^{-\frac{1}{N(0)V}}$$

$$\Delta(0) = 2\hbar\omega_c e^{-\frac{1}{N(0)V}}$$

$$\frac{\Delta(0)}{\hbar\omega_c} = 1.764$$

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Temperature dependence of the gap :  $\Delta(T)$

$$\frac{1}{N(0)V} = \begin{cases} \frac{\hbar\omega_c}{\xi}, & \tanh \left\{ \frac{1}{2} \beta \left( \xi^2 + \Delta^2(T) \right)^{1/2} \right\} \\ 0, & \left( \xi^2 + \Delta^2(T) \right)^{1/2} \end{cases} d\xi$$

in the weak coupling limit.

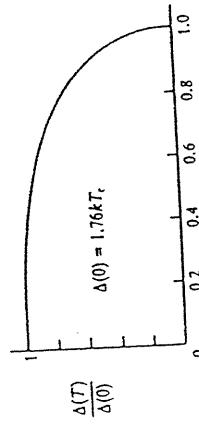


FIGURE 3.2  
Temperature dependence of the energy gap in the BCS theory. Strictly speaking, this universal curve holds only in a weak-coupling limit, but it is a good approximation in most cases.

$$\frac{\Delta(T)}{\Delta(0)} : \text{ a universal function of } T$$

$\Delta \approx 0$  :  $\Delta(T)$  insensitive to  $T$

$$T \approx T_c : \frac{\Delta(T)}{\Delta(0)} \approx 1.74 \left(1 - \frac{T}{T_c}\right)^{1/2}$$

the typical mean field behavior

For  $\Delta = \frac{1}{2} \sum_k \frac{\Delta}{E_k} (1 - 2f_k)$

$$\begin{aligned} 1 &= V \sum_k \frac{1}{2E_k} - V \sum_k \frac{f_k}{E_k} \\ &= V N(0) \cdot 2 \int_0^{\hbar\omega_c} d\xi \cdot \frac{1}{2\xi} - V \sum_k \frac{f_k}{E_k} \\ &= N(0) V \left\{ \ln(\lambda + \xi) \Big|_0^{\hbar\omega_c} \right\} - V \sum_k \frac{f_k}{E_k} \\ &= N(0) V (\ln 2\hbar\omega_c - \ln \Delta) - V \sum_k \frac{f_k}{E_k} \end{aligned}$$

At  $T=0$ , no quasi-particle excitation  $\rightarrow f_k = 0$

$$\frac{1}{N(0)V} = \ln 2\hbar\omega_c - \ln \Delta(0)$$

Then

$$\ln \Delta(T) = \ln 2\hbar\omega_c - \frac{1}{N(0)V} - \frac{1}{N(0)} \sum_k \frac{f_k}{E_k}$$

$$\therefore \frac{\Delta(T)}{\Delta(0)} = e^{-\frac{1}{N(0)} \sum_k (f_k/E_k)}$$

1) at  $T=0$ ,  $\Delta(T) \rightarrow \Delta(0)$

2)  $\Delta(T)$  decreases as quasi-particles are added

3) This eq tells us how  $\Delta(T)$  changes with  $f_k$  for equilibrium

$$\langle \Psi_G | H - \mu N_0 | \Psi_G \rangle = 2 \sum_k \xi_k v_k^2 + \sum_{k_2} v_{k_2} u_{k_2} u_{k_2}$$

Constraint  $U_k^2 + U_k^2 = 1$

$$\begin{aligned} &\therefore U_k = \sin \theta_k, \quad U_k = \cos \theta_k \\ &\therefore \Phi_{k_2} = \sum_k \xi_k (1 + \cos 2\theta_k) + \frac{1}{4} \sum_{k_2} v_{k_2} \sin 2\theta_k \end{aligned}$$

whence

$$0 = \frac{\partial}{\partial \theta_k} \langle \Psi_G | H - \mu N_0 | \Psi_G \rangle$$

$$\begin{aligned} &= -2 \xi_k \sin 2\theta_k + \sum_{k_2} v_{k_2} \cos 2\theta_k \sin 2\theta_k \\ &\text{or} \quad \tan 2\theta_k = \frac{\sum_{k_2} v_{k_2} \sin 2\theta_k}{2 \xi_k} \quad \frac{1}{4} \rightarrow \frac{1}{4} \cdot 2 \cdot 2 \end{aligned}$$

Now we define the quantities

$$\Delta_k = - \sum_{k_2} v_{k_2} u_{k_2} u_{k_2}$$

(\*)

$$= -\frac{1}{2} \sum_{k_2} v_{k_2} \sin 2\theta_k$$

$$\text{and } E_k = (\Delta_k^2 + \xi_k^2)^{1/2}$$

$V_{kz} < 0$       0 else      Nontrivial solution  $\Leftrightarrow$   $\text{Im } \xi_k$

$$\begin{aligned}\tan 2\theta_k &= -\frac{\Delta_k}{\xi_k} \\ 2U_k U_{kz} &= \sin 2\theta_k = \frac{\Delta_k}{E_k} \\ U_k^2 - U_{kz}^2 &= \cos 2\theta_k = -\frac{\xi_k}{E_k}\end{aligned}$$

if  $\xi_k \neq 0$  on  $A^4 \lambda$

$$\Delta_k = -\frac{1}{2} \sum_z V_{kz} U_{kz} U_k$$

$$= -\sum_z V_{kz} U_{kz} U_k$$

$$\begin{aligned}&= -\frac{1}{2} \sum_z \frac{\Delta_k}{E_k} \cdot V_{kz} \\ &= -\frac{1}{2} \sum_z \frac{\Delta_k}{(\Delta_k^2 + \xi_k^2)^{1/2}} V_{kz}\end{aligned}$$

Trivial solution  
 $\Delta_k = 0$        $U_{kz} = 1$       for  $\xi_k < 0$   
 $U_k = 0$       for  $\xi_k > 0$

$T = 0$  on  $A^4 \lambda$       Singlet Slater determinant

With all states up to  $E_F$

$$\begin{aligned}\text{BCS on } A^4 \lambda &\quad \Delta_k \\ V_{kz} &= \begin{cases} -V & \text{if } |\xi_k| \text{ and } |\xi_k| \leq \hbar\omega_c \\ 0 & \text{otherwise} \end{cases}\end{aligned}$$

or  $\xi_k \neq 0$

$$\Delta_k = \begin{cases} \Delta & \text{for } |\xi_k| < \hbar\omega_c \\ 0 & \text{for } |\xi_k| > \hbar\omega_c \end{cases}$$

Defn

$$1 = \frac{V}{2} \sum_k \frac{1}{E_k}$$

$$\therefore \frac{1}{N(\delta) V} = \int_0^{\hbar\omega_c} \frac{d\xi}{(\Delta^2 + \xi^2)^{1/2}} = \sinh^{-1} \frac{\hbar\omega_c}{\Delta}$$

$$\begin{aligned}\text{Thus } \Delta &= \frac{\hbar\omega_c}{\sinh \left[ \frac{1}{N(\delta) V} \right]} \\ &\approx \frac{1}{2\hbar\omega_c} e^{-\frac{1}{N(\delta) V}}\end{aligned}$$

$$V_{ek}^2 = \frac{1}{2} \left( 1 - \frac{\xi_k}{E_k} \right) = \frac{1}{2} \left[ 1 - \frac{\xi_k}{(\Delta^2 + \xi_k^2)^{1/2}} \right]$$

$$C_{es} = 2\beta k \sum_k \frac{\partial f_k}{\partial \beta} \ln \frac{f_k}{1-f_k}$$

$$U_{ek}^2 = \frac{1}{2} \left( 1 + \frac{\xi_k}{E_k} \right) = 1 - V_{ek}^2$$

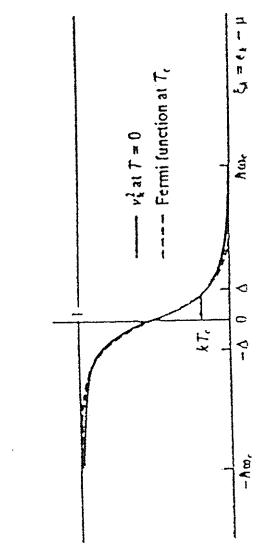


FIGURE 3.1  
Plot of BCS occupation fraction  $\eta (1 - f_k)$  vs. electron energy measured from the chemical potential (Fermi energy). To make the cutoffs at  $\pm \Delta$  visible, the plot has been made for a strong-coupling superconductor with  $N(0) \approx 0.43$ . For comparison, the Fermi function for the normal state at  $T_c$  is also shown on the same scale using the BCS relation  $\Delta(0) \approx 1.76kT_c$ .

### 3.6.3. Thermodynamic Quantities

$$E_k = \sqrt{\xi_k^2 + \Delta^2(\tau)}$$

$$f_k = \frac{1}{1 + e^{\beta E_k}}$$

$$\text{Entropy } \frac{S}{k} = \text{Enthalpy}$$

Specific heat  $\frac{C}{k}$  of  $k$  element

$$S_{es} = -2k \sum_k [(1 - f_k) \ln (1 - f_k) + f_k \ln f_k]$$

$$C_{es} = T \frac{dS_{es}}{dT} = -\beta \frac{dS_{es}}{d\beta}$$

$$\begin{aligned} C_{es} &= 2\beta k \sum_k \frac{\partial f_k}{\partial \beta} \ln \frac{f_k}{1-f_k} \\ &= -2\beta^2 k \sum_k E_k \frac{\partial f_k}{\partial \beta} \\ &= -2\beta^2 k \sum_k E_k \frac{df_k}{d(\beta E_k)} (E_k + \beta \frac{dE_k}{d\beta}) \\ &= -2\beta k \sum_k -\frac{\partial f_k}{\partial E_k} (E_k^2 + \frac{1}{2}\beta \frac{d\Delta^2}{d\beta}) \end{aligned}$$

$\bar{\tau}_k$  term : Redistribution of quasi-particles among the various energy states as the temperature changes.

$\frac{\partial S}{\partial \beta}$  term : Unusual effect of the temperature-dependent gap in changing the energy level themselves.

Effect of the temperature-dependent gap in changing the energy level

$$\begin{aligned} \frac{\partial S_{es}}{\partial \beta} &= \frac{\partial}{\partial \beta} \left[ -2k_B \sum_k \left\{ (1 - f_k) \ln (1 - f_k) + f_k \ln f_k \right\} \right] \\ &= -2k_B \sum_k \left\{ -\frac{\partial f_k}{\partial \beta} \ln (1 - f_k) + \frac{\partial f_k}{\partial \beta} \ln f_k \right\} \\ \text{④ } E_k \frac{\partial E_k}{\partial \beta} &= \frac{1}{2} \frac{2}{\beta} E_k^2 = \frac{1}{2} \frac{2}{\beta} (\xi_k^2 + \Delta^2(\tau)) = \frac{1}{2} \frac{\partial \Delta^2}{\partial \beta} \end{aligned}$$

$$\text{⑤ } \frac{\partial f_k}{\partial (\beta E_k)} = -\frac{1}{(e^{\beta E_k} + 1)^2} e^{\beta E_k} = \frac{1}{\beta} \frac{\partial f_k}{\partial E_k}$$

Note : Normal state.

$$E = 2 \sum_k f(\varepsilon_k) \varepsilon_k$$

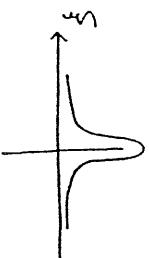
$$C_{\text{en}} = \frac{\partial E}{\partial T} = -k_B \beta^2 \frac{\partial E}{\partial \beta}$$

$$= -k_B \beta^2 \frac{\partial}{\partial \beta} \left( 2 \sum_k f(\varepsilon_k) \varepsilon_k \right)$$

$$\begin{aligned} &= -2k_B \beta^2 \sum_k \frac{\partial f(\varepsilon_k)}{\partial \beta} \varepsilon_k \\ &= -2k_B \beta^2 \sum_k \frac{\partial f(\varepsilon_k)}{\partial (\beta \varepsilon_k)} \frac{\partial \beta \varepsilon_k}{\partial \beta} \varepsilon_k \\ &= -2k_B \beta \sum_k \frac{\partial f(\varepsilon_k)}{\partial (\beta \varepsilon_k)} \varepsilon_k^2 \end{aligned}$$

$$\rightarrow \frac{2\pi^2}{3} N(0) k_B^2 T \quad \text{at low temp.}$$

$T_c$  and  $\alpha_1$  jump



$$\Delta C = (C_{\text{es}} - C_{\text{en}}) \Big|_{T_c} = k_B \beta_c^2 \sum_k - \frac{\partial f(\varepsilon_k)}{\partial \beta} \cdot \frac{\partial \beta^2}{\partial \varepsilon_k} \Big|_{T_c}$$

$$\text{or } = k_B \beta_c^2 \left( \frac{\partial \Delta^2}{\partial \beta} \right)_{T_c} N(0) \cdot \int_{-\infty}^{+infty} \left( -\frac{\partial f(\varepsilon_k)}{\partial \beta} \right) \frac{\partial \beta^2}{\partial \varepsilon_k} d\varepsilon_k$$

$$\Delta^2 = 2.89 \Delta^2(0) \cdot \left( 1 - \frac{T}{T_c} \right)$$

$$\Delta(0) = 1.77 k_B T_c$$

$$\int_{-\infty}^{\infty} -\frac{\partial}{\partial \beta} \left( \frac{1}{e^{\beta \xi} + 1} \right) d\xi$$

$$= - \int_{-\infty}^{\infty} \frac{\partial}{\partial \xi} \left( \frac{1}{e^{\beta \xi} + 1} \right) d\xi = - \int_{-\infty}^0 \frac{\partial}{\partial (-\xi)} \frac{1}{(e^{\beta \xi} + 1)} d\xi$$

Integration

$$\Delta C = k_B \beta_c^2 N(0) \left( \frac{\partial \Delta^2}{\partial \beta} \right)_{T_c}$$

$$= -N(0) \cdot \left( \frac{\partial \Delta^2}{\partial \beta} \right)_{T_c}$$

$$= 0.4 N(0) k_B^2 T_c$$

$$\therefore \frac{\Delta C}{C_{\text{en}}} = \frac{0.4}{2\pi^2/3} = 1.43$$

$$\Delta(T) = 1.74 \Delta(0) \left( 1 - \frac{T}{T_c} \right)^{-\frac{1}{2}}$$

$$\frac{2\Delta(0)}{k_B T_c} = 3.53$$

$$-n_0 \cdot r_1 n_1^2 k_B^2 T_c = -0.4 k_B^2 T_c$$

$$\Delta(0) = 1.77 k_B T_c$$

$$\begin{aligned}
 U_{es}(\tau) \Big|_{\tau_c}^{\tau} &= \int_{\tau_c}^{\tau} C_{es} d\tau \\
 &= U_{en}(\tau_c) - \int_{\tau_c}^{\tau} C_{es} d\tau \\
 \therefore U_{en}(\tau) &= U_{en}(0) + \int_0^{\tau} \gamma \tau' d\tau' \\
 &= U_{en}(0) + \frac{1}{2} \gamma \tau^2
 \end{aligned}$$

$$\begin{aligned}
 F_{en}(\tau) &= U_{en}(\tau) - \tau S_{en}(\tau) \\
 &= U_{en}(0) + \frac{1}{2} \gamma \tau^2 - \tau (\gamma \tau) \\
 &\Rightarrow U_{en}(0) - \frac{1}{2} \gamma \tau^2
 \end{aligned}$$

$$\begin{aligned}
 \therefore S_{en}(\tau) - S_{en}(0) &= \int_0^{\tau} \frac{C_{en}(\tau')}{T'} d\tau' \\
 &= \sigma \tau
 \end{aligned}$$

$\equiv$

$$\begin{aligned}
 F_{en}(\tau) - F_{es}(\tau) &= \frac{H_c^2(\tau)}{8\pi} \\
 \therefore \bar{F}_{es}(\tau) &= F_{en}(\tau) - \frac{H_c^2(\tau)}{8\pi}
 \end{aligned}$$

$$= U_{en}(0) - \frac{1}{2} \gamma \tau^2 - \frac{1}{8\pi} H_c^2(\tau)$$

$$H_c^2(0) \left\{ 1 - \left( \frac{T}{T_c} \right)^2 \right\}$$

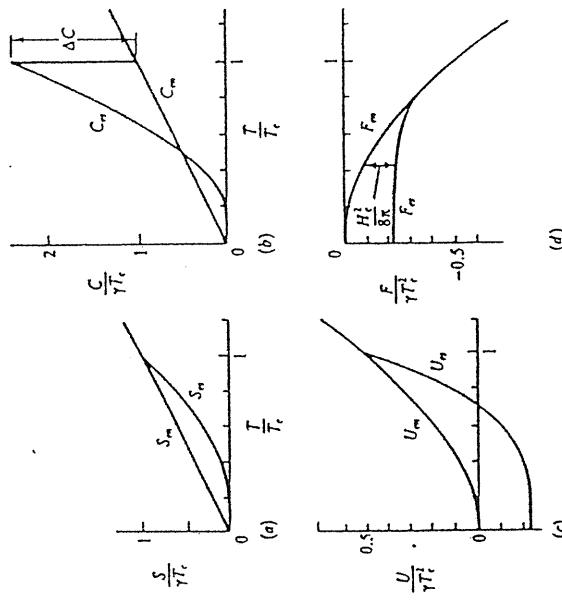


FIGURE 3.3  
Comparison of thermodynamic quantities in superconducting and normal states.  $U_{en}(0)$  is chosen as the zero of ordinates in (c) and (d). Because the transition is of second order, the quantities  $S$ ,  $U$ , and  $F$  are continuous at  $T_c$ . Moreover, the slope of  $F_{en}$  joins continuously to that of  $F_{es}$  at  $T_c$ , since  $\partial F / \partial T = -S$ .

자유 에너지  $F$ 는  $T_c$ 에서 일어나는 불연속성을 보여주는 그림이다.  
 $F_{en}$ 은  $T_c$ 에서 일어나는 불연속성을 보여주는 그림이다.  
 $F_{es}$ 는  $T_c$ 에서 일어나는 불연속성을 보여주는 그림이다.  
 $F_{en}$ 은  $T_c$ 에서 일어나는 불연속성을 보여주는 그림이다.  
 $F_{es}$ 는  $T_c$ 에서 일어나는 불연속성을 보여주는 그림이다.

3.7. State functions and the density of states.

3-3 b

3-3

Excited state  $\psi$ ?

$$\gamma_{k\sigma}^+ = U_k^* C_{k\sigma}^+ - U_k^* C_{-\bar{k}\sigma}$$

$$\gamma_{k\sigma}^- = U_k^* C_{-\bar{k}\sigma}^+ + U_k^* C_{k\sigma}$$

$\gamma_k^+$ : Operator quasi-particle excitations of the two spin direction from the Superconducting ground state in terms of electron creation operator  $C_k^+$ .

Vacuum state?

$$\gamma_{k0} |\Psi_G\rangle = \gamma_{k1} |\Psi_G\rangle = 0$$

of Vacuum state?

$$\gamma_{k0} |\Psi_G\rangle = (U_k C_{k\sigma} - U_k C_{-\bar{k}\sigma}) \prod_{\sigma} (U_0 + U_2 C_{2\sigma}^+ C_{2\sigma}^+) |\phi_0\rangle$$

pair  $k$  th pair?

$$U_k^* C_{k\sigma} + (U_k U_{\bar{k}} C_{\bar{k}\sigma} C_{k\sigma}^+ - U_k U_{\bar{k}} C_{-\bar{k}\sigma}^+)$$


---


$$- U_k^* C_{-\bar{k}\sigma}^+ C_{k\sigma}^+ C_{-\bar{k}\sigma}$$

Annihilation operator  
Omega ZERO

zero

vacuum state?

These are excited states called Singlet in the original BCS treatment

$$\left( \begin{array}{c} (\bar{k}\uparrow, \bar{k}\downarrow) \text{ pair} \\ \bar{\Omega}_k^2 \quad 2 \Omega_k^2 \end{array} \right)$$

$$1 - 2\Omega_k^2 = \Omega_k^2 - \Omega_k^2$$

Number of Conserve Energy? Conserves Energy?

$C_k^+$ , the quasi particle excitation operator, has one to one correspondence with  $C_k^+$  of the normal metal.

$N_s(E)$  : (Superconducting) Quasi particle density of states

$$\frac{N_s(E) dE}{N_n(0)} = N_n(\xi) d\xi$$

$\xi$  : only  $\sim$  meV from  $E_F$

$$\begin{aligned} \frac{N_s(E)}{N_n(0)} &= \frac{d\xi}{dE} \\ &= \frac{d}{dE} \sqrt{E^2 - \Delta^2} = \begin{cases} \frac{E}{\sqrt{E^2 - \Delta^2}} & E \geq \Delta \\ 0 & E < \Delta \end{cases} \end{aligned}$$

$$E_k = \sqrt{\xi_k^2 + \Delta^2}$$

$$= \sqrt{(\xi_k - \mu)^2 + \Delta^2}$$



$$N_s(E) \approx \frac{\hbar}{3k} \frac{\mu}{\Delta} N_n(0)$$

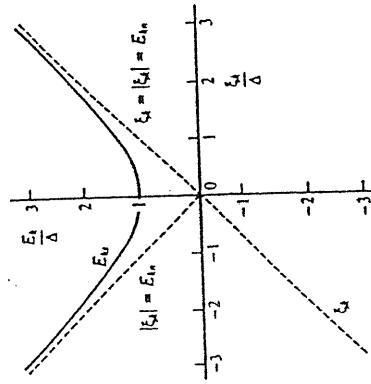


FIGURE 3.5  
Energies of elementary excitations in the normal and superconducting states as functions of  $\xi_k$ , the independent-particle kinetic energy relative to the Fermi energy.

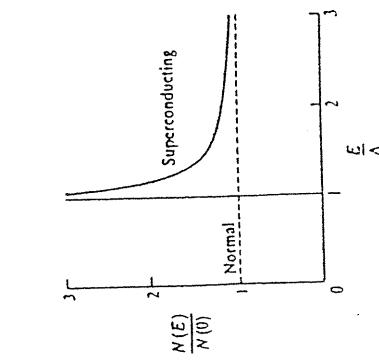


FIGURE 3.6  
Density of states in superconducting compared to normal state. All  $k$  states whose energies fall in the gap in the normal metal are raised in energy above the gap in the superconducting state.

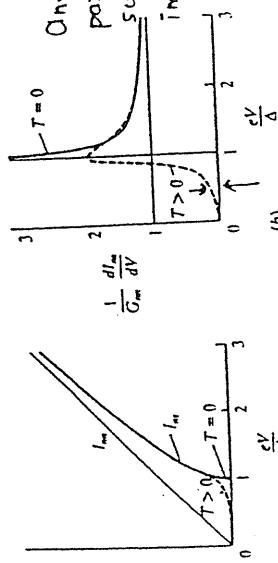
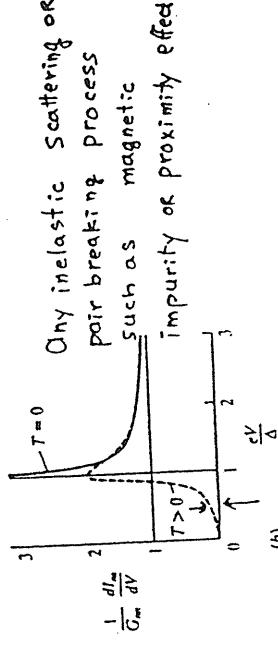


FIGURE 3.7  
Characteristics of normal-superconductor tunnel junctions. (a)  $I/V$  characteristic. (b) Differential con-



pair breaking process such as magnetic impurity or proximity effect

### Quasi-particle lifetime effect :

The quasi-particles above the BCS ground state may condense back to Cooper pairs within the life time  $\tau$ . The finite life time of

the quasi-particles can influence the gap structure, i.e., smearing out the gap.

Qualitatively the finite life time of the quasi-particles produces an uncertainty in their energy of an order

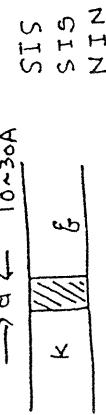
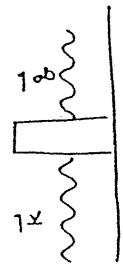
$$\delta E \sim k/\tau$$

$$N_s(E, \gamma) = N_n(0) \operatorname{Re} \left( \frac{|E - i\gamma|}{\sqrt{(E - i\gamma)^2 - \Delta^2}} \right)$$

$$\gamma = \frac{\hbar}{2\tau} : \text{"gap broadening factor"}$$

The lifetime becomes very long at low temperatures due to low occupation of the quasi-particle states, reducing the finite lifetime effect.

Density of state : electron tunneling on silicon  
Giaever on silicon (pioneered)



$$H_T = \sum T_{kq} C_{k\sigma}^+ C_{k\sigma} + \text{hermitian conjugate}$$

1. Josephson tunneling  $\frac{d}{\lambda}$   
Transition probability  $\propto |T|^2$

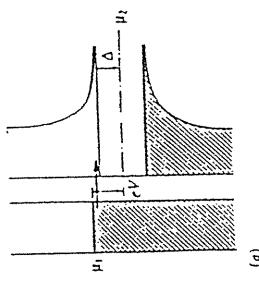
2. No magnetic perturbations  $\rightarrow$  No spin flip

3. Incident angle dependence is ignored, since the electrons scatter within short time ( $\sim 10^{-20}$  sec) as they tunnel

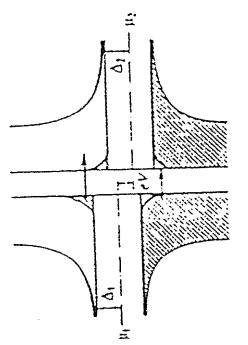
$$[C_{\text{kg}}^+ - C_{\text{kg}}^-] = 0$$

Valid even when  $R = \infty$

$\mathbf{r}_e$  : electron state in the left  
 $\mathbf{q}_b$  : " " " right



**FIGURE 1.16** Example of semiconductor model description of electron tunneling. Density of states plotted horizontally vs. energy vertically. Occupied states occupied by electrons (shaded) and empty states occupied by holes (white). (a) S-S tunneling at  $T = 0$ , with bias voltage just above the conduction threshold. (b) S-S tunneling at  $T > 0$ , with bias voltage slightly exceeds the energy gap  $\Delta$ . Horizontal arrow depicts electrons from the left tunneling into empty states on the right.



三

Fermi or Golden Rule

$$= \frac{4}{\pi^2} \cdot 2 \left\{ \int_0^{\infty} d\varepsilon_3 d\varepsilon_8 N(\varepsilon_3) N(\varepsilon_8) f_{\text{tot}}(1/\varepsilon_3 + 1/\varepsilon_8) \right\} (\varepsilon_8 - \varepsilon_3)^2$$

$$- | \langle R_1 | H_1 | g \rangle |^2 f_g (1 - f_{g_k}) \delta (\varepsilon_k - \varepsilon_g + \varepsilon(v)) \}$$

NIN tunneling

$\left| \langle \sigma_B | H_{\text{int}} | p_B \rangle \right|^2$  Not representing a transfer

8

$$R \rightarrow g_b$$

$$= | <0| C_{g\tau} \sum_{k,q} (T_{k,q}^+ C_{g'0}^+ C_{k'0'}^+ C_{k''0''}^+ ) |0> |^2$$

f: choose one polarity

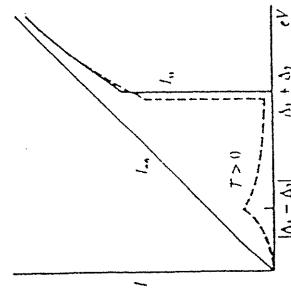
9.8.0  
X  
10.1  
X  
10.1  
X  
10.1  
X

K<sub>1</sub> = 0.1      K<sub>2</sub> = 0.05      non zero

$$= | \langle 0 | C_{g\tau}^+ C_{g\tau}^\dagger C_{k\tau} C_{k\tau}^\dagger | 0 \rangle T_{kg}^* |^2 = | T_{kg} |^2$$

In a similar way

$$|\langle k | H_T | g \rangle|^2 = |\mathcal{T}_{kg}|^2$$



**FIGURE 1.8** Superconductor-superconductor tunneling characteristics. Note that for  $T > 0$  there are sharp features corresponding to both the sum and the difference of the two gap values. The peak at  $|\Delta_1 - \Delta_2|$  would actually be a logarithmic singularity in the absence of gap anisotropy and level broadening due to life-time effects.

$$I_{NN} \propto \frac{4\pi}{\hbar} |T|^2 N_k(0) N_g(0) \left\{ (f_k - f_g) \delta(\varepsilon_k - \varepsilon_g) d\varepsilon_k d\varepsilon_g \right.$$

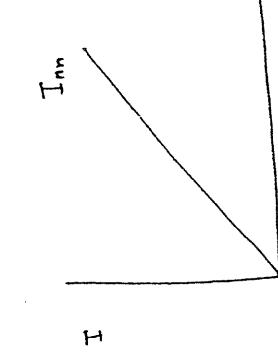
$$= \frac{4\pi}{\hbar} |T|^2 N_k(0) N_g(0) \int_0^\infty \left\{ f^*(\varepsilon_k) - f^*(\varepsilon_k + e|V|) \right\} d\varepsilon_k$$

$$= \frac{4\pi}{\hbar} |T|^2 N_k(0) N_g(0) eV$$

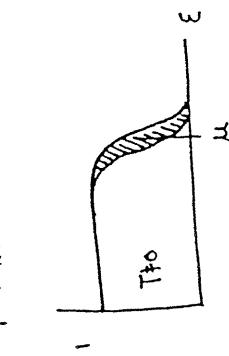
$$= G_n V \quad "Ohm's law"$$

$G_{nn}$ : Independent of  $V$  and temp.

(coupling strength)  $\times$  (the DOS's)



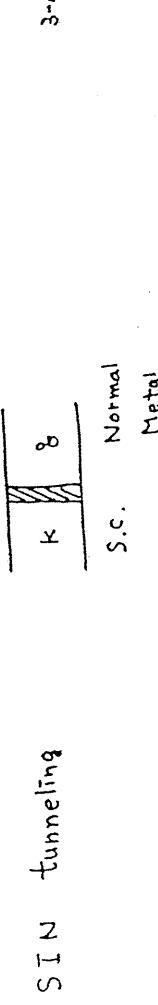
$$f^*(\varepsilon_k)$$



Electron flow rate from  $k$  to  $g$

$$= \frac{4\pi}{\hbar} \int_{-\infty}^{\infty} dE_k N_k(E_k) \int_{-\infty}^{\infty} d\varepsilon_g N_g(\varepsilon_g) \left\{ |\langle g | H_T | k \rangle|^2 f_k(1-f_g) \delta(\varepsilon_g - \varepsilon_k) \right\}$$

$$- |\langle g | H_T | g \rangle|^2 f_g (1-f_k) \delta(\varepsilon_g - \varepsilon_k - e|V|) \left\{ \right\}$$



$$|\langle R\uparrow | H_r | g\uparrow \rangle|^2$$

$$\begin{aligned} &= |\langle R\uparrow | \sum_{k'_g} T_{k'_g} (U_{k'} \delta_{k\uparrow}^+ + U_k^* \delta_{k\downarrow}^-) C_{g\uparrow} | g\uparrow \rangle|^2 \\ &= \left| \sum_{k'_g} \langle 0 | (U_k \delta_{k\uparrow} + U_k^* \delta_{k\downarrow}^-) (U_{k'} \delta_{k'\uparrow}^+ + U_{k'}^* \delta_{k'\downarrow}^-) C_{g\uparrow} | g\uparrow \rangle \right|^2 \\ &= |\langle 0 | \sum_{k'_g} \langle 0 | (U_k \delta_{k\uparrow} + U_k^* \delta_{k\downarrow}^-) (U_{k'} \delta_{k'\uparrow}^+ + U_{k'}^* \delta_{k'\downarrow}^-) C_{g\uparrow} | 0 \rangle T_{k'_g}|^2 \\ &= |\langle 0 | (U_k \delta_{k\uparrow} + U_k^* \delta_{k\downarrow}^-) C_{g\uparrow} | 0 \rangle|^2 |T_{k'_g}|^2 \\ &= |\bar{T}_{k_g}|^2 (|U_k|^2 + |U_k^*|^2) \end{aligned}$$

$$\begin{aligned} &= |\langle R\uparrow | \sum_{k'_g} T_{k'_g} (U_{k'} \delta_{k\uparrow}^+ + U_k^* \delta_{k\downarrow}^-) C_{g\uparrow} | R\uparrow \rangle|^2 \\ &= |\langle R\uparrow | \sum_{k'_g} \langle 0 | (U_k \delta_{k\uparrow} + U_k^* \delta_{k\downarrow}^-) (U_{k'} \delta_{k'\uparrow}^+ + U_{k'}^* \delta_{k'\downarrow}^-) C_{g\uparrow} | R\uparrow \rangle|^2 \\ &= |\langle R\uparrow | H_r | R\uparrow \rangle|^2 \end{aligned}$$

Note that there is always a state  $R'$  with exactly the same quasi-particle energy

$$E_{k'} = E_k, \text{ but with } \xi_{k'} = -\xi_k$$

$$\begin{aligned} U(-\xi_k) &= U(-\xi_k) & U_k^2 &= \frac{1}{2} (1 - \frac{\xi_k}{E_k}) \\ &= U(\xi_k) & U_k^2 &= \frac{1}{2} (1 + \frac{\xi_k}{E_k}) \end{aligned}$$

$$\gamma_{E\infty}^L \approx 0$$

$$|\langle R\uparrow | H_r | R\uparrow \rangle|^2$$

S.C.

$$\begin{aligned} &= |\langle R\uparrow | \sum_{k'_g} C_{g\uparrow}^+ (U_{k'} \delta_{k\uparrow}^+ + U_{k'}^* \delta_{k\downarrow}^-) (U_{k'} \delta_{k'\uparrow}^+ + U_{k'}^* \delta_{k'\downarrow}^-) | 0 \rangle|^2 \\ &= \left| \sum_{k'_g} \bar{T}_{k'_g} \langle 0 | C_{g\uparrow}^+ (U_{k'} \delta_{k\uparrow}^+ + U_{k'}^* \delta_{k\downarrow}^-) (U_{k'} \delta_{k'\uparrow}^+ + U_{k'}^* \delta_{k'\downarrow}^-) | 0 \rangle \right|^2 \\ &- |\bar{T}|^2 (|\bar{T}|^2 + |\bar{T}|^2)^2 \end{aligned}$$

$$\therefore I_{SN} = \frac{4\pi e}{\hbar} \int_{-\infty}^{\infty} dE_k N_s(E_k) \int_{-\infty}^{\infty} d\xi_k N_g(\omega) |\bar{T}_{k_g}|^2 \cdot \{ f_k(1-f_k) - f_g(1-f_g) \}$$

$$\begin{aligned} &= C.f. \quad I_{NN} = \frac{4\pi e}{\hbar} |\bar{T}|^2 N_u(\omega) N_g(\omega) \int_{-\infty}^{\infty} d\xi_k \{ f^*(\xi_k) - f^*(\xi_k + \epsilon_{UV}) \} \end{aligned}$$

" The Semiconductor Model "

Metal : as a continuous distribution of independent-particle energy states with density  $N(\omega)$ .

$$I_{1\rightarrow 2} = A \int |\bar{T}|^2 N_1(E) f(E) N_2(E+\epsilon_{UV}) \{ 1 - f(E+\epsilon_{UV}) \} dE$$

the # of occupied initial states      the # of available final states

$$I_{2\rightarrow 1} = A \int |\bar{T}|^2 N_1(E) \{ 1 - f(E) \} N_2(E+\epsilon_{UV}) f(E+\epsilon_{UV}) dE$$

$$I = I_{1\rightarrow 2} - I_{2\rightarrow 1}$$

$$= A \int_{-\infty}^{\infty} |\bar{T}|^2 N_1(E) \{ 1 - f(E) \} N_2(E+\epsilon_{UV}) \{ f(E) - f(E+\epsilon_{UV}) \} dE$$

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$$\begin{aligned} \therefore I_{SN} &= \frac{4\pi e}{h} \int_{-\infty}^{\infty} dE_k N_s(E_k) \int_{-\infty}^{\infty} dE_g N_g(0) |T_{kg}|^2 \\ &\cdot \{ f_k(1-f_g) - f_g(1-f_k) \} \times \delta(E_g - E_k - ev) \\ &= \frac{4\pi e}{h} |\tau|^2 N_g(0) \int_{-\infty}^{\infty} dE_k N_s(E_k) \{ f^*(E_k) - f^*(E_k + ev) \} \end{aligned}$$

C.F.

$$I_{NN} = \frac{4\pi e}{h} |\tau|^2 N_k(0) N_g(0) \int_{-\infty}^{\infty} dE_k \{ f^*(E_k) - f^*(E_k + ev) \}$$

C.F.

" The semiconductor model "

- metal : as a continuous distribution of independent  
- particle energy states with density  $N(0)$

$$= T_{NN} |v| \sqrt{\frac{e^2}{\Delta^2}} \left| \frac{e|v|}{\Delta} \right|^2$$

$$= -G_{NN} |v| \sqrt{1 - \left( \frac{\Delta}{e|v|} \right)^2}$$

$$= T_{NN} \cdot \sqrt{1 - \left( \frac{\Delta}{e|v|} \right)^2}$$

$$= -G_{NN} |v| \sqrt{\frac{e^2}{\Delta^2}} \left| \frac{e|v|}{\Delta} \right|^2$$

$$= T_{NN} \cdot \left| \frac{e|v|}{\Delta} \right|^2$$

differential conductance

$$\begin{aligned} G_{Ne} &= \frac{dI_{NS}}{dv} \\ &= G_{NN} \int_{-\infty}^{\infty} \frac{N_k(E)}{N_k(0)} \left\{ 1 - \frac{2f(E+ev)}{2(ev)} \right\} dE \end{aligned}$$

$$\begin{aligned} T \rightarrow 0 &= G_{NN} \frac{N_k(ev)}{N_k(0)} \\ &= \text{a bell shaped weighting function peak at } E = -ev \end{aligned}$$

Thus

$T \rightarrow 0$   $G_{Ne}$  : a direct measure of DOS  
 $T \neq 0$   $G_{Ne}$  measures a DOS smeared by  $\sim k_B T$

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$$\begin{aligned} I_{NS} &= A |\tau|^2 N_2(0) \int_{-\infty}^{\infty} N_s(E) \{ f(E) - f(E+ev) \} dE \\ &= \frac{G_{NN}}{e} \int_{-\infty}^{\infty} \frac{N_s(E)}{N_1(0)} \{ f(E) - f(E+ev) \} dE \\ &= \frac{G_{NN}}{e} \int_{-\infty}^{\infty} \frac{e|v|}{\sqrt{E^2 - \Delta^2}} \{ f(E) - f(E+ev) \} dE \\ &= T_{00} \rightarrow -\frac{G_{NN}}{e} \sqrt{\frac{e^2}{\Delta^2}} \left| \frac{e|v|}{\Delta} \right|^2 \end{aligned}$$

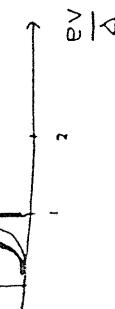
$$I_{1 \rightarrow 2} = A \int_{-\infty}^{\infty} |\tau|^2 N_1(E) f(E) N_2(E+ev) \{ 1 - f(E+ev) \} dE$$

$$I_{2 \rightarrow 1} = A \int_{-\infty}^{\infty} |\tau|^2 N_1(E) \{ 1 - f(E) \} N_2(E+ev) f(E+ev) dE$$

$$\begin{aligned} I &= I_{12} - I_{2 \rightarrow 1} \\ &= A \int_{-\infty}^{\infty} |\tau|^2 N_1(E) N_2(E+ev) \{ f(E) - f(E+ev) \} dE \end{aligned}$$

$$\frac{1}{G_{NN}} \frac{dI_{ns}}{dv}$$

### Phonon Structure



: Strong electron-phonon structure of  $\frac{dI_{ns}}{dv}$  at tunneling data. - Lead, Mercury on + phonon structure of  $\frac{dI_{ns}}{dv}$ .

### SIS tunneling

$$\begin{aligned} I_{ss} &= \frac{G_{NN}}{e} \int_{-\infty}^{\infty} \frac{N_{s1}(E)}{N_1(0)} \cdot \frac{N_{s2}(E+\epsilon v)}{N_2(0)} \left\{ f(E) - f(E+\epsilon v) \right\} dE \\ &= \frac{G_{NN}}{e} \int_{-\infty}^{\infty} \frac{|E|}{\sqrt{E^2 - \Delta_1^2}} \frac{|\epsilon v|}{\sqrt{(\epsilon v)^2 - \Delta_2^2}} \left\{ f(E) - f(E+\epsilon v) \right\} dE \end{aligned}$$

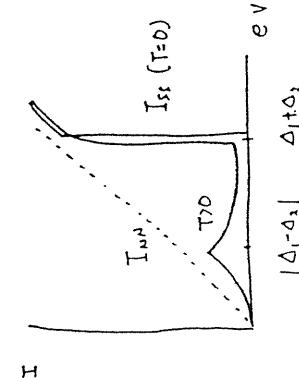
$$|E| > \Delta_1$$

$$|\epsilon v| > \Delta_2$$

The negative resistance region for

$$|\Delta_1 - \Delta_2| < \epsilon v < \Delta_1 + \Delta_2 : \text{observable only for}$$

Voltage-bias arrangement



O(2) : Schrieffer, Scalapino & Wilkins

$$N_c(E) = N(0) \operatorname{Re} \frac{E}{[E^2 - \Delta^2(E)]^{1/2}}$$

$\Delta \neq$  complex # older

damping of the quasi-particle excitations

by decay with creation of real phonons:

hence, it is large when  $E \approx \hbar\omega_{ph}$

Eliashberg Eq.  $\frac{dI}{dv} = \frac{dI}{dv}|_{T=0}$ .

Electron phonon mechanism  $\tilde{F}(\omega) \approx 0$

Central quantity :  $\alpha^2 F(\omega)$

$\alpha$  : electron-phonon coupling strength

$F(\omega)$  : density of phonon states

7월 1주 (microscopic calculation) : Carbotte

실습 : McMillan, Rowell.

슬로우 (tunneling) 상태는 phonon의 density of state

Van Hove singularity  $\frac{1}{\delta}$ 인 경우다.

tunneling current의 2nd derivative는

$$\cdot \beta_{k's', k\sigma} g_r B_{-\kappa, \delta, -\kappa', -\sigma} e \text{ sign } \delta_{k\sigma} \text{ 체크.}$$

$$\Delta \vec{R} = \vec{r}' - \vec{r}_k$$

$$\Delta \sigma = \sigma' - \sigma$$

### 3.9. Transition Probabilities and Coherence effects

$$H_1 = \sum_{k\sigma, k'\sigma'} \beta_{k\sigma', k\sigma} C_{k', \sigma'}^+ C_{k, \sigma}$$

Matrix elements of the perturbation operator

Metal:  $\gamma_{k\sigma, k\sigma}$ 은  $\epsilon$ 에independent.

$$|\beta_{k\sigma, k\sigma}|^2 \text{은 transition probability on incident.}$$

주제로 상황: phase coherent superposition of occupied one electron state

터버너 interference term of FZM

$$C_{k\sigma}^+ C_{k\sigma} = U_{k\sigma} U_{k\sigma}^* \delta_{k\sigma}^+ \delta_{k\sigma} - U_{k\sigma}^* U_{k\sigma} \delta_{k\sigma}^+ \delta_{k\sigma} + U_{k\sigma}^* U_{k\sigma}^* \delta_{k\sigma}^+ \delta_{k\sigma} + U_{k\sigma} U_{k\sigma}^* \delta_{k\sigma}^+ \delta_{k\sigma}$$

$$C_{-k\sigma}^+ C_{-k\sigma} = - U_{k\sigma}^* U_{k\sigma} \delta_{k\sigma}^+ \delta_{k\sigma} + U_{k\sigma} U_{k\sigma}^* \delta_{k\sigma}^+ \delta_{k\sigma} + U_{k\sigma} U_{k\sigma}^* \delta_{k\sigma}^+ \delta_{k\sigma} + U_{k\sigma}^* U_{k\sigma}^* \delta_{k\sigma}^+ \delta_{k\sigma}$$

01 푸리에 변환: 주제로 상황 FZM

Thus these terms can be combined as

$$B_{k'\sigma', k\sigma} ( C_{k\sigma'}^+ C_{k\sigma} \pm C_{-k\sigma}^+ C_{k\sigma'} )$$

where the sign choice depends on the nature of  $H_1$ .

Case I: For electron-phonon interaction such as

- ⊕ ultrasonic attenuation ⊕ - electron-phonon interaction  
Simple scalar deformation  
Depending only on  $\Delta \vec{R}$ , independent of potential  
the sense of  $\vec{E}$  or  $\sigma$

(Interaction with a scalar deformation)

- Case II: For int. of the electrons with the e.m. field
  - ⊖ via a term  $\vec{P} \cdot \vec{A}$ , which changes sign on replacing  $\vec{R}$  by  $-\vec{R}$ .

- ultrasonic, em. field 꼭 spin 꺽는 무관  
T+S: spin change, signs are formally reversed.  
 $\Theta \delta\sigma = \pm 1$  for  $\sigma' = \pm \sigma$   
 $\delta_{k\sigma} = \delta_{k0}$  for  $\sigma = \tau$ ,  $\delta_{k\sigma} = \delta_{-k}$  for  $\sigma = -\tau$ .

$$\begin{aligned}
 &= B_{k'\sigma', k\sigma} (C_{k\sigma'}^+ C_{k\sigma} + C_{k\sigma}^+ C_{k'-\sigma'}) \\
 &= B_{k'\sigma', k\sigma} \left( U_{k'} U_k \gamma_{k'\sigma'}^+ \gamma_{k\sigma} - U_{k'} U_k \gamma_{-k\sigma}^+ \gamma_{-k\sigma'} + U_k U_{k'} \gamma_{k\sigma}^+ \gamma_{-k\sigma'} \right. \\
 &\quad \left. + U_k U_{k'} \gamma_{-k\sigma'}^+ \gamma_{k\sigma} \right) \\
 &\quad \pm \left( -U_k U_{k'} \gamma_{k\sigma}^+ \gamma_{k'\sigma'} + U_k U_{k'} \gamma_{-k\sigma}^+ \gamma_{-k\sigma'} + U_k U_{k'} \gamma_{k\sigma}^+ \gamma_{-k\sigma'} \right. \\
 &\quad \left. + U_k U_{k'} \gamma_{-k\sigma'}^+ \gamma_{k\sigma} \right) \\
 &= B_{k'\sigma'} \left\{ \left( U_{k'} U_k \mp U_k U_{k'} \right) \gamma_{k\sigma'}^+ \gamma_{k\sigma} - \left( U_{k'} U_k \mp U_k U_{k'} \right) \gamma_{-k\sigma}^+ \gamma_{-k\sigma'} \right. \\
 &\quad \left. + \left( U_{k'} U_k \pm U_k U_{k'} \right) \gamma_{k\sigma'}^+ \gamma_{-k\sigma}^+ + \left( U_{k'} U_k \pm U_k U_{k'} \right) \gamma_{-k\sigma'}^+ \gamma_{k\sigma} \right\} \\
 &= B_{k'\sigma'} \left\{ \left( U_{k'} U_k \mp U_k U_{k'} \right) \left( \gamma_{k\sigma'}^+ \gamma_{k\sigma} \pm \gamma_{-k\sigma}^+ \gamma_{-k\sigma'} \right) \right. \\
 &\quad \left. + \left( U_{k'} U_k \pm U_k U_{k'} \right) \left( \gamma_{k\sigma'}^+ \gamma_{-k\sigma}^+ \pm \gamma_{-k\sigma'}^+ \gamma_{k\sigma} \right) \right\}
 \end{aligned}$$

$$\begin{aligned}
 &= B_{k'\sigma'} \left\{ \left( U_{k'} U_k \mp U_k U_{k'} \right) \left( \gamma_{k\sigma'}^+ \gamma_{k\sigma} \pm \gamma_{-k\sigma}^+ \gamma_{-k\sigma'} \right) \right. \\
 &\quad \left. + \left( U_{k'} U_k \pm U_k U_{k'} \right) \left( \gamma_{k\sigma'}^+ \gamma_{-k\sigma}^+ \pm \gamma_{-k\sigma'}^+ \gamma_{k\sigma} \right) \right\}
 \end{aligned}$$

Note: Magnitude of other phase on right

Transition Probability

$$= \left| B_{k'\sigma'} \right|^2 \times \text{coherence factor}$$

Coherence factor

$$\begin{aligned}
 (U_{k'} \mp U_k)^2 &: \text{Scattering of quasi particles} \\
 (U_{k'} \pm U_k)^2 &: \text{Creation or annihilation of two}
 \end{aligned}$$

$(U_{k'} \mp U_k)^2$  : Quasi-particle scatt.

,  $E, E'$  same sign.

$$\begin{aligned}
 &= \frac{1}{4} \left[ \left\{ \left( 1 + \frac{\xi}{E} \right) \left( 1 + \frac{\xi'}{E'} \right) \right\}^{\frac{1}{2}} \mp \left\{ \left( 1 - \frac{\xi}{E} \right) \left( 1 - \frac{\xi'}{E'} \right) \right\}^{\frac{1}{2}} \right]^2 \\
 &= \frac{1}{4} \left\{ 1 + \frac{\xi}{E} + \frac{\xi'}{E'} + \frac{\xi \xi'}{EE'} + 1 - \frac{\xi}{E} - \frac{\xi'}{E'} + \frac{\xi \xi'}{EE'} \right. \\
 &\quad \left. \mp 2 \left[ \left( 1 - \frac{\xi}{E^2} \right) \left( 1 - \frac{\xi'}{E'^2} \right) \right]^{\frac{1}{2}} \right\}
 \end{aligned}$$

When summed over all values of  $\xi_{k\sigma}$ ,

which appear in pairs

Similarly

$(U_{k'} \pm U_k)^2$  : Creation of two g-p:

$$\begin{aligned}
 &= \frac{1}{4} \left[ \left\{ \left( 1 - \frac{\xi}{E} \right) \left( 1 + \frac{\xi'}{E'} \right) \right\}^{\frac{1}{2}} \pm \left\{ \left( 1 + \frac{\xi}{E} \right) \left( 1 - \frac{\xi'}{E'} \right) \right\}^{\frac{1}{2}} \right]^2 \\
 &= \frac{1}{4} \left[ 1 - \frac{\xi}{E} + \frac{\xi'}{E'} - \frac{\xi \xi'}{EE'} + 1 + \frac{\xi}{E} - \frac{\xi'}{E'} - \frac{\xi \xi'}{EE'} \right. \\
 &\quad \left. \pm 2 \left\{ \left( 1 - \frac{\xi}{E^2} \right) \left( 1 - \frac{\xi'}{E'^2} \right) \right\}^{\frac{1}{2}} \right]
 \end{aligned}$$

$$= \frac{1}{2} \cdot \left( 1 - \frac{\xi \xi'}{EE'} \right) \pm \frac{\Delta^2}{EE'} \rightarrow \frac{1}{2} \left( 1 \pm \frac{\Delta^2}{EE'} \right)$$

In general

$$F_1 = \frac{1}{2} \left( 1 \mp \frac{\Delta^2}{EE'} \right)$$

$\ominus$  Case I  
 $\oplus$  Case II

The greatest effect of the coherence factors

is for energies  $E$  and  $E'$  near the gap edge  $\Delta$ ,

in which case  $F \sim 0 \text{ or } 1$

1) Low energy Scattering Processes :

$\hbar\omega \ll \Delta$  : No quasi-particles Created

$$E, E' \simeq \Delta$$

$$\textcircled{I} \quad F = \frac{1}{2} \left( 1 - \frac{\Delta^2}{EE'} \right) \ll 1$$

$$\textcircled{II} \quad F = \frac{1}{2} \left( 1 + \frac{\Delta^2}{EE'} \right) \simeq 1$$

2) High Energy processes

$\hbar\omega \geq 2\Delta$  : Creates pairs of quasi particle

$$\textcircled{I} \quad F = \frac{1}{2} \left( 1 - \frac{\Delta^2}{EE'} \right) \simeq 1$$

$$\textcircled{II} \quad \bar{H} \ll 1$$

3) If  $E, E' \gg \Delta$  : no difference between  $\textcircled{I}$  &  $\textcircled{II}$ .

Superconducting coherence becomes unimportant.

Then, we expect a net transition rate bet. energy levels  $E$  and  $E' = E + \hbar\omega$  to be

$$d_s = \int |M|^2 F(\Delta, E, E + \hbar\omega) N_s(E) N_s(E + \hbar\omega)$$

$\times [f(E) - f(E + \hbar\omega)] dE$

$$= |M|^2 N_s^2(0) \int_{-\infty}^{\infty} \frac{1}{2} \left( 1 \mp \frac{\Delta^2}{E(E + \hbar\omega)} \right) \frac{E}{\sqrt{E^2 - \Delta^2}} \cdot \frac{E + \hbar\omega}{\sqrt{(E + \hbar\omega)^2 - \Delta^2}}$$

$\times [f(E) - f(E + \hbar\omega)] dE$

$$\text{OR} = \frac{1}{2} |M|^2 N_s^2(0) \int_{-\infty}^{\infty} \frac{(E(E + \hbar\omega) \mp \Delta^2)}{\sqrt{E^2 - \Delta^2} \sqrt{(E + \hbar\omega)^2 - \Delta^2}} [f(E) - f(E + \hbar\omega)] dE$$

In the normal state,  $\Delta = 0$

$$d_s = \frac{1}{2} |M|^2 N_s^2(0) \int_{-\infty}^{\infty} [f(E) - f(E + \hbar\omega)] dE$$

$$= \frac{1}{2} |M|^2 N_s^2(0) \hbar\omega$$

$$\frac{d_s}{d_n} = \frac{1}{\hbar\omega} \int_{-\infty}^{\infty} \frac{(E(E + \hbar\omega) \mp \Delta^2)}{\sqrt{E^2 - \Delta^2} \sqrt{(E + \hbar\omega)^2 - \Delta^2}} [f(E) - f(E + \hbar\omega)] dE$$

$\ominus$  Case II

Ultrasonic Attenuation : An example of case I.

Ultrasonic exp:

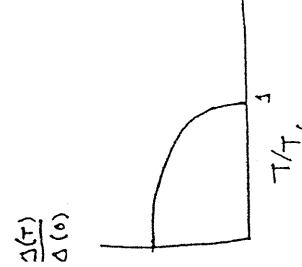
$$f \leq 10^9 \text{ Hz} \rightarrow \hbar\omega \leq 10^{-2} \Delta(0)$$

$$\hbar\omega \ll k_B T$$

→ Case of low freq. limit

$$\frac{ds}{d\omega} \xrightarrow{\hbar\omega \gg \Delta} \frac{1}{\hbar\omega} \int_{-\infty}^{\infty} \frac{E^2 - \Delta^2}{E^2 - \Delta^2} [f(E) - f(E + \hbar\omega)] dE$$

$$\begin{aligned} &= - \int \frac{\partial f}{\partial E} dE \\ &= - \int_{-\Delta}^{\Delta} \frac{\partial f}{\partial E} dE = \int_{-\Delta}^{\Delta} \frac{\partial f}{\partial E} dE \\ &= f(-\Delta) + f(-\infty) - f(\infty) + f(\Delta) \\ &= 1 - f(-\Delta) + f(\Delta) \\ &= 2 f(\Delta) = \frac{2}{e^{\beta\Delta(0)} + 1} \end{aligned}$$



$$\frac{\Delta(T)}{\Delta(0)}$$

$$\text{i) For } T \ll \tau_c, \frac{ds}{d\omega} \sim e^{-\beta\Delta(0)}$$

exponentially small as the # of thermally excited quasi-particles available to absorb energy  $\rightarrow 0$

ii)  $\Delta(\tau)$  can be inferred from ultrasonic exp. at low enough temperatures.

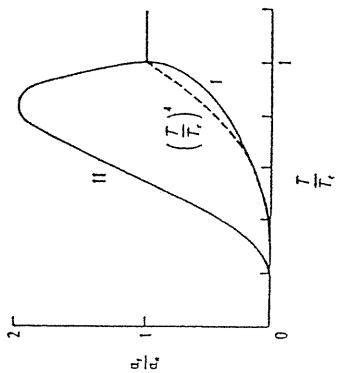
- iii) Anisotropy of  $\Delta$  w.r.t. a crystal axis  $\leftarrow$  an exp. with a single crystal.

Nuclear Spin Relaxation : An example of case II

- by interaction with quasi particles.
- low energy scattering process
- $\hbar\omega = \hbar\gamma H \ll \Delta(0) \text{ or } k_B T$

$$\frac{ds}{d\omega} = \int_{-\infty}^{\infty} \frac{E (E + \hbar\omega) + \Delta^2}{E^2 - \Delta^2} \frac{1}{(E + \hbar\omega)^2 - \Delta^2} \left( -\frac{\partial f}{\partial E} \right) dE$$

FIGURE 3.9  
Temperature dependence of low-frequency absorption processes obeying case I and II coherence factors, compared with the  $(T/T_c)^4$  dependence that might be expected for all processes from a simple two-fluid model. The curve for case I applies to ultrasonic attenuation, and it is a well-defined low-frequency limit. The curve for case II, which applies to nuclear relaxation or electromagnetic absorption, has no well-defined low-frequency limit unless gap anisotropy or level broadening is taken into account. The curve drawn here corresponds to a broadening of about  $0.02\Delta(0)$ .



Propagating sound in different direction in single crystals.

$$\begin{aligned} &= 2 \int_{\Delta}^{\infty} \frac{E(E + \hbar\omega) + \Delta^2}{E^2 - \Delta^2 \sqrt{(E + \hbar\omega)^2 - \Delta^2}} \left( -\frac{\partial f(E)}{\partial E} \right) dE \\ \omega \rightarrow 0 &\rightarrow 2 \int_{\Delta}^{\infty} \frac{E^2 + \Delta^2}{E^2 - \Delta^2} \left( -\frac{\partial f}{\partial E} \right) dE \\ &= 2 \int_{\Delta}^{\infty} -\frac{\partial f}{\partial E} dE + 4\Delta^2 \int_{\Delta}^{\infty} \frac{1}{E^2 - \Delta^2} \left( -\frac{\partial f}{\partial E} \right) dE \\ \omega \rightarrow 0 &\sim \ln\left(\frac{\Delta}{\hbar\omega}\right) \text{ logarithmically diverge} \end{aligned}$$

① Morse and Co-workers

$$-\Delta \propto \frac{1}{2} \ln \frac{U_{\text{sound}}}{U_{\text{elect}}} \text{ (Eq. 21)}$$

Case 1:  $\Delta \ll \hbar\omega$   
 K<sub>0</sub>  $\approx$   $\frac{1}{2} \ln \frac{U_{\text{sound}}}{U_{\text{elect}}}$  sound propagation  $\propto \Delta$

Average  $\bar{\omega}_0$ ,  $\bar{U}_{\text{sound}} \ll U_{\text{elect}}$

Electron energy transfer  $\propto \Delta$   
 $\Delta \approx 3 \text{ K}$   $\bar{\omega}_0 \approx 3.9 \text{ K}$

Case 2:  $\Delta \gg \hbar\omega$  too simplified

②  $\Delta \gg \hbar\omega$  — Hasslein

$T_c$   $\approx$   $\frac{1}{2} \ln \frac{U_{\text{sound}}}{U_{\text{elect}}}$   $\propto \Delta$

electromagnetic screening of transverse sound wave —  $\propto \Delta$   $\approx T_c$

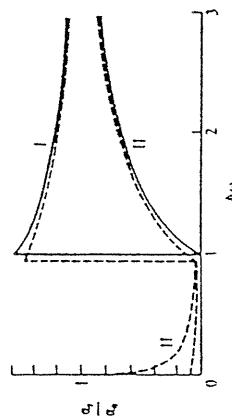


FIGURE 3.10 Frequency dependence of absorption processes obeying case I and II coherence factors at  $T = 0$  (solid curves) and  $T \approx T_c$  (dashed curves).

③ Electronic damping of the motion of dislocations driven by the sound waves.

→ Nonlinear response

$\frac{ds}{dt} \propto \tau^{-1} \approx \tau_c^{-1}$   $\approx \Delta$   $\approx 2\Delta(0)$

0174 고24 Mason et al. lead with  $2\Delta(0)$

## Electromagnetic Absorption : Case II

## Nuclear Relaxation

Case II  $\Rightarrow$  constructive interference in the relevant low energy scattering process.

$$\frac{1}{T_1} \propto \frac{1}{\tau_1}$$

식당에서 Flebel & Slichter의 결과

$$\alpha_{\text{eff}} \approx \lambda^{-2} \sim \left(1 - \left(\frac{\tau_1}{\tau_c}\right)^2\right)^{-\frac{1}{2}}$$

## Two fluid Model

## Normal-electron density

coherent peak

$$\frac{ds}{dn} = 2 \int_A^{\infty} \frac{E^2 + \Delta^2}{E^2 - \Delta^2} \left( -\frac{\partial f}{\partial E} \right) dE$$

실험이나 계산 결과 OK.  $\Delta \sim \text{Sharp gap value}$

1. Anisotropy of the energy gap in real crystals.

$T_1 \neq T_2$  Anderson의 dirty superconductor

이론과 일치.

Masuda의 dirtier sample 결과

2. finite lifetime of the quasi particle states

against decay into phonons limits the sharpness of the peak.

단점 : No Hebel-Slichter peak

- One can carry over the results of the nuclear relaxation to describe the absorption of low frequency e-m radiation.

$$\frac{ds}{dn} \rightarrow \frac{\sigma_{1s}}{\sigma_n} \quad \text{where } \sigma(\omega) = \sigma_1(\omega) - i\sigma_2(\omega)$$

For a radiation with the large enough

to create pairs of quasi particles ( $\hbar\omega \geq \Delta$ )

$$\begin{aligned} \text{initial energy } E &< \Delta \\ \text{final energy } E + \hbar\omega &> \Delta \end{aligned} \quad \left\{ \begin{array}{l} \sigma_1(\omega) = 0 \text{ for } \hbar\omega < \Delta \\ \text{"absorption edge"} \end{array} \right.$$

Mattis and Bardeen의 결과.  $\hbar\omega \gg \tau_c$  및  $T=0$  경우 quasi particle

$$\frac{\sigma_{1s}}{\sigma_n} = \frac{1}{\hbar\omega} \int_{\Delta-\hbar\omega}^{-\Delta} \left| \frac{E(E+\hbar\omega) + \Delta^2}{E(E+\hbar\omega) - \Delta^2} \right| \left[ f(E) - f(E+\hbar\omega) \right] dE$$

$$\begin{aligned} \hbar\omega \geq 2\Delta \text{일 때, } \text{Initial state } E &\leq -\Delta, \text{ final state } E + \hbar\omega \geq \Delta \\ &= \int_{\Delta-\hbar\omega}^{-\Delta} \frac{\left| E(E+\hbar\omega) + \Delta^2 \right|}{\left| E^2 - \Delta^2 \right|} \frac{\text{Initial state } E \leq -\Delta, \text{ final state } E + \hbar\omega \geq \Delta}{\int_{E^2 - \Delta^2}^{E^2 + \Delta^2} dE} dE \end{aligned}$$

$$= \left( 1 + \frac{2\Delta}{\omega} \right) E(k) - \frac{4\Delta}{\hbar\omega} K(k)$$

$$, k = \frac{\hbar\omega - 2\Delta}{\hbar\omega + 2\Delta}$$

Existence and width of the energy gap

### Electrodynamics

3-64

Glover and Tinkham at Far. Infrared Radiation.

Lead and BCS or  $\Delta \neq 0$

— Strong - coupling effect

$G(\omega) \propto$  tunneling  $\propto$  strong - coupling  
Energy barrier.

Note 1. Sum Rule

$$\int_0^{\infty} \sigma_1(\omega) d\omega = \frac{\pi n e^2}{2m}$$

Missing area  $\propto$  delta function  $\propto$   $\delta(\omega)$ .

Kramers - Kronig Relations.

$$\sigma_1(\omega) = \frac{2}{\pi} \int_{-\infty}^{\infty} \frac{\omega' \sigma_2(\omega') d\omega'}{\omega'^2 - \omega^2} + \text{const}$$

$$\sigma_2(\omega) = -\frac{2\omega}{\pi} \int_0^{\infty} \frac{\sigma_1(\omega') d\omega'}{\omega'^2 - \omega^2}$$

$$\sigma_1 = A \delta(\omega) \quad \text{and} \quad \sigma_2 = \frac{1}{\pi\omega} = \frac{n e^2}{m\omega} = \frac{C^2}{4\pi\lambda^2\omega}$$

Penetration depth  $\propto$  missing Area  $\propto$   $\frac{1}{\omega}$

$$\lambda^{-2} = \frac{8A}{C^2}$$

Gap on  $\Delta$  missing Area  $\propto$   $\Delta^2$

초전도 물질 — Semiconductor or  $C \propto \Delta^2$   
gapless semiconductor by magnetic

3-65

Response to electromagnetic field.

dissipative process  $\propto$  other

non-dissipative supercurrent effect.  
total field including the effects of screening

by Super Currents

$$\vec{B} = \vec{\nabla} \times \vec{A}$$

$$\vec{P} = m\vec{V} + \frac{e}{c}\vec{A}$$

$$K.E. = \frac{1}{2m} (\vec{P} - \frac{e\vec{A}}{c})^2$$

$$= \frac{1}{2m} (-i\hbar\vec{\nabla} - \frac{e}{c}\vec{A})^2$$

A linear response to a weak field,

$$H_L = \frac{i\epsilon\hbar}{2mc} \sum_i (\vec{\nabla}_i \cdot \vec{A} + \vec{A} \cdot \vec{\nabla}_i)$$

runs over all particles

$$\vec{A} = \sum_{\vec{q}} \vec{a}(\vec{q}) e^{i\vec{q} \cdot \vec{r}}$$

expanded in Fourier Components

$$\psi(\vec{r}) = \sum_{\vec{k}} C_{\vec{k}} e^{i\vec{k} \cdot \vec{r}}$$

This can be expanded in terms of  $\gamma$  operators,

For example, in the London theory

$$\vec{J}(\vec{r}) = -\frac{C}{4\pi\lambda_L^2} \vec{A}(\vec{r}) = -\frac{C}{4\pi\lambda_L^2} \sum_{\vec{k}} \vec{Q}(\vec{k}) e^{i\vec{k}\cdot\vec{r}}$$

$$\vec{J}(\vec{q}) = -\frac{C}{4\pi\lambda_L^2} \vec{Q}(\vec{q}) \Rightarrow K_L(\vec{q}) = \frac{1}{\lambda_L^2} = K(0)$$

$$\vec{J}(\vec{q}) = \vec{J}_1(\vec{q}) + \vec{J}_2(\vec{q})$$

$$= -\frac{C}{4\pi} [K_1(\vec{q}) \vec{Q}(\vec{q}) - \frac{C}{4\pi\lambda_L^2} \vec{Q}(\vec{q})]$$

$$= -\frac{C}{4\pi} \left( K_1(\vec{q}) + \underbrace{\frac{1}{\lambda_L^2} \vec{Q}(\vec{q})}_{K(\vec{q})} \right)$$

$$K(\vec{q}) = \frac{1}{\lambda_L^2(0)} + K_1(\vec{q}) = \frac{1}{\lambda_L^2(0, T)}$$

$$\text{where } \frac{e\hbar}{m} \sum_{\vec{k}} \vec{C}_{\vec{k}-\vec{q}}^+ C_{\vec{k}}^- = -\frac{C}{4\pi} K_1(\vec{q}) \vec{Q}(\vec{q})$$

$$\vec{J}_1(\vec{q}) // \vec{Q}(0)$$

$$\text{by symmetry } \vec{C}_{\vec{k}}^+ = -\frac{4\pi e\hbar}{mc} \sum_{\vec{k}} \frac{\vec{C}_{\vec{k}}^+}{\vec{Q}^2} C_{\vec{k}}^-$$

$$= -\frac{C}{4\pi} [K_1(0, T) \vec{Q}(0)]$$

$$K(0, T) = \frac{1}{\lambda_L^2(T)}$$

$$\text{For } g_b = 0, H_1 = -\frac{e\hbar}{mc} \sum_{\vec{k}} \vec{k} \cdot \vec{a}(0) (\gamma_{k\tau}^\dagger \gamma_{k\tau} - \delta_{k\tau}^\dagger \delta_{k\tau})$$

$$E_{k\tau} \rightarrow E_{k\tau} - \frac{e\hbar}{mc} \frac{\partial}{\partial k} \cdot \vec{a}(0)$$

$$E_{-k\tau} \rightarrow E_{-k\tau} + \frac{e\hbar}{mc} \frac{\partial}{\partial k} \cdot \vec{a}(0)$$

The perturbation simply shifts the energies of the quasi-particle excitations.

$$\begin{aligned} \vec{J}_1(0) &= \frac{e\hbar}{m} \sum_{\vec{k}} \vec{k} \cdot C_{\vec{k}}^+ C_{\vec{k}}^- \\ &= \frac{e\hbar}{m} \sum_{\vec{k}} \vec{k} (\gamma_{k\tau}^\dagger \gamma_{k\tau} - \delta_{k\tau}^\dagger \delta_{k\tau}) \\ &= \frac{e\hbar}{m} \sum_{\vec{k}} (\vec{f}_{k\tau}^\dagger \vec{f}_{k\tau} - \vec{f}_{-k\tau}^\dagger \vec{f}_{-k\tau}) \end{aligned}$$

$$= \frac{e\hbar}{m} \sum_{\vec{k}} (\vec{f}_{k\tau}^\dagger \vec{f}_{k\tau} - \vec{f}_{-k\tau}^\dagger \vec{f}_{-k\tau})$$

$$= \frac{e\hbar}{m} \sum_{\vec{k}} \vec{k} \cdot f_0(E_{k\tau} - \frac{e\hbar}{mc} \vec{k} \cdot \vec{a}(0)) - f_0(E_{-k\tau} + \frac{e\hbar}{mc} \vec{k} \cdot \vec{a}(0))$$

$$= \frac{2e^2\hbar^2}{m^2c} \sum_{\vec{k}} (\vec{a}(0) \cdot \vec{k}) \vec{k} (-\frac{\partial f_0}{\partial E_k})$$

$$\begin{aligned} \text{by symmetry } &= \frac{2e^2\hbar^2}{m^2c} \frac{\vec{k}_F^2}{3} \vec{a}(0) \sum_{\vec{k}} -\frac{\partial f_0}{\partial E_k} \\ &= -\frac{C}{4\pi} [K_1(0, T) \vec{Q}(0)] \end{aligned}$$

$$= -\frac{4\pi}{C} \frac{2e^2 h^2}{m^2 c} \sum_k \left( -\frac{\partial f_k}{\partial E_k} \right)$$

$$= -\frac{2 \cdot 4\pi e^2}{3mc^2} \cdot 2E_F \sum_k \left( -\frac{\partial f_k}{\partial E_k} \right)$$

$$K(0, T \gg \tau_c) = 0$$

$$\left( \frac{\Delta(0)}{kT} \gg 1 \right)$$

ii) At low enough temperatures the 2nd term becomes exponentially small.

$$K(0, T) \xrightarrow{T \rightarrow 0} \lambda_L^2(0)$$

$$\frac{K(0, T)}{K(0, 0)} = \frac{\lambda_L^2(0)}{\lambda_L^2(T)}$$

$$= -\frac{1}{\lambda_L^2(0)} \int_{-\infty}^{\infty} \left( -\frac{\partial f}{\partial E} \right) dE$$

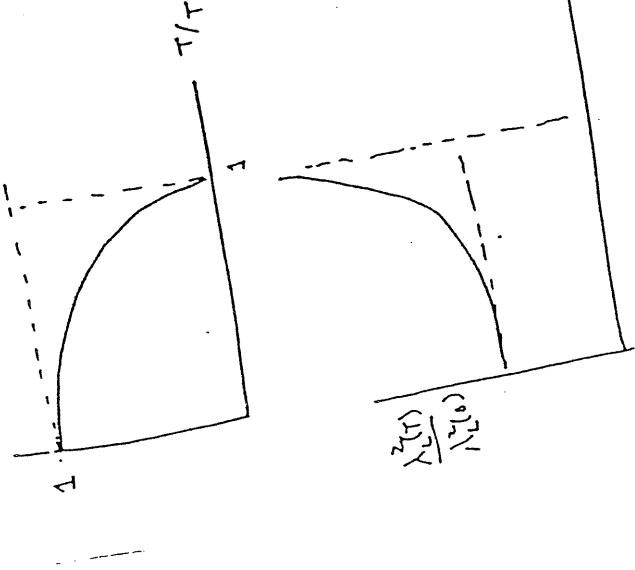
$$= -\frac{1}{\lambda_L^2(0)} \cdot 2 \cdot \int_{-\infty}^{\infty} \left( -\frac{\partial f}{\partial E} \right) \frac{E}{E - \Delta} dE$$



$$\therefore K(0, T) = \frac{1}{\lambda_L^2(0)} \left\{ 1 + \lambda_L^2(0) K(0, T) \right\}$$

$$= \frac{1}{\lambda_L^2(0)} \left\{ 1 - 2 \left( \int_{-\infty}^{\infty} \left( -\frac{\partial f}{\partial E} \right) \frac{E}{E - \Delta} dE \right) \right\}$$

$$= \frac{1}{\lambda_L^2(0)} \lambda_L^2(T)$$



$$K(\theta, T) \text{ at } T=0$$

$$3-72$$

$$\text{Nonvanishing contribution :} \\ \text{terms with } \gamma_{k+g}^+ \gamma_{-k+g}^+ - \gamma_{(k+g)\downarrow} \gamma_{k\uparrow}$$

the perturbed state in the presence of  $H_1$ ,

$$|\Psi\rangle = |\Psi_G\rangle - \sum_n \frac{\langle \Psi_n | H_1 | \Psi_G \rangle}{E_n} |\Psi_n\rangle$$

$n$ : runs over the excited states with

excitation energy  $E_n$

$$\begin{aligned} & \langle \Psi | \tilde{J}_z(\vec{q}) | \Psi \rangle \\ &= \langle \Psi_G | \tilde{J}_z(\vec{q}) | \Psi_G \rangle - \sum_n \frac{\langle \Psi_n | H_1 | \Psi_G \rangle \langle \Psi_G | \tilde{J}_z(\vec{q}) | \Psi_n \rangle}{E_n} \\ &= -2 Re \sum_n \frac{\langle \Psi_n | H_1 | \Psi_G \rangle \langle \Psi_G | \tilde{J}_z(\vec{q}) | \Psi_n \rangle}{E_n} \end{aligned}$$

Recall that

$$\begin{aligned} H_1 &= -\frac{e\hbar}{mc} \sum_{k,g} \vec{k} \cdot \vec{a}(\vec{q}) C_{k+g,\sigma}^+ C_{k,\sigma} \\ &= -\frac{e\hbar}{mc} \sum_{k,g} \vec{k} \cdot \vec{a}(\vec{q}) \left\{ (U_k U_{k+g} + U_k U_{k+g}) (\gamma_{k+g\uparrow}^+ \gamma_{k\uparrow}^+ - \gamma_{(k+g)\downarrow}^- \gamma_{k\downarrow}^-) \right. \\ &\quad \left. + (U_k U_{k+g} - U_k U_{k+g}) (\gamma_{k+g\uparrow}^+ \gamma_{k\uparrow}^+ - \gamma_{(k+g)\downarrow}^- \gamma_{k\downarrow}^-) \right\} \end{aligned}$$

$$= -\frac{1}{N(0)} \frac{1}{\lambda_L^2(0)} \sum_k \left( \frac{U_k U_{k+g} - U_k U_{k+g}}{E_k + E_{k+g}} \right)$$

$$\begin{aligned} & \frac{U_k U_{k+g} - U_k U_{k+g}}{E_k + E_{k+g}} \\ &= \frac{\frac{U_k U_{k+g} - U_k U_{k+g}}{3}}{\frac{U_k U_{k+g} - U_k U_{k+g}}{E_k + E_{k+g}}} \end{aligned}$$

$$= -\frac{1}{N(0)} \frac{1}{\lambda_L^2(0)} \sum_k \left( \frac{U_k U_{k+g} - U_k U_{k+g}}{E_k + E_{k+g}} \right)$$

$$\text{with } E_n = E_k + \overline{E}_{k+g}$$

Similarly

$$\langle \Psi_G | \tilde{J}_z(\vec{q}) | \Psi_n \rangle = - (U_k U_{k+g} - U_k U_{k+g})$$

$$\text{with } E_n \text{ as above}$$

Thus,

$$\begin{aligned} & \langle \Psi | \tilde{J}_z(\vec{q}) | \Psi \rangle \\ &= (\gamma_2) \left( -\frac{e\hbar}{mc} \right) \left( \frac{e\hbar}{m} \right) \sum_k \frac{(U_k U_{k+g} - U_k U_{k+g})^2}{E_k + E_{k+g}} \underbrace{\left( \vec{k} \cdot \vec{a}(\vec{q}) \right)^2}_{\frac{p_F^2}{3} \vec{a}(\vec{q})} \end{aligned}$$

$$= -\frac{C}{4\pi} K_1(\theta, 0) \vec{a}(\vec{q})$$

$$K_1(\theta, 0) = -\frac{4\pi}{c} \frac{2e^2 h^2}{m^2 c} \frac{p_F^2}{3} \sum_k \frac{(U_k U_{k+g} - U_k U_{k+g})^2}{E_k + E_{k+g}}$$

3-74.

## Nonlocal Response

$$\text{OR} \quad K_1(q_0, 0) = -\frac{1}{\lambda_L^2(0)} \int_{-\infty}^{\infty} \frac{(U_k U_{k+q} - U_k U_{k+q})^2}{E_k + E_{k+q}} d\xi$$

Thus

$$K(q_0, 0) = \frac{1}{\lambda_L^2(0)} \left\{ 1 - \int_{-\infty}^{\infty} \left( \frac{U_k U_{k+q}}{E_k + E_{k+q}} \right)^2 d\xi \right\}$$

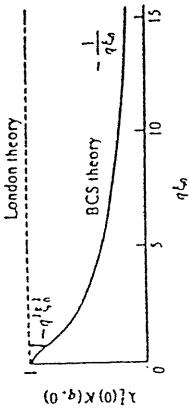


FIGURE 1.11  
Comparison of  $q$ -dependent response of the nonlocal BCS theory with the  $q$ -independent response of the local London theory. In both cases, the curves are drawn for pure metals, with infinite mean free paths.

$$\text{where } \xi_0 \equiv \frac{\hbar U_F}{\pi \Delta(0)}$$

- $q_0 \xi_0 \gg 1$ ,  $K(q_0, 0) \rightarrow K(0, 0) \frac{3\pi}{4 q_0 \xi_0}$

- Normal state, at  $T = 0$

$$\begin{aligned} \Delta(0) &\rightarrow 0 \\ \xi_0 &\rightarrow \infty \end{aligned}$$

- $K(q_0, 0) \rightarrow 0$  for all  $q$

$$\begin{aligned} \vec{J}(\vec{q}) &= -\frac{C}{4\pi} K(\vec{q}) \vec{A}(\vec{q}) \\ \vec{J}(\vec{r}) &= -\frac{3C}{4\pi^2 \xi_0 \lambda_L^2(T)} \int_{R'}^{\infty} \frac{\vec{R} (\vec{R} \cdot \vec{A}(\vec{r}'))}{R'^2} K_\kappa(\vec{R}, T) d^3 r' \end{aligned}$$

$K_R$ : real space Kernel

$$\vec{R} = \vec{r} - \vec{r}'$$

the relation between  $K(q, T)$  &  $K_R(\vec{R}, T)$

$$K(q, T) = -\frac{4}{\xi_0 \lambda_L^2(T)} \int_{R'}^{\infty} \frac{3}{q R} j_i(8R) K_\kappa(R, T) dR$$

Spherical Bessel func.

$$K(R, T) \text{ very close to } e^{-R/\xi_0}$$

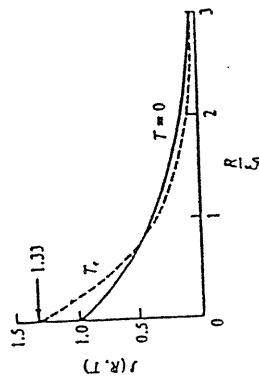


FIGURE 2.13  
Schematic comparison of the BCS range function  $J(R, T)$  at  $T = 0$  and  $T_c$ . Note that the range of nonlocality is reduced by a factor of about 0.75 on going from  $T = 0$  to  $T_c$ .

$\vec{A}(\vec{r}')$  is constant

$$\vec{J}(\vec{r}') \Rightarrow -\frac{C}{4\pi \lambda^2(T)} \vec{A}(\vec{r}') : \text{London form}$$

OR, if we use  $K_R \rightarrow e^{-\beta_0}$

### Impurity Effect

For a dirty metal,  $K(R, T) \propto e^{-R/\lambda}$

to make the e-m response more local.

$\lambda \ll$  spatial variational length scale of  $\vec{A}$

$$\frac{\lambda_L^2(\tau)}{\lambda_{\text{eff}}^2(\tau)} = \frac{K(0, T, \lambda)}{K(0, T, \infty)}$$

$$= \int_0^\infty K_R(R, T) e^{-R/\lambda} dR$$

$$= \boxed{\int_0^\infty K_R(R, T) dR} \quad \lambda,$$

dirty limit :  $\lambda \ll \xi_0$

$$\int_0^\infty K_R(R, T) e^{-R/\lambda} dR \approx K_R(0, T) \left[ e^{-R/\lambda} \right]_0^\infty$$

$$= K_R(0, T) \lambda$$

$$\therefore \lambda_{\text{eff}}(\tau) = \lambda_L(\tau) \left( \frac{\xi_0}{\lambda} \right)^{\lambda} \underbrace{\left[ K_R(0, T) \right]^{\frac{1}{\lambda}}}_{\text{small correction}}$$

$| \leq K_R(0, T) \leq 1.3$

$10^3$  freq. limit

$$\begin{aligned} \lambda_{\text{eff}}(\tau) &= \lambda_L(\tau) \left( 1 + \frac{\xi_0}{\lambda} \right)^{\lambda} \\ &= \lambda_L(\tau) \left( \frac{\xi_0}{\xi_0 + \lambda} \right)^{\lambda} \end{aligned}$$

An improved form

$$\lambda_{\text{eff}}(\tau) = \begin{cases} \lambda_L(\tau) \left( 1 + 0.75 \frac{\xi_0}{\lambda} \right)^{\lambda} & \tau \approx \tau_c \\ \lambda_L(\tau) \left( 1 + \frac{\xi_0}{\lambda} \right)^{\lambda} & \tau \neq 0 \end{cases}$$

3.10.5

Complex Conductivity

From eqn (2.5.1) Complex conductivity  $\sigma$   $\ll \omega$  &  
 $\tau \gg \tau_c$  or Section resist  $\omega \sim \omega_0$   $\approx \tau_B$ .

$$\boxed{\sigma}$$

$$\vec{E} = -\frac{1}{c} \frac{\partial \vec{A}}{\partial t} = -\frac{i\omega}{c} \vec{A}$$

$$\vec{J}(g, \omega, T) = \sigma(g, \omega, T) \vec{E}(g, \omega) = -\frac{c}{4\pi} K(g, \omega, T) \vec{A}(g, \omega)$$

we have

$$\sigma(g, \omega, T) = \frac{ic^2}{4\pi\omega} K(g, \omega, T)$$

small correction

$10^3$  freq. limit

$$\frac{G_2}{G_1} = \frac{\pi \Delta}{4\pi\omega} \tanh \frac{\Delta}{kT} \quad k\omega \ll 2\Delta$$

limiting form

$$\frac{G_2}{G_1} \rightarrow \begin{cases} \frac{\pi\Delta}{\hbar\omega} & T \ll T_c \\ \frac{\pi}{2} \cdot \frac{\Delta^2}{kT\hbar\omega} & T \approx T_c \end{cases}$$

London eq. 9.14.5

$$G_{2L} = \frac{n_s e^2}{m\omega}$$

Note 1.  $n_s \sim \Delta$   $T \ll T_c$

$$n_s \sim \Delta^2 \quad T \approx T_c$$

2nd eq. G.L.  $n_s \approx (T \approx T_c)$

$$\psi \sim \Delta$$

More complete picture of a nonlocal or  $\mathbf{q}$ -dependent response.

질문:  $\sigma_{1c}/\sigma_n$ 은 어떤 양인가?

$R=0$ 의 경우 ( $\Xi$  nonlocal인 경우  $R=0$ 인 경우)  
이론적 limit  $\mathbf{q} \ll \xi$ 인 경우  
 $\Xi \approx R/q$  or  $\Gamma(R, \omega, T) \approx D_{10}^2$ 인 경우  
 $\Xi \approx q\xi \gg 1$ 인 anomalous limit.

3-7B

An explicit form for  $G_2$  at  $T=0$

$$\frac{G_{2S}}{G_1} = \frac{1}{2} \left( 1 + \frac{2\Delta}{\hbar\omega} \right) E(\xi') - \frac{1}{2} \left( 1 - \frac{2\Delta}{\hbar\omega} \right) K(\xi')$$

$$\text{where } \xi' = (1 - \xi'^2)^{1/2}$$

$$R = \left| \frac{2\Delta - \hbar\omega}{2\Delta + \hbar\omega} \right|$$

$$G_{2L} = \frac{n_s e^2}{m\omega}$$

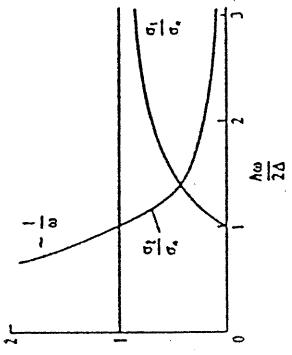


FIGURE 3.14  
Complex conductivity of superconductors in extreme anomalous limit (or extreme dirty limit) at  $T=0$ . The rise of  $\sigma_1$  as  $\omega$  below the gap describes the collective supercurrent response. Its coefficient is proportional to the "mixing area" under the  $\sigma_1(\omega)$  curve at finite frequencies (see the discussion in Sec. 3.9.3).

1.  $G_2 \sim \frac{1}{\omega}$  for  $\hbar\omega \ll 2\Delta$

gap feature

$\Xi$   $K(g, \omega) \sim K(g)$  i.e. indep. of  $\omega$   
freq. independent penetration depth or  
 $\xi$ .

상태원리  $\eta_1$  thin film of substrate  $\eta_1 \propto g$

$$\tau = \left[ \left( 1 + \frac{\sigma_1 d z_0}{n+1} \right)^2 + \left( \frac{\sigma_2 d z_0}{n+1} \right)^2 \right]^{-1}$$

$n$ : index of refraction of the substrate

$$\textcircled{1} \quad T_s \rightarrow 0 \quad \omega \rightarrow 0 \quad A_x \approx \lambda B_0 e^{-z/\lambda}$$

$$\textcircled{2} \quad \hbar\omega \gg 2\Delta \quad \therefore \xi_s \sim \frac{1}{\omega} \sim \infty$$

$$\textcircled{3} \quad \xi_{2s} \rightarrow 0, \quad \xi_{1s} \rightarrow \infty$$

$$S_0 T_s \rightarrow T_n$$

$$\textcircled{4} \quad \text{Observation by Glover and Tinkham}$$

There is a peak in transmission at which  
 $T_c > T_n$

Observation by Glover and Tinkham

: Strong support to an energy-gap model  
 of superconductivity

$\Rightarrow$  Support BCS model.

### 3.11.1.

Preliminary Estimate of  $\lambda$  for Nonlocal case.

$$\overline{\tau}_0^{\text{eff}} \approx \frac{4}{3} \pi \xi_s \quad \text{Pippard's approximation}$$

$$E_s \gg \lambda, \quad \text{i.e., nonlocal electrodynamics}$$

Exponential penetration in nonlocal case

$$h_y \approx B_0 e^{-z/\lambda}$$

$$\textcircled{1} \quad A_x \approx \lambda B_0 e^{-z/\lambda}$$

$$\therefore \overline{A} = \lambda B_0$$

$$\textcircled{2} \quad \parallel - \frac{c}{4\pi\lambda_e^2} \left( \frac{\lambda}{\xi_0} \right) \overline{A} = \frac{c\lambda^2}{4\pi\lambda_e^2} \cdot \frac{B_0}{\xi_0}$$

Maxwell eq. to the surface layer

$$\frac{B_0}{\lambda} \approx |\nabla \times h|$$

$$= \frac{4\pi \tilde{J}}{c} \approx \frac{\lambda^2 B_0}{\lambda_e^2 \xi_0}$$

$$\therefore \lambda \approx (\lambda_e^2 \xi_0)^{1/3}$$

Pippard Superconductor near  $T_c$  effect

$$\textcircled{1} \quad \lambda_L(\tau) > \xi_0 \quad \text{near } T_c$$

$$\therefore \lambda(\tau) \approx \lambda_L(\tau) \sim (\tau_c - \tau)^{-\frac{1}{2}}$$

$$\textcircled{2} \quad \text{low temp.} \quad \lambda_L(\tau) < \xi_0$$

$$\lambda(\tau) \sim (\lambda_e^2 \xi_0)^{1/3} \sim (\tau_c - \tau)^{-\frac{1}{3}}$$

$$\lambda_{L0}/\xi_0 \quad \text{at } T=0 \quad \text{universal function of } \xi_0$$

Universal  $\tilde{\lambda}$  function of  $\xi_0$

### 3.11.2 Solution by Fourier Analysis field penetration problem

3-8L

Extreme anomalous case

$$J \propto A \propto \text{Fourier analysis} \quad \left[ J(q) = -\frac{C}{4\pi} K(q) \alpha(q) \right]$$

Other details in Appendix.

Some case 2

Intermediate approximation  $K(q) \approx$  infinite medium  
on both sides

two limiting cases

Completely diffuse

Completely specular reflection of electrons  
at the surface

Local approximation of  $\tau_{\text{eff}}$

London form, but with a modified  
penetration depth.

$$\lambda_{\text{eff}}(\varrho, T) = \lambda_L(T) (1 + \frac{\xi_0}{\varrho})^{\frac{1}{2}}$$

$$\begin{aligned} T=0 \quad \lambda_{\text{eff}}(\varrho, T) &= \lambda_L(T) (1 + \frac{\xi_0}{\varrho})^{\frac{1}{2}} \\ T \rightarrow T_c &= \lambda_L(T) (1 + 0.75 \frac{\xi_0}{\varrho})^{\frac{1}{2}} \end{aligned}$$

Electrodynamics is completely local  
 $\xi_0 \approx 15 \text{ \AA}$   
Same is true of typical alloy superconductors,

in which the short mean free path occurs  
that  $\xi \approx \varrho \ll \lambda_L$

### Dirty local limit

Nonlocal electrodynamics

1. High Fermi velocity
2. low  $T_c$
3. long mean free path

Such as clean aluminum

Classical pure superconductor  
Penetration depth  $\sim 500\text{ \AA}$  ପ୍ରଦେଶତା

### 3.11.3. Temperature Dependence of $\lambda$

$\frac{\lambda(\tau)}{\lambda(0)}$

- ① non universal temp. dependence ( $\frac{T_c}{T}$ )
- ② if the two fluid model is valid  
then  $\lambda \propto T^2$ . For  $T \rightarrow 0$  there is  
sensitivity change in  $\lambda$  for  $\alpha$ :

- ③ HTSC
- $T \rightarrow 0$  limit with classical pure  
superconductor  $\lambda$  ପ୍ରଦେଶତା

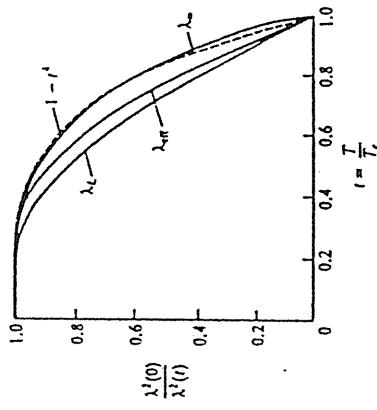
Pure metal in the extreme anomalous limit

$$\frac{\lambda(\tau)}{\lambda(0)} = \left[ \frac{\lambda_L^2(\tau)}{\lambda_L^2(0) \tau^{1/3}} \right]^{1/3} = \left[ \frac{\Delta(\tau)}{\Delta(0)} \tanh \beta \frac{\Delta(\tau)}{2} \right]^{-1/3}$$

$$\frac{\lambda_\infty(\tau)}{\lambda(0)} = \left[ \frac{\lambda_L^2(\tau)}{\lambda_L^2(0) \tau^{1/3}} \right]^{1/3} = \left[ \frac{\Delta(\tau)}{\Delta(0)} \tanh \beta \frac{\Delta(\tau)}{2} \right]^{-1/3}$$

- 3.11.4 Penetration depth in thin film  
 $\lambda_{\text{eff}}$  and  $\lambda_L$

FIGURE 11.5  
Comparison of the predicted temperature dependence for  $1/\lambda^2$  in various limiting cases of the BCS theory. The dashed curve depicts the empirical approximation (3.13).



1) thin film  $\Rightarrow$   $\lambda(T)$   $\neq$  bulk?

2)  $\Delta\lambda$  bulk  $\approx \lambda(T)$   $\Rightarrow$  ?

3)  $\frac{\partial \lambda}{\partial T}$   $\approx$   $\lambda_{10}$  or  $\lambda_{100}$  or  $\lambda_{1000}$ ?

問2.

① Sufficiently thin film  $\nparallel B$   
film  $\parallel B$  field

$\Rightarrow A_x = H_x z$  characteristic of the  
unscreened field.

Supercurrent response of a nonlocal superconductor  
is essentially equivalent to that of a  
local superconductor with

$$\lambda_{eff} \approx \lambda_L (\xi_0/d)^2 \text{ for } d \ll \xi_0$$

② field  $\perp$  film  $d \ll \lambda$

$$\lambda_L \approx \lambda^2/d$$

$$\lambda > \lambda_L$$

current density  $\propto$  fall off

$$\frac{1}{r^2} \text{ rather than exponential } e^{-x/\lambda}$$

### 3.11.5

Measurement of  $\lambda$

earliest exp

large number of colloidal particles  
thin film with a small dimension of comparable

- to  $\lambda$ .
- ② major uncertainty of  $\alpha$ .

Casimir

ac susceptibility technique should be sufficiently  
sensitive to allow the temperature dependent  
change in field penetration  $\lambda(T) - \lambda(0)$  at  
the surface of a single bulk sample to be measured.

mutual inductance bridge operating at 70 Hz,  
but their sensitivity did not allow ...

Pippard -  $10^{10}$  Hz

Pearce et al.

microwave techniques to measure  $\lambda(T)$  change

Resonance frequency of a cavity

Schawlow Delvin

$\lambda(T)$  in  $10^5$  Hz  
BGS not two fluid

- 3-88
3. excited quasi-particle states above the energy gap, and energy gap  $\approx$  6.5 eV
  4. Electron tunneling

Confirming of the electron-phonon mechanism

$$BCS \quad \frac{\lambda(T)}{\lambda(0)} - 1 \sim T^{-\frac{1}{2}} e^{-\Delta(0)/kT}$$

$$\text{gap at NdB} \approx \left(\frac{T}{T_c}\right)^n$$

Muon spin Resonance

: bulk sensitive  $\vec{B}_{ext}$ .

Determine the local magnetic fields in superconductor in the mixed state

$$\lambda(T) \propto$$

① Sensitivity - AC susceptometry.

② lattice thermal motion thermal resistance

$\propto T^3$

This technique has been very useful in studying the high temperature superconductors.

### BASIC Features of the BCS theory

1. Cooper pairing due to a weak, phonon mediated attraction between electrons
2. Nature of the Superconducting ground state
3. Condensation energy

Chakrabarti London and Ginzburg-Landau theory of superconductivity



Microscopic foundation

London limit  
Pippard nonlocal generalization.

BCS

Ultrasonic attenuation and nuclear relaxation processes - 6.5 eV & Giaudron

Coherent factor  $\approx$  10%

### C. Electrodynamics of superconductors.

- absorption due to quasi particle process
- computing the lossless super current response in the presence of a vector-potential

Ginzburg - Landau theory

BCS theory : when  $\Delta$  constant in space

G-L theory :

inhomogeneity present in  $n_c$

local theory

$T \sim T_c$

$\Psi(r) : \text{Complex order parameter } (\sim \Delta(\vec{r}))$

$$|\Psi(\vec{r})|^2 = n_s(\vec{r})$$

$$f(T) = f_{n_0}(T) + \alpha |\Psi|^2 + \frac{\beta}{2} |\Psi|^4 + \frac{1}{2m^*} \left[ (-ik\sigma - \frac{e}{c} \vec{A}) \Psi \right]^2$$

$$+ \frac{h^2}{8\pi}$$

$$f_{n_0}(T) = f_{n_0}(0) - \frac{1}{2} g T^2 \quad (\text{without field})$$

$\Psi$  small at  $T \sim T_c$

$\Psi$  varying slowly in space

$\Rightarrow$  powers of  $\Psi$  excluded  $\rightarrow f$  to be read

" "  $\text{Re}(\Psi)$  excluded

$\hookrightarrow f$  not depends on the absolute phase of  $\Psi$

i) if  $\Psi = 0$

$$f = f_{n_0} + \frac{h^2}{8\pi} \quad \text{normal state free energy}$$

ii) if  $\Psi \neq 0$  without field & without gradients

$$\delta f = f_s - f_n = \alpha |\Psi|^2 + \frac{\beta}{2} |\Psi|^4 \quad (\beta > 0)$$

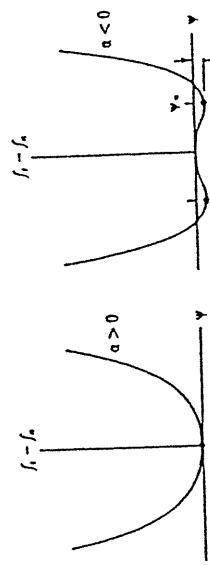
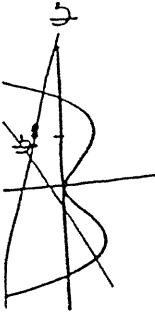


FIGURE 4.1  
Ginzburg-Landau free-energy functions for  $T > T_c$  ( $\alpha > 0$ ) and for  $T < T_c$  ( $\alpha < 0$ ). Heavy dots indicate equilibrium positions. For simplicity,  $\Psi$  has been taken to be real.



$$\frac{\partial}{\partial |\Psi|^2} \delta f = \alpha + \beta |\Psi|^2 = 0$$

$$f - f_n = - \frac{\alpha^2}{2\beta} = - H_c^2 / 8\pi$$

$\alpha(t) = \alpha'(t-1)$  with  $\alpha' > 0$

$H_c(t) \sim 1-t \rightarrow 1-t^2$  more accurately

$|\Psi(t)|^2 \sim 1-t$  near  $T_c$

$\sim n_s$

iii) fields & gradients present:

$$\frac{1}{2m^*} \left[ (-i\hbar \nabla - \frac{e^*}{c} \vec{A}) \Psi_r \right]^2 = \frac{1}{2m^*} \left( i\hbar \nabla \Psi^* - \frac{e^*}{c} \vec{A} \Psi^* \right) \left( -i\hbar \nabla \Psi_r - \frac{e^*}{c} \vec{A} \Psi_r \right)$$

$$= \frac{1}{2m^*} \left( i\hbar e^{-i\phi} \nabla |\Psi_r| + \hbar \Psi^* \nabla \phi - \frac{e^*}{c} \vec{A} \Psi^* \right) \cdot \\ \left( -i\hbar e^{i\phi} \nabla |\Psi_r| + \hbar \Psi_r \nabla \phi - \frac{e^*}{c} \vec{A} \Psi_r \right)$$

$$= \frac{1}{2m^*} \left[ \hbar^2 (\nabla |\Psi_r|)^2 + i\hbar \nabla |\Psi_r| \cdot (\hbar |\Psi_r| \nabla \phi - \frac{e^*}{c} \vec{A} |\Psi_r|) \right.$$

$$\left. - i\hbar \nabla |\Psi_r| \cdot (\hbar |\Psi_r| \nabla \phi - \frac{e^*}{c} \vec{A} (\Psi_r) + (\hbar \nabla \phi - \frac{e^*}{c} \vec{A})^2 |\Psi_r|^2) \right]$$

$$= \frac{1}{2m^*} \left[ \hbar^2 (\nabla |\Psi_r|)^2 + (\hbar \nabla \phi - \frac{e^*}{c} \vec{A})^2 |\Psi_r|^2 \right]$$

$$= \int \left( -i\hbar \nabla - \frac{e^*}{c} \vec{A} \right) \Psi_r^2 d^3r = \int \left( -i\hbar \nabla \Psi_r - \frac{e^*}{c} \vec{A} \Psi_r \right) \cdot \left( i\hbar \nabla \Psi_r^* - \frac{e^*}{c} \vec{A} \Psi_r^* \right) d^3r = \int \left\{ \left( -i\hbar \nabla \Psi_r - \frac{e^*}{c} \vec{A} \Psi_r \right) \cdot i\hbar \nabla \Psi_r^* - \left( i\hbar \nabla \Psi_r^* - \frac{e^*}{c} \vec{A} \Psi_r^* \right) \cdot \right. \\ \left. i\hbar \nabla \Psi_r \right\}$$

Energy associated with gradient in the magnitude of  $\Psi_r$

$$= \frac{1}{2} m^* \nabla_s^2 \cdot n_s$$

G-L differential Equations :

without B.C.

$\rightarrow F$  is minimized by having  $\Psi_r = \Psi_\infty$  everywhere

with Boundary Condition, such as fields, Currents

OR gradients

$\rightarrow \Psi$  adjust itself to minimize  $F$

$$F = \int \left\{ f_{no} + \alpha |\Psi_r|^2 + \frac{\beta}{2} |\Psi_r|^4 + \frac{1}{2m^*} \left( -i\hbar \nabla - \frac{e^*}{c} \vec{A} \right) \Psi_r^2 + \frac{i\hbar^2}{8m} \int d^3r \right\}$$

$$\delta F = 0$$

$$= \int \left( -i\hbar \nabla - \frac{e^*}{c} \vec{A} \right) \Psi_r^2 d^3r = \int \left( -i\hbar \nabla \Psi_r - \frac{e^*}{c} \vec{A} \Psi_r \right) \cdot \left( i\hbar \nabla \Psi_r^* - \frac{e^*}{c} \vec{A} \Psi_r^* \right) d^3r = \int \left\{ \left( -i\hbar \nabla \Psi_r - \frac{e^*}{c} \vec{A} \Psi_r \right) \cdot i\hbar \nabla \Psi_r^* - \left( i\hbar \nabla \Psi_r^* - \frac{e^*}{c} \vec{A} \Psi_r^* \right) \cdot \right. \\ \left. i\hbar \nabla \Psi_r \right\}$$

$$= \int \left\{ \left( -i\hbar \nabla \Psi_r - \frac{e^*}{c} \vec{A} \Psi_r \right) \cdot i\hbar \nabla \Psi_r^* - \left( i\hbar \nabla \Psi_r^* - \frac{e^*}{c} \vec{A} \Psi_r^* \right) \cdot \right. \\ \left. i\hbar \nabla \Psi_r \right\}$$

$$\nabla \cdot \left\{ \left( -i\hbar \nabla \Psi_r - \frac{e^*}{c} \vec{A} \Psi_r \right) i\hbar \Psi_r^* \right\}$$

$$= \left\{ \nabla \cdot \left( -i\hbar \nabla \Psi_r - \frac{e^*}{c} \vec{A} \Psi_r \right) + i\hbar \Psi_r^* \right\}$$

$$+ \left( -i\hbar \nabla \Psi_r - \frac{e^*}{c} \vec{A} \Psi_r \right) \cdot i\hbar \Psi_r^*$$

$$\therefore \int \left( -i\hbar \nabla \psi - \frac{e^*}{c} \vec{A} \psi \right) \cdot i\hbar \nabla \psi^* d\vec{r}$$

$$= \int \left( -i\hbar \nabla \psi - \frac{e^*}{c} \vec{A} \psi \right) i\hbar \nabla \psi^* d\vec{S}$$

$$- \int \nabla \cdot \left( -i\hbar \nabla \psi - \frac{e^*}{c} \vec{A} \psi \right) \times i\hbar \nabla \psi^* d\vec{r}$$

$$\delta F = 0 \quad \rightarrow \quad \frac{\partial F}{\partial \psi^*} \delta \psi^* = 0$$

$$\delta \psi + \beta |\psi|^2 \psi + \frac{1}{2m^*} \left( -i\hbar \nabla - \frac{e^*}{c} \vec{A} \right)^2 \psi = 0$$

$$\overline{\psi} = n_e \frac{e^*}{m^*} (-i\hbar \nabla - \frac{e^*}{c} \vec{A})$$

$$= n_e \frac{e^*}{m^*} \left( -i\hbar \nabla - \frac{e^*}{c} \vec{A} \right)$$

$$\rightarrow \frac{1}{2} \frac{e^*}{m^*} \left\{ \psi^* \left( -i\hbar \nabla \psi \right) - \frac{e^*}{c} \vec{A} \psi^* \psi \right. \\ \left. + \psi^* \left( i\hbar \nabla \psi^* \right) - \frac{e^*}{c} \vec{A} \psi^* \psi^* \right\}$$

$$= \frac{e^* \hbar}{2m^*} \left( \psi^* \nabla \psi - \psi \nabla \psi^* \right) - \frac{e^{*2}}{mc} \psi^* \psi \vec{A}$$

$$\text{OR} \\ = \frac{e^*}{m^*} |\psi|^2 \left( \hbar \nabla \phi - \frac{e}{c} \vec{A} \right)$$

GL eq.

- i) Same form as Schrödinger eq. with eigen value  $-d$ , potential energy  $\beta |\psi|^2$
- ii) the repulsive pot  $\rightarrow$  favors uniform  $|\psi(\vec{r})|$

B.C. to the G-L eq.

i) S-I interface : no current through the interface

$$\left( -i\hbar \nabla - \frac{e^*}{c} \vec{A} \right) \psi \Big|_n = 0$$

ii) S-N interface without current

$$\left( -i\hbar \nabla - \frac{e^*}{c} \vec{A} \right) \psi \Big|_n = \frac{i}{b} \psi$$

$b$ : real constant.

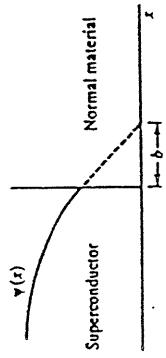


FIGURE 4.2  
Schematic diagram illustrating the boundary condition (4.15a) at an interface characterized by an interface length  $b$ .

Without field  $h = 0$ ,  $\tilde{A} = 0$

Take  $\psi$  real, since the eq. has only real coefficient

$$\frac{d^2}{2\beta} = \frac{H_c^2}{8\pi},$$

$$\text{introduce } f = \frac{\psi}{\psi_\infty} \quad (\psi_\infty^2 = -\frac{\alpha}{\beta} > 0)$$

1D:

$$\alpha \psi + \beta |\psi|^2 \psi - \frac{\hbar^2}{2m^*} \frac{d^2 \psi}{dx^2} = 0$$

$$\text{or } \psi = \frac{1}{|\psi_\infty|} \psi^* + \frac{\hbar^2}{2m^* |\alpha|} \frac{d^2 \psi^*}{dx^2} = 0$$

$$f = f^3 + \xi(\tau) \frac{d^2 f}{dx^2} = 0$$

$$\xi(\tau) \equiv \frac{\hbar^2}{2m^* |\alpha|} \propto \frac{1}{1-t}$$

the characteristic length for variation of  $\psi$

linearized form:  $f(x) = 1 + g(x)$ ,  $g(x) \ll 1$

$$\xi^2 g''(x) + (1+g) - (1+3g+\dots) = 0$$

$$\xi^2 g''(x) = 2g$$

$$\therefore g(x) \sim C \pm \sqrt{2}x/\xi$$

OR

$$\xi(\tau) = \frac{\phi_0}{\sqrt{2 \cdot 2\pi H_c(\tau) \lambda_{\text{eff}}(\tau)}} \cdot \frac{1}{\phi_0}$$

OR

$$H_c(\tau) = \frac{\phi_0}{\sqrt{2 \cdot 2\pi \xi(\tau) \lambda_{\text{eff}}(\tau)}}$$

$$\text{cf. } H_{c_2}(\tau) = \frac{\phi_0}{2\pi \xi^2(\tau)}$$

$$N(0) = \frac{1}{2\pi^2} \left( \frac{2m}{\hbar^2} \right)^{1/2} \epsilon_F^{1/2}$$

$$\xi_0 = \hbar U_F / \pi \Delta(0)$$

$$\frac{H_c^2(0)}{8\pi} = \frac{1}{2} N(0) \Delta^2(0)$$

$$\begin{aligned} H_c^2(0) &= \frac{4\hbar^2}{\pi^3 m \xi_0^2} (3\pi^2 n) \\ &= \frac{12\hbar^2}{\pi m} \frac{mc^2}{\Delta \pi \lambda_L^2(0) e^2} \end{aligned}$$

$$\phi_0 = \left(\frac{2}{3}\right)^{1/2} \pi^2 \xi_0 \lambda_L(0) H_c(0)$$

$$\frac{\xi(\tau)}{\xi_0} = \frac{\pi}{2\sqrt{3}} \cdot \frac{H_c(0) \lambda_L(0)}{H_c(\tau) \lambda_{eff}(\tau)}$$

BCS results

$$H_c(\tau) = 1.73 H_c(0) (1-\tau)$$

$$\lambda_L(\tau) = \lambda_L(0) / [2(1-\tau)]^{1/2}$$

$$\lambda_{eff}(\tau) \Big|_{dirty} = \lambda_L(\tau) \left( \frac{\xi_0}{1.332} \right)^{1/2}$$

Using the BCS results,  $\tau = \frac{\xi_0}{\xi}$

$$\xi(\tau) = \begin{cases} 0.74 \cdot \frac{\xi_0}{\sqrt{1-\tau}} & \text{pure limit} \\ 0.855 \cdot \frac{(\xi_0 \Omega)^{1/2}}{\sqrt{1-\tau}} & \text{dirty limit} \end{cases}$$

Critical Current of a thin wire or film

thin wire or film ( $d \ll \xi(r)$ ):

$|\psi_r| \neq \psi_\infty$  but constant everywhere

$$\psi_r(r) \approx |\psi_r| e^{i\phi(r)}$$

$|\psi_r|$  :  $r$  independent

Then

$$\vec{j}_s = \frac{2e}{m^*} |\psi_r|^2 (\hbar \nabla \phi - \frac{2e}{c} \vec{A}) = 2e |\psi_r|^2 \vec{\nabla} \phi$$

$$f = f_{n_0} + \alpha |\psi_r|^2 + \frac{\beta}{2} |\psi_r|^4 + \underbrace{(\psi_r^2 \frac{1}{2} m^* \vec{U}_s^2 + \frac{\hbar^2}{8\pi c})}_{\text{the corresponding current density}}$$

$$\frac{1}{2m^*} \left| (-i\hbar \nabla - \frac{e^*}{c} \vec{A}) \psi_r \right|^2$$

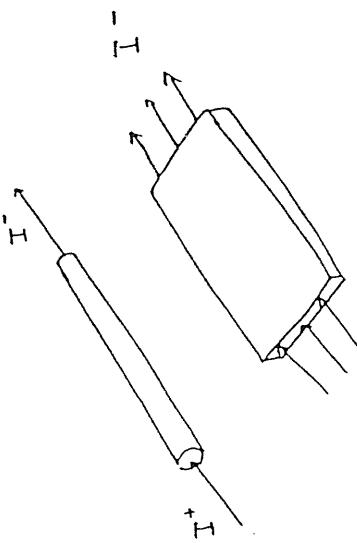


FIG: uniform current density through a thin film  
OR wire.

$$\frac{\hbar^2}{8\pi} \approx \frac{d}{\lambda_1}$$

$|\psi_r|^2$  at optimal value  $\xi$   $\Rightarrow$

$$\begin{aligned} \alpha + \beta |\psi_r|^2 + \frac{1}{2} m^* \vec{U}_s^2 &= 0 \\ -1 + \frac{1}{2} \frac{|\psi_r|^2}{\psi_\infty^2} + \frac{m^* \vec{U}_s^2}{2 |\alpha|} &= 0 \end{aligned}$$

$$\text{or } |\psi_r|^2 = \psi_\infty^2 \left( 1 - \frac{m^* \vec{U}_s^2}{2 |\alpha|} \right)$$

$$= \psi_\infty^2 \left\{ 1 - \left( \xi(r) m^* \vec{U}_s / \hbar \right)^2 \right\}$$

the corresponding current density

$$\begin{aligned} j_s &= 2e |\psi_r|^2 \vec{U}_s \\ &= 2e \psi_\infty^2 \left( 1 - \frac{m^* \vec{U}_s^2}{2 |\alpha|} \right) \vec{U}_s \end{aligned}$$

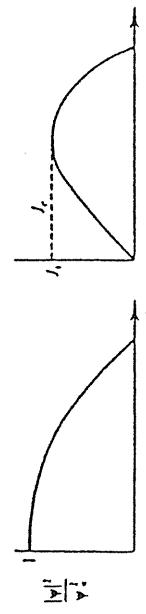


FIGURE 4-4  
Variation of  $|\psi_r|^2$  and of  $j_s$  with the superfluid velocity  $v_s$ .

4-13.

$$\frac{dJ_s}{dU_s} = 0 \quad \therefore \quad 1 - \frac{3}{2} \frac{\pi^* U_s^2}{2 |\alpha|} = 0$$

$$\text{OR} \quad \frac{1}{2} \pi^* U_s^2 = \frac{|\alpha|}{3}$$

$$\therefore \frac{|\Psi_r|^2}{\Psi_\infty^2} = 1 - \frac{\pi^* U_s^2}{2 |\alpha|} = 1 - \frac{1}{3} = \frac{2}{3}$$

$$J_c = 2 e \Psi_\infty^2 \cdot \frac{2}{3} \cdot \left( \frac{2}{3} \frac{|\alpha|}{\pi^*} \right)^{1/2}$$

$$\lambda^2 = \frac{\pi^* c^2}{4\pi |\Psi_r|^2 e^{*2}}$$

$$|\alpha| = \frac{e^{*2}}{\pi^* c^2} H_c^z(\tau) \lambda^2(\tau)$$

$$= \frac{H_c(\tau) c}{3\sqrt{6} \pi \lambda(\tau)} \underbrace{\frac{\Psi_\infty^2}{|\Psi_r|^2}}_{\frac{3}{2}}$$

$$= \frac{H_c(\tau) c}{2\sqrt{6} \pi \lambda(\tau)} \sim (1-t^2) (1-t^4)^{1/2}$$

$$\sim (1-t)^{3/2} \quad \text{near } T_c$$

$J_c \sim (1-t)^{3/2}$  : for a thin film or a wire

" G-L Result "

4-14.

For measurements on films with width  $\geq \lambda$

$J_c$  turns out to much smaller than expected.

- 1. thickness non uniform
- 2. Supercurrent piling up at the edges of the film

< Remedy >

- i) Make the strip narrow enough
- ii) Use a ground plane geometry
- iii) Use a cylindrical film (without edges)

## Flux Quantization

A shift in  $T_c(H)$ ?

A multiply connected superconductor in the presence of a magnetic field.

Bohr-Sommerfeld quantization rule.

$$\oint \vec{p} \cdot d\vec{z} = nh$$

$$\oint (m^* \vec{U}_s + \frac{e^*}{c} \vec{A}) \cdot d\vec{z}$$

$$\xrightarrow{\text{Inside the S.C.}} \frac{e^*}{c} \oint \vec{A} \cdot d\vec{z}$$

$$= \frac{e^*}{c} \Phi$$

$$\therefore \Phi = n \frac{hc}{2e} = n \Phi_0 \quad \text{Quantized}$$

An evidence of

Pairing

$$\Phi_0 = 2.07 \times 10^{-7} G \cdot cm^2$$

From the point of view of G.L theory  $\Psi = |\Psi| e^{i\phi}$

Single-valuedness of complex c.c. order parameter

$$\oint \nabla \phi \cdot d\vec{z} = 2n\pi, \quad \text{Since } m^* \vec{U}_s = \vec{p} - \frac{e^*}{c} \vec{A} = \vec{p} + \nabla \phi - \frac{e^*}{c} \vec{A}$$

$$\oint (m^* \vec{U}_s + \frac{e^*}{c} \vec{A}) \cdot d\vec{z} = 2n\pi \hbar = nh$$

No distinction between  $H$  and the field inside the cylinder

$$\therefore |\Psi| \rightarrow 0, \quad \mathcal{J}_s \rightarrow 0$$

$$\Phi = \pi R^2 H : \text{flux}$$

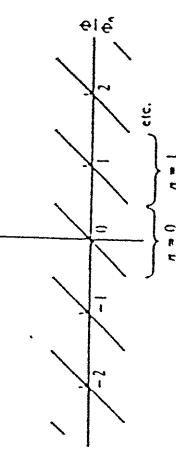
$$\Phi' = \text{fluxoid} = n \Phi_0$$

$$\oint m^* \vec{U}_s \cdot d\vec{z} + \frac{e^*}{c} \Phi = nh$$

$$m^* U_s \cdot 2\pi R$$

$$\begin{aligned} U_s &= \frac{e^*}{m^* c} \frac{\Phi_0}{2\pi R} (n - \frac{\Phi}{\Phi_0}) \\ &= \frac{\hbar}{m^* R} (n - \frac{\Phi}{\Phi_0}) \end{aligned}$$

The energy of the current's minimum, for an integer  $n$  for which  $U_s$  is a minimum.



$$\psi_i \propto -\Delta T_c(\Phi)$$

FIGURE 4.5  
Variation of  $n$  and  $\phi^2$  with flux threading the hollow cylinder in the Little-Parks experiment. The depression of  $T_c$ , and hence the increase in resistance in the actual experiment, is proportional to  $\psi^2$  and thus displays the scalloped shape of the lower curve.

The previous result:

$$|\psi_r|^2 = \psi_\infty^2 \left\{ 1 - \left( \frac{\xi m^* V_s}{h} \right)^2 \right\}$$

the transition at  $|\psi_r|^2 = 0$

$$\begin{aligned} \frac{1}{R^2(T)} &= \left( n - \frac{\psi}{\Phi_0} \right)^2 \sim 1 - \frac{T_c(H)}{T_c} = \frac{\Delta T_c(H)}{T_c} \\ &= \frac{1}{R^2} \left( n - \frac{\psi}{\Phi_0} \right)^2 \sim 1 - \frac{T_c(H)}{T_c} = \frac{\Delta T_c(H)}{T_c} \end{aligned}$$

maximum depression of  $T_c$  at  $n - \frac{\psi}{\Phi_0} = \frac{1}{2}$

$$\frac{\Delta T_c(H)}{T_c} = \begin{cases} 0.55 \frac{\xi^2}{R^2} \left( n - \frac{\psi}{\Phi_0} \right)^2 & : \text{clean} \\ 0.13 \frac{\xi^2 g}{R^2} \left( n - \frac{\psi}{\Phi_0} \right)^2 & : \text{dirty} \end{cases}$$

$$\begin{aligned} (\Delta T_c)_{\text{max}} &\approx 0.8 \times 10^{-3} T_c \approx 3 \text{ mK} \\ \xi_0 &\approx 2 \times 10^{-5} \text{ cm}, \quad g = 10^6 \text{ cm}, \quad R = 7 \times 10^{-5} \text{ cm} \end{aligned}$$

$H_c^{II}$  of thin films:

$d < \lambda$  : neglect screening  
 $|\psi_r|$  and  $\psi$  constant

$$A_g(x) = \int_0^x h(x') dx' \approx Hx$$

applied field

$$\vec{V}_s = \frac{1}{m^*} \left( -\frac{e^*}{c} \vec{A} \right) = -\frac{2e}{m^* c} H \hat{x}$$

Gibbs free energy per unit area of film

$$\begin{aligned} G &= \int_{-d/2}^{d/2} \left( f - \frac{hH}{4\pi} \right) dx \\ &= \int_{-d/2}^{d/2} \left\{ f_{no} + \alpha |\psi_r|^2 + \frac{\beta}{2} |\psi_r|^4 + \frac{h^2}{2} \left( \frac{2eHx}{m^* c} \right)^2 \right\} dx + \frac{H^2}{8\pi} + \int_{-d/2}^{d/2} \frac{(h-H)^2}{8\pi} dx \\ &= d \left( f_{no} + \alpha |\psi_r|^2 + \frac{\beta}{2} |\psi_r|^4 - \frac{H^2}{8\pi} \right) + \frac{e^2 \beta H^2}{6m^* c^2} |\psi_r|^2 + \int_{-d/2}^{d/2} \frac{(h-H)^2}{8\pi} dx \end{aligned}$$

$h = H$  in weak screening limit

$$\frac{dG}{d|\psi_r|^2} = 0$$

$$\therefore d + \beta |\psi_r|^2 + \frac{e^2 d^3 H^2}{6m^* c^2} = 0$$

#### 4.6.1. Thick film

$$|\Psi_1|^2 = \frac{|\alpha_1|}{\beta} - \frac{e^2 d^2 H^2}{6 m^* c^2 \beta}$$

$$\begin{aligned} &= \Psi_\infty^2 \left( 1 - \frac{e^2 d^2 H^2}{6 m^* c^2 \beta} \Psi_\infty^2 \right) \\ &= \Psi_\infty^2 \left( 1 - \frac{e^2 d^2 H^2}{6 m^* c^2} \frac{m^* c^4}{4\pi e^4 H_c^2 \lambda^4} \cdot \frac{4\pi e^2 \lambda^2}{m^* c^2} \right) \\ &= \Psi_\infty^2 \left( 1 - \frac{d^2 H^2}{24 H_c^2 \lambda^2} \right) \end{aligned}$$

Critical field,  $|\Psi_1|^2 = 0$

$$H_c'' = \left( \frac{24 H_c^2 \lambda^2}{d^2} \right)^{1/2} = 2\sqrt{6} H_c \lambda / d \gg H_c$$

$$\frac{|\Psi_1|^2}{\Psi_\infty^2} = 1 - \frac{H^2}{(H_c'')^2} : 2^{\text{nd}} \text{ order transition}$$

° Energy gap (or  $|\Psi_1| \rightarrow 0$  on  $\bar{x} \rightarrow H$ )

연속으로 줄어드는

- Early electron tunneling experiment on  $\bar{x} \rightarrow H$

Confirm  $\Sigma_1$ .

◦  $\bar{x} \rightarrow \bar{x}$  실수

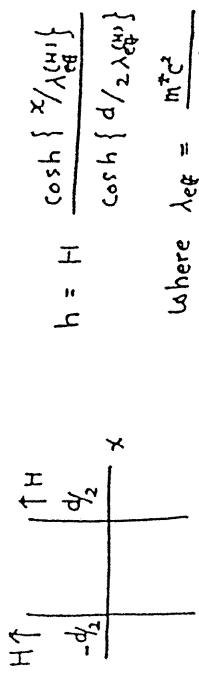
$H \approx 0.95 H_{c1}$  on  $\bar{x}$  gapless  $\rightarrow$  SC  
gap  $\equiv$  헤일드 orbit. Gapeless Superconductor

Abrikosov & Gorkov  $\rightarrow$  헤일드 모양

Time-reversal invariant perturbation (typically magnetic)

2nd order phase transition on  $\bar{x} \rightarrow H$ :

- Screening present:  $d \gtrsim \lambda$
- So long as  $d \ll \frac{\lambda}{2}$ ,  $|\Psi_1| \sim \text{constant over the film}$



$$\begin{aligned} &A_y = \int h(x') dx' \\ &= H \lambda_{\text{eff}}(H) \frac{\sinh \left\{ \frac{x}{\lambda_{\text{eff}}(H)} \right\}}{\cosh \left\{ d/2 \lambda_{\text{eff}}(H) \right\}} \end{aligned}$$

$$\frac{dG}{d|\Psi_1|^2} = 0$$

$$d \left( d + \beta (|\Psi_1|^2) + \frac{2e^2 H^2 \lambda^2}{m^* c^2} \right) + \underbrace{\frac{\lambda_{\text{eff}}^2 \sinh^2 \left\{ \frac{x}{\lambda_{\text{eff}}(H)} \right\}}{\cosh^2 \left\{ d/2 \lambda_{\text{eff}}(H) \right\}}}_{\text{d}x = 0}$$

$$\frac{\lambda_{\text{eff}}^2 \sinh \left( \frac{d}{\lambda_{\text{eff}}} \right) - \frac{d}{2}}{\cosh^2 \left\{ d/2 \lambda_{\text{eff}}(H) \right\}}$$

Get minimum  $\bar{x} \rightarrow H$ .

2-dimension of maximum

$$d_{\max, \text{2d-order}} = \sqrt{5} \lambda$$

4.7. The Linearized GL equation.  
Nucleation in Bulk Samples :  $H_c$

$$\Psi^2 = -\frac{\alpha}{\beta}.$$

$$\therefore \beta |\Psi|^2 \Psi \sim \alpha \Psi \quad \text{when } \Psi \approx \Psi_\infty$$

Linearized GL eq. :

$\Psi$  reduced much from  $\Psi_\infty$  due to magnetic field.

$$\alpha \Psi + \beta |\Psi|^2 \Psi + \frac{1}{2m^*} (-i\hbar \nabla - \frac{e^*}{c} \vec{A})^2 \Psi = 0$$

$$\text{OR}$$

$$\left( -i\nabla - \frac{2\pi \vec{A}}{\Phi_0} \right)^2 \Psi = -\frac{2m^* \epsilon}{\hbar^2} \Psi$$

$$= \frac{\Psi}{\xi^2(\tau)}$$

Schrödinger eq. of a free particle of mass  $m^*$ ,  
charge  $e^*$  in a field  $\vec{h} = \nabla \times \vec{A}$ , with  
sol., the energy eigenvalue.

Put  $\vec{A} = \vec{A}_{ext}$ , Since screening effect  $\sim |\Psi|^2$

$$\vec{J} = e^* |\Psi|^2 \vec{V}_s$$

decoupled from the 1st eq.

which governs  $\Psi$

Consider a bulk (infinite) S.C. :

$$\vec{H} = H \hat{z}$$

$$\Psi = Hx, \quad Ax = \Delta z = 0$$

$$\left( -i\nabla - \frac{2\pi \vec{A}}{\Phi_0} \right)^2 \Psi = \frac{\Psi}{\xi^2(\tau)}$$

$$- \frac{\partial^2 \Psi}{\partial x^2} - \frac{\partial^2 \Psi}{\partial z^2} + \left( -i \frac{\partial}{\partial y} - \frac{2\pi Hx}{\Phi_0} \right)^2 \Psi = \frac{\Psi}{\xi^2(\tau)}$$

$$\text{OR}$$

$$\left\{ -\nabla^2 + \frac{4\pi i}{\Phi_0} Hx \frac{\partial}{\partial y} + \left( \frac{2\pi H}{\Phi_0} \right)^2 x^2 \right\} \Psi = \frac{\Psi}{\xi^2(\tau)}$$

Since the effective Pot. depends only on  $x$ ,

$$\Psi = e^{i k_y y} \cdot e^{i k_z z} f(x)$$

then

$$\begin{aligned} & -f''(x) + k_z^2 f(x) + \left( k_y - \frac{2\pi H x}{\Phi_0} \right)^2 f(x) = \frac{f(x)}{\xi^2} \\ \text{OR} \quad & -f''(x) + \left( \frac{2\pi H}{\Phi_0} \right)^2 (x - \frac{\Phi_0 k_y}{2\pi H})^2 f(x) = \left( \frac{1}{\xi^2} - k_z^2 \right) f(x) \end{aligned}$$

$$-\frac{f''(x)}{2m} + \left(\frac{2\pi H}{\phi_0}\right)^2 \left(x - \frac{\phi_0}{2\pi H} R_z\right)^2 f(x) = \left(\frac{1}{\xi^2} - \frac{R_z^2}{\xi^2}\right) f(x)$$

Therefore

$$\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \psi_0 + \left(\frac{2\pi H}{\phi_0}\right)^2 \left(x - \frac{\phi_0}{2\pi H} R_z\right)^2 \psi_0 = \left(\frac{1}{\xi^2} - \frac{R_z^2}{\xi^2}\right) \psi_0$$

Schrödinger e.g. of charged particle of mass  $m^*$   
in a magnetic field  $\rightarrow$  Landau levels.

c.f. Schrödinger e.g. for a harmonic Osc.

$$-\frac{\hbar^2}{2m^*} \nabla^2 \psi + \frac{1}{2} R_z x^2 \psi = E \psi$$

$$\frac{\hbar^2}{2m^*} \left(\frac{2\pi H}{\phi_0}\right)^2 \leftrightarrow \frac{1}{2} R_z = \frac{1}{2} m^* \omega_c^2$$

$$\omega_c = \frac{2\pi H}{\phi_0 m^*} = \frac{e^2 H}{m^* c}$$

the eigenvalue

$$\epsilon_n = \left(n + \frac{1}{2}\right) \hbar \omega_c$$

$$\begin{aligned} &= \left(n + \frac{1}{2}\right) \hbar \left(\frac{e^2 H}{m^* c}\right) \\ &= \left(\frac{1}{\xi^2} - \frac{R_z^2}{\xi^2}\right) \frac{\hbar^2}{2m^*} \end{aligned}$$

$$\begin{aligned} H &= \frac{\hbar c}{2(2e)(n + \frac{1}{2})} \left(\frac{1}{\xi^2} - \frac{R_z^2}{\xi^2}\right) \\ &= \frac{\phi_0}{4\pi(n + \frac{1}{2})} \left(\frac{1}{\xi^2} - \frac{R_z^2}{\xi^2}\right) \end{aligned}$$

$H_{c_2}$   $\rightarrow$   $\begin{cases} R_z = 0 \\ n = 0 \end{cases}$

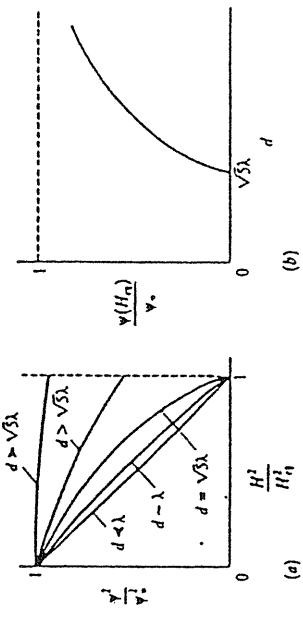
$H_{\max}$  allowed for a superconducting state.

$$H_{c_2} = \frac{\phi_0}{2\pi \xi^2}$$

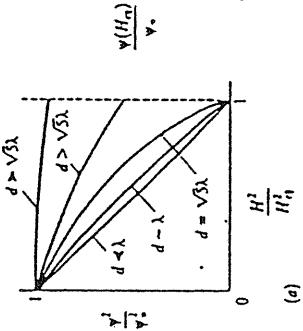
The highest field at which S.C. can nucleate in the interior of a large sample

$$= \sqrt{2} K H_c$$

$$H_c = \frac{\phi_0}{\sqrt{2} 2\pi \xi^2} \quad K = \frac{\Delta}{\xi}$$



(a)



(b)

FIGURE 4.6  
Dependence of  $\psi$  on the magnetic field for various film thicknesses. The size of the discontinuity of  $\psi$  at the first-order transition for thicknesses  $d > \sqrt{\lambda}$  is shown in (b). It is assumed that  $d \ll \xi(T)$  throughout.

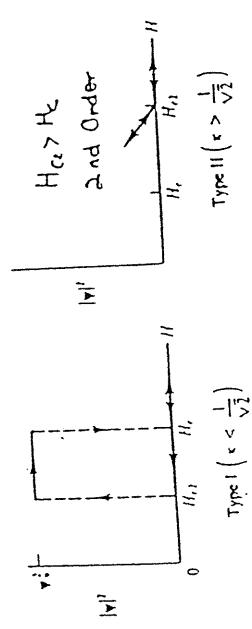


FIGURE 4.7  
Contrast of behavior of order parameter at  $H_{c2}$ , in type I and type II superconductors. Note hysteretic behavior with type I and reversible behavior with type II.

Type I.  $H_{c2} < H_c$  ( $\kappa < \frac{1}{\sqrt{2}}$ )

Supercooling below  $H_c$

1st order phase transition.

### Surface Superconductivity

Corresponding eigenfunction (with  $\kappa_z = 0$ )

$$-f''(x) + \left(\frac{2\pi H}{\phi_0}\right)^2 (x - x_0)^2 f(x) = \frac{1}{\xi^2} f(x)$$

$$-f''(x) + \frac{(x - x_0)^2}{\xi^4} f(x) = \frac{1}{\xi^2} f(x)$$

$$H = \frac{\phi_0}{2\pi\xi^2}$$

$$f(x) = \exp \left\{ -\frac{(x - x_0)^2}{2\xi^2(\tau)} \right\}$$

$$f'(x) = -\frac{(x - x_0)^2}{\xi^2(\tau)} f(x)$$

$$f'' = -\frac{1}{\xi^2} f(x) + \frac{(x - x_0)^2}{\xi^4} f(x)$$

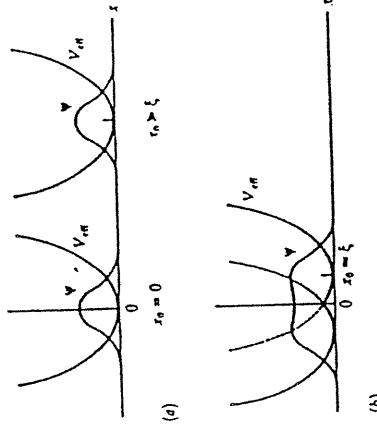
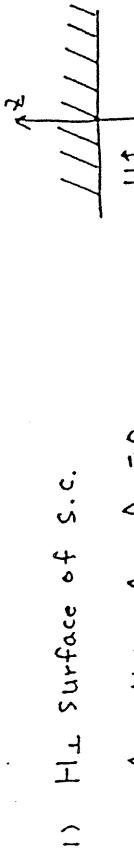


FIGURE 4.8  
(a) Surface and interior nucleation at  $H_{c2}$ , (b) Surface nucleation at  $H_c$ .

$$\text{Linearized GL eq: } \left( -i\vec{\nabla} - \frac{2\pi \vec{A}}{\phi_0} \right)^2 \psi = \frac{\psi}{\xi^2}$$

$$\text{B.C. } \left( -i\vec{\nabla} - \frac{e^* \vec{A}}{c} \right) \psi \Big|_n = 0$$



$$- \frac{\partial^2 \psi}{\partial x^2} - \frac{\partial^2 \psi}{\partial y^2} + \left( -i \frac{\partial}{\partial y} - \frac{2\pi H x}{\phi_0} \right)^2 \psi = \frac{\psi}{\xi^2}$$

$$\text{b.c. } \frac{\partial \psi}{\partial z} = 0 \quad \text{at } z = 0$$

Solution  $\psi \sim r(x) \sim \cos kx$

$$-f''(x) + R_\epsilon^2 f(x) + \left(\frac{\phi_0}{\xi^2} - \frac{2\pi H x}{\phi_0}\right)^2 f(x) = \frac{f}{\xi^2}$$

the same form as the previous one.

$$H = \frac{\phi_0}{4\pi(n+\frac{1}{2})} \left( \frac{1}{\xi^2} - \frac{k_\epsilon^2}{\xi^2} \right)$$

$$H_{c_3} = H \quad (\rho_\epsilon = 0, n=0) = \frac{\phi_0}{2\pi\xi^2} = H_{c_2}$$

Hence, for a field  $\perp$  to the boundary, the presence of the surface does not modify the nucleation field.

2)  $H \parallel$  Surface of S.C.

$$A_y = Hx, \quad A_x = A_z = 0$$

$$\text{b.c.} \quad \frac{\partial \Psi}{\partial x} = 0 \quad \text{at} \quad x=0$$

$$f''(x) + \left(\frac{2\pi H}{\phi_0}\right)^2 (x-x_0)^2 f(x) = \left(\frac{1}{\xi^2} - \frac{k_\epsilon^2}{\xi^2}\right) f$$

$$= \frac{1}{\xi^2} f \quad k_\epsilon^2 = 0 \quad \text{for}$$

lowest eigenvalue

.  $\Psi_{\text{eff}}$  : broader lowest eigenvalue

$$H_{c_3} = 1.695 H_{c_2}$$

Remarks.

- i) Normal metal plating the S.C. surface  
→ suppress the surface S.C.

$$\rightarrow \begin{array}{l} \text{Pairs formed at the surface diffuse} \\ \text{into the normal layer} \end{array}$$

- ii) Type I w/o metal plating

$$H_{c_3} \rightarrow \text{Supercooling field}$$

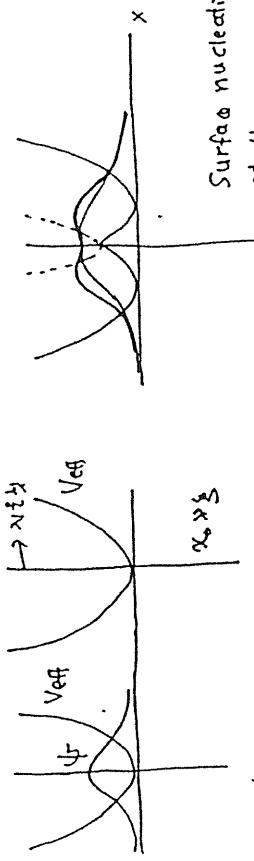
Type II mat. without metal plating

$$H_{c_3} \rightarrow \text{Condensation field}$$

$$\begin{array}{c} H \parallel z \quad \vec{A} = A_y^* \\ A_x = A_n = 0 \end{array} \rightarrow \infty$$

$\Rightarrow$  Insulator interface  $\gamma_1$  &  $\gamma_2$   
 $H_{c_3}$  is on  $\gamma_1 \gamma_2 \gamma_3$ :

$$\left( \frac{\nabla}{i} - \frac{2\pi \vec{A}}{\xi_0} \right) \Psi \Big|_{\text{Surface}} = 0 \quad \therefore \quad \frac{\partial \Psi}{\partial x} \Big|_{\text{Surface}} = \frac{d\Psi}{dx} \Big|_{\text{Surface}} = 0$$



$$\text{Surface nucleation at } H_{c_3} \quad H_{c_3} = \frac{1}{0.5q} H_{c_2} = 1.695 H_{c_2} = 1.695 \left( \frac{2\pi k}{\xi_0} \right)$$

Variational Approach to find  $H_{c3}$ : Variation of  $\Psi$ .

$$\text{trial func. } \Psi = e^{-\alpha x^2} e^{i k_3 z}, \quad \frac{\delta \Psi}{\delta x} = 0$$

B.C. is automatically satisfied at  $x=0$

$\alpha$  and  $k_3$  to be determined to minimize  $G$

$$\begin{aligned} G - G_n &= \int_0^\infty \left\{ d | \Psi |^2 + \frac{1}{2m^*} \left| (-i\hbar \nabla - \frac{e^*}{c} \vec{A}) \Psi \right|^2 \right\} dx \\ &= \frac{\hbar^2}{2m^*} \int_0^\infty \left\{ -\frac{1}{\xi^2} |\Psi|^2 + \left( (-i\nabla - \frac{2\pi}{\Phi_0} \vec{A}) \Psi \right)^2 \right\} dx \end{aligned}$$

$$A_x = A_z = 0, \quad A_y = Hx$$

$$\begin{aligned} &\left| (-i\nabla - \frac{2\pi}{\Phi_0} \vec{A}) \Psi \right|^2 \\ &= \left| \frac{\partial \Psi}{\partial x} \right|^2 + \left| \frac{\partial \Psi}{\partial z} \right|^2 + \left| i \frac{\partial \Psi}{\partial y} + \frac{2\pi A_y}{\Phi_0} \Psi \right|^2 \end{aligned}$$

$$G - G_n = \frac{\hbar^2}{2m^*} \int_0^\infty \left\{ -\frac{1}{\xi^2} + (2\alpha x)^2 + \left( E_y - \frac{2\pi Hx}{\Phi_0} \right)^2 \right\} e^{-2\alpha x^2} dx$$

$$\left( \frac{2\pi H}{\Phi_0} \right)^2 \left( x - \frac{\Phi_0 k_y}{2\pi H} \right)^2$$

$$= \left( \frac{2\pi H}{\Phi_0} \right)^2 (x - x_0)^2$$

$$= \frac{\hbar^2}{2m^*} \left[ \left( \frac{\pi}{2\alpha} \right)^2 \left\{ -\frac{1}{2\xi^2} + \frac{\alpha}{2} + \left( \frac{2\pi H}{\Phi_0} \right)^2 \left( \frac{1}{8\alpha} + \frac{x_0^2}{2} \right) \right\} \right.$$

$$\left. + \left( \frac{2\pi H}{\Phi_0} \right)^2 \left( -\frac{x_0}{2\alpha} \right) \right]$$

$$\delta(G - G_n) = \frac{2}{\delta \alpha} (G - G_n) \delta \alpha = 0$$

$$\left( \frac{\pi}{2\alpha} \right)^2 \left( -\frac{1}{2\xi^2} + \frac{\alpha}{2} + \left( \frac{2\pi H}{\Phi_0} \right)^2 \left( \frac{1}{8\alpha} + \frac{x_0^2}{2} \right) \right)$$

$$+ \left( \frac{\pi}{2\alpha} \right)^2 \left\{ \frac{1}{2} + \left( \frac{2\pi H}{\Phi_0} \right)^2 \left( -\frac{1}{8\alpha^2} \right) \right\} + \left( \frac{2\pi H}{\Phi_0} \right)^2 \cdot \frac{x_0}{2\alpha^2} = 0$$

OR

$$\frac{1}{\xi^2} + \alpha - \left( \frac{\pi H}{\Phi_0} \right)^2 \left\{ \frac{3}{\alpha} + 4x_0^2 - 8\left(\frac{2}{\pi}\right)^2 \frac{x_0}{\alpha^2} \right\} = 0 \quad \dots \quad \Theta$$

$$0 \leq \xi \leq H_{c3} \quad \text{and} \quad G - G_n = 0$$

$$-\frac{1}{\xi^2} + \alpha + \left( \frac{\pi H}{\Phi_0} \right)^2 \left( \frac{1}{\alpha} + 4x_0^2 - \frac{4x_0}{\alpha^2} \left( \frac{2}{\pi} \right)^2 \right) = 0 \quad \dots \quad \Theta$$

$$\text{From } \Theta \text{ and } \Theta, \text{ we obtain}$$

$$\begin{aligned} \alpha &= \frac{1}{2\xi^2} \\ x_0 &= \frac{\xi}{\sqrt{\pi}} \\ \rightarrow H_{c3} &= \left( \frac{\pi}{\pi-2} \right)^{1/2} \frac{\Phi_0}{2\pi\xi} \\ &= 1.16 H_{c2} \end{aligned}$$

Angular Dependence of Nucleation Critical

$\psi = \psi(z)$ , then

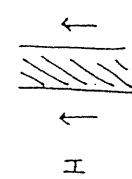
Field in thin film:

$$-\frac{1}{\xi^2} \psi - \frac{d^2 \psi}{dz^2} + \left( \frac{2\pi H}{\phi_0} \right)^2 (x \cos \theta - z \sin \theta)^2 \psi = 0$$

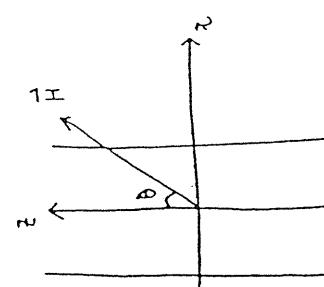
$$H_{c_L} = H_{c_z}$$

$$( = \int_d K(d) H_c )$$

$$H_{c_{||}} = 2\sqrt{\epsilon} H_c \cdot \frac{\lambda}{d}$$



$\gg H_{c_{||}}$ , Very thin films



$$A_y = H (x \cos \theta - z \sin \theta)$$

linearized GL eq.:

$$\left( -i \nabla - \frac{2\pi \vec{A}}{\phi_0} \right)^2 \psi = \frac{\psi}{\xi^2}$$

$$B.C. \quad \left( -i \nabla - \frac{2\pi \vec{A}}{\phi_0} \right) \psi \Big|_n = 0$$

$\psi$  : independent of  $y$  (i.e.  $y$  not in the diff. eq.)

$\psi$  is independent of  $x$  for  $d \ll \lambda$ , the b.c. is atomically satisfied.

Critical field  $\leftrightarrow n=0$

$$\frac{1}{\xi^2} - \left( \frac{\pi H d \cos \theta}{\sqrt{3} \phi_0} \right)^2 = \frac{2\pi H \sin \theta}{\phi_0} \dots \textcircled{2}$$

$$\begin{aligned} & - \frac{d^2 \psi}{dz^2} + \left( \frac{2\pi H \sin \theta}{\phi_0} \right)^2 z^2 \psi = \left\{ \frac{1}{\xi^2} + \left( \frac{2\pi H}{\phi_0} \right)^2 \left( 2 \langle x \rangle \sin \theta \cos \theta \right. \right. \\ & \quad \left. \left. - \langle x^2 \rangle \cos^2 \theta \right) \right\} \psi \dots \textcircled{1} \\ & = \left\{ \frac{1}{\xi^2} - \left( \frac{2\pi H}{\phi_0} \right)^2 \cdot \frac{d^2}{z^2} \cos^2 \theta \right\} \psi \dots \textcircled{1} \\ & \omega_c = \frac{2\pi \hbar H \sin \theta}{m^* \phi_0} \\ & \epsilon_n = (n + \frac{1}{2}) \hbar \omega_c = (n + \frac{1}{2}) \frac{2\pi \hbar^2 H \sin \theta}{m^* \phi_0} \\ & = \left\{ \frac{1}{\xi^2} - \left( \frac{\pi H d \cos \theta}{\sqrt{3} \phi_0} \right)^2 \right\} \frac{\hbar^2}{2m^*} \end{aligned}$$

(2)  $\rightarrow$  (1)

$$-\frac{d^2\psi}{dz^2} + \left(\frac{2\pi H \sin\theta}{\phi_0}\right)^2 z^2 \psi = \left(\frac{2\pi H \sin\theta}{\phi_0}\right) \psi$$

$$\psi \sim \exp\left(-\frac{\pi H z^2 \sin\theta}{\phi_0}\right)$$

$$\frac{d\psi}{dz} \sim -\frac{2\pi H z \sin\theta}{\phi_0} \psi$$

$$\frac{d^2\psi}{dz^2} \sim -\frac{2\pi H \sin\theta}{\phi_0} \psi + \left(\frac{2\pi H z \sin\theta}{\phi_0}\right)^2 \psi$$

In eq (2),

$$\text{using } H_{c_2} = H_{c_L} = \phi_0 / 2\pi \xi$$

$$\frac{2\pi H_{c_L}}{\phi_0} - \left(\frac{\pi H d \cos\theta}{\sqrt{3} \phi_0}\right)^2 = \frac{2\pi H \sin\theta}{\phi_0}$$

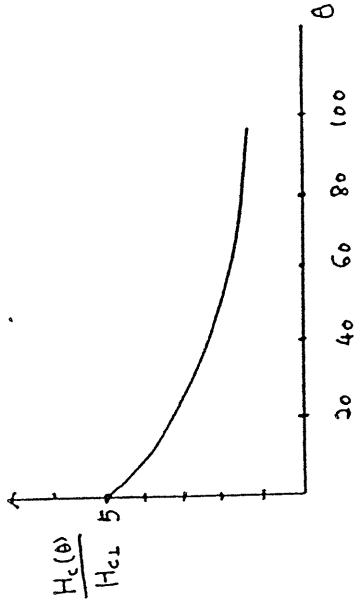
$$\text{or } H_{c_L} - \frac{\pi H^2 d^2 \cos^2\theta}{6 \phi_0} = H \sin\theta$$

$$\therefore H_{c_L} - \frac{\pi H^2 c_{LH}^2 d^2}{6 \phi_0} = 0 \rightarrow \phi_0 = \frac{\pi d^2 H_{c_L}^2}{6 H \omega}$$

$$H_{c_L} = H_c^2 \cos^2\theta \cdot \frac{H_{c_L}}{H_{c_H}} = H_c \sin\theta$$

$$\text{OR } \frac{H_c(\theta) \sin\theta}{H_{c_L}} + \left(\frac{H_c(\theta) \cos\theta}{H_{c_H}}\right)^2 = 1$$

$$\text{Suppose } \frac{H_{c_H}}{H_{c_L}} = 5$$



$$H_c(\theta) \sin\theta \over H_{c_L} + \left(H_c(\theta) \cos\theta \over H_{c_H}\right)^2 = 1$$

## Nucleation in Thicker Films :

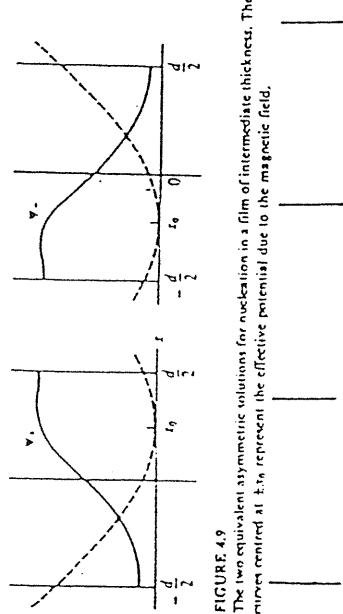


FIGURE 4.9  
The two equivalent asymmetric solutions for nucleation in a film of intermediate thickness. The dashed lines represent the effective potential due to the magnetic field.

$$Q_{\text{eff}} \approx d \ll \xi \quad \alpha \text{ is } \psi_L \quad \chi_m \quad Q_{\text{eff}} \approx \alpha \psi_L$$

$$\int \frac{dV}{dx} \approx \frac{H_0}{\mu_0} \approx \frac{2\pi \alpha}{d} \quad \chi \approx \frac{\alpha}{d} \quad H_0 \approx \frac{2\pi \alpha}{d} \cdot \frac{H_0}{\mu_0}$$

$$1 + C \cdot \cos \frac{2\pi x}{d}$$

$$\frac{d\psi}{dx} = 0 \quad \text{at} \quad x = \pm \frac{d}{2}$$

Orignal variational method ?  $H_{c1} \approx H_0 \pm \frac{C}{2}$

$$H_{c1} = \frac{2 \sqrt{\epsilon} H_0 \lambda}{d} \quad \left( 1 + \frac{q_d^2}{4C^2} \right)$$

$$d < d_c \quad 0.10 \quad \text{lowest solution :} \quad \chi_c = 0 \quad (\beta_q = 0) \text{ min} \\ \text{at } x = 0$$

$d > d_c$  numerical calculation ??  $\psi(x)$

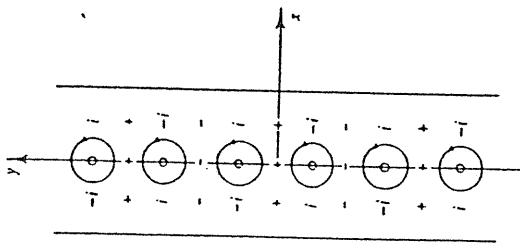


FIGURE 4.10  
Vortex pattern in superconducting film of intermediate thickness set up by superposition of the two asymmetric solutions of FIG. 4.9. Notations  $\pm i$  denote phase factor  $e^{i\phi}$ . Arrows indicate  $\nabla\phi$ , to which  $\beta_q$  is proportional.

$$d \gtrsim d_c, \quad \text{for} \quad H \leq H_{c3}$$

$$\psi = \psi_+ + \psi_- : \text{due to interference of}$$

two solution

$$= e^{i\beta_q y} f(x) + e^{-i\beta_q y} f(-x) \\ = \cos \beta_q y \{ f(x) + f(-x) \} + i \sin \beta_q y \{ f(x) - f(-x) \}$$

nodes along the midplane ( $x=0$ ) , at intervals

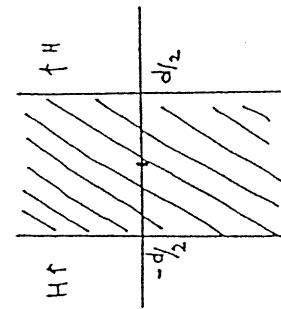
$$\Delta y = \frac{\pi}{\beta_q y} = \frac{\Phi_0}{2x_0 H} \approx \frac{\Phi_0}{H(d-d_c)}$$

Thicker film:

- Screening Present:  $d \gg \lambda$
- So long as  $d \ll \xi$ ,  $|\psi_1| \sim$  constant over the film

$$h = H \frac{\cosh \left\{ x / \lambda_{\text{eff}}(H) \right\}}{\cosh \left\{ d / 2 \lambda_{\text{eff}}(H) \right\}}$$

$$\text{where } \lambda_{\text{eff}} = \frac{\pi^2 c^2}{4 \pi e^2 |\psi_1|}$$



$$A_y = \int h(x) dx$$

$$= H \lambda_{\text{eff}}(H) \frac{\sinh \left\{ x / \lambda_{\text{eff}}(H) \right\}}{\cosh \left\{ d / 2 \lambda_{\text{eff}}(H) \right\}}$$

$$\frac{dG}{d|\psi|^2} = 0 \quad \text{then } (4-49)$$

$$G = \int_{-d/2}^{d/2} (f - Hh/4\pi) dx$$

$$0 = d(x + \beta |\psi|^2) + \frac{2e^2 H \lambda_{\text{eff}}^2}{m^2 c^2} \left\{ \frac{\sinh^2 \left\{ x / \lambda_{\text{eff}}(H) \right\}}{\cosh^2 \left\{ d / 2 \lambda_{\text{eff}}(H) \right\}} - \frac{\lambda_{\text{eff}} \sinh \left( \frac{d}{\lambda_{\text{eff}}} \right)}{2} \right\} - d/2$$

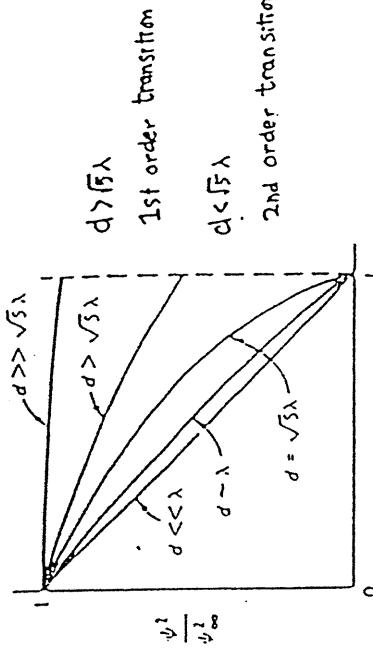
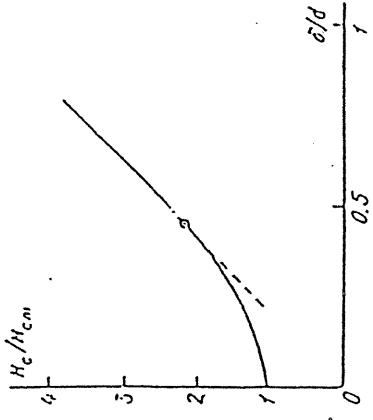
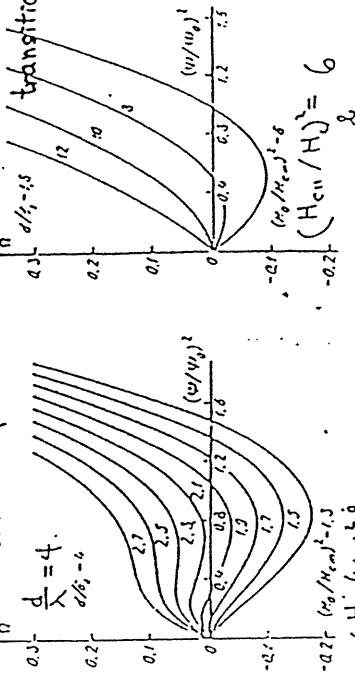


Fig. 108.



1st order phase transition,  $d/\lambda = 1.6$  2nd order phase transition.



1st order phase transition,  $d/\lambda = 1.6$  2nd order phase transition.

Fig. 109.

Abrikosov Vortex state at  $H_{c2}$ .

≡ infinite # of interior solutions at  $H_{c2}$ ,  
of the form

$$\begin{aligned}\psi_k &= e^{ik_y y} f(x) \\ &= e^{ik_y y} e^{-(x-x_k)^2/2\xi^2}\end{aligned}$$

with  $x_k = \frac{k\phi}{2\pi H}$

- Each representing a gaussian slice of S.C.  
at the plane  $x = x_k$
- all giving the same  $H_{c2}$ .
- expect a crystalline array of vortices.

$$\begin{aligned}K_n &= n\phi \quad \rightarrow \quad \Delta y = \frac{2\pi}{\phi} \\ \chi_n &= \frac{k_n \phi}{2\pi H} = \frac{n\phi}{2\pi H} \quad \rightarrow \quad \Delta x = \frac{\phi}{2\pi H}\end{aligned}$$

$$\therefore \Delta x \cdot \Delta y \cdot H = \phi. \quad \text{at } H = H_{c2}$$

also true that

$$\Delta x \cdot \Delta y \cdot B = \phi. \quad \text{at } H < H_{c2}$$

A general solution (to G.L. eq. at  $H_{c2}$ )

$$\begin{aligned}\psi &= \sum c_n \psi_n \\ &= \sum c_n e^{inx} e^{-(x-x_n)^2/2\xi^2}\end{aligned}$$

periodic in  $y$   
Periodic in  $x$  if  $c_{n+d} = c_n$   
 $d$ : integer

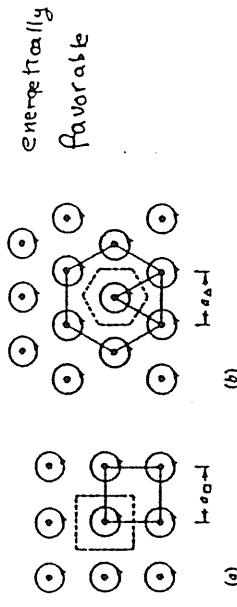
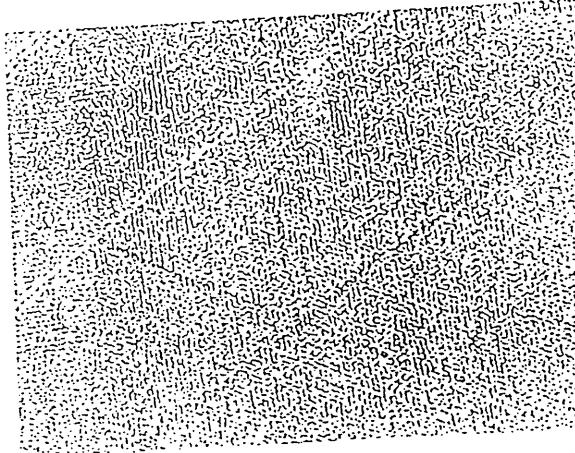
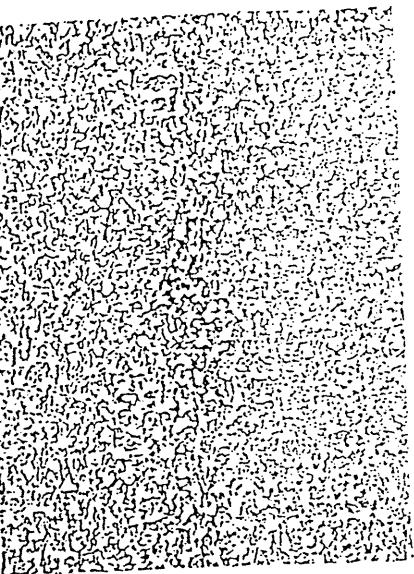


FIGURE 4.11  
Schematic diagram of square and triangular vortex arrays. The dashed lines outline the basic unit cell.

$$\frac{\sqrt{3}}{2} \Delta_x^2 B = \phi_0$$

$$\begin{aligned}Q_\Delta &= \left(\frac{4}{3}\right)^{1/4} \left(\frac{\phi_0}{B}\right)^{1/2} = 1.075 \left(\frac{\phi_0}{B}\right)^{1/2} \\ &= 1.075 Q_0\end{aligned}$$

- Actual vortex-lattice structure determined by int. with the underlying mat. lattice



Pattern of magnetic fluxes on a  $T_{c} = 12$  K superconductor  
with monolayer drops, the triangular pattern is drawn on a  $\text{V}_{2}\text{O}_5$ -Hf underconductor  
see chapter 1.2. The pattern is formed by allowing one small ( $300 \text{ \AA}$ ) ferromagnetic  
vortex to settle on the surface of a magnetized specimen (lead-indium alloy). The  
vortex locates themselves where the magnetic flux intersects the surface. The photo  
is made by electron microscopy of the dispersed particles. (Photograph by  
Scheuer et al., Braunau and H. Traubel, Max-Planck-Institut für Metallforschung)

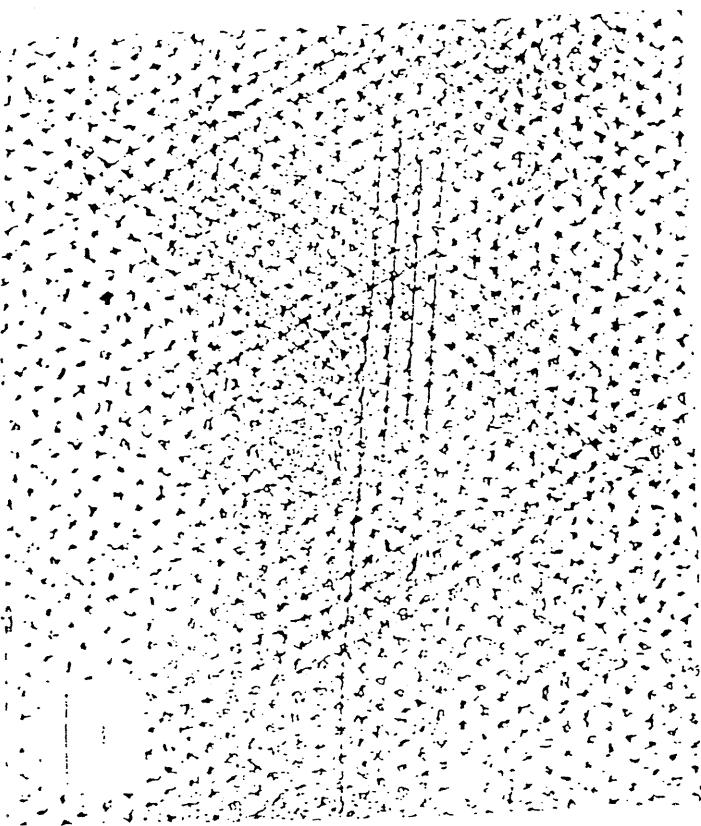


Fig. 1. Nematic domains of a columnar texture on a superconductor with  
monolayer drops. The pattern is formed by allowing one small ( $300 \text{ \AA}$ ) ferromagnetic  
vortex to settle on the surface of a magnetized specimen (lead-indium alloy).

Scheuer et al.  
(1991)

## Chapter 5.

### Magnetic Properties of classit type II Superconductors

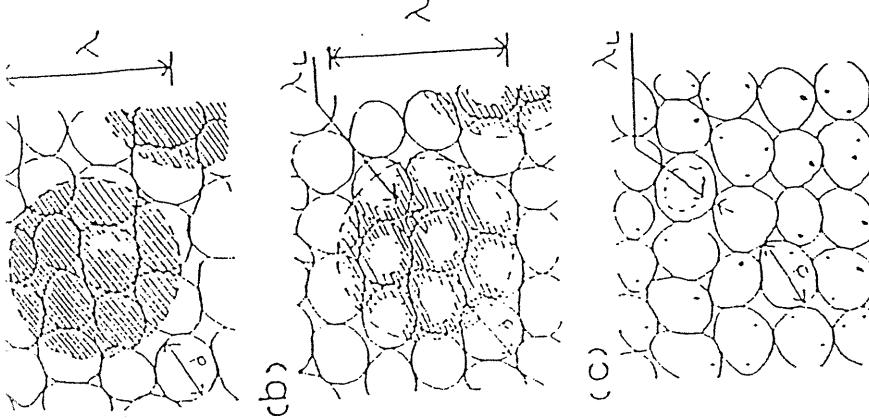


Fig. 4-5 A schematic picture of different low field vortices in Josephson medium for different conditions: (a) continuous hypervortex, (b) non-lattice hypervortex, and (c) Abrikosov vortex.

### Type II Superconductor H field 0 - $H_c$ .

Effect of the Lorentz force due to a transport current on the flux in a type II superconductor electrical resistance associated with flux creep or flow.

Fluctuation-induced resistance to the case of relatively weak fluctuations

5.1. Behavior near  $H_c$  : The structure of an isolated vortex.

$$H_{c1} \approx 2 \bar{r} \bar{\epsilon} \bar{h} \bar{L} \bar{\omega}$$

$$G_s \Big|_{\text{no flux}} = G_s \Big|_{\text{first flux}}$$

$$G = F - \frac{H}{4\pi} \int h d^3r$$

$$F_s = F_s + \epsilon_s L - \frac{H_{c1}}{4\pi} \int h d^3r$$

↑  
Vortex creation energy per unit length

$$= F_s + \epsilon_s L - \frac{H_{c1}}{4\pi} \phi_0 L$$

$L$  : Length of the vortex line

$$H_{c1} = \frac{4\pi \epsilon_s}{\phi_0}$$

Vortex wave function :  $\Psi = \Psi_\infty f(r) e^{i\theta}$

$$\vec{A} = A(r) \hat{O} \quad \nabla \times \vec{A} = \hat{e}_z \frac{1}{r} \frac{\partial}{\partial r} (r A_\theta) = \vec{h}$$

$$A(r) = \frac{1}{r} \int_0^r r' h(r') dr'$$

near the vortex center :  $A(r) \approx \frac{1}{r} h(0) \int_0^r r' dr' = r h(0)/2$

far from the vortex,

$$\int \vec{h} \cdot d\vec{a} = \int \nabla \times \vec{A} \cdot d\vec{a}$$

$$= \oint \vec{A} \cdot d\vec{a} = A_\infty \cdot 2\pi r = \phi_0$$

$$A_\infty = \frac{\phi_0}{2\pi r}$$

$$\begin{aligned} & \alpha \Psi + \beta |\Psi|^2 \Psi + \frac{1}{2m^*} \left( -i\hbar \nabla - \frac{e^*}{c} \vec{A} \right) \left( -i\hbar \nabla - \frac{e^*}{c} \vec{A} \right) \Psi = 0 \\ & -\hbar^2 \nabla^2 + \frac{i\hbar e^*}{c} \Psi \nabla \vec{A} + i \cdot \frac{2\hbar e^* \vec{A}}{c} \nabla \Psi + \left( \frac{e^* \vec{A}}{c} \right)^2 \Psi = 0 \\ & \alpha \Psi + \beta |\Psi|^2 \Psi + \frac{1}{2m^*} \left\{ -\frac{\hbar^2}{r} \frac{\partial^2}{\partial r^2} (r \frac{\partial \Psi}{\partial r}) - \frac{\hbar^2}{r^2} \frac{\partial^2 \Psi}{\partial \theta^2} + i \cdot \frac{2\hbar e^* \vec{A}}{c} \nabla \Psi + \left( \frac{e^* \vec{A}}{c} \right)^2 \Psi \right\} = 0 \end{aligned}$$

$$\begin{aligned} & \alpha f + \beta \Psi_\infty^2 f^3 + \frac{1}{2m^*} \left\{ -\frac{\hbar^2}{r} \frac{\partial^2}{\partial r^2} (r \frac{\partial f}{\partial r}) + \left( \frac{\hbar}{r} - \frac{e^* \vec{A}}{c} \right)^2 f \right\} = 0 \\ & f - f^3 - \underbrace{\frac{\hbar^2}{r^2} \frac{\partial^2}{\partial r^2} (r \frac{\partial f}{\partial r}) + \left( \frac{\hbar}{r} - \frac{e^* \vec{A}}{c} \right)^2 f}_{\text{Eq 2}} = 0 \quad \dots Q \end{aligned}$$

$$d = -\frac{4\pi}{c} \hat{\theta} \cdot \left( -\frac{\partial h^2}{\partial r} \right)$$

$$= -\frac{C}{4\pi} \frac{d}{dr} \left( \frac{1}{r} \frac{d}{dr} r A(r) \right) \hat{\theta}$$

$\hat{\theta} \perp \hat{\Sigma}$

$$\hat{\theta} = \frac{e^*}{m^*} |\Psi|^2 (\hbar \nabla \theta - \frac{e^*}{c} \hat{A})$$

$$= \frac{e^*}{m^*} \Psi_\infty^* f^2 \left( \frac{\hbar}{r} \hat{\theta} - \frac{e^*}{c} A \hat{\theta} \right)$$

$$\therefore \hat{j}_\theta = -\frac{C}{4\pi} \frac{d}{dr} \left( \frac{1}{r} \frac{d}{dr} r A(r) \right) = \frac{e^* \hbar}{m^*} \Psi_\infty^* f^2 \left( \frac{1}{r} - \frac{2\pi A}{\phi_0} \right)$$

..... (5)

Simultaneous solutions of eqs (1) and (2).

$\rightarrow f(r), A(r)$  : numerical method.

Limiting cases : Solve analytically

i)  $r \rightarrow 0$

$$f = f^2 - \xi^2 \left\{ \left( \frac{1}{r} - \frac{\pi h(0)}{\phi_0} r \right)^2 f - \frac{1}{r} \frac{d}{dr} \left( r \frac{df}{dr} \right) \right\} = 0$$

Assume :  $f = C r^n$  ( $n \geq 0$ )

$$C r^n - C^3 r^{3n} - \xi^2 \left\{ \left( \frac{1}{r} - \frac{\pi h(0)}{\phi_0} r \right)^2 C r^n - n^2 C r^{n+2} \right\} = 0$$

leading term as  $r \rightarrow 0$

$$: r^{n+2} (1 - n^2) = 0$$

$$\therefore n = 1$$

Including the higher-order term,

$$f(r) = Cr + dr^3$$

$$\left( Cr + dr^3 \right) - C^3 r^2 - \xi^2 \left\{ \left( \frac{1}{r} - \frac{\pi h(0)}{\phi_0} r \right)^2 (Cr + dr^3) - \frac{C}{r} - q dr \right\} = 0$$

OR

$$\begin{aligned} & \left( Cr + dr^3 \right) - C^3 r^2 - \xi^2 \left\{ \frac{C}{r} + dr - \frac{2\pi h(0)}{\phi_0} (Cr + dr^3) \right. \\ & \left. + \left( \frac{\pi h(0)}{\phi_0} \right)^2 Cr^3 - \frac{C}{r} - q dr \right\} = 0 \end{aligned}$$

Coefficient of the leading term = 0

$$C + 2\pi \xi^2 h(0) C / \phi_0 + 8 \xi^2 d = 0$$

$$\text{OR} \quad C + \frac{h(0) C}{H_{c_2}} + 8 \xi^2 d = 0$$

$$\therefore d = -\frac{C}{8 \xi^2} \left( 1 + \frac{h(0)}{H_{c_2}} \right)$$

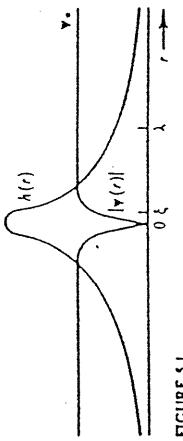


FIGURE 5.1. Structure of an isolated Abrikosov vortex in a material with  $\kappa \approx 3$ . The maximum value of  $h(r)$  is approximately  $2H_{c1}$ .

$$\begin{aligned} \therefore f(r) &= Cr + dr^3 \\ &= Cr \left\{ 1 - \frac{r}{8\zeta^2} \left( 1 + \frac{h(0)}{H_{c1}} \right) \right\} \\ &\approx \tanh \frac{Ur}{\zeta} \end{aligned}$$

$f(r)$  starts to saturate at  $r \approx 2\zeta$ , as might be expected.

$$\begin{aligned} r \approx 2\zeta &\text{ significant saturation effect} \\ C &\sim \frac{1}{2\zeta^2} \quad \text{at } r = 0 \quad f \rightarrow 1 \quad \zeta \text{ saturates} \end{aligned}$$

Reasonable approximation to  $f$  over the entire range is

$$f \approx \tanh \frac{Ur}{\zeta}$$

where  $U$  is a constant  $\approx 1$

$$\partial_r^6 h \quad f = Cr + dr^2 \quad \partial_r^2 r \quad \gamma r \delta^2 h \partial_r^2$$

$$\underline{d=0}$$

$$\therefore \phi = Cr + dr^2 - \frac{\zeta^2}{2} \left\{ \frac{C}{r} + d - \frac{2(h(0))}{\Phi_0} (Cr + dr^2) - \frac{C}{r} - 4d \right\}$$

$$\therefore d=0$$

Actually only odd terms are retained in the expansion of  $f$ .

### 5.1.1. The high $K$ approximation

$$h \gg \zeta \quad K \gg 1 \quad \gamma \approx 1$$

core  $\frac{1}{2} \text{ magnetic field}$  ( $Ur/\zeta$ ) when  $f \approx 1$ , in which case the London equations govern the field and currents.

Thus outside the core

$$\begin{aligned} \text{include core} \quad &\frac{4\pi\lambda^2}{C} \nabla \times \vec{J}_s + \vec{h}_s = 0 \\ \text{Maxwell eq.} \quad &\nabla \times \vec{h} = \frac{4\pi}{C} \vec{J} \end{aligned}$$

$$\lambda \nabla \times \vec{h} + h = \vec{e} \cdot \vec{d}_s(r)$$

Finding  $H_{el}$ :

Since  $\operatorname{div} \vec{h} = 0$ , this can be written

$$\nabla^2 \vec{h} - \frac{\vec{h}}{\lambda^2} = -\frac{\vec{\Phi}_o}{\lambda^2} \hat{z} \delta_s(r)$$

This equation has the exact solution

$$h(r) = \frac{\vec{\Phi}_o}{2\pi\lambda^2} K_0\left(\frac{r}{\lambda}\right)$$

$$K_0\left(\frac{r}{\lambda}\right) \rightarrow e^{-r/\lambda} \quad r \rightarrow \infty$$

$$R_n(\gamma_r) \quad r \rightarrow 0$$

$$\vec{h}(r) \approx \frac{1}{2\pi\lambda^2} \frac{1}{r} \vec{e}_r \quad r \ll \lambda$$

$$h(r) \rightarrow \frac{\vec{\Phi}_o}{2\pi\lambda^2} \left( \frac{\pi}{2} \frac{\Delta}{r} \right)^{1/2} e^{-r/\lambda} \quad r \rightarrow \infty$$

$$h(r) \rightarrow \frac{\vec{\Phi}_o}{2\pi\lambda^2} [0.12 + 0.12] \quad \frac{1}{2} \ll r \ll \lambda$$

$E_1$  = free energy of unit length of a vortex line  
neglecting the core.

$$= \frac{1}{8\pi} \int (h^2 + \lambda^2 (\nabla \times \vec{h})^2) d\sigma$$

$$\text{or } \frac{1}{2} m^* U_s^2$$

field energy, kinetic energy of the current

$$\begin{aligned} \frac{1}{2} m^* U_s^2 &= \frac{1}{2} m^* \left( \frac{J_s}{e^* |\psi|} \right)^2 \\ &= \frac{1}{2} m^* \left( \frac{c}{4\pi} \frac{\nabla \times \vec{h}}{e^* |\psi|} \right)^2 \\ &= \frac{m^* c^2}{2 (16\pi^2 e^{*2} |\psi|^2)} \left| \nabla \times \vec{h} \right|^2 \\ &= \frac{1}{8\pi} \lambda^2 \left| \nabla \times \vec{h} \right|^2 \\ &= \frac{1}{8\pi} \int (h^2 + \lambda^2 (\nabla \times \vec{h})^2) d\sigma \end{aligned}$$

$$|\nabla \times \vec{h}|^2 = (\nabla \times \vec{h}) \cdot (\nabla \times \vec{h})$$

$$= \vec{J} \cdot (\nabla \times \vec{h})$$

$$\nabla \cdot (\vec{J} \times \vec{h}) = \vec{h} \cdot (\nabla \times \vec{J}) - \vec{J} \cdot (\nabla \times \vec{h})$$

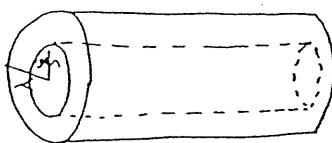
$$\begin{aligned} \therefore |\nabla \times \vec{h}|^2 &= \vec{h} \cdot (\nabla \times \vec{J}) - \nabla \cdot (\vec{J} \times \vec{h}) \\ &= \vec{h} \cdot \{ \nabla \times (\nabla \times \vec{h}) \} + \nabla \cdot \{ \vec{h} \times (\nabla \times \vec{h}) \} \end{aligned}$$

line energy is of the same order of magnitude as the condensation energy lost in the core, but it is larger by a factor of order  $4\ln K$ .

$$\begin{aligned} \epsilon_1 &= \frac{1}{8\pi} \left\{ \left( h^2 + \lambda^2 |\nabla \times \vec{H}|^2 \right) ds \right. \\ &= \frac{1}{8\pi} \left\{ \left\{ \vec{H} + \lambda \nabla \times (\nabla \times \vec{H}) \right\} \cdot \vec{H} ds \right. \\ &\quad \left. + \frac{\lambda^2}{8\pi} \delta \left\{ \nabla \cdot \left\{ \vec{H} \times (\nabla \times \vec{H}) \right\} ds \right. \right. \end{aligned}$$

$$= \frac{1}{8\pi} \left\{ |h| \phi \delta_2 \left( \vec{r} \right) ds + \frac{\lambda^2}{8\pi} \oint \vec{h} \times (\nabla \times \vec{h}) \cdot d\vec{s} \right\}$$

Since the core is excluded



The surface integration is over the unit length of inner and outer surface.

$$\begin{aligned} \epsilon_1 &= \frac{\lambda}{8\pi} \int h(r) \hat{e}_z \times \left( -\frac{dh}{dr} \right) \hat{e}_\theta \cdot d\vec{s} \\ &= \frac{\lambda}{8\pi} h \frac{dh}{dr} \int \hat{e}_r \cdot d\vec{s} \\ &= \frac{\lambda}{8\pi} \left[ h \frac{dh}{dr} + 2\pi r \right]_{\xi}^R \end{aligned}$$

Now using  $h(r) \approx \frac{\phi_0}{2\pi\lambda} \ln\left(\frac{\lambda}{r}\right)$

$$\begin{aligned} \epsilon_1 &= \frac{\lambda}{8\pi} \left( \frac{\phi_0}{2\pi\lambda} \right)^2 \cdot 2\pi \ln \frac{\lambda}{\xi} \\ &= \left( \frac{\phi_0}{4\pi\lambda} \right)^2 \ln \frac{\lambda}{\xi} = \left( \frac{\phi_0}{4\pi\lambda} \right)^2 \ln K = \frac{\phi_0}{8\pi} h(r=\xi) \end{aligned}$$

$$\text{OR using } H_c = \frac{\phi_0}{\sqrt{2} 2\pi \xi \lambda}$$

$$\epsilon_1 = \left( \frac{\phi_0}{4\pi\lambda} \right)^2 \ln K$$

$$= \frac{H_c^2}{8\pi} 4\pi \xi^2 \ln K$$

~ Same order as the condensation energy

$$\text{flux-penetration field } H_{c1}$$

" lower critical field "

$$H_{c1} = \frac{4\pi}{\phi_0} \epsilon_1$$

$$= \frac{\phi_0}{4\pi\lambda^2} \ln K$$

$$\text{OR } = \frac{H_c}{\sqrt{2}\lambda} \ln K \sim H_c/\lambda$$

Interaction between Vortex Lines:

Energy of two vortex lines,

$$\vec{h}_1 + \lambda^2 \nabla \times (\nabla \times \vec{h}_1) = \phi_0 \hat{e}_z \sum_i \delta_s(\vec{r} - \vec{r}_i)$$

$$|\vec{r}_1 - \vec{r}_2| \gg \xi(r)$$

$$\vec{h}(\vec{r}) = \vec{h}_1(\vec{r}) + \vec{h}_2(\vec{r})$$

$$h_1(\vec{r}) = \frac{\phi_0}{2\pi\lambda^2(r)} K_0\left(\frac{\vec{r}-\vec{r}_1}{\lambda(r)}\right)$$

$$E = \frac{1}{8\pi} \int (h^2 + \lambda^2 |\nabla \times \vec{h}|^2) dS$$

$$= \frac{\lambda^2(r)}{8\pi} \int \vec{h} \times (\nabla \times \vec{h}) \cdot d\vec{S}$$

The surface integral over the two surfaces of the

$$\text{Core} \quad |\vec{r} - \vec{r}_1| \approx \xi(r) \quad \text{and} \quad |\vec{r} - \vec{r}_2| \approx \xi(r)$$

$$= \frac{\lambda^2}{8\pi} \left\{ (\vec{d}\vec{A}_1 + d\vec{A}_2) \cdot \{ (\vec{h}_1 + \vec{h}_2) \times (\nabla \times \vec{h}_1 + \nabla \times \vec{h}_2) \} \right.$$

$$dA_1 \cdot \{ \vec{h}_1 \times (\nabla \times \vec{h}_1) + \vec{h}_1 \times (\nabla \times \vec{h}_2) + \vec{h}_2 \times (\nabla \times \vec{h}_1) + \vec{h}_2 \times (\nabla \times \vec{h}_2) \}$$

$$+ dA_2 \cdot \{ \dots \}$$

$$+ dA_2 \cdot \{ \dots \}$$

$$E = \frac{\lambda^2}{8\pi} \left\{ \begin{aligned} & \int d\vec{S}_1 \cdot (\vec{h}_1 \times (\nabla \times \vec{h}_1)) + \int d\vec{S}_2 \cdot (\vec{h}_2 \times (\nabla \times \vec{h}_2)) \\ & + \frac{\lambda^2}{8\pi} \left\{ \begin{aligned} & \int d\vec{S}_1 \cdot (\vec{h}_2 \times (\nabla \times \vec{h}_1)) + \int d\vec{S}_2 \cdot (\vec{h}_1 \times (\nabla \times \vec{h}_2)) \\ & + \frac{\lambda^2}{8\pi} \left\{ \int d\vec{S}_1 \cdot (\vec{h}_1 + \vec{h}_2) \times (\nabla \times \vec{h}_1) + \int d\vec{S}_2 \cdot (\vec{h}_1 + \vec{h}_2) \times (\nabla \times \vec{h}_2) \right\} \end{aligned} \right\} \end{aligned} \right\}$$

$$(\vec{h}_1 + \vec{h}_2) \cdot \{ (\nabla \times \vec{h}_1) \times d\vec{S}_1 + (\nabla \times \vec{h}_1) \times d\vec{S}_2 \}$$

$$= E_{\text{vortex lines}} + E_{\text{int}} + E_z$$

$$\text{Order of magnitude of } \vec{h} = -\hat{e}_\theta \frac{dh}{dr}$$

$$E_{\text{vortex lines}} \propto \ln(\xi/\xi_0)$$

$$E_{\text{int}} \propto \frac{1}{|\vec{r}_1 - \vec{r}_2|} \quad |\vec{r}_1 - \vec{r}_2| < \lambda$$

$$E_z \propto \Omega_m \left( \frac{\Delta}{\xi} \right) \frac{1}{|\vec{r}_1 - \vec{r}_2|} \ll E_{\text{int}}$$

$$\therefore E = 2E_1 + 2E_{\text{int}}$$

$$= 2 \frac{\phi_0}{8\pi} h_1(r_1) + 2 \frac{\phi_0}{8\pi} h_1(r_2)$$

$$\epsilon = 2 \cdot \frac{\phi_0}{8\pi} h_1(\vec{r}_1) + 2 \cdot \frac{\phi_0}{8\pi} h_1(\vec{r}_2)$$

Cf. In 2D thin films :  $d \ll \lambda$

J. Pearce Appl. Physics letter 5, 65 (1964)

$$\begin{aligned} & \frac{\lambda^2}{8\pi} \left\{ d\vec{A}_1 \cdot \vec{h}_2 \times (\nabla \times \vec{h}_1) \right. \\ &= \frac{\lambda^2}{8\pi} h_1(|\vec{r}_1 - \vec{r}_2|) \underbrace{\frac{dh_1}{dr}}_{\xi} \Big|_r^{2\pi\xi} \\ & \quad \phi_0 / 2\pi\lambda^2 \xi \\ &= \frac{\phi_0}{8\pi} h_1(|\vec{r}_1 - \vec{r}_2|) \end{aligned}$$

$$h_1(\vec{r}_1) = h_2(\vec{r}_1)$$

$E_{12} \equiv$  total interaction energy

$$\begin{aligned} E_{12} &= - \frac{\phi_0^2}{8\pi\lambda_\perp} \left\{ H_0\left(\frac{r}{\lambda_\perp}\right) - Y_0\left(\frac{r}{\lambda_\perp}\right) \right\} \\ &= \underbrace{-\frac{\phi_0^2}{4\pi r} \frac{1}{r}}_{r \gg \lambda_\perp} \\ &= \underbrace{\frac{\phi_0^2}{4\pi r^2 \lambda_\perp} \ln\left(\frac{r}{\xi}\right)}_{\xi \ll r \ll \lambda_\perp} \end{aligned}$$

where  $\lambda_\perp = 2D$  penetration depth

: repulsive between vortices  
of the same sense.

$$\begin{aligned} & \rightarrow \left\{ \frac{e^{-k_{12}/\lambda}}{\sqrt{r_{12}}} \right\} \\ & \quad \xi \ll k_{12} \ll \lambda \end{aligned}$$

## Force between vortices

## Magnetization - Magnetization Curves

$$f_{2x} = - \frac{\partial E_{1z}}{\partial x_1}$$

$$= - \frac{\phi_0}{4\pi} \frac{\partial}{\partial x_1} h_1(\vec{r}_1)$$

$\approx \text{unit}$

$$\nabla \times \vec{h} = \frac{4\pi}{c} \vec{j}$$

$$- \hat{e}_y \frac{\partial h_{1z}(\vec{r}_1)}{\partial x_1} = \frac{4\pi}{c} \vec{j}$$

$$\therefore f_{2x} = - \frac{\phi_0}{4\pi} \left( - \frac{4\pi}{c} J_{1y}(\vec{r}_2) \right)$$

$$= \frac{\phi_0}{c} J_{1y}(\vec{r}_2)$$

$\vec{f}_0 \parallel$  the direction of flux density

or in a vector form

$$\vec{f}_{2x} = \vec{J}_1(\vec{r}_2) \times \frac{\phi_0}{c} : \text{force on a unit length of a vortex line by a current density } J_1 \text{, external or internal.}$$



"Lorentz force"

$$H_{1z} : H_{c1} \leq H_{1z} \leq H_{c2}$$

$$(i) \quad \frac{\phi_0}{B} \gg \lambda^2 \quad \xi \ll H_{c1}, H_{c2}$$

Vortex  $\approx$  a  $\delta$ -pinch in magnetostat.

few nearest neighbors are important

$$(ii) \quad \xi^2 \ll \frac{\phi_0}{B} \ll \lambda^2$$

many vortices are within the interaction range. - More elaborated summing procedures are required.

It is still a good approximation to neglect details of the core.

$$3. \quad \xi^2 \approx \frac{\Phi_0}{B} \quad \text{near } H_{c2}$$

cores are almost overlapping.

Simple superposition technique is no longer accurate.

Abrinkosov solution to the linearized GL

equation at  $H_{1z}$  is a helpful approximation.

Gibbs free energy per unit volume

$$G = G_{so} = \frac{B}{\phi_0} \epsilon_i + \sum_{i,j} E_{ij} - \frac{BH}{4\pi}$$

# of vortices per unit area ( $\perp H$ )

$$\therefore \text{if } H < H_{c1} = \frac{4\pi\epsilon_1}{\phi_0}$$

$$\frac{BH}{4\pi} < \frac{BH_{c1}}{4\pi} = \frac{B}{\phi_0} \epsilon_1$$

$$\therefore \frac{B\epsilon_1}{\phi_0} - \frac{BH}{4\pi} > 0$$

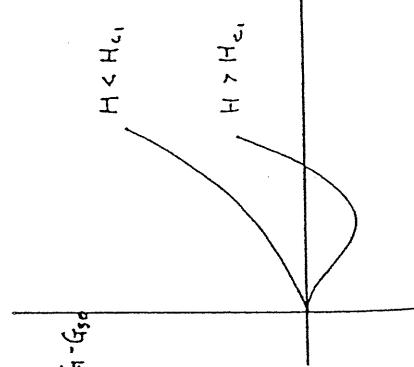
$$\sum_{i,j} E_{ij} (> 0) \therefore \text{Minimum } G$$

Minimum  $G \leq 0 = 0$  on  $H$

$$\therefore H \geq H_{c1}$$

$$\frac{\partial G}{\partial B} = 0 = \frac{\epsilon_1}{\phi_0} - \frac{H}{4\pi} + \frac{1}{2B} \sum_{i,j} E_{ij}$$

$$\text{or } \frac{\partial G}{\partial B} \sum_{i,j} E_{ij} = \frac{H - H_{c1}}{4\pi} \quad G \cdot G_{so} \quad H < H_{c1}$$



1. low flux density

$$\frac{\phi_0}{B} \gg \lambda^2 \quad (\text{or } H \gtrsim H_{c1})$$

$E_{ij}$  decrease exponentially as  $r \uparrow$   
nearest neighbor interaction only

$$\alpha = c \left( \frac{\phi_0}{B} \right)^{1/2}$$

n.n. distance

$$C = \begin{pmatrix} 1 & : & \square \text{ array} \\ 1.075 & : & \Delta \text{ array} \end{pmatrix}$$

$Z = \text{Coordination } \#$

$$\sum_{i,j} E_{ij} = \left( \frac{B}{\phi_0} \right) \frac{Z}{2} \frac{\phi_0^2}{8\pi^2 \lambda^2} K_0 \left( \frac{a}{\lambda} \right) \text{ per unit volume}$$

$$= \frac{BZ\phi_0}{16\pi^2 \lambda^2} \sqrt{\frac{\lambda}{2a}} e^{-a/\lambda} \quad a \gg \lambda$$

$$\frac{\partial}{\partial B} \sum_{i,j} E_{ij} = \frac{Z\phi_0}{16\pi^2 \lambda^2} \sqrt{\frac{\pi \lambda}{2a}} e^{-\frac{a}{\lambda}} \left( 1 - \frac{B}{2a} \frac{\partial a}{\partial B} - \frac{a}{\lambda} \frac{\partial a}{\partial B} \right)$$

$$\text{where } \frac{\partial a}{\partial B} = c \sqrt{\phi_0} \left( -\frac{1}{2} B^{-\frac{3}{2}} \right) = -\frac{a}{2B}$$

$$\therefore \frac{\partial}{\partial B} \sum_{ij} E_{ij} = - \left( 1 + \frac{1}{4} + \frac{a}{2\lambda} \right)$$

Hence

$$\frac{2\phi_0}{16\pi^2\lambda^2} \left( \frac{\pi}{2} \frac{a}{\lambda} \right)^{1/2} e^{-a/\lambda} \left( \frac{a}{\lambda} + \frac{a}{2\lambda} \right) = \frac{H - H_{c1}}{4\pi}$$

negligible

Δ array fig

$$\frac{3\phi_0}{4\pi\lambda^2} \left( \frac{\pi}{2} \frac{a}{\lambda} \right)^{1/2} e^{-a/\lambda} \left( \frac{a}{2\lambda} \right) = H - H_{c1}$$

OR

$$\therefore \ln \left\{ \frac{3\phi_0}{4\pi\lambda^2(H-H_{c1})} \right\} = \frac{a}{\lambda} - \frac{1}{2} \ln \left( \frac{\pi a}{2\lambda} \right)$$

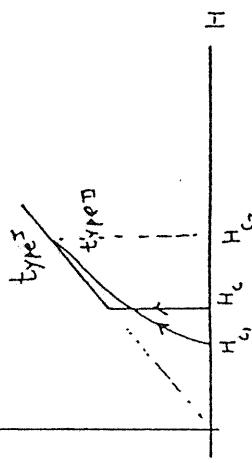
$$\approx \frac{a}{\lambda}$$

$$= \frac{c}{\lambda} \sqrt{\frac{\phi_0}{B}}$$

OR

$$B = \frac{2\phi_0}{\sqrt{3}\lambda} \left[ \ln \left\{ \frac{3\phi_0}{4\pi\lambda^2(H-H_{c1})} \right\} \right]^{-2}$$

$$= \frac{8\pi H_{c1}}{\sqrt{3} a \lambda} \frac{1}{\ln \left[ \frac{3 H_{c1}}{(H-H_{c1}) a \lambda} \right]}$$



B : continuous at  $H_{c1}$  : 2nd order phase transitions.

infinite slope at  $H_{c1}$ .

∴ type II Superconductor :

1st order transition at  $H_{c1}$ .

Intermediate flux density

$$\frac{\epsilon^2}{\xi} \leq \frac{\phi_0/B}{\lambda^2} \ll \lambda^2 \quad (\text{as } H_{c1} \leq H \ll H_{c2})$$

Modified London  $\epsilon_B$ .

$$\vec{h} + \lambda^2 \nabla \times (\nabla \times \vec{h}) = \phi_0 \hat{e}_z \sum_i \delta_2(\vec{r} - \vec{r}_i)$$

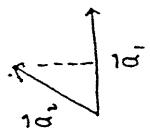
$$h_z(\vec{r}) = \sum_{\alpha} h_{\alpha} e^{i \vec{Q} \cdot \vec{r}} \quad (\because \text{Array + Periodic})$$

$\vec{Q}$  on the 2D reciprocal lattice of the array.

$$\text{In a } \square\text{-array, } \vec{Q}_{mn} = \frac{2\pi}{a_0} (m \hat{x} + n \hat{y})$$

In a  $\Delta$ -array,

$$\begin{aligned} \vec{Q}_1 &= a_0 \hat{x} \\ \vec{Q}_2 &= \frac{a_0}{2} (\hat{x} + \sqrt{3} \hat{y}) \end{aligned} \quad \rightarrow \quad \begin{aligned} \vec{Q}_1 &= \frac{2\pi}{a_0} \left( \hat{x} - \frac{\hat{y}}{\sqrt{3}} \right) \\ \vec{Q}_2 &= \frac{2\pi}{a_0} \frac{2}{\sqrt{3}} \hat{y} \end{aligned}$$



$$(c.f., \vec{Q}_i \cdot \vec{Q}_j = 2\pi \delta_{ij})$$

$$\nabla \times (\nabla \times \vec{h}) = -\nabla^2 \vec{h}$$

$$h_z - \lambda^2 \nabla^2 h_z = \phi_0 \sum_i \delta_2(\vec{r} - \vec{r}_i)$$

$$\sum_{\alpha} (1 + \lambda^2 Q^2) h_{\alpha} e^{i \vec{Q} \cdot \vec{r}} = \phi_0 \sum_i \delta_2(\vec{r} - \vec{r}_i)$$

$$\sum_{\alpha} (1 + \lambda^2 Q^2) h_{\alpha} \underbrace{\int S \delta(\vec{Q} \cdot \vec{r}_i)}_{S = \phi_0 N} e^{i \vec{Q} \cdot \vec{r}} d\alpha$$

$$\therefore S (1 + \lambda^2 Q^2) h_{\alpha} = \phi_0 \sum_i e^{i \vec{Q} \cdot \vec{r}_i} \quad \boxed{N}$$

$$\frac{\phi_0 N}{S} = B$$

$$\therefore h_Q = \frac{B}{1 + \lambda^2 Q^2}$$

$$h_z(\vec{r}) = B \sum_{\alpha} \frac{e^{i \vec{Q} \cdot \vec{r}}}{1 + \lambda^2 Q^2}$$

The increase in free energy per unit length

(neglecting the core effect)

$$F - F_{so} = \frac{1}{8\pi} \int (h^2 + \lambda^2 |\nabla \times \vec{h}|^2) d\sigma = \frac{\phi_0}{8\pi} \sum_i h(\vec{r}_i)$$

OR the increase per unit volume

$$F - F_{so} = \frac{B}{\phi_0} (F - F_{so}) \Big|_{h(r) \approx h(0)}$$

$$= \frac{B}{\phi_0} \frac{\phi_0}{8\pi} h(0)$$

$$= \frac{B^2}{8\pi} \sum_{\alpha} \frac{1}{1 + \lambda^2 Q^2} \cdot \Omega_{max} \approx \frac{1}{\lambda}$$

$$G = F - \frac{B^H}{4\pi}$$

$$\sum_{Q>0} \frac{1}{1+\lambda^2 Q^2} ? \quad (\text{for } \lambda Q \gg 1)$$

$$G - G_{so} = G_T - F_{so}$$

$$= (F - F_{so}) - \frac{BH}{4\pi}$$

$$= \frac{Bh(0)}{8\pi} - \frac{BH}{4\pi}$$

$$\frac{\partial G}{\partial B} = 0 = \frac{h(0)}{8\pi} + \frac{B}{8\pi} \frac{dh(0)}{dB} - \frac{H}{4\pi}$$

$$\therefore H = \frac{1}{2} (h(0) + B \frac{dh(0)}{dB}) \quad h(0) = B \sum_Q \frac{1}{1+\lambda^2 Q^2}$$

$$= h(0) + \frac{B^2}{2} \sum_Q \frac{-2\lambda^2 Q}{(1+\lambda^2 Q^2)^2} \frac{dQ}{dB}$$

$$Q \sim \frac{1}{a} \sim B^{1/2}, \quad \frac{dQ}{dB} = \frac{Q}{2B}$$

$$= B + B \sum_{Q>0} \frac{1}{1+\lambda^2 Q^2} + \frac{B}{2} \sum_{Q>0} \frac{-\lambda^2 Q^2}{(1+\lambda^2 Q^2)^2} \quad [Q=0 \text{ case}]$$

$$\therefore H = B \left\{ 1 + \frac{1}{2} \sum_{Q>0} \left[ \frac{1}{1+\lambda^2 Q^2} + \frac{1}{(1+\lambda^2 Q^2)^2} \right] \right\}$$

Convergence & rel.  $Q_{max} \approx \frac{1}{\xi}$

Remarks

$$i) \text{ if } B = 0 \rightarrow H = \frac{1}{2} h(0) \approx H_c$$

ii) if  $B \gg H_c \rightarrow$  vortices highly overlapping  
2nd term negligible in  $\xi$ .

$$B \approx H \quad (\because \lambda Q \gg 1 \text{ in this case})$$

$$H \approx B + H_c, \quad \frac{J_m(H_c/B)}{J_m(K)}$$

$$\begin{aligned} & \approx \frac{A}{(2\pi)^2} \int_{Q_{min}}^{Q_{max}} \frac{2\pi Q dQ}{1+\lambda^2 Q^2}, \quad Q>0 \\ & = N \cdot \frac{\phi_0}{2\pi B} \int_{Q_{min}}^{Q_{max}} \frac{Q dQ}{1+\lambda^2 Q^2} \quad \frac{\phi_0 N}{A} = B \end{aligned}$$

$$\begin{aligned} \text{Put } N=1 \\ Q_{max} \approx \frac{1}{\xi} \\ Q_{min}^2 \approx |Q_2|^2 = \left( \frac{2\pi}{\alpha} \frac{2}{\sqrt{3}} \right)^2 \sim 4\pi \frac{B}{\phi_0} \end{aligned}$$

$$\begin{aligned} & \approx \frac{\phi_0}{4\pi B} \int_{Q_{min}}^{Q_{max}} \frac{dx}{1+\lambda^2 x^2} \\ & = \underbrace{\frac{\phi_0}{4\pi B} \frac{1}{\lambda^2} \ln \left( \frac{1+\lambda^2/\xi^2}{1+4\pi\lambda^2 \frac{B}{\phi_0}} \right)}_{\text{2nd term negligible}} \end{aligned}$$

$$\frac{H_c}{B} \frac{1}{J_m K} - \ln \left( \frac{\lambda^2}{\xi^2} \frac{\phi_0}{4\pi\lambda^2 B} \right) \approx \ln(H_c/B)$$

3. Regime near  $H_{c_2}$ 

$$H \approx B + H_{c_1} \frac{\ln(H_{c_2}/B)}{2\pi K} : (H_{c_1} \leq H \leq H_{c_2})$$

$$\frac{H}{H_{c_1}} = \chi_1, \quad \frac{B}{H_{c_1}} = \chi_2 \quad \chi_1 = \frac{H_{c_1}}{H_{c_2}}$$

$$\frac{B}{H_{c_2}} = \frac{B}{H_{c_1}} \frac{H_{c_1}}{6H_{c_2}} = \chi_2/\chi \quad \chi = \frac{H_{c_2}}{H_{c_1}}$$

$$\chi_1 \approx \chi_2 + \frac{\ln(\chi/\chi_2)}{2\pi K}$$

$$B \approx \frac{8\pi}{\sqrt{3}} \frac{H_{c_1}}{2\pi K} / \left\{ \ln \left[ \frac{3H_{c_1}}{(H-H_{c_1})2\pi K} \right] \right\}^2 : H \ll H_{c_1}$$

$$\chi_2 \approx \frac{8\pi}{\sqrt{3}2\pi K} / \left\{ \ln \left[ \frac{B}{(x_1 - 1)2\pi K} \right] \right\}^2$$

$$H_{c_1}(0) = 96 \text{ KA/cm} \quad x = 866$$

$$H_{c_1}(0) = 112 \text{ KA/cm}$$

$$K = 24$$

Nb = 4u wt. % Ti

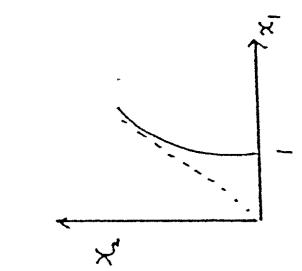
$$\frac{x_1}{x_2} = 1.13 \quad x_1 = 11.4 \quad x_2 = 1.0$$

$$x_1 = 3.91 \quad x_2 = 2.11 \quad x_1 = 2.0$$

$$x_1 = 4.78 \quad x_2 = 3.50 \quad x_1 = 5.0$$

$$x_1 = 6.62 \quad x_2 = 4.00 \quad x_1 = 10.0$$

$$x_1 = 500.2 \quad x_2 = 500 \quad x_1 = 500$$



| $x_1$ | $x_2$ | $\frac{x_1}{x_2}$ | $x_1$ | $\frac{x_1}{x_2}$ |
|-------|-------|-------------------|-------|-------------------|
| 3.13  | 1     | 3.13              | 11.4  | 10                |
| 3.91  | 2     | 1.95              | 2.11  | 2.0               |
| 4.78  | 3     | 1.59              | 3.50  | 5.0               |
| 6.62  | 4     | 1.66              | 10.0  | 10.0              |



FIGURE 5.2

Comparison of magnetization curves for three superconductors with the same value of thermodynamic critical field  $H_c$ , but with different values of  $\kappa$ . For  $\kappa < 1/\sqrt{2}$ , the superconductor is of type I and exhibits a first-order transition at  $H_1$ . For  $\kappa > 1/\sqrt{2}$ , the superconductor is type II and shows a second-order transition at  $H_1$  and  $H_2$  (for clarity, marked only for the highest  $\kappa$  case). In all cases, the area under the curve is the condensation energy  $E_f/k_B$ .

$$\left(\frac{\partial G}{\partial H}\right)_T = - \frac{B}{4\pi}$$

the area under the curve

$$\begin{aligned} &= - \int H dH \\ &= \frac{1}{4\pi} \int_0^{H_{c_1}} (H - B) dH \\ &= \frac{H_{c_1}^2}{8\pi} + \int_0^{H_{c_1}} \frac{\partial G_s}{\partial H} dH \\ &= \frac{H_{c_1}^2}{8\pi} + G_s(H_{c_1}) - G_s(0) \\ &= \tilde{F}_n(0) - \tilde{F}_s(0) \\ &= \frac{H_{c_1}^2}{8\pi} \\ &= \frac{H_{c_1}^2}{4\pi} \end{aligned}$$

Areas are all same.

What about this?

Magnetization curves are different.

$T_c$  remains simple G.L. or  $\propto K$

low temp small discrepancies

$$\begin{aligned} &- \text{Mark: } \gamma_T \propto K_1 K_2 \cdot K_1 \quad \text{for} \\ &H_{c_2} = \sqrt{2} K_1 H_c, \quad 4\pi \frac{dM}{dH} \Big|_{H_{c_2}} = (2K_2 - 1)^{-1} \rho_A \\ &|H_{c_1}| = H_c \cdot \frac{\partial M}{\partial H} \end{aligned}$$

$$H_{c_1} = H_c \frac{\partial M}{\partial H}$$

$K_s \rightarrow K_s$  is the value of  $K$  at zero magnetic field

or Mark's value of Elsenberg on which it depends.

$K_s = 2/\xi_0$  is the degree of Anisotropy in the impurity scattering.

degree of nonlocality in  $G_m$  is

$$T \rightarrow T_c \text{ where } \frac{\partial M}{\partial H} \text{ is large than } \frac{\partial M}{\partial H}$$

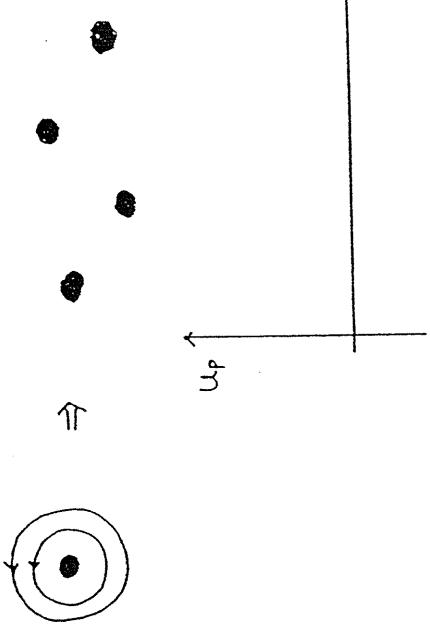
All  $K_i$  approaches common limiting values.

at  $K_i$  value of 20% 정도의  $\frac{\partial M}{\partial H}$ .

$$\begin{aligned} G_n(H_{c_1}) &= \tilde{F}_n(0) + \underbrace{\frac{H_{c_1}^2}{8\pi} - \frac{H_{c_1}^2}{4\pi}}_{= F_m(H_{c_1})} \\ &= \tilde{F}_m(H_{c_1}) - \frac{H_{c_1}^2}{4\pi} \end{aligned}$$

### Flux Pinning

< Remark >



Suppose making a high field magnet.

Need a S.C. material,

- i) with high  $H_{c2}$
- ii) with high  $T_c$  in a high  $H$  ( $< H_{c2}$ ) field.
- iii) and iii) are separate requirements
- iii) → need pinning.

Vortices prefer locating themselves at a defect site (Position of weakened or

destroyed S.C.) in a S.C.

- i) weak field

Vortex flour under a Lorentz force dampening

→ Viscous flow of vortices.

→ Flux flow

- iii) Strong pinning

thermally - assisted hopping between

Pinning sites.

→ flux creep.

Origin of dissipation → flux motion due to

Lorentz force.

$$\vec{f} = \frac{1}{c} \vec{j} \times \vec{\phi} : \text{Lorentz force}$$

Lorentz force for a vortex line per unit length.

Lorentz force density ≡ LF per unit volume

$$\vec{F} = n_f \vec{f} = \frac{1}{c} \vec{j} \times (n_f \vec{\phi}_0) \quad n_f: \text{Vortex Area density.}$$

$$= \frac{1}{c} \vec{j} \times \vec{B}$$

$$\nabla \times \vec{H} = \frac{4\pi}{c} \vec{j}_{ext}$$

$$= \frac{1}{c} \vec{j}_{ext} \times \vec{B}$$

\* Induced (equilibrium) currents do not contribute

to the Lorentz force.

RUNNING WATER AND ELECTRIC TIDES

→ leads to dissipation.

To the approx.  $B \approx H$

$$\delta = \frac{1}{4\pi} \int (\nabla \times H) \cdot \vec{B} = \frac{B}{4\pi} \int \frac{dB}{dx}$$

$$= \frac{B}{4\pi}$$

卷之三

= a gradient of magnetic pressure

$$E = \pi^2 / 3$$

$$\theta = \pi - \alpha$$

$$= - \gamma \pi R B_1 r$$

outward velocity of the flux density

$E = \frac{1}{2} B U$  as obtained above

$$\therefore V_s = \phi^* \cdot \frac{e^k}{\frac{\omega^* c \sin \theta}{m^* c^2 2 \pi r}} = \frac{\hbar c}{\frac{e^k}{m^* c^2 2 \pi r}} = \frac{\hbar c}{\frac{e^k}{m^* c^2 2 \pi r}} = \frac{\hbar c}{\frac{e^k}{m^* c^2 2 \pi r}}$$

$$\frac{|\psi_1|^2}{|\psi_8|^2} = 1 - \left( \frac{\epsilon_{\text{S}}}{\epsilon} \right)^2 \rightarrow r_{\text{core}} \approx \epsilon$$

$$F = j \frac{\phi}{c} = \eta V_L : \text{force per unit length}$$

### Flux Flow:

A diagram showing a cylindrical solenoid. The cylinder is oriented vertically, with its top and bottom ends cut away to reveal the internal coil. Small arrows pointing to the right are placed along the left edge of the coil, indicating the direction of current flow.

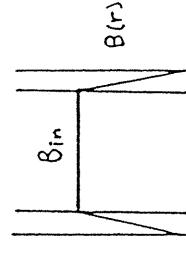


Fig. 2. Vortex core size vs.  $\Omega$  at  $\epsilon = 14\%$ .

$$\frac{E}{T} = \rho_f = \left( \frac{1}{c} B_{U_L} \right) \left( \frac{\phi^o}{c \eta_{U_L}} \right) = \frac{\frac{B \phi^o}{\eta_{C^2}}}{}$$

$$\frac{\psi_1}{\psi_2} = 1 - \frac{m^2 \xi^2 U_s^2}{E_s^2}$$

1971-1972

$$\int \vec{A} \cdot d\vec{\alpha} = \int \vec{B} \cdot d\vec{\alpha}$$

$$\oint \frac{c}{\rho^k} (\nabla \phi \cdot -m^* U_s) \cdot d\vec{\sigma}$$

$$= \frac{m^* c}{e^*} \mathcal{U}_c 2\pi r$$

$$\therefore V_s = \phi_0 \cdot \frac{e^{\frac{t}{T}}}{\frac{e^{\frac{t}{T}} - c^{*\pi\pi}}{m^*c^2 2\pi r}} = \frac{hc}{\frac{e^{\frac{t}{T}}}{m^*c^2 2\pi r}} = \frac{hc}{\frac{e^{\frac{t}{T}}}{m^*r}} = \frac{hc}{\frac{e^{\frac{t}{T}}}{m^*r}}$$

Assuming a hard core :  $r_c \sim \frac{c}{\omega}$

$\vec{e}$  = microscopic field outside the

core

$$= \frac{4\pi}{c^2} \frac{\partial}{\partial t} (\lambda^2 \vec{J}_s) \quad : \text{London eq.}$$

$$= \frac{\partial}{\partial t} \left( \frac{m^* \vec{U}_s}{\epsilon^*} \right)$$

$$= -(\vec{U}_L \cdot \nabla) \left( \frac{m^*}{\epsilon^*} \vec{U}_s \right) \quad \frac{d}{dr} = \frac{\partial}{\partial r} + \vec{U}_L \cdot \nabla = 0$$

$$= -\vec{U}_L \cdot \nabla \left( \frac{m^*}{\epsilon^*} \frac{\partial}{\partial r} \frac{\hat{\theta}}{r} \right)$$

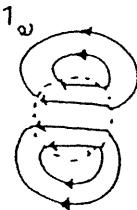
along the  $\alpha$ -direction

$$\vec{e}^\alpha = U_{Lx} \frac{\partial}{\partial x} \frac{\partial}{\partial x} \left( \frac{\hat{\theta}}{r} \right)$$

$$\frac{\partial}{\partial x} \left( \frac{1}{r} \hat{\theta} + \frac{1}{r} \left( \frac{\partial \hat{\theta}}{\partial x} \right) \right) = -\frac{x}{r^3} \hat{\theta} + \frac{\sin \theta}{r^2} \hat{r}$$

$$= \frac{1}{r^2} (-\cos \theta \hat{i} + \sin \theta \hat{r})$$

Continuity of the tangential component of  $\vec{e}$ .



$$(E_{out})_\theta = (E_{core})_\theta$$

$$= \frac{U_{Lx} \phi_0}{2\pi c \alpha^2} \cos \theta$$

$$\vec{E}_{core} = \frac{U_{Lx} \phi_0}{2\pi \alpha^2 c} \hat{y}$$

dissipation per unit length of the core

$$W_{core} = \int \vec{j} \cdot \vec{e} \, da$$

$$= \pi \alpha^2 (S_n e_{core}) E_{core}$$

$$= \frac{\pi \alpha^2}{\rho_h} \left( \frac{U_{Lx} \phi_0}{2\pi \alpha^2 c} \right)^2$$

$$= \frac{U_{Lx}^2 \phi_0^2}{4\pi \alpha^2 c^2 \rho_h}$$

Equal amount from outside the core

$$\therefore \vec{e} = \frac{U_{Lx} \phi_0}{2\pi c} \frac{1}{r^2} (\cos \theta \hat{\theta} - \sin \theta \hat{r})$$

$$\frac{1}{\rho_h} \int_a^\infty \int_0^{2\pi} e^2(r) r dr d\theta = \frac{2\pi}{\rho_h} \int_a^\infty \left( \frac{U_{Lx} \phi_0}{2\pi c r^2} \right)^2 r dr = \frac{U_{Lx}^2 \phi_0^2}{4\pi \alpha^2 c^2 \rho_h}$$

$$\equiv \omega_{core}$$

$$w = \frac{2\pi a^2 c^2 p_n}{2\pi Q^2 C^2 p_n} = \eta U^*$$

$$\gamma = \frac{\phi'_o}{2\pi Q^2 C^2 p_n} \rightarrow \frac{\phi'_o}{2\pi \xi^2 C^2 p_n} = \frac{\phi'_o H_{c2}}{C^2 p_n}$$

$$\therefore P_f = \frac{B\phi_o}{\gamma C^2} = \frac{B\phi_o}{C^2} \cdot \frac{2\pi \xi^2 C^2 p_n}{\phi'_o} = 2\pi \xi^2 p_n \left( \frac{B}{\phi'_o} \right)$$

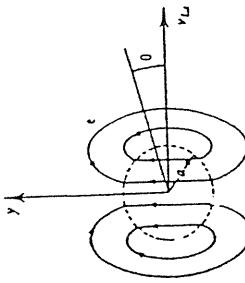


FIGURE 5.4  
Schematic diagram of local electric field near a moving vortex line. Dashed circle of radius  $a$  marks perimeter of core. A suitable surface charge is required at  $r = a$  to be consistent with the discontinuity in the normal component of the magnetic field. In a more exact model, the discontinuity would be smeared out.

$$\text{OR } P_f = \frac{B\phi_o}{\eta_1 C^2} \times \frac{B\phi_o}{C^2} \cdot \frac{2\pi \xi^2 C^2 p_n}{\phi'_o} = 2\pi \xi^2 p_n \left( \frac{B}{\phi'_o} \right)$$

OR  $P_f = 2\pi \xi^2 \eta_1 p_n$  area flux density

이반적인 경우

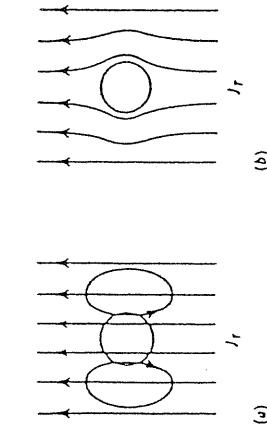
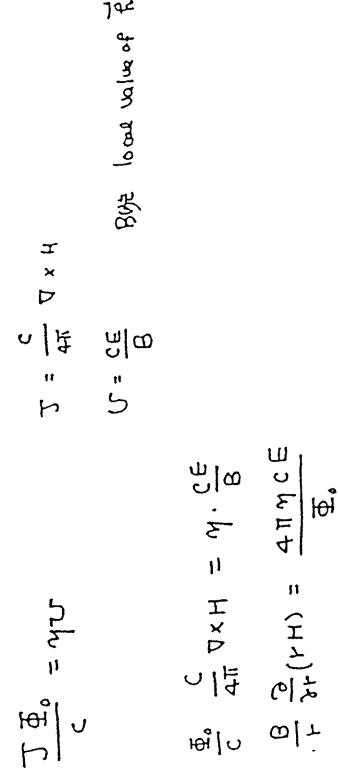


FIGURE 5.5  
Backflow current pattern at pinned vortex. (a) Uniform transport current  $J_r$  and backflow current separately. (b) Superimposed current pattern, with zero current in core.



Orbits & Circumferential,

$$I > I_{c_2} \quad \text{at } H = H_s > H_{c_2}$$

$E_L$  longitudinal circumferential.

limit case

$$I \approx I_{c_1} \Rightarrow H \approx H_{c_1},$$

$$\frac{d\beta}{dH} \rightarrow \infty \text{ at } H_c$$

$B \propto$  flux density along axis.

$$\frac{\partial}{\partial r}(rH) \approx H_{c_1}$$

$$\text{At } r=0 \quad B(r) = \frac{4\pi\gamma c E r}{2\mu_0 H_{c_1}} = \frac{4\pi\gamma c E r}{\mu_0 H_{c_1}} \cdot \frac{\mu_0 H_{c_1}}{\rho_n C}$$

$$5.58 \text{ mT} \quad B \approx \frac{\mu_0 H_{c_2}}{\rho_n C^2} = \frac{4\pi\gamma c E r}{C \cdot \rho_n} \cdot \frac{H_{c_2}}{H_{c_1}}$$

$$\therefore \frac{E}{E_n} = \frac{R}{R_n} = \frac{B(H_s)}{2H_{c_2}}$$

Other limit  $B = H$

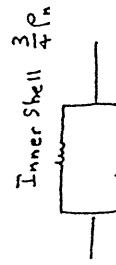
$$\frac{B}{r} \frac{\partial}{\partial r}(rH) = \frac{4\pi\gamma c E}{\mu_0}$$

$$\text{At } r=0 \quad H^2 = B^2 = \frac{8\pi\gamma c E r}{3\mu_0}$$

$$B^2 + H^2 = r^2/2 \text{ at } H=0$$

$$\frac{E}{E_n} = \frac{R}{R_n} = \frac{3H_s}{4H_{c_2}} = \frac{3}{4} \frac{I}{I_{c_2}}$$

outer shell completely normal, while inner core has the effective resistivity  $\frac{3}{4}\rho_n$ .



$$H(r_1) = H_{c_2}$$

outer shell  $\rho_n$

Effective average conductivity

$$= (1 + r_1^2/3a^2)^{-1}$$

$r_1$  is the radius of the inner core

$$\frac{r_1}{a} = \frac{2I}{I_{c_2}} \left[ 1 - \left( 1 - \frac{3I_{c_2}^2}{4I^2} \right)^{1/2} \right]$$

$$\rightarrow \frac{3I_{c_2}}{4I}$$

$$\frac{R}{R_n} = 1 - \frac{3}{16} \left( \frac{I_{c_2}}{I} \right)^2$$

$$I \gg I_{c_2}$$

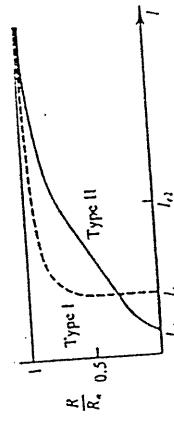
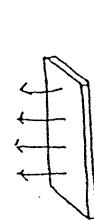


FIGURE 5.6 Resistance in a wire of ideal type II superconductor with no pinning and  $\kappa \approx 1.7$ . For onset of resistance in a wire of ideal type II superconductor with no pinning and  $\kappa \approx 1.7$ , for comparison, the dashed curve shows the corresponding behavior of a type I superconductor with the same  $H_s$ .

- Basic experiment

Kim, Hempstead



$$\rho / \rho_n \approx B / H_{c2}$$

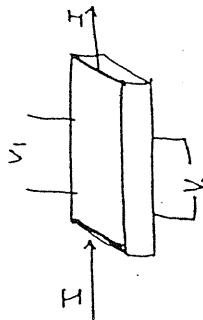
Intermediate state of type I Superconductor

domain of magnetooptic technique  $\approx$

$$0.1 \text{ m} \approx 10^{-4} \text{ m}$$

Electron holography by the group of Tonomura

$$V_1 \approx V_2$$



DC transformer

- Graeber et al. 1965

2nd Induced Voltage

of Slippage  $\propto$   $\Delta \theta$   $\propto$  Induced Voltage

$\propto$   $\Delta \theta$

Tinkham, Deltour

Coupling strength  $\propto$   $\Delta \theta$   $\propto$  magnetic field, temperature - bias current

$$\frac{\partial \Delta \theta}{\partial \Delta \theta} = \frac{\partial \Delta \theta}{\partial \Delta \theta}$$

Voltage =  $\sum$  large # of flat top pulses of

$$\text{duration } \tau = w / U_L$$

Measures of the amount of flux moving in each independent, discrete entity

$$\Phi_0 \approx 1000 \text{ mT} \text{ bundle } \Sigma$$

of  $\Delta \theta$  or  $\Delta \theta$ .

Fig: Concept of flux motion is generally correct.

But defects in real material samples

Considerably complicate the idealized picture.

#### 5.5.4. Concluding Remarks on Flux Flow

Lorentz force on charge transverse motion  $\propto$

current  $I$   $\propto$  liquid motion  $\propto$  drift  $\propto$   $\Delta \theta$

Hall effect  $\propto$   $\Delta \theta$

$$\vec{B} \times \vec{V}_L / c$$

Because of this Hall angle is small.

Bardeen - Stephen model on basis of

Hall effect  $\propto$  normal core  $\propto$   $|\Delta \theta|$

etc.

## CHARGE type II alloy, intermetallic compound

- rather short electronic mean free path.
- Hall angle  $\omega_H \neq 0$

### Another problem

Pinning on  $\text{Li}_2\text{Cu}$  Intrinsic property  $\nexists$  to  $\text{Li}_2\text{Cu}$ .

### Hall sign anomaly problem

#### LTS C

Note : Bardeen Stephen model

Hall effect stems from the quasi-normal core  
inherent normal state or  $\nexists$  signs  $\nexists$  to  $\text{Li}_2\text{Cu}$ .

$\Sigma_D$  :

Vortices starts drifting upstream

$\Rightarrow$  Contrary to the universal behavior of  
vortices in ordinary fluids.

$\Sigma_D$  :
 

- Pinning
- quasi-particle back flow
- Anisotropic scaling in the time-dependent  
GL eqs
- of  $\Psi$

### Entropy transport

: Quasi normal core + entropy  $\nexists$  to  $\text{Li}_2\text{Cu}$ .

Ehrenhagen effect - Solomon and Oter  
Vidal

$$\overline{T_1} \xrightarrow{\text{Entropy}} \overline{T_{\text{flat}}}$$

Otter -  $\Sigma_D$ .  
Quantitative result  $\nexists$  slightly  $\nexists$ .

## Ideal flux flow resistance

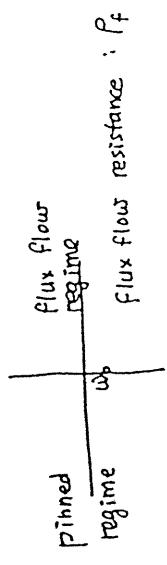
- in the presence of pinning by making measurement at microwave frequencies.

$$\text{Drag force } \eta v_F = \eta w dx$$

$$\text{pinning force} = -\rho \delta x$$

### Gittleman and Rosenthalum

$$\omega_0 = R/\gamma$$



### 5.6. The critical - state Model.

- Pinning strong enough to prevent any substantial vortex motion: "hard S.C."
- Lorentz force per unit volume.

$$\vec{\alpha} = \frac{1}{c} \vec{j}_{ext} \times \vec{B}$$

$d_c$  = pinning force per unit volume.

Critical state :  $\alpha \leq d_c$

$\phi = 0$ : hollow cylinder

$$\frac{B_{\max}}{8\pi} = \int_{R_{in}}^{R_{out}} d_c (B(r)) dr$$

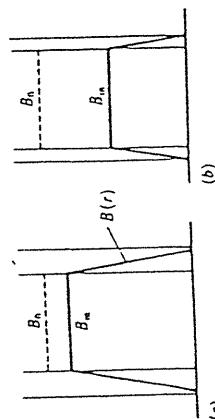
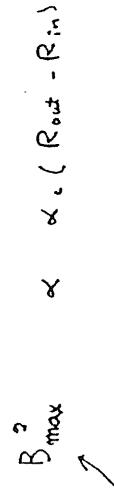


FIGURE 5.7

The critical state in a hollow superconducting cylinder. In (a), the wall thickness is sufficient to trap all the initial flux. In (b), the walls are too thin to do so. For simplicity, field profiles have been drawn using the Bean model, in which  $J_s \propto dB/dr$  is constant. The same value of  $J_s$  has been taken in both (a) and (b).

Suppose  $d_c = \text{const}$



$$= d_c \delta$$

maximum  $B$  that  
can be held inside the  
cylinder

$B_o > H_{ci}$ : fluxes leak out of the cylinder,  
reducing the field inside.

$$\text{induced current : } j_B = \frac{C}{4\pi} \frac{dH}{dr}$$

Critical state :

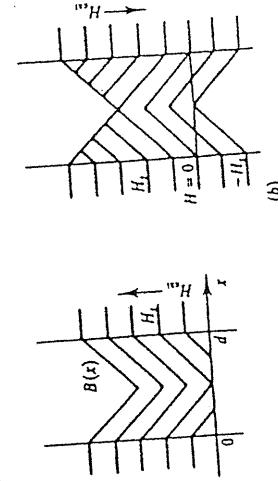
$$\begin{aligned} d &= \frac{1}{C} \int \text{ext } B \\ &= \frac{B}{4\pi} \frac{dH}{dr} \\ &= \frac{d}{dr} \left( \frac{B^2}{8\pi} \right) \leq d_c \end{aligned}$$

Bean's model

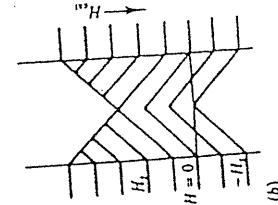
$$\begin{aligned} J_c &= \text{const} \\ &= \frac{C}{4\pi} \frac{dH}{dr} \end{aligned}$$

$d_c$  cannot be const. all the way  
down to  $B = 0$

$$\begin{aligned} d_c &= J_c \cdot \frac{B}{C} \rightarrow \text{const } (B \rightarrow 0) \\ &\Rightarrow J_c \rightarrow \infty \text{ impractical} \end{aligned}$$



(a)



(b)

FIGURE 5.8 Internal flux-density profiles in a slab subjected to (a) increasing and (b) decreasing external field.  $H$  is the maximum applied field that can be screened at the midplane. Note the occurrence of cancelling flux densities in (b) when  $H_{ext} = -\frac{1}{2}H_c$ .

Thermally activated Flux Creep - type II.

Thermal energy ( $T \neq 0$ )  $\rightarrow$  hopping of the flux lines.

i.) Slow changes in trapped magnetic fields

Creep rate getting slower as the creep progresses  $\rightarrow$  logarithmic in time.

ii.) Resistive voltages  $\propto$  Average creep velocity

$\nabla V_{\text{creep}} \ll V_{\text{flow}}$

Anomalous to flux flow case with

$$V_{\text{creep}} \ll V_{\text{flow}}$$

Anderson - Kim flux creep theory

i.) Assume that the flux creeps by bundles

ii.)  $\lambda > a \rightarrow$  Collective motion

$a$ : distance between lines

hopping rate

$$R = \omega_0 e^{-F_0/kT}$$

$\omega_0$ : attempt freq in a pinning potential

$$10^5 - 10^{11} \text{ sec}^{-1}$$

$F_0$ : activation free energy

i.) no flux density gradient : (1d)

equal hopping rate in both directions.

- ii.) If flux density gradient exists :
- tilting the spatial energy dependence

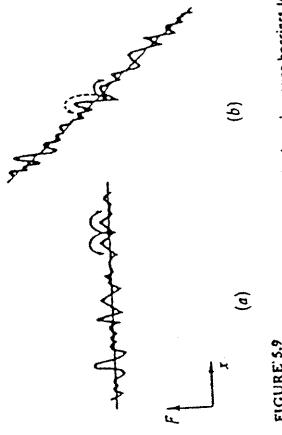


FIGURE 5.9  
Schematic representation of flux bundles jumping over barriers to adjacent pinning sites. The ordinate represents the relative value of the total free energy as a function of the position of the center of the flux bundle. (a) Zero driving force. (b) Driving force due to current for  $d\bar{B}/dt$  favoring jumps in a "downhill" direction.

$$d = \frac{1}{c} \bar{J} B : \text{force density}$$

$V_d$  : volume of a flux bundle

$L$  : average hopping distance



$\Delta F \equiv$  shift in the barrier height

= work done by the driving force in going over the barrier  $= \omega_0 V_d L$

$R$  = net hopping rate  $= R_+ - R_-$

$$= \omega_0 e^{-F_0/kT} (e^{\Delta F/kT} - e^{-\Delta F/kT})$$

$$- \sim \infty \frac{-F_0/kT}{\rho} \sim \infty \frac{1}{L} \frac{\omega_0 V_d L}{kT}$$

$\alpha$  net flux Creep velocity

$$U = 2 U_0 e^{-F_0/k_B T} \sinh\left(\frac{dV_d L}{k_B T}\right)$$

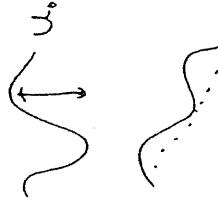
$U_0 = U_{0,L}$  = the creep velocity w/o barrier

$$\sim 10^{3+3} \text{ cm/sec}$$

or

$$R = 2 R_0 e^{-U_0/k_B T} \sinh\left(\frac{j B V_d L}{c k_B T}\right)$$

resistance,  $U_0 \equiv \tilde{U}$ : intrinsic pinning potential



$$\frac{J_0 B V_d L}{c} = U_0$$

$$R = 2 R_0 e^{-U_0/k_B T} \sinh\left(\frac{U_0}{k_B T} \frac{I_0}{I}\right)$$

$U_0 = P \left(\frac{H_c^2}{8\pi}\right) V_d$  : arising from the spatial variation of condensation energy

$$P \sim 10^{-3} \quad \oplus \text{ Strong pinning centers}$$

(such as voids) are rare

- ② Small pinning caused by extended pinning center.

$$H_c \sim 2000 \text{ G}$$

$$U_0/k_B \sim 1200^\circ \text{K}$$

$$U_0 = U_0 e^{-U_0/k_B T} \sim 10^{3+3} e^{-1200/T}$$

$$\sim 10^{3+3 - 500/T}$$

$$\sim 10^{-50} \text{ cm/sec for } T=10 \text{ K}$$

$$\therefore \sinh\left(\frac{dV_d L}{k_B T}\right) \gg 1 \text{ to get an appreciable flux creep}$$

$$\rightarrow \exp\left(\frac{dV_d L}{k_B T}\right)$$

$$U = U_0 e^{-U_0/k_B T} e^{dV_d L/k_B T}$$

$$T=0, U=0 \text{ unless } dV_d L \gtrsim U_0$$

$$d_c(0) = \frac{U_0(0)}{dV_d} \quad \text{critical force density parameter}$$

$$\text{at } T=0$$

$$U(T) = U_0 \exp\left[-\frac{U_0(T) - U_0(0) \frac{d_c(T)}{d_c(0)}}{k_B T}\right]$$

$$U_{\min} = U_0 \exp\left[-\frac{U_0(T) - U_0(0) \frac{d_c(T)}{d_c(0)}}{k_B T}\right]$$

$\uparrow$   
detectable  
minimum  
creep velocity

then

$$\frac{d_c(T)}{d_c(0)} = \frac{U_o(T)}{U_o(0)} - \underbrace{\frac{k_B T}{U_o(0)} \ln\left(\frac{U_{min}}{U_o}\right)}_{\text{Creep rate}}$$

Pinning strength

 $T \ll T_c$ 

$$U_o(T) \approx U_o(0) (1 - \beta t^2) \quad \beta \sim 1$$

$$\frac{d_c(T)}{d_c(0)} \approx 1 - \beta t^2 - \alpha t \quad t \ll 1$$

$$\text{where } \gamma = \frac{k_B T_c}{U_o(0)} \ln\left(\frac{U}{U_{min}}\right) \sim 0.1$$

$$\therefore t \leq \frac{\gamma}{\beta} \approx 0.1 \quad \text{low temp. limit}$$

the linear term dominates.

of 2nd term linear term of  $\frac{B}{B_{ext}}$ .

$$\frac{d}{dt} \frac{d}{dt} = \frac{1}{k_B T} \frac{\partial B}{\partial x} (k_B T)^{-1} \quad \text{reasonable.}$$

$$\text{except } T \leq 1K, \beta t^2 \text{ dominant at.}$$

$$\approx \frac{B^2}{4\pi} U_i \frac{\partial^2}{\partial x^2} e^{d/\alpha_1}$$

$$= \frac{B^2}{4\pi} U_i \frac{\partial^2}{\partial x^2} e^{d/\alpha_1} \approx 300 \frac{1}{B} \frac{\partial^2}{\partial x^2}$$

Time dependence of vortex creep.

Conservation of flux lines

$$\frac{\partial \vec{B}}{\partial t} + \nabla \cdot (\beta \vec{v}) = 0$$

Creep rate

$$\text{OR } \frac{\partial \beta}{\partial t} = - \frac{\partial}{\partial x} B U_i : 1.d.$$

we found

$$U = U_o e^{-U_o/k_B T} e^{d_{VL}/k_B T}$$

$$d_1 \equiv \frac{k_B T}{V_L} = \frac{k_B T}{U_o(0)} d_c(0)$$

 $\sim d_c(0)/300$ 

$$d = - \frac{\partial}{\partial x} \left( \frac{B^2}{8\pi} \right)$$

and

$$\begin{aligned} \frac{\partial U}{\partial x} &\propto \frac{\partial}{\partial x} e^{J B V_L / k_B T} \\ &= \frac{J V_L}{c k_B T} \frac{\partial B}{\partial x} e^{J B V_L / c k_B T} \\ &= \frac{J B U_L}{c k_B T} \left( \frac{1}{B} \frac{\partial B}{\partial x} \right) \\ &= \frac{1}{B} \frac{\partial B}{\partial x} = \frac{J B U_L}{c k_B T} \left( \frac{1}{B} \frac{\partial B}{\partial x} \right) \\ &= \frac{\partial}{\partial x} \left( \frac{B}{4\pi} \frac{\partial^2}{\partial x^2} (B U_i) \right) \end{aligned}$$

$$= \frac{\partial}{\partial x} \left( \frac{d}{\alpha_1} \right) \frac{1}{B} \frac{\partial B}{\partial x}$$

$$= \left( \frac{d}{\alpha_1} \right) \frac{1}{B} \frac{\partial B}{\partial x}$$

$$= \frac{B^2}{4\pi} U_i \frac{\partial^2}{\partial x^2} e^{d/\alpha_1}$$

Triangular function

$$C e^{-d_1 x} = (ax^2 + bx + c) q(x) \quad \text{for } x < 0$$

$$\frac{dq}{dx} = -\frac{2ax}{d_1}$$

Integrate this,

$$q(t) = -\frac{d_1}{2at}$$

or  $\frac{dq}{dt} \propto \ln \frac{d}{d_1}$

$$\therefore d = F(x) = d_c - d_1 \ln x$$

where  $F(x)$  is a function only of  $x$ .

Let  $d \approx d_c$  & then  $d \approx$  Creep of wire

$$\therefore F(x) \approx d_c$$

$$\therefore d = d_c - d_1 \ln t$$

For flux trapped in a hollow S.C. Cylinder

$$d \approx \frac{d}{dx} \left( \frac{B^2}{8\pi} \right)$$

$$\approx \frac{B_{in}^2}{8\pi d} \quad \text{wall thickness}$$

$$B_{in} \approx (8\pi d)^{\frac{1}{2}}$$

$$= (8\pi d_c d)^{\frac{1}{2}} \left( 1 - \frac{d_1}{d_c} \ln t \right)^{\frac{1}{2}}$$

$$\approx B_c \left( 1 - \frac{d_1}{2d_c} \ln t \right)$$

holds only for small fractional change in  $B$ .

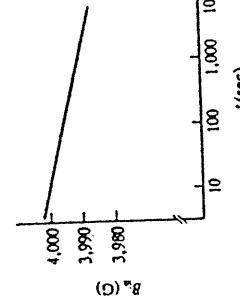


FIGURE 5.10  
Evidence for logarithmic decay of "persistent" current in a hollow cylinder of type II superconductor. [After Kim, Hwang, and Simola, Phys. Rev. Lett. 9, 306 (1962).]

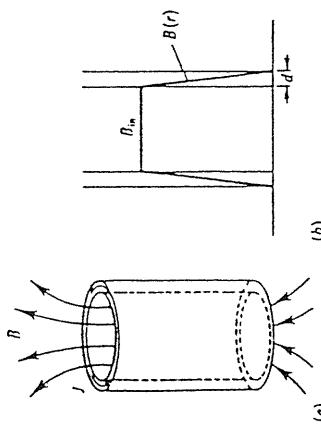
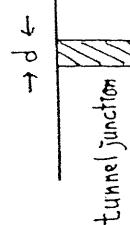


FIGURE 5.11  
Flux trapped in a hollow cylinder of type II superconductor. (a) Sketch of overall geometry. (b) Local flux-density profile.

6.1

## Josephson Effect.

Various weak links showing Josephson Effect.



$\rightarrow d \leftarrow$   
tunnel junction  
SIS :  $d \approx 10\text{ \AA}$  conventional S.C.

SIS  
SNS :  $d \approx 2\zeta_N$

$\leq 1\text{ \AA}$  high- $T_c$  S.C.

$\Psi_1$  : amplitude (macroscopic) of finding a pair in ①  
 $\Psi_2$  : ②

Assume : ①, ② of the same material

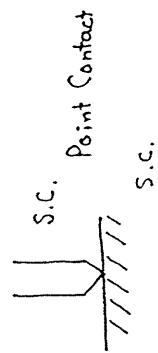
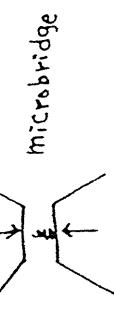
$$\dot{H} = 0$$

$$\zeta_N = \left( \frac{\pi D}{2\pi k_B T} \right)^{1/2} \quad \text{clean limit}$$

$$\left( \frac{k_B U_{Fn} \Omega_N}{6\pi k_B T} \right)^{1/2} \quad \text{diffusion limit}$$

$$i\hbar \frac{\partial \Psi_1}{\partial t} = eV \Psi_1 + \kappa \Psi_2 \quad K : \text{coupling const}$$

$$i\hbar \frac{\partial \Psi_2}{\partial t} = -eV \Psi_2 + \kappa \Psi_1$$



Trial Sol.

$$\Psi_1 = \sqrt{n_1} e^{i\varphi_1}$$

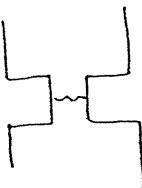
$$\Psi_2 = \sqrt{n_2} e^{i\varphi_2}$$

$n'$ 's : pair density

$\varphi'_S$  : phases

$$\dot{n}_1 = \frac{2}{\hbar} \kappa \sqrt{n_1 n_2} \sin \varphi \quad \rightarrow \dot{n}_1 = -\dot{n}_2$$

$$T = T_0 \sin \varphi$$



mostly high  $T_c$  material

$$\dot{\varphi}_1 = \frac{\kappa}{\hbar} \sqrt{\frac{n_2}{n_1}} \cos \varphi - \frac{eV}{\hbar}$$

$$\dot{\varphi}_2 = \frac{\kappa}{\hbar} \sqrt{\frac{n_1}{n_2}} \cos \varphi + \frac{eV}{\hbar}$$

$$\dot{\varphi} = \dot{\varphi}_2 - \dot{\varphi}_1 = 2eV/\hbar$$

### Remark

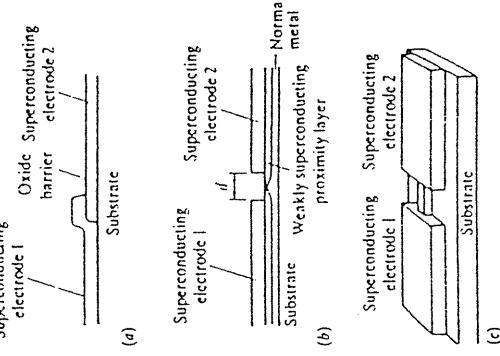


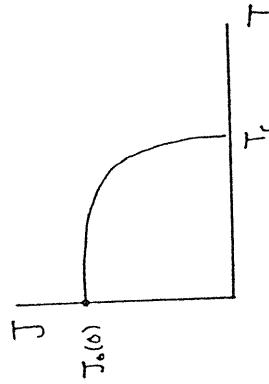
FIGURE 6.1  
Three types of Josephson junction: (a) S-I-S,  
(b) S-N-S, and (c) S-c-S.

$$1) \quad J_o = \frac{\pi \Delta(\tau)}{2eR_N} \tanh\left(\frac{\Delta(\tau)}{2K_B T}\right)$$

Ambegaokar & Baratoff

$$\frac{\pi \Delta(0)}{2eR_N} \quad \tau \rightarrow 0$$

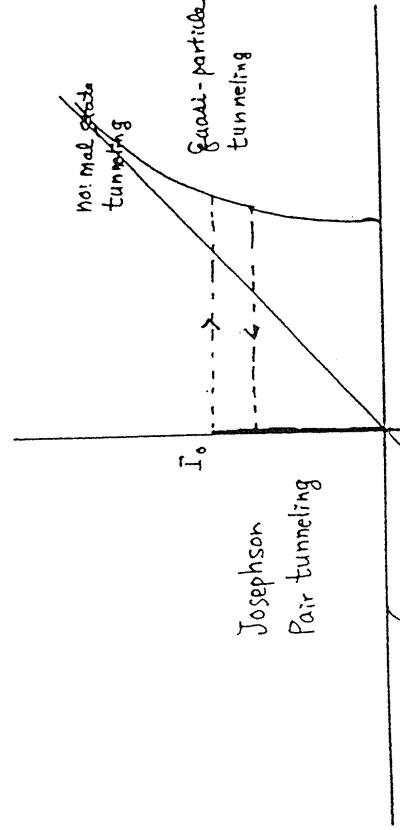
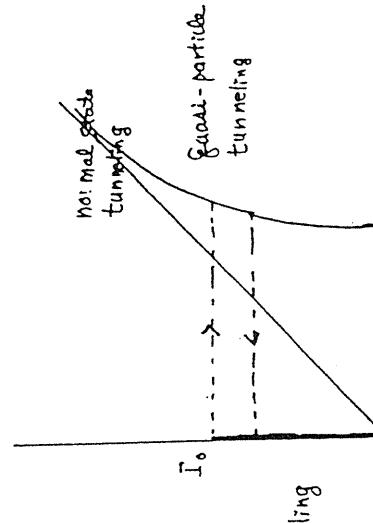
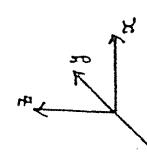
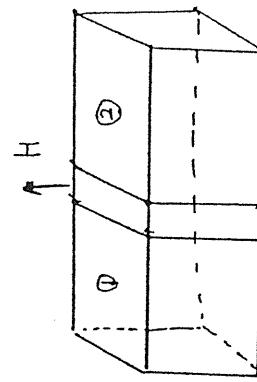
$$\frac{\Delta^2(\tau)}{\tau} \propto \tau_c - \tau \quad \tau \rightarrow \tau_c$$



$$2) \quad V \neq 0$$

$$I = I_o \sin \gamma + G_0 V + G_{int} (\cos \gamma) V$$

Josephson Junction in a Magnetic Field



6-5.

6-6

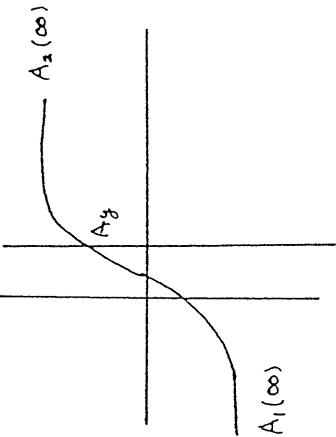
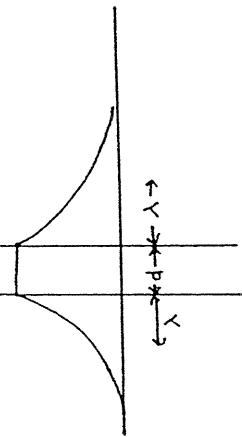
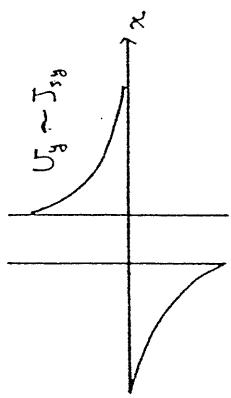
Cross sectional area of the barrier  $\rightarrow Y_Z$

$Y_Z$ , length of S.C.  $\gg$

tunneling current  $\ll$  screening current

$$A = A_y(x) y$$

$$h_a = \frac{\partial A}{\partial x}$$



$$\nabla_s = \frac{\hbar^*}{m^*} (\nabla \phi - \frac{2\pi A}{\phi})$$

$$\text{or } \nabla \phi = \frac{m^* \nabla_s}{\hbar} + \frac{2\pi}{\phi} A$$

$$\begin{aligned} \frac{\partial \phi}{\partial y} &= \frac{m^* \nabla_s}{\hbar} + \frac{2\pi}{\phi} A_y \\ \frac{\partial \phi}{\partial x} &= 0 \end{aligned} \quad \left. \begin{array}{l} \phi = \phi(y) \\ \rho = \rho(y) \end{array} \right\}$$

$\phi$ : independent of  $x \rightarrow \phi$  can be calculated for any  $x$ .

For convenience, deep in the S.C.

$$\begin{aligned} \nabla_s &= 0 & \left. \begin{array}{l} \frac{\partial \phi}{\partial y} = \frac{2\pi}{\phi} A_y(\infty) \\ h_a = 0 \end{array} \right\} \\ A_y &= A_y(\infty) & \phi(\infty) = \phi(0) + \frac{2\pi}{\phi} [A_2(\infty) - A_1(\infty)] y \end{aligned}$$

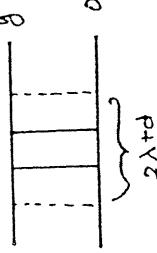
$$\chi(y) = \phi_2(y) - \phi_1(y)$$

$$= \chi(0) + \frac{2\pi}{\phi} [A_2(\infty) - A_1(\infty)] y$$

$$\frac{\partial \phi}{\partial y}$$

$$\int \vec{A} \cdot d\vec{s} = (A_x(\infty) - A_1(\infty)) y = \Phi(y)$$

Junction area  $(2x+d)y$   
= flux enclosed in the

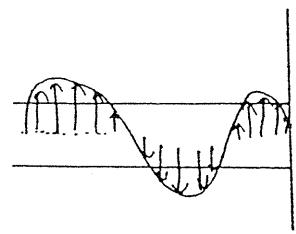


$$\therefore \delta(y_s) - \gamma(0) = \frac{2\pi \frac{\partial \psi}{\partial y}}{\phi_0}$$

$$= \frac{2\pi H (2\lambda+d)y_s}{\phi_0}$$

$$J_x = J_0 \sin \gamma(y_s)$$

Currents in various parts of the junction area tend to cancel.



If the tunneling current is not negligible,  
→ Josephson current screens the field in the junction region with a weak Meissner effect.

$$\frac{\partial h}{\partial y} = \frac{4\pi}{c} J_x = \frac{4\pi}{c} J_0 \sin \gamma$$

$$\Phi(y) = (2\lambda+d) \int_y^y h(y') dy' = \frac{\phi_0}{2\pi} [\gamma(y) - \gamma(0)]$$

$$I = Z \int_{-\gamma_2}^{\gamma_2} J(y) dy$$

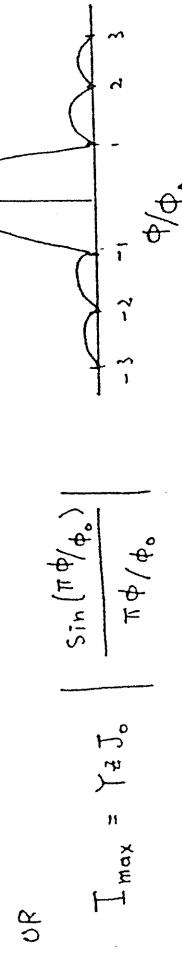
$$= Z J_0 \int_{-\gamma_2}^{\gamma_2} \sin \left[ \frac{2\pi H (2\lambda+d)y}{\phi_0} + \gamma(0) \right] dy$$

$$= -Z J_0 \frac{\phi_0}{2\pi H (2\lambda+d)} \cos \left( \frac{2\pi H (2\lambda+d)\gamma_2}{\phi_0} + \gamma(0) \right) \Big|_{-\gamma_2}^{\gamma_2}$$

$$= \frac{Z J_0 \phi_0 \gamma}{\pi \Phi} \sin \left( \frac{\pi \Phi}{\Phi_0} \right) \sin \gamma(0), \quad \Phi = H (2\lambda+d) \gamma$$

$$= \gamma Z J_0 \sin \gamma(0) \cdot \frac{\sin(\pi \Phi/\Phi_0)}{\pi \Phi/\Phi_0}$$

$$I_{max}$$



$$\approx 1 \text{ mm} \text{ typically}$$

$$\lambda_J = \left[ \frac{c \phi_0}{8\pi^2 J_0 (2\lambda+d)} \right]^{\frac{1}{2}}$$

Josephson penetration depth

OR

$$I_{max} = Y_2 J_0 \left| \frac{\sin(\pi \Phi/\Phi_0)}{\pi \Phi/\Phi_0} \right|$$

Intermediate Screening due to junction current

$\rightarrow$  A pendulum with marginal kinetic energy

at the top of the circular motion

$\rightarrow$  Nonsinusoidal, yet periodically reversing current distribution.

$$\gamma \ll 1 \quad (H \ll 4\pi J_0 \lambda_J / c)$$

$$\frac{d^2y}{dy^2} = \frac{y}{\lambda_J^2} \quad \rightarrow \quad \gamma(y) \sim e^{\pm y/\lambda_J}$$

$y$  and  $J$  also decay exp.

iii)  $\gamma$  not small:

Comparing to the eq. of motion of a

Pendulum.

$$y \leftrightarrow t$$

$$\gamma \leftrightarrow \theta$$

$$\lambda_J^{-2} \leftrightarrow \omega_0^2 = \frac{g}{L}$$

weak screening limit  $\rightarrow \Delta\gamma(y) \propto y$

OR  $\Delta\theta \propto t$

a pendulum with high kinetic energy

Effect of gravitation negligible.

Taking initial condition  $h_0 = H$

$$\begin{aligned} \dot{y} &\rightarrow 0 \\ \omega_0^2 &\rightarrow 0 \quad \therefore \lambda_J \rightarrow \infty \end{aligned}$$

$\therefore \frac{dy}{dy^2} = 0 \quad \frac{d\gamma}{dy} = \text{const} \quad \rightarrow$  Sinusoidal current pattern along  $y$  direction (previous case)

$$mgh = mgh_0 + \frac{1}{2} mu_0^2$$

$$mg(h - h_0) = \frac{1}{2} mu_0^2$$

$$g_L(1 - \cos\theta_0) = \frac{1}{2} L^2 \left(\frac{d\theta_0}{dt}\right)^2$$

$$\left(\frac{d\theta}{dt}\right)_0^2 = \frac{2g}{L} (1 - \cos\theta_0)$$

$$= 2\omega_0^2 (1 - \cos\theta_0)$$

$$\leftrightarrow \left(\frac{dy}{dt}\right)_0^2 = \frac{2g}{\lambda_J^2} (1 - \cos\theta_0)$$

$$= \left(\frac{2\pi H}{\phi_0}\right)^2 (2\lambda + d)^2$$

at the edges of the Jnc.  
 $h_0 = H$

$$\cos\theta_0 = 1 - \frac{1}{2} \left( \frac{2\pi H}{\phi_0} \lambda_J (2\lambda + d) \right)^2$$

$$= 1 - \frac{1}{2} \left( \frac{CH}{4\pi J_0 \lambda_J} \right)^2$$

$\therefore$  In the weak field limit,  
 $\theta_0 = \frac{CH}{4\pi J_0 \lambda_J}$

$\theta_0$ : value of  $\theta$  at the edges of the junction

the strongest field which can be screened

dc SQUID & 전동원자.

1996.10.23 일정교

6-1

$$\chi_0 = \pi - 1 = 1 - \frac{1}{2} \left( \frac{C H_1}{4\pi J_0 \lambda_J} \right)^2$$

$$H_1 = 8\pi J_0 \lambda_J / c \sim 1 \text{ Gauss}$$

or

For  $I > J_0 \lambda_J$  in a wide jnc.

$\hbar > H_1 \rightarrow$  Vortex (Periodic)  
State established

→ dissipative in the presence of

$I_{ext}$

- (그림 3-1) 표시는 점화의 종류  
 a) 터널점화: 이 점화는 초전도체 사이에  $30\text{\AA}$  정도의 부도체를 상업적으로 제작한 점화의 예가 아니지만 부도체를 떠올리면 일어난다. 주로 SIS 점화를 이용한다.  
 b) Microbridge 점화: 초전도 전자들이 약  $1000\text{\AA}$  정도의 길이 사이를 확산·에카나타에 의해 떠올라 멈추게 된다. 주로 SNS 점화를 이용한다.

Microbridge : 7~9 nm Normal metal.  
 주제로 고온과 낮은 온도에서의 흐름을 관찰할 수 있다.  
 장점 : Coherence length  $\sim 1000\text{\AA}$  정도.

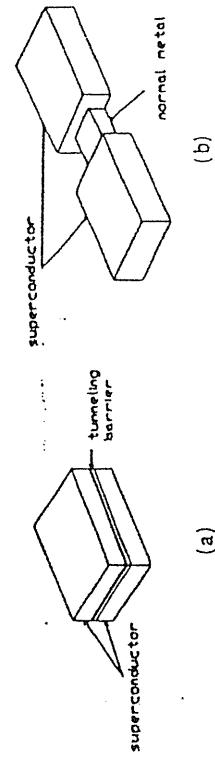
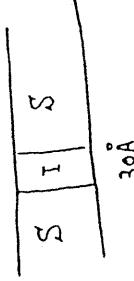
Tunnel bridge : 만들기 어려워.

동자와 같은 초전도체인 AlS

온도  $900^\circ\text{C}$  일정온도  $80^\circ\text{C}$

초전도체의 제작은 가능.  
 표시는 E-날 점화의 제작은 고온에 어렵다.

SQUID : Superconducting Quantum Interference Devices  
 Josephson junction.

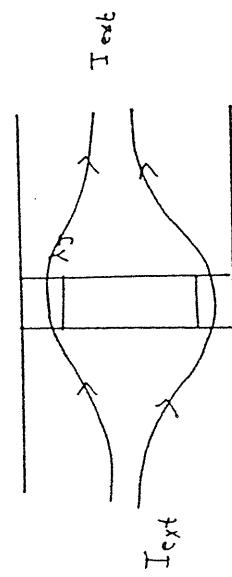


For  $I > J_0 \lambda_J$  in a wide jnc.

$\hbar > H_1 \rightarrow$  Vortex (Periodic)  
State established

→ dissipative in the presence of

$I_{ext}$



### Josephson Junction

6-13

$$I = I_0 \sin \gamma + \frac{V}{R}$$

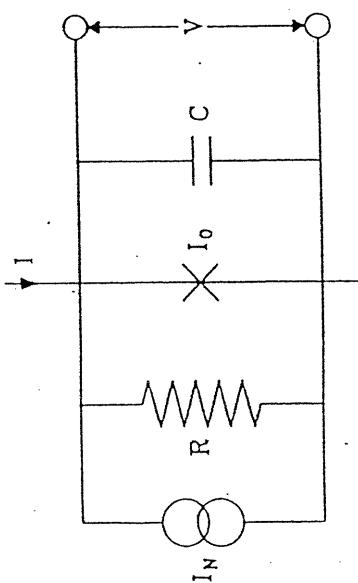
$$J = J_0 \sin(\theta_1 - \theta_2)$$

$$J = J_0 \sin\left(\frac{2eV}{\hbar}t + \theta\right)$$

$$\frac{d\phi}{dt} = \frac{2eV}{\hbar}$$

Josephson 편의식은 전기장 - 전자수 편의식

RST Model : 1968년 Stewart와 Shoenberg



(그림 3-2) RST 모델

$$I = I_0 \sin \gamma + \frac{V}{R} + C \frac{dV}{dt}$$

전기장  $\gamma$ 와 전자수  $I$ 에 대한 관계

$$I = I_0 \sin \gamma + \frac{V}{R}$$

$$\frac{d\gamma}{dt} = \frac{2eV}{\hbar} \quad \phi_0 = \frac{\hbar}{2e}$$

6-14

$$= I_0 \sin \gamma + \frac{1}{R} \cdot \frac{h}{2e} \frac{d\gamma}{dt}$$

$$= I_0 \sin \gamma + \frac{2\pi h}{2eR \cdot 2\pi} \frac{d\gamma}{dt}$$

$$= I_0 \sin \gamma + \frac{\phi_0}{2\pi R} \frac{d\gamma}{dt}$$

$$I_0 \left( \frac{I}{I_0} - \sin \gamma \right) = \frac{\phi_0}{2\pi R} \cdot \frac{d\gamma}{dt}$$

$$\frac{2\pi R I_0}{\phi_0} dt = \frac{d\gamma}{\frac{I}{I_0} - \sin \gamma}$$

$$\frac{2\pi R I_0 t}{\phi_0} = \frac{2}{\left[ \left( \frac{I}{I_0} \right)^2 - 1 \right]^{\frac{1}{2}}} \tan^{-1} \left[ \left( \frac{I}{I_0} \right) \tan \frac{\gamma}{2} + 1 \right] \cdot \left[ \frac{I^2}{I_0^2} - 1 \right]^{\frac{1}{2}}$$

for  $I > I_0$ .

이제  $\gamma$ 를  $t$ 에 대해 찾으려면

$$\tan \frac{\gamma}{2} = \sqrt{1 - \left( \frac{I}{I_0} \right)^2} \quad \text{and} \quad \left\{ \frac{\pi R I_0}{\phi_0} \left( \frac{I^2}{I_0^2} - 1 \right)^{\frac{1}{2}} \cdot t \right\} - \frac{I}{I_0}$$

여기서 전기장  $I$ 가  $\frac{I}{I_0}$ 로 정의되는 경우

$$T = \frac{2\pi}{2 \left( \frac{\pi R I_0}{\phi_0} \right) \left( \frac{I^2}{I_0^2} - 1 \right)^{\frac{1}{2}}}$$

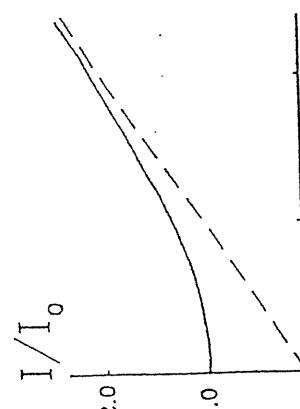
그림 3-3) RSJ 모형에서 C=0인 경우의 I-V 특성곡선

$$\langle V \rangle = \frac{h}{2e} \left( \frac{d\delta}{dt} \right)$$

$$= \frac{h}{2e} \frac{1}{T} \int_0^T \left( \frac{d\delta}{dt} \right) dt$$

$$= \frac{h}{2e} \cdot \frac{2\pi}{T}$$

$$= IR \left( 1 - \frac{I_o}{IR} \right)^{\frac{1}{2}}$$



(그림 3-3) RSJ 모형에서 C=0인 경우의 I-V 특성곡선

$$\frac{V}{R} + I_o \sin \delta + C \frac{dV}{dt} = I + I_u(t)$$

$$d = \frac{h}{2IR}$$

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한국어  
한국어

$$\beta_c \delta + \dot{\delta} = i - \sin \delta$$

$$\frac{V}{R} + I_o \sin \delta + C \frac{dV}{dt} = I + I_u(t)$$

$$\frac{d\delta}{dt} = \frac{2ev}{h}, \quad \dot{\delta}_0 = \frac{h}{2e}$$

$$V = \frac{h}{2e} \frac{d\delta}{dt}$$

$$\therefore \frac{h}{2eR} \frac{d\delta}{dt} + \frac{h}{2eR} \dot{\delta} = I - I_o \sin \delta$$

$$\frac{h}{2eR} \dot{\delta} + \frac{h}{2eR} \dot{\delta} = I - I_o \sin \delta$$

$$= \frac{2e}{h} \left\{ \left( \frac{2e}{h} \right)^{-1} \frac{1}{2\pi} (I - I_o \sin \delta) \right\}$$

$$= - \frac{2e}{h} \frac{2}{\partial \delta} U$$

$$\text{where } U = - \frac{\phi_0}{2\pi} (I_o \delta + I_o \cos \delta)$$

$$\frac{h}{2e} \frac{d^2\delta}{dt^2} + \frac{h}{2eR} \frac{d\delta}{dt} = I - I_o \sin \delta$$

$$t = dt'$$

$$\frac{1}{I_o} \frac{h}{2e} \frac{d^2\delta}{dt'^2} + \frac{1}{I_o 2eR} \frac{h}{2e} \frac{d\delta}{dt'} = \frac{I}{I_o} - \sin \delta$$

$$+ \tan \frac{1}{I_o} \frac{h}{2e} \frac{4I_o^2 e^2 R^2}{t'^2} = \frac{2C I_o e^2}{h} = \frac{2\pi C I_o R^2}{h}$$

$$= \frac{2\pi I_o R^2 c}{h} \equiv \beta_c$$

$$1 + i = \frac{I}{I_o} + t = \frac{t}{t'}$$

$$\text{then } \beta_c \frac{d^2\psi}{dt^2} + \frac{d\psi}{dt} = i - \mu_0 \nabla \times$$

6-17

Fundamental limits on SQUID Technology

$\beta_c$ : Macumber parameter.

$\beta_c \gg 1$  over damped

$\beta_c \ll 1$  over damped

Cooper pair  $\frac{\psi_1}{2}$  Macroscopic quantum state

$$\psi(\vec{r}, t) = |\psi(\vec{r}, t)| \cdot e^{i\phi(\vec{r}, t)}$$

SQUID :  $\beta_c < 1$  over damped

$I, V_c, V, R$

Josephson Junction :

$\lambda, V_c, V, r$

$\tau_{\text{dyn}} : \tau_0 = \left( \frac{\partial V}{\partial I} \right)_{V_c} : \text{dynamic resistance.}$

Note : Josephson current  $\tau_{\text{dyn}} / \tau_0 = 1 / \sin(\pi \bar{\Phi}_a / \Phi_0)$

$$I_0(\bar{\Phi}_a) = I_0(0) \cdot \frac{\sin(\pi \bar{\Phi}_a / \Phi_0)}{\pi \bar{\Phi}_a / \Phi_0}$$

$I > I_0 \quad V \neq 0$

$$\delta \equiv \omega = \frac{2eV}{\hbar} = \frac{2eV}{\Phi_0} \cdot \frac{\omega}{2\pi}$$

Super-current oscillate at a freq

$$\omega = \frac{\omega}{2\pi}$$

6-18

Superconductivity and the Josephson Effect

$$\Phi_0 = n\Phi_0 \quad \text{where } \Phi_0 = \frac{\hbar}{2e} = 2.07 \times 10^{-15} \text{ wb}$$

$\psi$  is a single valued function

$$\text{when } \delta = \phi_1 - \phi_2$$

if  $I < I_0$  phase difference is time independent

Voltage across the junction = 0

phase difference is time independent

Voltage across the junction = 0

$I - V$  characteristics

hysteretic

1963 in Mc Cumber in junction  $\frac{d}{2}$  shunt  $\lambda \text{FeO}_2$

प्राप्त ग्राहक

### RSJ (Resistively Shunted Junction)

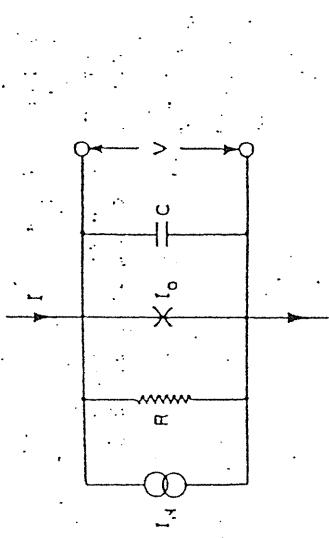


Fig. 1. Resistively shunted junction model.

$$\frac{V}{R} + I \cdot \sin \delta + c \frac{dV}{d\delta} = I + I_A$$

$$V = \frac{\hbar \delta}{2e} \quad \text{for } \delta \neq 0$$

$$\text{Noise } \frac{\hbar c}{2e} \frac{d\delta}{dt} \quad \text{for } \delta \neq 0$$

$$\frac{\hbar c}{2e} \frac{d\delta}{dt} + \frac{\hbar}{2eR} \delta \cdot I - I_0 \sin \delta = - \frac{2e}{\hbar} \frac{dV}{d\delta} \quad \text{where } V = - \frac{\pi}{2\delta} (I\delta + I_0 \cos \delta)$$

where  $V = - \frac{\pi}{2\delta} (I\delta + I_0 \cos \delta)$

6-19

6-20

$$\frac{\hbar c}{I_0 2e} \frac{d^2 \delta}{dt^2} + \frac{\hbar}{I_0 2eR} \frac{d\delta}{dt} = \frac{I}{I_0} - \sin \delta$$

$$\frac{\hbar c}{I_0 2e} \frac{d^2 \delta}{dt^2} + \frac{\hbar}{I_0 2eR} \frac{d\delta}{dt} = \frac{I}{I_0} - \sin \delta$$

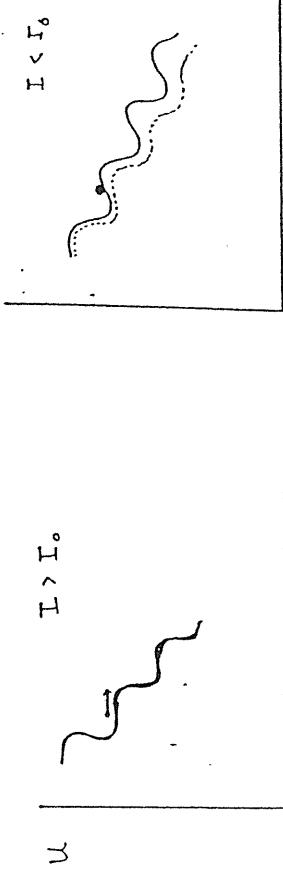
$$\Rightarrow \beta_c \delta + \dot{\delta} = I - \sin \delta = - \frac{2}{3\delta} V \quad \text{where } V = -i \delta + \cos \delta$$

$$\text{where } d = \frac{\pi}{I_0 2eR} = \frac{\hbar}{2\pi I_0 2eR} = \frac{\hbar}{2\pi I_0 R}$$

$$\beta_c = \frac{2\pi I_0 R c}{\hbar}$$

$$(i) \quad \beta_c \delta + \dot{\delta} = - \frac{2}{3\delta} V \quad V = -i \delta + \cos \delta$$

$$= i - \sin \delta$$



$I < I_0$

$I > I_0$

..... instantaneous potential  
due to the fluctuation  
particle running down

$\delta$



SQUID 01

Josephson Junction

$$V(t) = V_{\text{DC}} + V_t \cos(\omega t + \frac{\pi}{2})$$

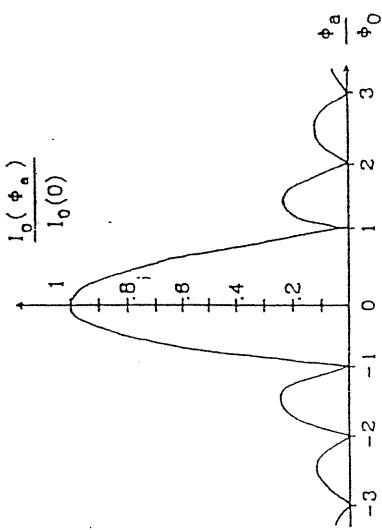
$$I(t) = I_0 \sin \left\{ \frac{2eV_0}{h} t + \frac{2eVs}{h} \sin \omega t + \phi_0 \right\}$$

Bessel Functions of the First Kind

$$\cos(A \sin \delta) = \sum_{n=-\infty}^{\infty} J_n(A) \cos n \delta$$

$$\sin(A \cos \delta) = \sum_{n=-\infty}^{\infty} J_n(A) \sin n \delta$$

$$= I_0 \sum_{n=-\infty}^{\infty} (-1)^n J_n (2eVs / \hbar \omega_s) \sin \{ (v_s - \hbar \omega_s) t + 50^\circ \}$$

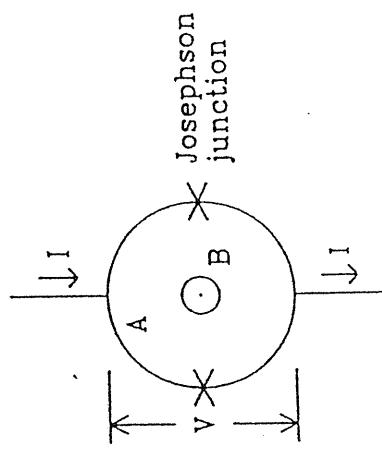


(그림 3-4) 조세 순 접두에서의 자기 장애 따른 임계 전류의 변화

$$T_i = \frac{k}{2e} C \frac{d^2\theta_i}{dt^2} + \frac{k}{2eR} \frac{d\theta_i}{dt} + T_c \sin\theta_i + T_{bi}$$

$$I = I_1 + I_2$$

L ( I<sub>1</sub>, -I<sub>2</sub> ).



### (그림 3-5) dc SQUID의 구성

SQUID : 자속을 전달하고 전자시계에 주는 소상미세 및 강가장

지지장 측정 ; 지구의 표면적<sup>적</sup>, 지구가 지나는  
지지기의 빛속도<sup>속도</sup>, 지진파<sup>파</sup>

$$10^{-14} \text{ cm}^2 \text{ s}^{-1} \text{ eV}^{-1} \text{ sr}^{-1}$$

लक्षण एवं लक्षित : fundamental limit to energy

## Sensitivity

dc SQUID의 이론적 고찰

$$\theta_1 + \theta_2 = \frac{\theta_1 - \theta_2}{2}, \quad \phi = \frac{\theta_1 - \theta_2}{2}$$

$$\therefore \theta_1 = v + \phi$$

$$\theta_2 = v - \phi$$

$$I_1 = \frac{hc}{2e} \frac{d^2}{dt^2} (v + \phi) + \frac{k}{2eR} \frac{d}{dt} (v + \phi) + I_c [ \sin \phi \cos \phi$$

$$+ \cos v \sin \phi ] + I_{n_1}$$

$$I_2 = \frac{hc}{2e} \frac{d^2}{dt^2} (v - \phi) + \frac{k}{2eR} \frac{d}{dt} (v - \phi) + I_c [ \sin \phi \cos \phi$$

$$- \cos v \sin \phi ] + I_{n_2}$$

$$I = I_1 + I_2$$

$$= \frac{hc}{e} \frac{d^2v}{dt^2} + \frac{k}{eR} \frac{dv}{dt} + 2I_c \sin \phi + I_{n_1} + I_{n_2}$$

$$\frac{2(\Phi_0 - \Phi_a)}{L} = \frac{hc}{e} \frac{d^2\phi}{dt^2} + \frac{k}{eR} \frac{d\phi}{dt} + 2I_c \cos \phi + I_{n_1} - I_{n_2}$$

$$\text{Let } t = dt', \quad \text{where } d = \frac{h}{2I_c e R}, \quad \omega = \frac{\pi}{I_c}$$

$$\Phi_a = \pi \frac{\Phi_a}{\Phi_0}$$

$$\beta_c = \frac{hc}{2I_c e} \cdot \frac{4I_c^2 e^2 R^2}{h^2} = \frac{2\pi I_c R^2 c}{\Phi_0}$$

$$\beta_c = \frac{2\pi I_c e R^2 c}{h}$$

$$= \frac{2\pi I_c R^2 c}{\Phi_0}$$

여기서  $dC$   $S & QID$   $\omega$  운동 방정식은?

$$\beta_c \frac{d^2v}{dt'^2} + \frac{dv}{dt'} + \sin \omega \cos \phi = \omega + \dot{\omega}_n(t^*)$$

$$\beta_c \frac{d^2\phi}{dt'^2} + \frac{d\phi}{dt'} + \cos \omega \sin \phi + \frac{2}{\beta_L} (\phi - \phi_a) = \dot{\omega}_n(t^*)$$

$$\text{Let } \omega = \pi/2I_c, \quad \phi_a = \pi \bar{\Phi}_a / \Phi_0$$

여기서  $\omega$   $\phi = \frac{\pi}{2} \bar{\Phi}_a / \Phi_0$

then the above eq. will be

$$\dot{\omega}_n - \omega_n = \frac{hc}{2I_c e} \frac{4I_c^2 e^2 R^2}{h^2} \frac{d^2v}{dt'^2} + \frac{dv}{dt'} + \sin \omega \cos \phi$$

$$< i_{n,0}(t^*) > = < i_{n,\phi}(t^*) > = 0$$

$$< i_{n,0}(t^* + \tau^*) i_{n,0}(t^*) > = < i_{n,\phi}(t^* + \tau^*) i_{n,\phi}(t^*) >$$

$$\langle i_{n,0}(t^*), i_{n,\phi}(t^*) \rangle = 0$$

그림 3-5 : 전류 간음의 상관은 흐트리 쪽 (Stochastic Process)에  
의한 2차 미분 방정식

:  $\partial H_0$  부작용과 시기의 경계 범위 Simulation에  
대는  $\beta_c \ll 1$ 인 경우는 퍼포먼스를  
유지한 저항 (Circulating Current), 전달률  $\kappa$  (transfer  
function)  $\Delta V / \kappa \Phi_0$  등은 실수.

가정 : SQUID의 inductance  $I_L$  무시하고  
 $\beta_c \ll 1$ 이고  $\beta_c \approx 0$ 이면  
전지속  $\tau = \Phi_0 / \kappa$ .

가정 : SQUID의 inductance  $I_L$  무시하고

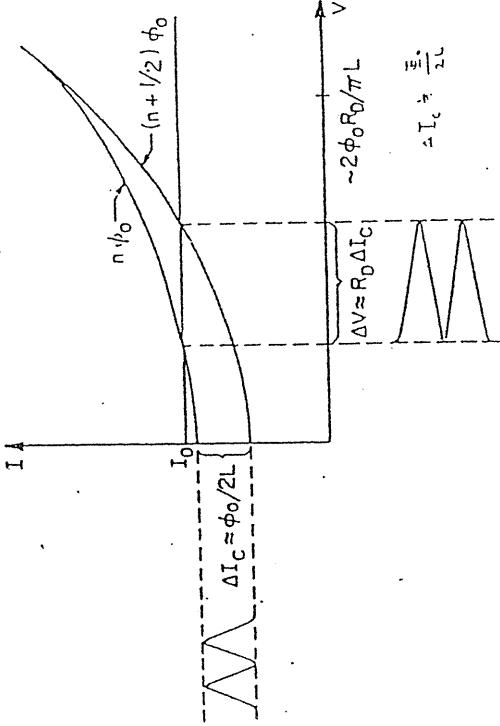
$\beta_c \ll 1$ 이고  $\beta_c \approx 0$ 이면  
전지속  $\tau = \Phi_0 / \kappa$ .

$$\bar{V} = \frac{1}{\tau} \int_0^\tau v dt$$

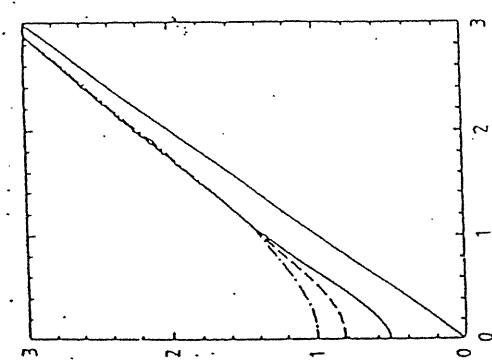
$$= \frac{RI}{2} \left[ 1 - \left( \frac{2I_c}{I} \cos \frac{\pi \Phi_0}{\kappa} \right)^2 \right]^{\frac{1}{2}}$$

$$\text{결과} : \beta_c = 0 \quad \text{때} \quad \bar{V} = \frac{RI}{2} \cos \frac{\pi \Phi_0}{\kappa}$$

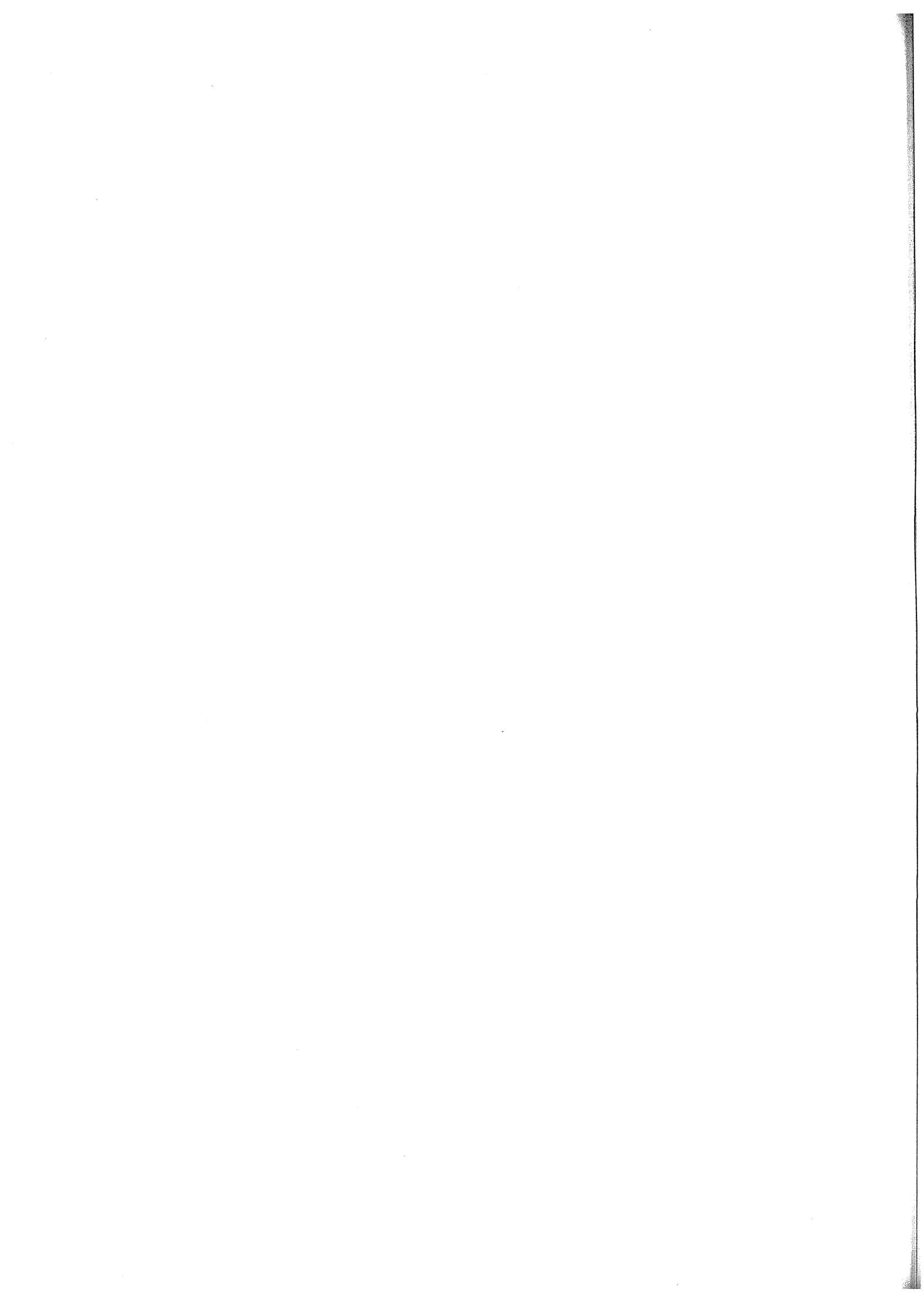
$$\beta_c = \pi \tan \frac{\partial V}{\partial \Phi_0} \sim \frac{R}{2L} \sim \frac{R_{dyn}}{2L}, \quad R_{dyn} = \frac{\partial V}{\partial I} \sim R/\sqrt{2}$$



(그림 3-6) SQUID의 I-V特性



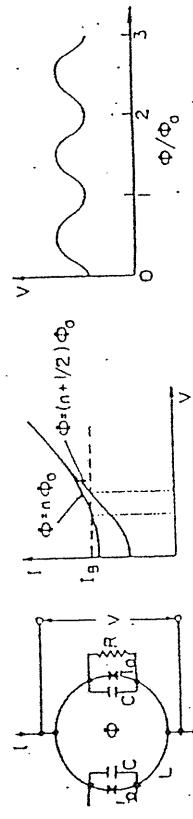
(그림 3-7) dc SQUID에서의 전류-전압 특성곡선  
 $\beta_c = 0, 0.3, \beta_L = \pi$ , 아래로 부터  $\varphi_0 = 0, 5, 0, 25, 0$



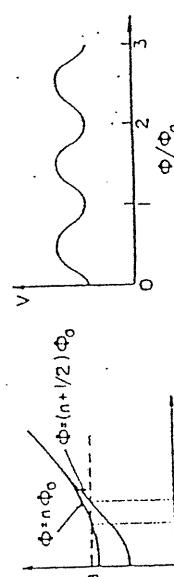
SQUID Josephson junction

Assume  $\beta_c \ll 1$

$I-V$  is non-hysteretic



12. (a) Configuration of dc SQUID; (b) current-voltage ( $I-V$ ) characteristic with  $\phi = n\Phi_0$  and  $(n + \frac{1}{2})\Phi_0$ , where  $n$  is an integer; (c)  $V$  vs.  $\phi$  at constant bias current.



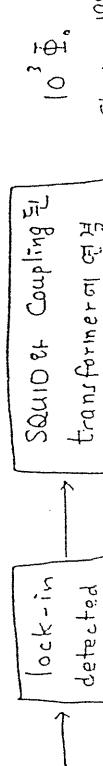
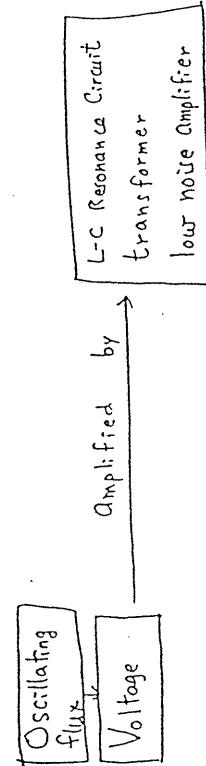
(c)

SQUID operating mode  $\leq \frac{1}{2}\Phi_0$

Maximum  $V = (n + \frac{1}{2})\Phi_0$ .

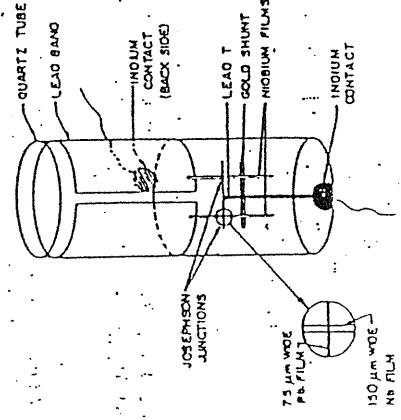
$$\frac{\partial V}{\partial \Phi} \text{ at maximum} = \frac{(2n+1)}{4} \Phi_0.$$

SQUID operating mode  $\leq \frac{1}{2}\Phi_0$



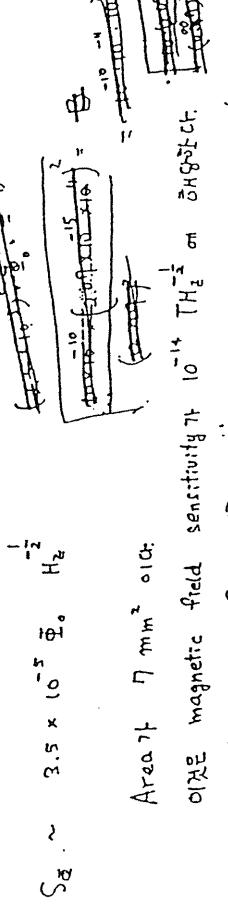
### SQUID noise

$$S_\phi = \frac{S_\phi(h)}{V^2} \quad \text{where } V_E = \frac{\partial V}{\partial \Phi}$$



(a)

Fig. 13. (a) Configuration of cylindrical dc SQUID; (b) spectral density of SQUID flux noise,  $S_\phi(f)$ , for typical cylindrical SQUID (Clarke et al., 1976).



Thermal noise in the D.C. SQUID

$$S_E = B \cdot A = \frac{S_E}{A} = \frac{3.5 \times 10^{-5} \times 2.09 \times 10^{-15} \text{ tesla}}{7 \times 10^{-6} \text{ m}^2} = 10^{14} \text{ THz}^{-1/2} \text{ on } \text{GHz scale}$$

$$S_E = B \cdot A = \frac{3.5 \times 10^{-5} \times 2.09 \times 10^{-15} \text{ tesla}}{7 \times 10^{-6} \text{ m}^2} = 10^{14} \text{ THz}^{-1/2} \text{ on } \text{GHz scale}$$

$$S_E = B \cdot A = \frac{3.5 \times 10^{-5} \times 2.09 \times 10^{-15} \text{ tesla}}{7 \times 10^{-6} \text{ m}^2} = 10^{14} \text{ THz}^{-1/2} \text{ on } \text{GHz scale}$$

$$S_E = B \cdot A = \frac{3.5 \times 10^{-5} \times 2.09 \times 10^{-15} \text{ tesla}}{7 \times 10^{-6} \text{ m}^2} = 10^{14} \text{ THz}^{-1/2} \text{ on } \text{GHz scale}$$

$$S_E = B \cdot A = \frac{3.5 \times 10^{-5} \times 2.09 \times 10^{-15} \text{ tesla}}{7 \times 10^{-6} \text{ m}^2} = 10^{14} \text{ THz}^{-1/2} \text{ on } \text{GHz scale}$$

$$S_E = B \cdot A = \frac{3.5 \times 10^{-5} \times 2.09 \times 10^{-15} \text{ tesla}}{7 \times 10^{-6} \text{ m}^2} = 10^{14} \text{ THz}^{-1/2} \text{ on } \text{GHz scale}$$

$$S_E = B \cdot A = \frac{3.5 \times 10^{-5} \times 2.09 \times 10^{-15} \text{ tesla}}{7 \times 10^{-6} \text{ m}^2} = 10^{14} \text{ THz}^{-1/2} \text{ on } \text{GHz scale}$$

$$S_E = B \cdot A = \frac{3.5 \times 10^{-5} \times 2.09 \times 10^{-15} \text{ tesla}}{7 \times 10^{-6} \text{ m}^2} = 10^{14} \text{ THz}^{-1/2} \text{ on } \text{GHz scale}$$

$$S_E = B \cdot A = \frac{3.5 \times 10^{-5} \times 2.09 \times 10^{-15} \text{ tesla}}{7 \times 10^{-6} \text{ m}^2} = 10^{14} \text{ THz}^{-1/2} \text{ on } \text{GHz scale}$$

$$S_E = B \cdot A = \frac{3.5 \times 10^{-5} \times 2.09 \times 10^{-15} \text{ tesla}}{7 \times 10^{-6} \text{ m}^2} = 10^{14} \text{ THz}^{-1/2} \text{ on } \text{GHz scale}$$

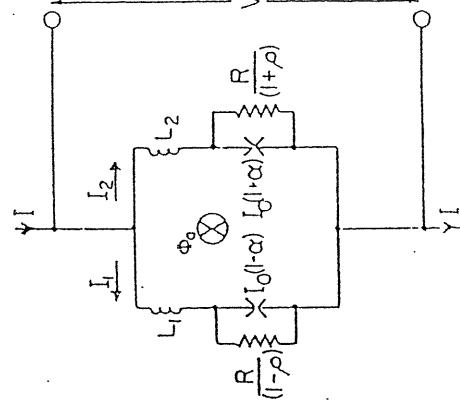
$$S_E = B \cdot A = \frac{3.5 \times 10^{-5} \times 2.09 \times 10^{-15} \text{ tesla}}{7 \times 10^{-6} \text{ m}^2} = 10^{14} \text{ THz}^{-1/2} \text{ on } \text{GHz scale}$$

$$S_E = B \cdot A = \frac{3.5 \times 10^{-5} \times 2.09 \times 10^{-15} \text{ tesla}}{7 \times 10^{-6} \text{ m}^2} = 10^{14} \text{ THz}^{-1/2} \text{ on } \text{GHz scale}$$

$$S_E = B \cdot A = \frac{3.5 \times 10^{-5} \times 2.09 \times 10^{-15} \text{ tesla}}{7 \times 10^{-6} \text{ m}^2} = 10^{14} \text{ THz}^{-1/2} \text{ on } \text{GHz scale}$$

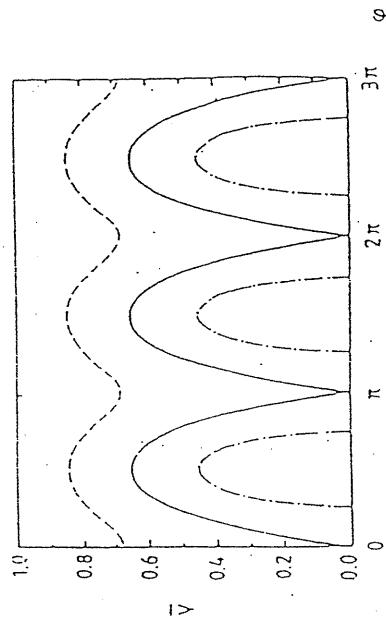
전기장이 주변모양에 따라 SQUID는 SQUID $\frac{1}{2}$  만든다.

### SQUID의 전대장치



(그림 3-8) dc SQUID에서의 전압과 자속파의 관계

$\beta_c = 0.3$ ,  $\beta_L = \pi$ , 위로부터  $i = 0.8, 1.0, 1.2$

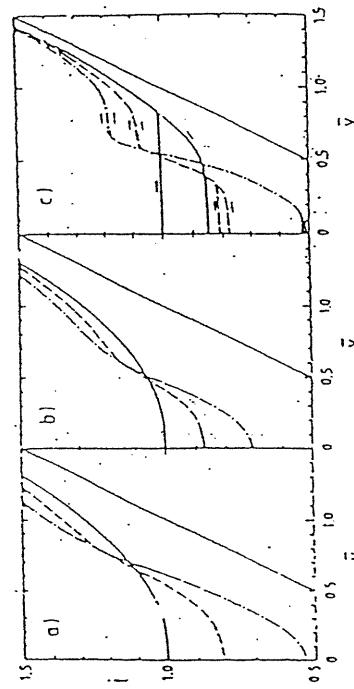


$$\begin{aligned} I_1 &= I_0(1-\alpha), \quad T_2 = T_0(1+\alpha) \\ L_1 &= (1-\alpha)\cdot\frac{L}{2}, \quad L_2 = (1+\alpha)\cdot\frac{L}{2} \\ \frac{R}{1-\rho}, \frac{R}{1+\rho}, \end{aligned}$$

$L_1 + L_2 = L$

$\alpha: T_0 \text{의 대칭정도}$

(그림 3-10) 비대칭적 SQUID의 등가회로



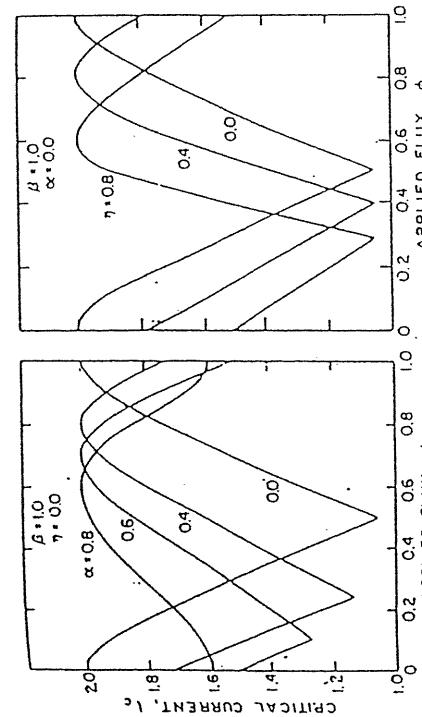
(그림 3-9) dc SQUID에서의 전압-전류의 특성곡선

(a)  $\beta_c = 0.7$ ,  $\beta_L = \pi$ , (b)  $\beta_L = 0.7$ ,  $\beta_c = \pi$ , (c)  $\beta_c = 1.6$ ,  $\beta_L = \pi$  척선은  $\phi_0 = 0$ , 점선은  $\phi_0 = \pi/4$ , 그외는  $\phi_0 = \pi/2$ 이다.

$\beta_c$  초기화할 수 있고 초기화를 고

$\beta_L$  초기화할 수 있고 초기화를 고

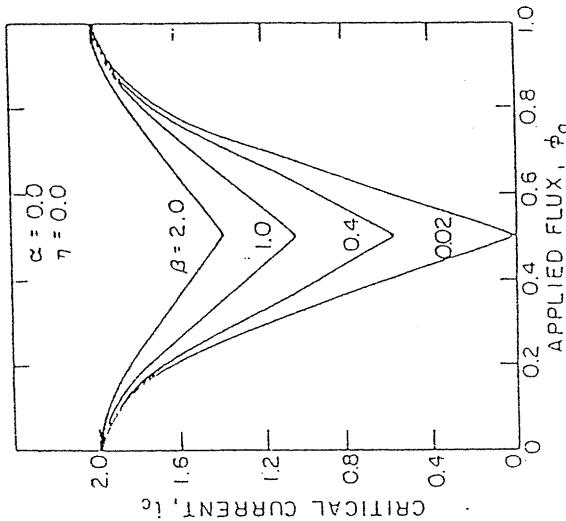
(그림 3-11) 양자전류와 외부 차장 사이의 관계



Ambegakor - Baratoff :  $\frac{E^2 \pi^2}{3}$  Analytically.

## Teach : Computer Programming

이의 Spectrum - 스펙트럼 - 스펙터럼 - 스펙트루m ...



(그림 3-12) 8에 따르면 임계 전류와 외부자장 사이의 관계

11. 12. 13. 14. 15. 16. 17. 18. 19. 20. 21. 22. 23. 24. 25. 26. 27. 28. 29. 30. 31.

Autonomous Saudi : **السعودية** **الإمارات** **البحرين** **السودان** **اليمن** **لبنان** **تونس** **الجزائر** **السودان**

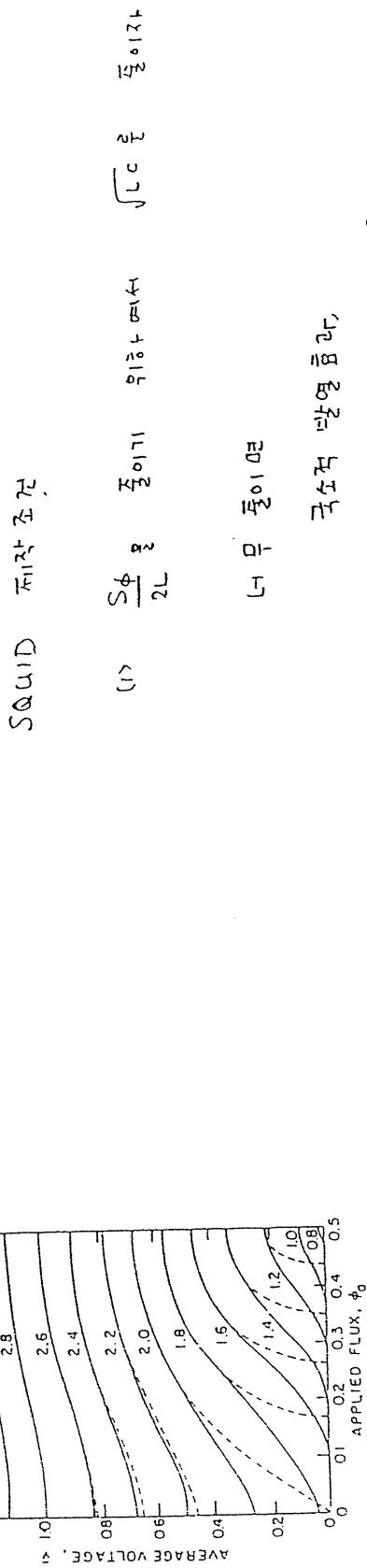
|         |       |           |     |           |
|---------|-------|-----------|-----|-----------|
| Octopus | 蛸     | Langetvin | 날개진 | 날개진은 날개진을 |
| SQUID   | 나마사시의 | 잔음        | 문제를 | 나마사시의 문제를 |

$$\frac{d\delta_2}{d\theta} = \frac{\dot{\gamma}_2 + j - (1+\alpha) \sin \delta_2}{(1+\rho) V}$$

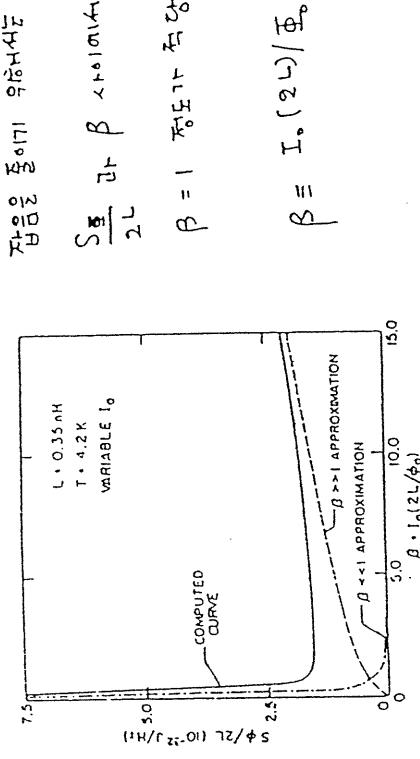
5.2.1.7.1.5 Spectra

$$S^V = 4\pi$$

$$\overline{I} = 2\pi k_B T / I_0 \Phi_0$$



(그림 3-14) 액체Helium 주어진 자장과 청전류 간 사이의 관계



$$\frac{S\Phi}{2L} \propto \beta^{\gamma-1}$$

$$\beta = 1 - \frac{R}{2\pi L R^2 C}$$

$$\beta = I_o (2\pi L R^2 C)^{1/\gamma}$$

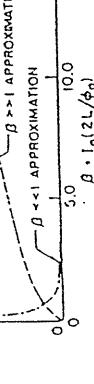
$$(2) \text{ Hysteresis } \frac{2}{\gamma} \frac{I_o}{2\pi L} \propto \beta^{-1} \quad \beta < 1$$

$$\frac{S\Phi}{2L} \propto I_o R^2 C \leq \frac{I_o}{2\pi L}$$

$$C \approx 1 - 200 \frac{P}{T} \quad \frac{I_o}{2\pi L} \text{ 저작 } \beta \leq$$

$$\frac{I_o}{2\pi L} \text{ 저작 } R :$$

$$\frac{2\pi L I_o R^2 C}{\beta} < 1$$



(그림 3-15)  $S\Phi / 2L$ 과  $\beta$  사이의 관계

$$\frac{S\Phi}{2L} \approx 2k_B T \cdot \frac{L}{R} \beta^2 \quad \beta \gg 1$$

$$\frac{S\Phi}{2L} \approx k_B T L / R \beta^2 \quad \beta < 1$$

$$(3) \text{ SQUID 저작 } \frac{2}{\gamma} \frac{I_o}{2\pi L} \propto \beta^{-1} \quad \beta = 2L I_o / \frac{2}{\gamma} \quad \beta \sim 1$$

SQUID 저작

$$\beta \sim 1 \quad \frac{2}{\gamma} \quad \beta = 2L I_o / \frac{2}{\gamma} \sim 1 \quad \beta \sim 1$$



$$\frac{MN_p}{L_p + L_i} = \frac{MN_p}{\lambda_p N_p^2 + L_i}$$

$$O = \frac{d}{dN_p} \frac{M(\lambda_p N_p^2 + L_i) - MN_p(2\lambda_p N_p)}{(\lambda_p N_p^2 + L_i)^2}$$

$$\therefore L_i = \lambda_p N_p^2$$

$\Rightarrow L_i = L_p$  은 Signal transfer rate maximum이 된다.

### Q12) Transfer efficiency

$$= \frac{MN_p}{2L_i} = \frac{1}{2\lambda_p^{1/2}} \cdot \frac{M}{L_i^{1/2}} = \frac{1}{2\lambda_p^{1/2}} K L^{1/2}$$

L : SQUID의 inductance

K : Coupling constant

### Modulation Coil

### Nb

#### 제작법

1. Nb<sub>2</sub>O<sub>5</sub>로 Nb<sub>2</sub>O<sub>5</sub> 고온에서 풀고 Nb<sub>2</sub>O<sub>5</sub> 풀에서 tensile strength 높는다.
2. Tc가 높고 Nb<sub>2</sub>O<sub>5</sub> ≈ 250°C 일 때  
Nb<sub>2</sub>O<sub>5</sub> 인장도를 조절한다.

#### 구조

1. 산소만 반응 할 때 Nb<sub>2</sub>O<sub>5</sub> 풀에서 Tc가 높다.
2. Nb<sub>2</sub>O<sub>5</sub>와 Nb<sub>2</sub>O<sub>5</sub> 산소만 반응 할 때 Nb<sub>2</sub>O<sub>5</sub> 풀에서 Tc가 낮다.

### Selective niobium anodization Process

Nb<sub>2</sub>O<sub>5</sub> / Nb<sub>2</sub>O<sub>5</sub> / Nb  
전해槽 속에 보다 청정하고 흥상  
가장 안정하고 편리한 이온화 처리법이다.  
나는 Nb/AQ-AQO<sub>4</sub>-AQO<sub>4</sub> 표면은 흥상된다.

### Thermal fluctuation Effect:

$$\frac{d\varphi}{dt} = \frac{2eV}{\hbar}$$

$$C \frac{dV}{dt} = I - I_c \sin \varphi - \frac{V}{R_N} + \hat{I}(t)$$

Noise term

"White Noise"

$$\langle \hat{I}(t) \rangle = 0$$

$$\langle \hat{I}(t) \hat{I}(t+\tau) \rangle = \frac{2k_B T}{R_N} \delta(\tau) \rightarrow \hat{I}(t) \text{ are completely uncorrelated.}$$

$$\dot{\varphi} = m \frac{d\varphi}{dt} = \left( \frac{k}{2e} \right)^2 C \dot{\varphi}$$

$$\dot{P} = - \frac{dU}{d\varphi} = \gamma_0 P + \hat{i}(t)$$

$$\gamma_0 = \frac{1}{R_N C}$$

$$\hat{i} = \frac{k}{2e} \hat{I}(t)$$

$$U(\varphi) = - \frac{\hbar I_c}{2e} (\alpha \varphi + \cos \varphi)$$

$$= - J_0 (\alpha \varphi + \cos \varphi)$$

$$= - \frac{1}{2} \gamma K_B T (\alpha \varphi + \cos \varphi)$$

$$\gamma = \frac{2J_0}{K_B T} = \frac{\hbar I_c}{e k_B T}$$

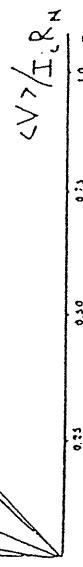
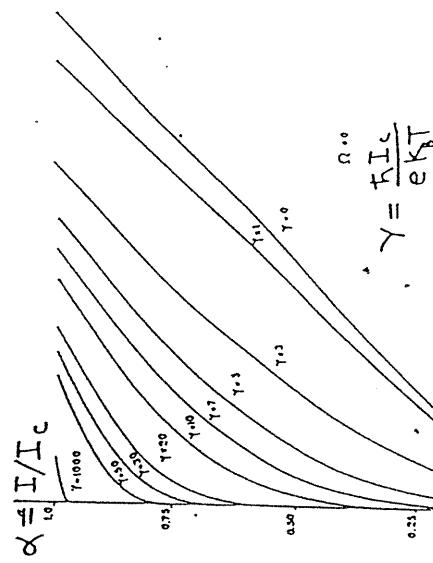
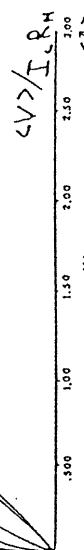
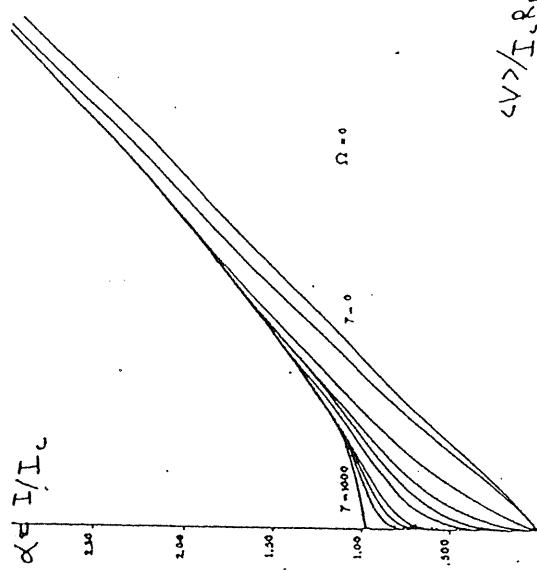


Figure 6.12: Voltage-current characteristics in the presence of thermal fluctuations obtained by numerical integration of (6.12) (two resistance cases). The various curves correspond to different values of the parameter  $\gamma = A(T)/(e k_B T)$ . Voltage and current are reduced units:  $\alpha = I/I_c$  and  $\langle V \rangle = \langle V' \rangle / (I_c R_N)$  and (a) correspond to the same values of  $\gamma$  but in different units.

## Shapiro Steps:

when  $V(\neq 0)$  is applied across a junction, the phase difference  $\varphi$  precesses at the rate

$$\frac{d\varphi}{dt} = \frac{2eV}{\hbar} \sim 484 \text{ MHz}/\mu V$$

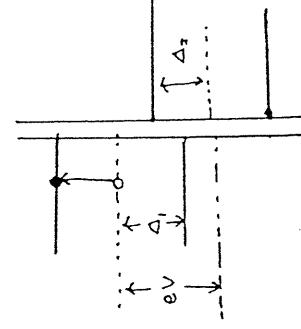
Cooper pairs tunnel with emission of a photon of the freq. above.

Conversely, a microwave field of freq.  $\frac{2eV}{\hbar}$  is applied to the jnc, biased at  $V$ , Cooper pairs tunnel with the absorption of photons.

→ both dc and RF biased, phase locking at

$$\langle V_n \rangle = n \frac{\hbar \omega}{2e}, \quad n = 0, 1, 2, \dots$$

on freq.  $n$  phonon  $\frac{2eV}{\hbar} = \Delta_1 + \Delta_2$ ?



$$\Delta_1 + \Delta_2 - eV = \hbar \omega$$

Photon - absorption process

## dc + RF biased

$$\hbar \frac{d\varphi}{dt} = 2e(V + U \cos(\omega t + \theta))$$

Assuming that the jnc. is voltage fed

$$\varphi(t) = \frac{2eV}{\hbar}t + \frac{2eU}{\hbar\omega} \sin(\omega t + \theta) + \varphi_0$$

then

$$I = I_c \sin \varphi$$

$$= I_c \sin \left\{ \frac{2eV}{\hbar}t + \frac{2eU}{\hbar\omega} \sin(\omega t + \theta) + \varphi_0 \right\}$$

Mathematical Identity

$$e^{ic \sin x} = \sum_{n=-\infty}^{\infty} J_n(c) e^{inx}$$

$$J_m(x) = (-1)^m J_m(x)$$

OR

$$\cos(c \sin x) = \sum_{n=-\infty}^{\infty} J_n(c) \cos nx$$

$$= J_0(c) + 2 \sum_{n=1}^{\infty} J_{2n}(c) \cos 2nx$$

$$\sin(c \sin x) = \sum_{n=1}^{\infty} J_n(c) \sin nx$$

$$= 2 \sum_{n=1}^{\infty} J_{2n-1}(c) \sin(2n-1)x$$

$$eV - (\Delta_1 + \Delta_2) = \hbar \omega$$

Photon - emission process

Therefore

$$\begin{aligned}
 I &= I_c \sin \left\{ \frac{2eV}{h} t + \frac{2eV}{h\omega} \sin(\omega t + \theta) + \phi_0 \right\} \\
 &= I_c \left[ \sin \left( \frac{2eV}{h} t + \phi_0 \right) \cos \left\{ c \sin(\omega t + \theta) \right\} \right. \\
 &\quad \left. + \cos \left( \frac{2eV}{h} t + \phi_0 \right) \sin \left\{ c \sin(\omega t + \theta) \right\} \right] \\
 &= I_c \left\{ \sin \left( \frac{2eV}{h} t + \phi_0 \right) [J_0(c) + 2 \sum_{n=1}^{\infty} J_{2n}(c) \cos 2n(\omega t + \theta)] \right. \\
 &\quad \left. + \cos \left( \frac{2eV}{h} t + \phi_0 \right) 2 \sum_{n=1}^{\infty} J_{2n+1}(c) \sin (2n+1)(\omega t + \theta) \right\} \\
 &\quad \text{iii) } \langle I(t) \rangle \text{ vanishes, unless the phase of the} \\
 &\quad \text{Josephson osc. is locked to the phase of the} \\
 &\quad \text{the applied signal} \rightarrow \text{spike-like} \\
 &\quad \text{Voltage steps in } \langle I \rangle - \langle V \rangle \text{ characteristics} \\
 &\quad \text{at } \langle V \rangle = \frac{n\hbar\omega}{2e} \quad n = 0, 1, 2, \dots \\
 &= I_c \left\{ J_0(c) \sin \left( \dots \right) - J_1(c) \sin \left( \dots \right) \right. \\
 &\quad \left. + 2 J_1 \cos \left( \dots \sin(\omega t + \theta) \right) \rightarrow J_1(c) \sin \left( \dots \right) \right. \\
 &\quad \left. + \dots \right. \\
 &= I_c \sum_{n=-\infty}^{\infty} (-1)^n J_n(c) \sin \left( \frac{2eV}{h} t + \phi_0 - n\omega t - n\theta \right) \\
 &= I_c \sum_{n=-\infty}^{\infty} (-1)^n J_n \left( \frac{2eV}{h\omega} \right) \sin \left\{ \left( \frac{2eV}{h} - n\omega \right) t - n\theta + \phi_0 \right\}
 \end{aligned}$$

i) If the supercurrent is not synchronous to the

RH signal  $\rightarrow J_{nc}$ . Serves as a mixer, generating intermediate freq.

$$\omega_i = \left| \frac{2eV}{h} - n\omega \right|$$

with amplitude

$$I_o J_n \left( \frac{2eV}{h\omega} \right)$$

ii)  $\langle I(t) \rangle$  vanishes, unless the phase of the

Josephson osc. is locked to the phase of the  
the applied signal  $\rightarrow$  spike-like  
Voltage steps in  $\langle I \rangle - \langle V \rangle$  characteristics

$$\begin{aligned}
 \text{at } \langle V \rangle &= \frac{n\hbar\omega}{2e} \quad n = 0, 1, 2, \dots \\
 I_n &= (-1)^n I_c J_n \left( \frac{2eV}{h\omega} \right) \sin (\phi_0 - n\theta)
 \end{aligned}$$

with the magnitude

$$I_n = (-1)^n I_c J_n \left( \frac{2eV}{h\omega} \right) \sin (\phi_0 - n\theta)$$

Step width

$$I_n^{(\text{step})} = I_c J_n \left( \frac{2eV}{h\omega} \right)$$

$$\begin{aligned}
 \text{Zero Voltage Supercurrent} & \quad \frac{2eV}{h\omega} \ll 1 \\
 I_o^{(\text{step})} &= I_c J_n \left( \frac{2eV}{h\omega} \right) \xrightarrow{\frac{2eV}{h\omega} \ll 1} I_c \left( 1 - \frac{2e^2V^2}{h^2\omega^2} \right)
 \end{aligned}$$

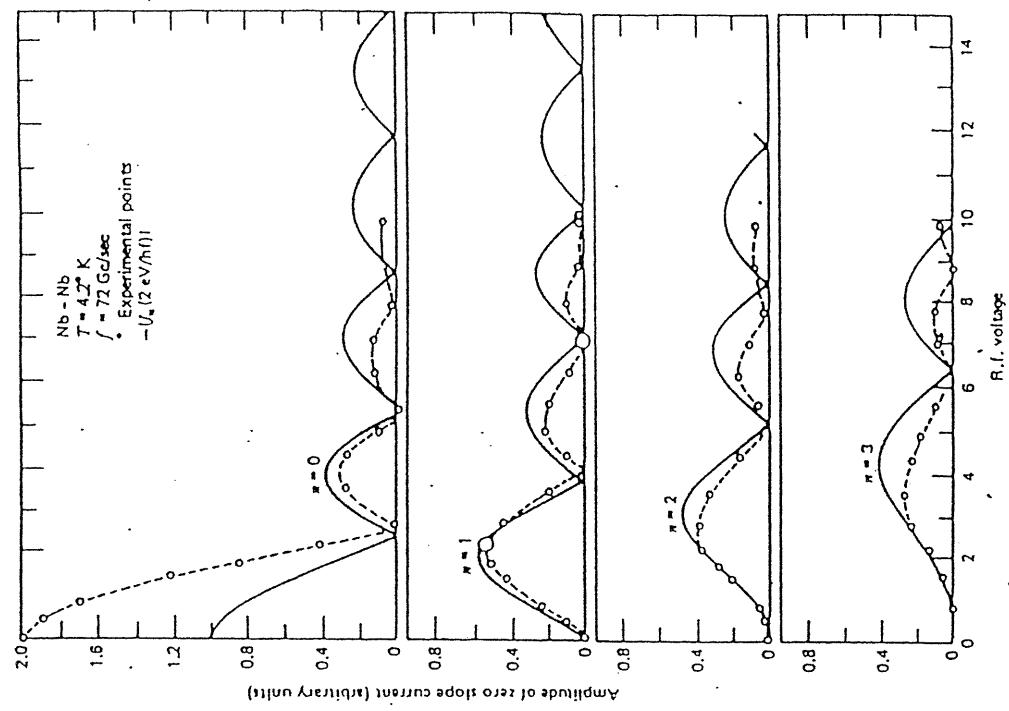
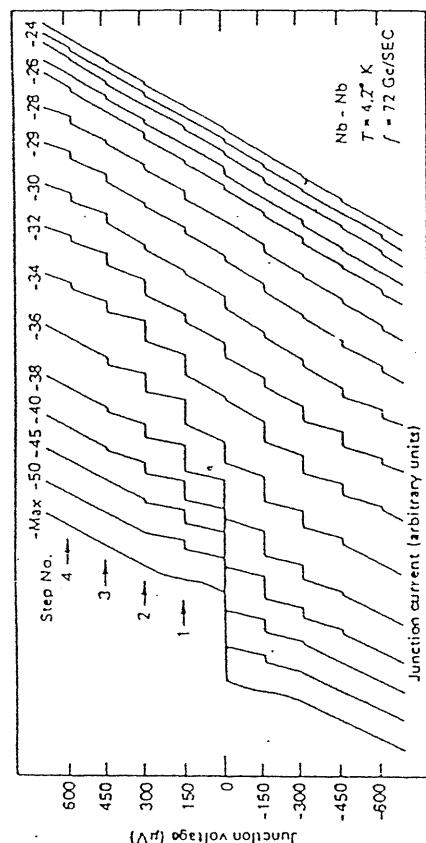


Figure 11.1 (a) Voltage-current curves for an Nb-Nb point contact Josephson junction exposed to a 72 Gc/sec signal at various power levels. (b) Data from (a) plotted to show how the current in several constant voltage steps varies as the applied r.f. voltage is varied. The data points from the  $n$ th step are compared with the amplitude of the  $n$ th order Bessel function. The data are fitted to the theoretical curves at the two points denoted by double circles. The r.f. voltage across the junction is expressed in units of  $\hbar\nu/2e$  or  $149 \mu V/\text{div}$ . (After Grimes and Shapiro 1968.)



$V \neq 0$  : ac effect

$$\nabla \times \vec{e} = -\frac{1}{c} \frac{\partial \vec{h}}{\partial t}$$

$h$ : extends  $\lambda$  into the s.c.

$$J_x(y, t) = J_c \sin \varphi(y, t)$$

$$\frac{\partial \varphi}{\partial y} = \frac{2\pi}{\Phi_0} (2\lambda + d) h$$

$$\frac{\partial \varphi}{\partial t} = \omega(t) = \frac{2\pi v}{h}$$

Neglecting the screening due to Josephson current

$$h \rightarrow H$$

$$V = V_0 \text{ everywhere}$$

$$\varphi(y, t) = \varphi_0 + \omega_0 t + k_0 y$$

$$\omega_0 = \frac{2\pi V_0}{\lambda}, \quad k_0 = \frac{2\pi (2\lambda + d)}{\lambda} \frac{H}{\Phi_0}$$

The periodic current distribution moves in the  $y$  direction with a phase velocity,

$$\omega_0 = \frac{\omega_0}{k_0} = \frac{c V_0}{(2\lambda + d) H} \quad : \text{Vortex motion}$$

by integration

$$\oint \vec{e} \cdot d\vec{s} = -\frac{1}{c} \frac{2\pi}{\lambda} \int \vec{h} \cdot d\vec{s}$$

$$e_x d - (e_x + \Delta y \cdot \frac{\partial e_x}{\partial y}) d = -\frac{1}{c} \frac{2\pi}{\lambda} h_z (2\lambda + d) \Delta y$$

$$\frac{\partial e_x}{\partial y} = \frac{1}{c} \frac{2\lambda + d}{\lambda} \frac{\partial h_z}{\partial x}$$

and

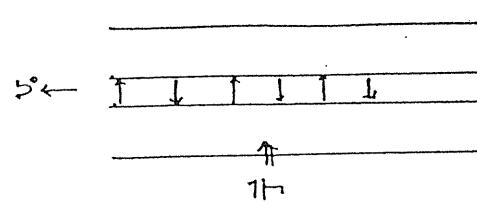
$$\nabla \times \vec{h} = \frac{4\pi}{c} J_x + \frac{e}{c} \frac{\partial e_x}{\partial t}$$

$$\frac{\partial^2 h_z}{\partial t \partial y} = \frac{4\pi}{c} \frac{\partial J_x}{\partial x} + \frac{e}{c} \frac{\partial^2 e_x}{\partial x \partial t}$$

$$\frac{1}{c} \frac{2\lambda + d}{\lambda} \frac{\partial h_z}{\partial x} = \frac{4\pi}{c} \frac{\partial J_x}{\partial x} + \frac{e}{c} \frac{\partial^2 e_x}{\partial x \partial t} = \frac{cd}{2\lambda + d} \frac{\partial e_x}{\partial y}$$

$$\frac{\partial^2 V}{\partial y^2} = \frac{(2\lambda + d) \epsilon}{c^2 d} \frac{\partial^2 V}{\partial t^2} + \frac{4\pi}{c^2} \frac{\partial J_x}{\partial x} + \frac{2J_x}{c^2}$$

$$V = \epsilon d$$



OR

$$\left( \frac{\partial^2}{\partial y^2} - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right) V = \frac{4\pi}{c^2} (2\lambda + d) \frac{\partial J_x}{\partial t}$$

$$\text{where } \bar{C}^2 = \frac{c^2}{\epsilon} \frac{d}{2\lambda + d} \ll c^2$$

$$\bar{C} = \frac{\lambda \approx 500\text{Å}}{d \approx 10\text{\AA}} \frac{c/20}{\epsilon_0}$$

$$\epsilon = 4$$

$$\text{for } V = 10^{10} \text{ Hz}$$

$$\lambda = 3 \text{ cm in free space}$$

$$\text{but } \lambda_{jnc} \sim 1 \text{ mm}$$

$$\left( \frac{\partial^2}{\partial y^2} - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right) \frac{\partial \varphi}{\partial t} = \frac{4\pi}{c} (2\lambda + d) \frac{\partial^2}{\partial t^2} (J_c \sin \varphi)$$

OR

$$\left( \frac{\partial^2}{\partial y^2} - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right) \varphi = \frac{1}{\lambda_j^2} \sin \varphi$$

$$\text{where } \lambda_j^2 = \frac{c \phi_0}{8\pi^2 J_c (2\lambda + d)}$$

Take into account the dissipation term,

$$J_x = J_c \sin \varphi + \delta_n(V) V$$

$$\left( \frac{\partial^2}{\partial y^2} - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} - \frac{\beta}{c^2} \frac{\partial}{\partial t} \right) \varphi = \frac{\sin \varphi}{\lambda_j^2}$$

$$\beta = \delta_n / c$$

$$C = \frac{cA}{4\pi d}$$

i) Weak coupling limit

$$\lambda_J \rightarrow \infty$$

$$\varphi \sim e^{i(\omega t - k_y y)}$$

$$\left( \frac{\partial^2}{\partial y^2} - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right) \varphi = 0$$

electromagnetic phase waves with phase velocity  $\bar{C}$ .

$$\left( \frac{\partial^2}{\partial y^2} - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right) \varphi = 0$$

electromagnetic phase waves with phase velocity  $\bar{C}$ .

$$V_0^2 = \frac{d (2\lambda + d) H^2}{\epsilon_0}$$

if  $V_0 \approx \bar{C}$   $\rightarrow V_0^2 = \frac{\bar{C}^2 V_0^2}{(2\lambda + d)^2 H^2} = \frac{\bar{C}^2}{\epsilon} (1 + \frac{2\lambda}{d})^{-1} \approx \bar{C}$

Josephson current  $\rightarrow$  a peak in the average current.

Maximum dissipation peak.

iii)  $\omega \ll \lambda_J$  :  $\varphi$  uniform over the junction.

$$\frac{d^2 \varphi}{dt^2} + \frac{\bar{C}^2}{\lambda_j^2} \sin \varphi = 0$$

eq. of motion of a pendulum

$$\frac{d^2 \varphi}{dt^2} + \frac{\bar{C}^2}{\lambda_j^2} \sin \varphi = 0$$

eq. of motion of a pendulum

$$\omega_J^2 = \frac{\bar{C}^2}{\lambda_j^2} = \frac{c^2}{\epsilon} \frac{d}{2\lambda + d} \cdot \frac{8\pi^2 J_c (2\lambda + d)}{c \phi_0 \frac{hc}{2e}}$$

$$= \frac{8\pi e d J_c}{\epsilon h} = \left( \frac{4\pi d}{\epsilon A} \right) \left( \frac{2e A d J_c}{h} \right)$$

$$J_c = \frac{2e h (\psi)^2}{\epsilon A}$$

$$\psi = J_c \sin \varphi \sim J_c \varphi$$

$$\tau_J = T_J \cdot d \phi = 2\pi l \psi^2 \frac{k}{\epsilon}$$

$$\left( \frac{\partial^2}{\partial y^2} - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \right) V = \frac{4\pi}{c^2} (2\lambda + d) \frac{\partial J_x}{\partial t}$$

We deal with a s.t.,  $V_0 \ll 1 \Rightarrow J_x = J_c \sin(\omega_0 t - k_0 y)$

Sol.

$$V = V_0 \cos(\omega_0 t - k_0 y + \theta)$$

$$V_0 = \frac{\{4\pi(2\lambda+d)/\omega_0\} J_c}{\left\{ [1 - (\frac{k_0 c}{\omega})^2] + \left(\frac{1}{Q}\right)^2 \right\}^{1/2}}$$

$$\theta = \tan^{-1} \frac{1/Q}{1 - \left(\frac{k_0 c}{\omega}\right)^2}$$

$$\frac{\partial \varphi}{\partial t} = \frac{2eV}{\hbar}$$

ECK, Scalapino, Taylor

PRL 13, 15 (1964)

$$\varphi = \omega_0 t - k_0 y + \frac{V_0}{V_0} \sin(\omega_0 t - k_0 y + \theta)$$

$$J_x = J_c \sin \varphi$$

$$J_{bc} = J_c \frac{V_0}{V_0} \sin \theta \\ = J_c \cdot \frac{4\pi(2\lambda+d) J_c}{\omega_0 V_0} \frac{1/Q}{[1 - (\kappa_0 c / \omega_0)^2]^2 + (\frac{1}{Q})^2}$$

Resonance maximum at

$$\bar{C} = \frac{\omega_0}{k_0}$$

Phase velocity associated with current distribution  
= phase velocity of the electromagnetic fields

$\ddot{\varphi}$ : Uniform  $\varphi$  over the junction (barrier)

$$1) h \propto \frac{d\varphi}{dy} = 0 \quad \text{No magnetic field in the barrier.}$$

2) Electric field  $\perp$  plane of the barrier.

3) Longitudinal plasma waves, originating from energy exchange.

$$\frac{h J_c (1 - \cos \varphi)}{2e} \rightarrow \frac{(ben)^2}{2c}$$

K.E

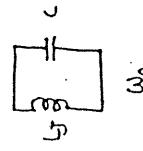
$$4) \omega_J = \left( \frac{2e I_c}{h c} \right)^{1/2} = \frac{\bar{C}}{\lambda_J} \ll \omega_p$$

Ordinary plasma freq.  
for metals

due to small density of charge carriers  
in the barrier (peculiar to weak S.C.)

$$5) V = L_J \frac{d\varphi}{dt} = L_J I_c \cos \varphi \frac{d\varphi}{dt}$$

$$= \frac{\hbar}{2e} \frac{d\varphi}{dt} \approx \frac{\hbar}{2e I_c} \dot{\varphi}$$



$\omega p$

$$\omega_J = \frac{1}{\sqrt{L_C}} = \left( \frac{2e I_c}{h c} \right)^{1/2}$$

$$\frac{d^2 \delta\varphi}{dt^2} + \omega_J^2 \sin(\varphi_0 + \delta\varphi) = 0$$

$$\frac{d^2}{dt^2} \delta\varphi + (\omega_J^2 \cos \varphi_0) \delta\varphi = 0 \quad \text{linearized}$$

 $\omega^2$ 

$$\omega^2 = \omega_J^2 \cos \varphi_0$$

$$= \omega_J^2 \cos [\sin^{-1} \left( \frac{I_a}{J_c} \right)]$$

$$= \omega_J^2 \left[ 1 - \left( \frac{I_a}{J_c} \right)^2 \right]^{\frac{1}{2}}$$

iii) linearized about  $\varphi = 0$

$$\frac{\partial^2 \varphi}{\partial t^2} - \frac{1}{c^2} \frac{\partial^2 \varphi}{\partial z^2} = \frac{\varphi}{\lambda_J^2} \quad ; \quad \varphi \sim e^{i(\omega t - k_z z)}$$

$$- \frac{\rho^2}{c^2} + \frac{\omega^2}{c^2} = \frac{1}{\lambda_J^2}$$

$$\omega^2 = \omega_J^2 + \frac{\rho^2}{c^2}$$

or

$$\omega^2 = \omega_J^2 + \frac{\rho^2}{c^2}$$



$\omega_J$ : lowest freq. which allows the preparation of e.m waves inside the jnc.

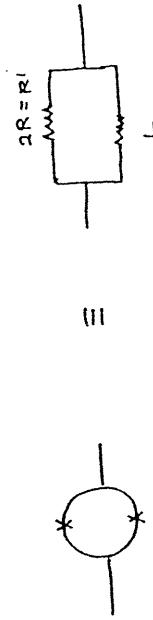
$$\text{if } \omega = 0 \rightarrow k = \pm i/\lambda_J$$

$$\varphi \sim e^{\pm i \omega t / \lambda_J} (y < 0)$$

### Limit of sensitivity of DC SQUID

i) SQUID Josephson Noise on off

ii) In practice, the limit is set by the noise in the room - temperature circuit.



$$\langle \delta V^2 \rangle = 4 K_B T R' B$$

$$\langle \delta I^2 \rangle = \frac{\langle \delta V^2 \rangle}{R'^2 + \omega_L^2} = \frac{4 K_B T R' B}{R'^2 + \omega_L^2}$$

$$\omega \ll R'_L \quad \Rightarrow \quad R'_L \approx 4 K_B T B / R'$$

$$\langle \delta \phi^2 \rangle = \langle \delta I^2 \rangle L^2 = 4 K_B T L^2 B / R'$$

$$\rightarrow 0.5 \times 10^{-5} \phi_0$$

In the case of high  $T_c$  SQUID, the operating temperature is 10 - 100 times higher.

$$\boxed{\langle \delta \phi^2 \rangle \sim 3 \sim 10 \text{ of } \delta \phi \text{ of the high } T_c \text{ SQUID.}}$$

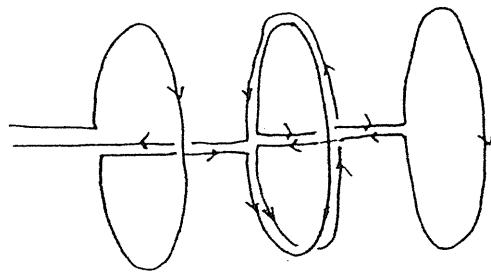
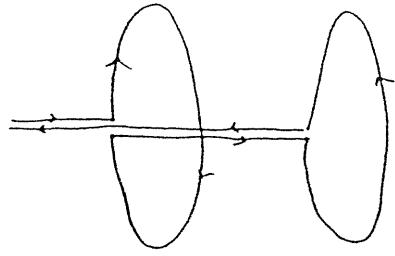
Current at the weak link

$\text{I}_\text{f}$  Ring is not superconducting:  $\Phi = \Phi_\text{x}$

6-57.

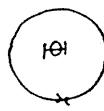
Magnetic field gradiometers

- Mechanically stable
- Easy to fabricate



RF (Single Contact SQUID)

- Mechanically stable
- Easy to fabricate



Total phase change around the ring

$$\Delta\varphi = \frac{1}{\hbar c} \oint \vec{P} \cdot d\vec{z} \\ = -\frac{2e}{\hbar c} \oint \vec{A} \cdot d\vec{z} = 2n\pi$$

$$\text{or } \Delta\Phi = n\Phi_0$$

$$\Phi_{\text{max}} = \frac{1}{2}\Phi_0$$

Now put a weak link in the S.C. ring

$$I_c^{\text{w.l.}} \ll I_c^{\text{loop}}$$

$$\Delta\varphi = \theta - \frac{2e}{\hbar c} \oint \vec{A} = 2n\pi$$

$$\downarrow -\frac{m}{g_{\text{eff}}} \int_{-\infty}^a j_s \cdot d\vec{z} \quad \theta = 2\pi \cdot \frac{\Phi}{\Phi_0} = 2n\pi$$

Current at the weak link

$$I_s = -I_c \sin \theta \\ = -I_c \sin \left( 2\pi \frac{\phi}{\phi_0} \right)$$

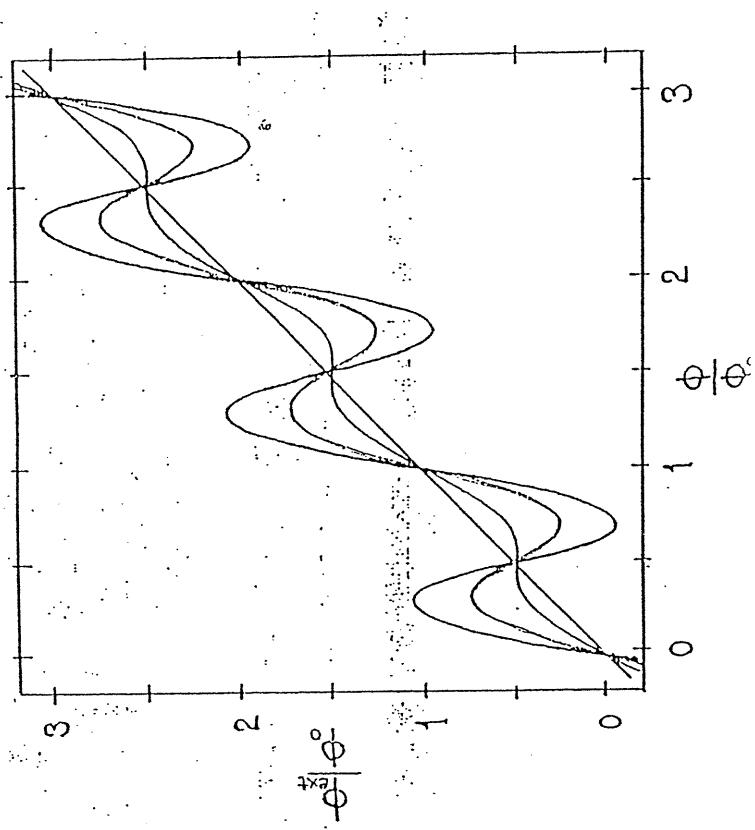
$I \sim 2 \mu A$  for typical point contact jnc.

$\phi$  = total flux

$$= \phi_{ext} + L I_s$$

$$= \phi_{ext} - L I_c \sin \left( 2\pi \frac{\phi}{\phi_0} \right)$$

$$\frac{\phi}{\phi_0} + \frac{L I_c}{\phi_0} \sin \left( 2\pi \frac{\phi}{\phi_0} \right) = \frac{\phi_{ext}}{\phi_0}$$

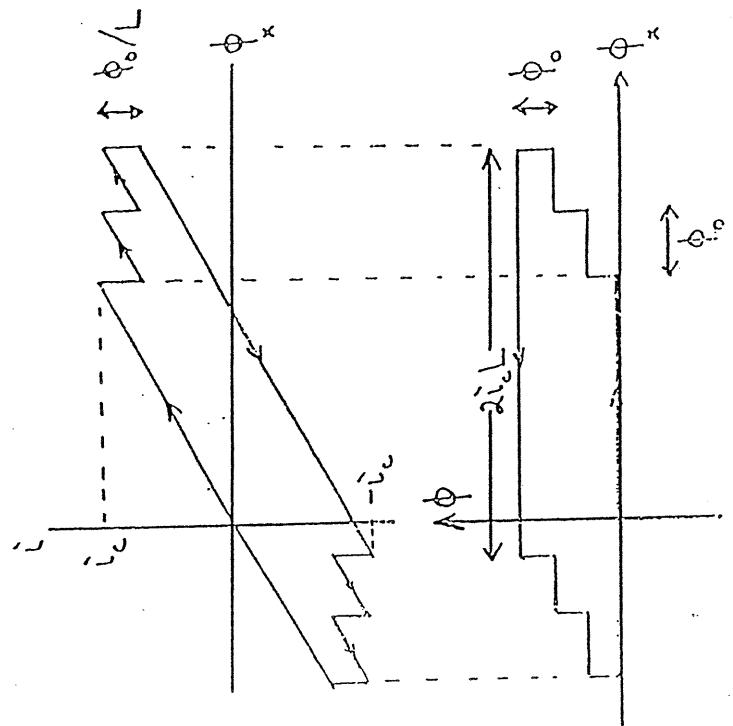


If Ring is not superconducting:  $\phi = \phi_x$

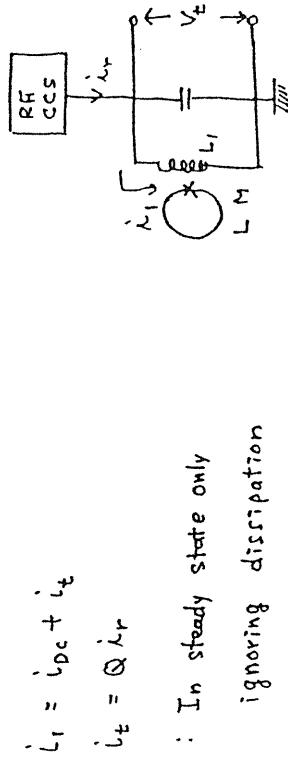
Suppose the ring is S.C.  $\ell$  starts with  $\phi_x = 0$

- ④  $\phi = 0$
- ⑤ increase  $\phi_x$  (with  $\phi = 0$ ) until  $\ell \rightarrow i_c$
- ③ ring becomes normal
- ⑥ admitted inside the ring,  $\phi = \phi_x$

- ⑦  $i \rightarrow i - \phi_0/L$
- ⑧ ring becomes S.C. again with  $\phi = \phi_x$
- ⑨ as  $\phi_x$  increased ④ - ⑦ repeated



(Q1) How do we bias this ring with a weak link to make a useful device?

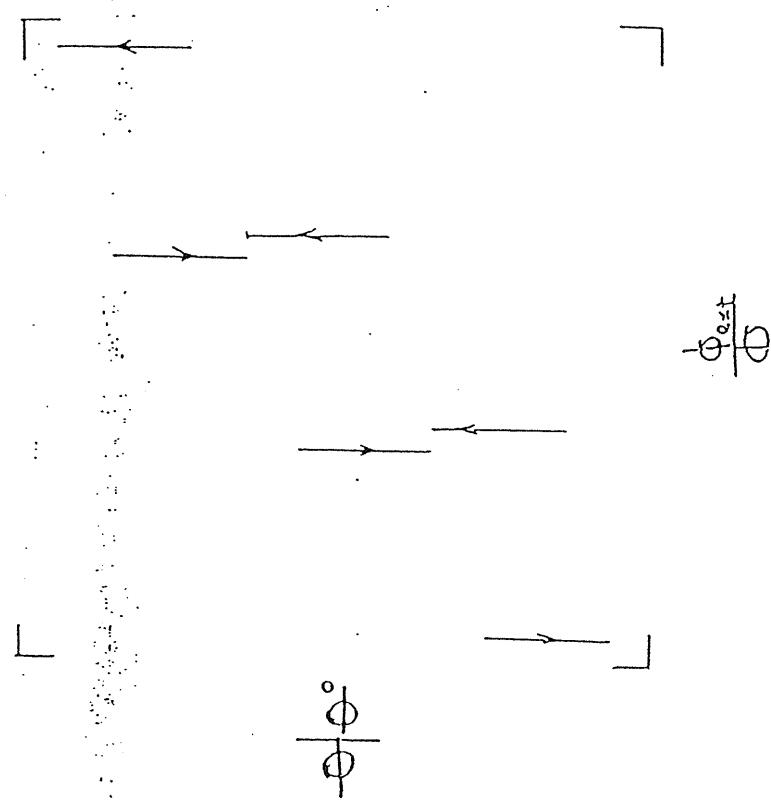


$$Q = 1.$$

$\phi_x = M i_t$  : Tank circuit injects flux  $\phi_x$  into the ring

$$V_t = \omega L_t i_t$$

$i_r$  : A convenient controllable parameter  
 $V_t$  : Conveniently measurable parameter.

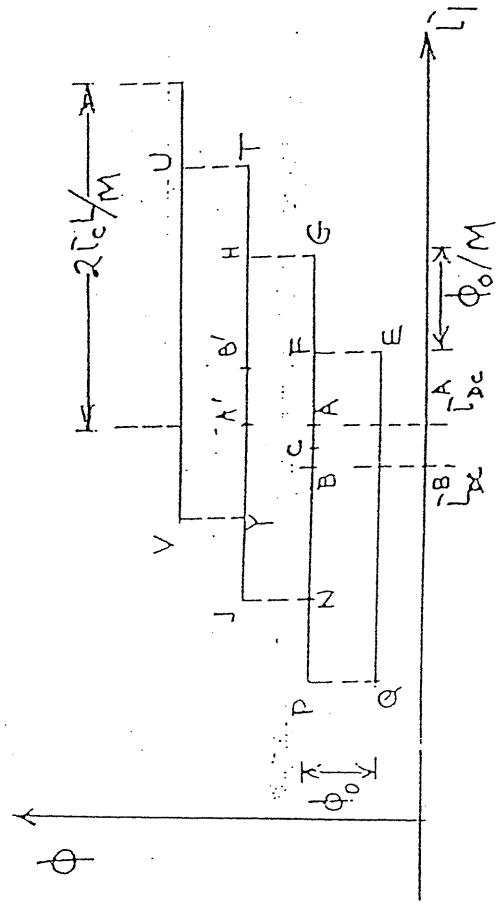


using the relation  $\phi_x = M_i i$ , we can convert  $\phi$  vs  $\phi_x$  to  $\phi$  vs  $i_r$

$$\text{iii) } i_{DC} = i_{DC}^B$$

$$i_r > \overline{BG}$$

even # of hysteresis enclosed.



Q3) What happens to the voltage  $V_t$  as  $i_r$  varies?

$$\text{Suppose } i_{DC} = i_{DC}^A$$

$$i_r < \overline{AG}$$

no loop enclosed

$$i_r > \overline{AG}$$

no loop enclosed

$V_t = \omega L i_t \propto i_r$  linear

$i_r > \overline{AG}$

$\Theta$  a loop is enclosed

$\Theta$  energy absorbed from the tank circuit.

$$\Delta E = \frac{1}{2} \oint \phi d\phi$$

$$\text{i) } i_{DC} = i_{DC}^A$$

$$i_r < \overline{AG} \quad \phi = \text{const}$$

$i_r > \overline{AG}$  energy out of the tank circuit

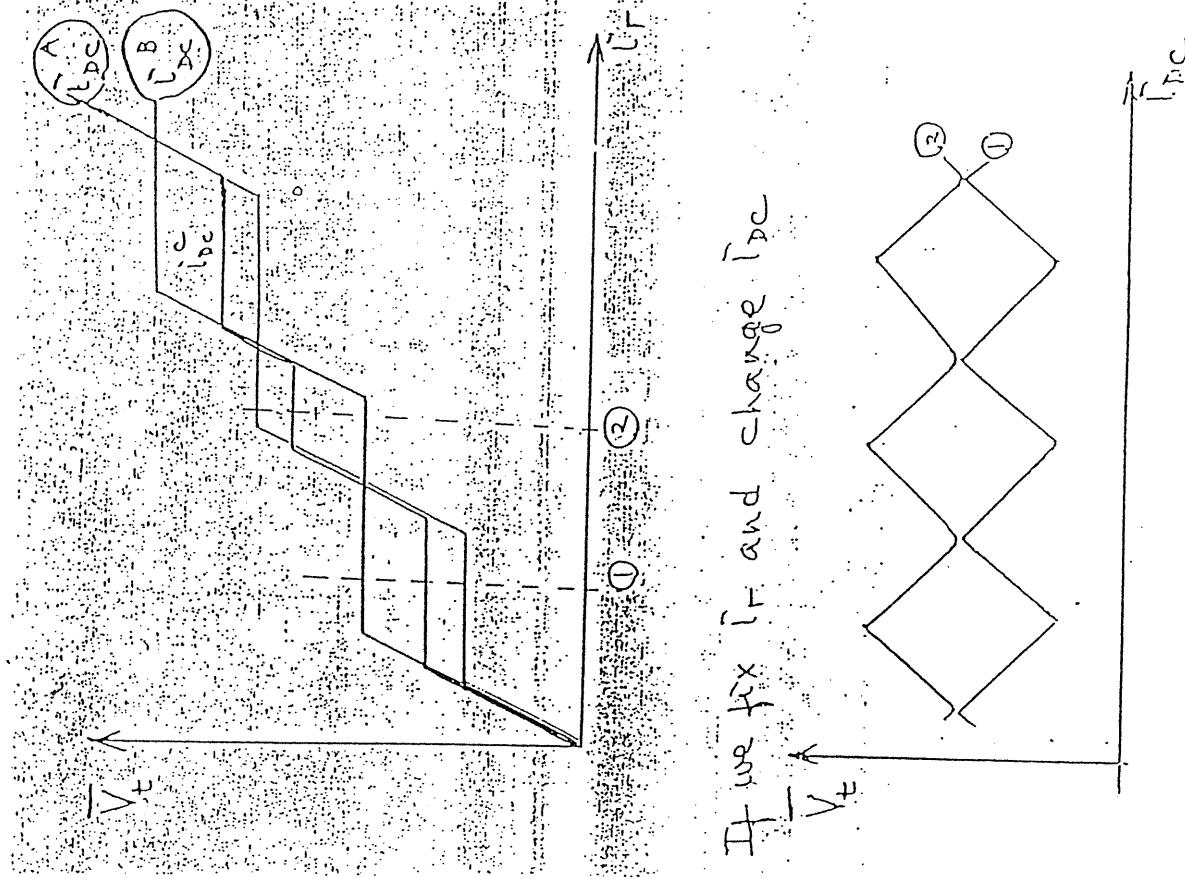
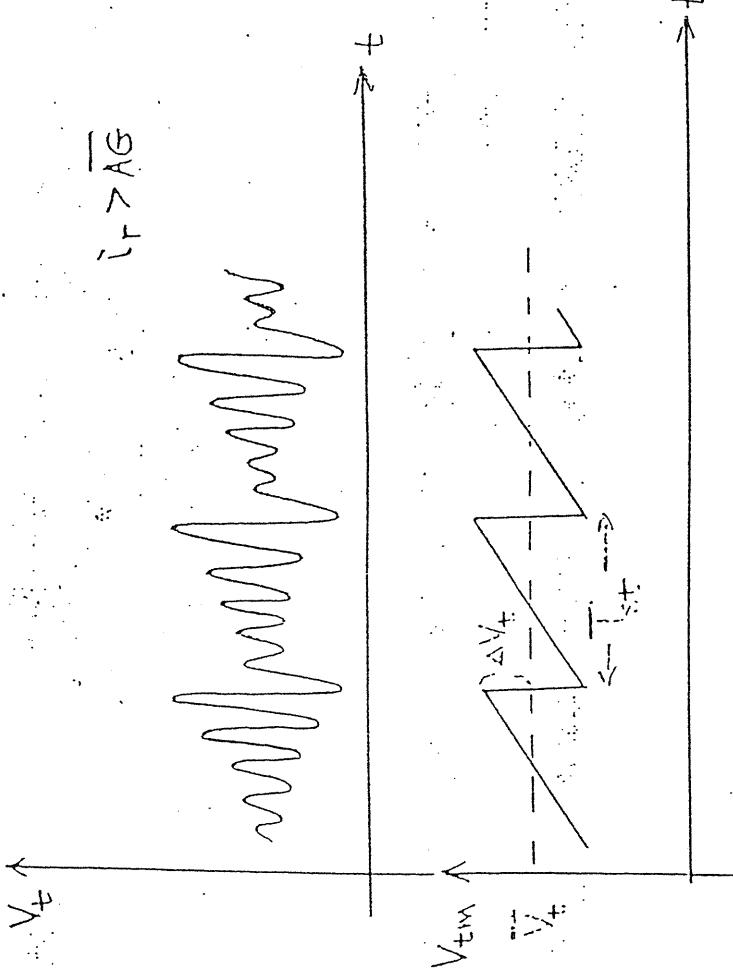
$$i_r > \overline{AT} \quad \text{odd # hysteresis}$$

Q2). What happens to the system for a certain unique values of DC bias current

$$\text{ii) } i_{DC} = i_{DC}^B$$

$\Theta$  instantaneous decrease of  $V_t$   
i.e.,  $i_r$  is out of equilibrium  
with  $i_r$ ,  $i_r \approx i_r$

flowed by recovery with  $T$   
determined by  $R, C \neq L$



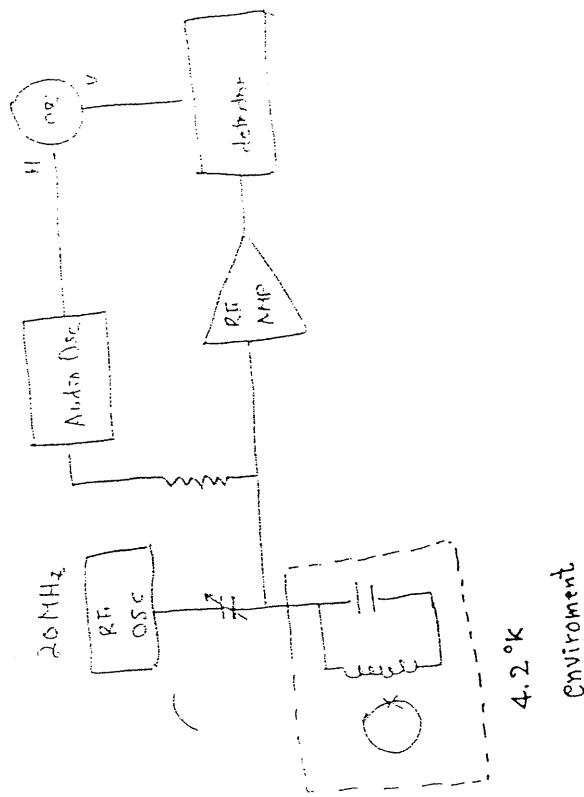
As one increases  $i_r$ ,  $\Delta V_r$ ,  $\bar{V}_t$  remain unchanged, but  $T_{5r}$  gets shorter.

Eventually one reaches the point, where

$$T_{5r} = T_{\bar{e}_R}$$

then  $\bar{V}_t$  starts to increase again

Q4) How can we detect flux change?

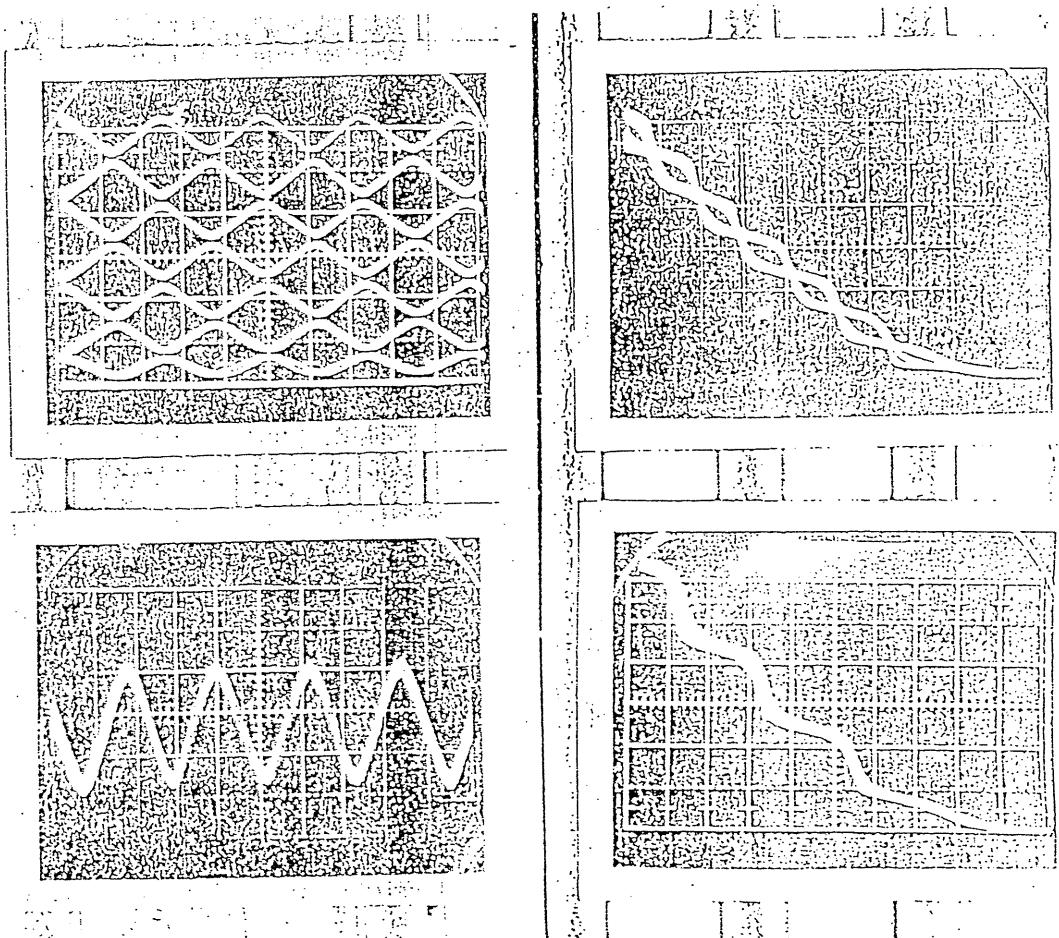


- ① triangular pattern  
on the osc.

- ②  $\Delta\phi$  in the SQUID  
moves triangular  
pattern on the screen

By counting the # of  
shifts  $\Rightarrow$  measure the

flux change



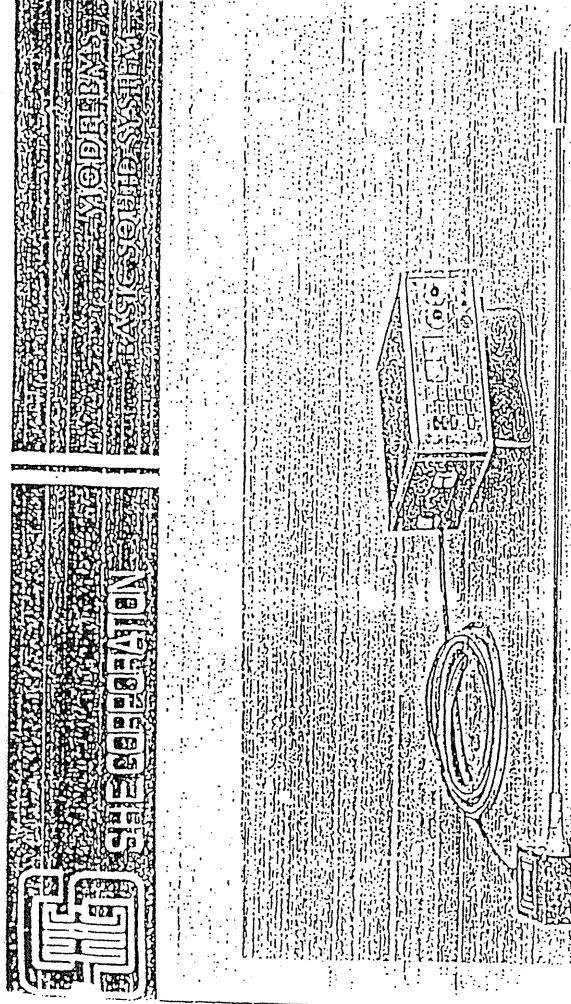


Fig. 1. Model BMS Basic SQUID system.

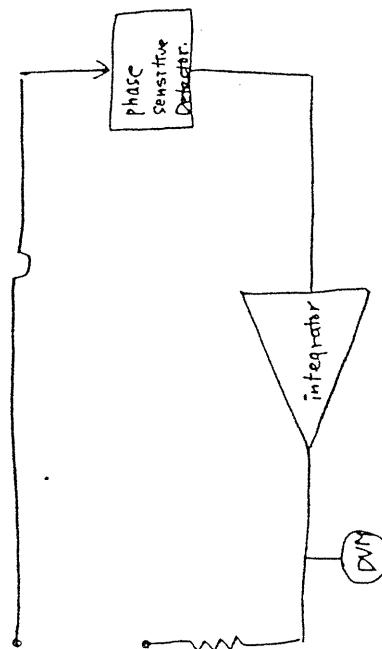
## FEATURES

- Large Dynamic Range
- Simple, Reliable Operation
- Unsurpassed dc Stability
- Fully Remote Tuning and Operation

An optional version of the BMS, the BMSX, is available which utilizes a lower noise rf head, the Model TSOX, and a specially selected SQUID sensor, the Model TSOX, to provide a significantly lower noise level. The BMSX can be directly substituted for the BMS in all applications.

## BASIC OPERATING PRINCIPLES

The Model BMS is an ultra-low-noise, current sensitive amplifier whose output voltage changes in proportion to the change in current at its input. The input signal is joined to the SQUID sensor, located in liquid helium at the bottom of the SQUID probe, by a pair of superconducting screw terminals. Current through these terminals couples magnetic flux into the SQUID sensor via an integral 2.5 mH input coil. The sensor also contains an rf coil which is used to inject a 19 MHz bias signal from the Model 300 rf head. The amplitude of the rf bias in the sensor is modulated by the input signal, detected by the rf head, and transmitted via an interconnecting cable to the Model 30 control unit. There it is used to generate a negative feedback signal which cancels the flux change in the sensor caused by the input signal. The amplitude of this feedback signal is therefore proportional to the input current and is used to generate the system output voltage.



PSD mode with feedback

④ Audio Osc. provides both  
DC bias  $l_{DC}$  and reference to  
Lock-in

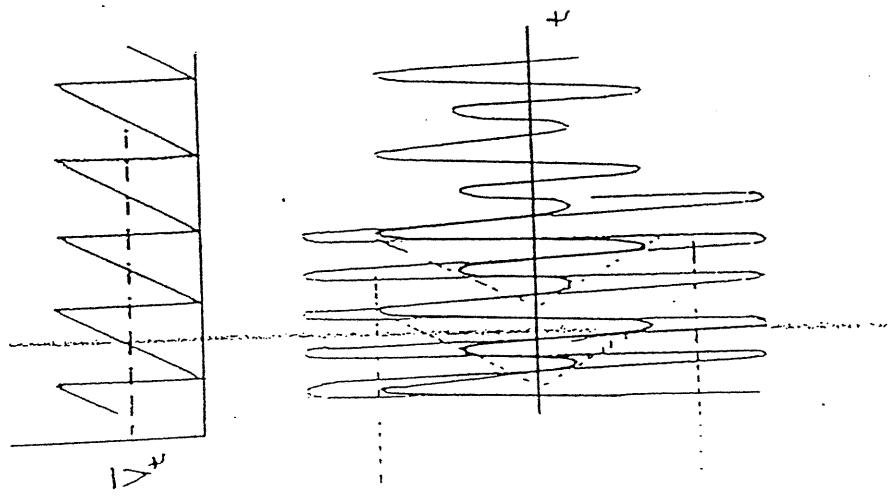
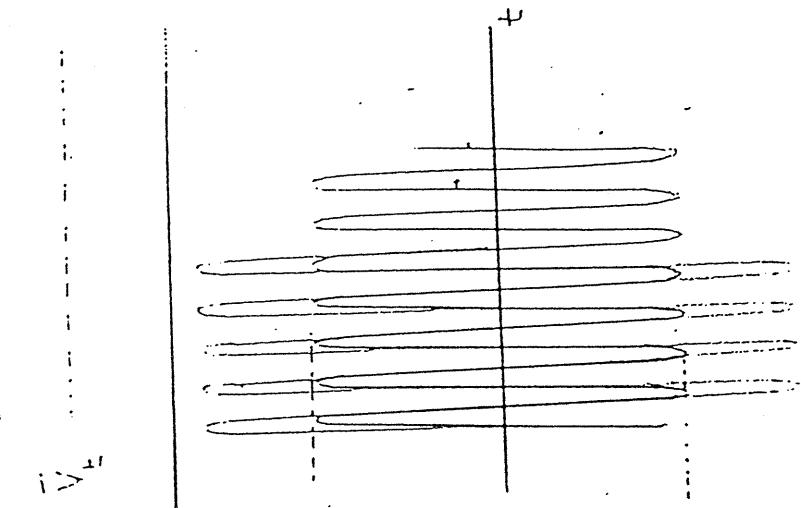
①  $\Delta\phi$  in SQUID shift  $V_+$  vs  $l_p$   
② inducing phase change between reference & output

③ PSD output charges  
⑤ Measure  $\Delta V$

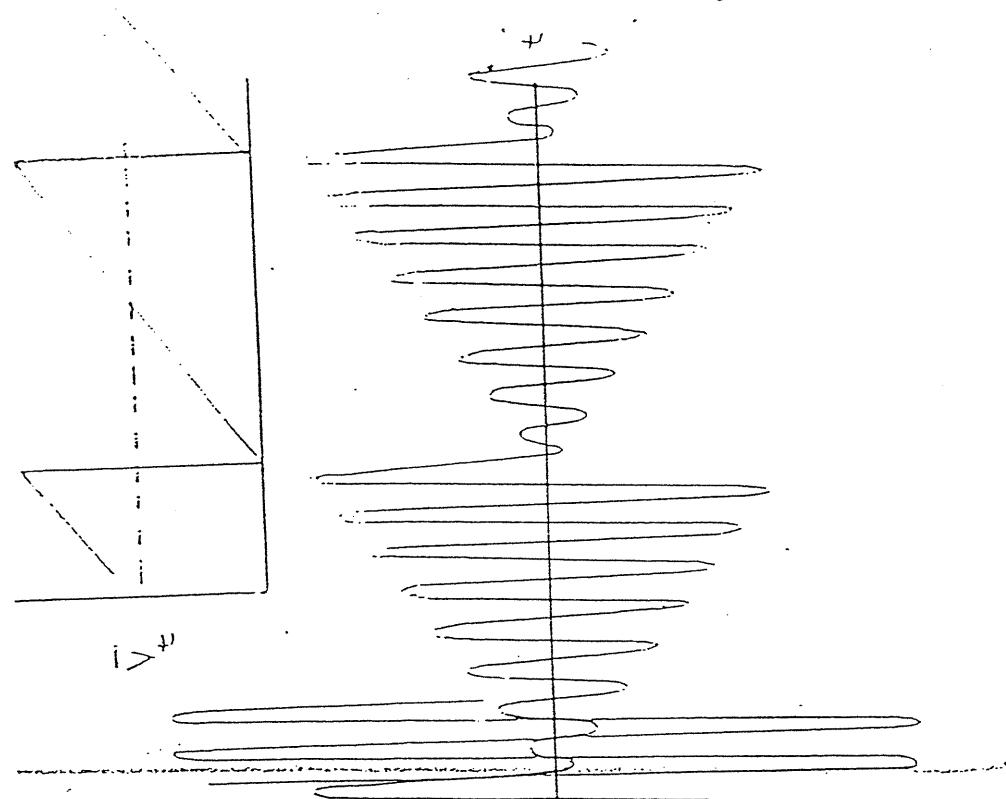
The major components of the Model BMS are shown in Fig. 1.

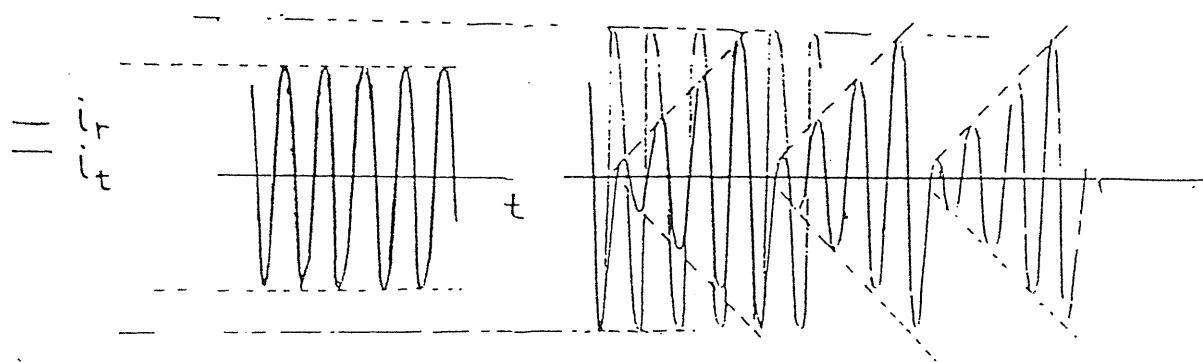
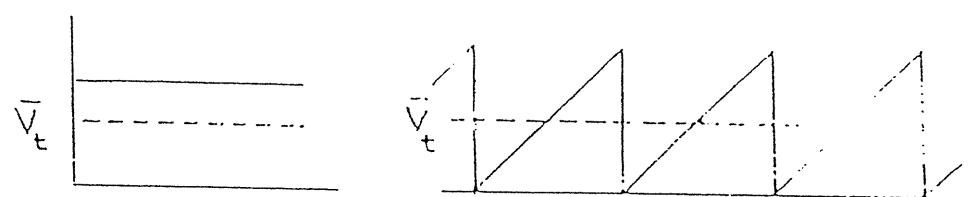
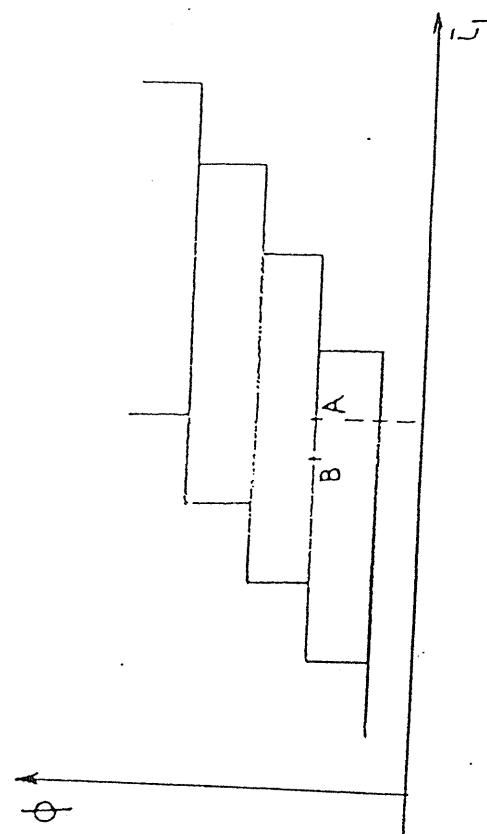
- Model SP or SPO probe with TSO SQUID sensor
- Model 300 rf head
- Model 30 control unit

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6-71





$$i_r < AG$$

$$i_r > AG$$

### SNS junctions :

6-75

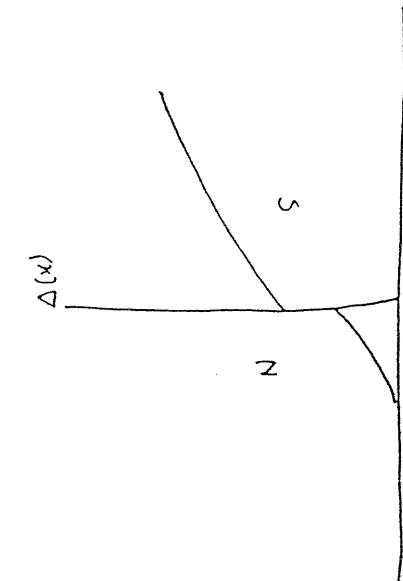
at the S-N interface - Proximity Effect.

- Reducing the S.C. character of S.C.
- extending S.C. prop. into the normal metals.
- varying DOS, e-e int, etc  $\rightarrow$  Gor'kov theory  
(not BCS)

When "N" is a normal metal with  $T_{\text{c}N} < T_{\text{cr}}$

$$\xi_N(T) = \left( \frac{\hbar D_N}{2\pi k_B T} \right)^{1/2} \left( 1 + \frac{2}{J_0(\tau/\tau_{\text{cr}})} \right)$$

boundary conditions : dirty limit



Order parameter in the N-region,

$$\Delta_N(x) = \Delta_N(0_-) e^{-|x|/\xi_N(T)}$$

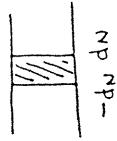
$$\text{where } \xi_N(T) = \sqrt{\frac{\hbar D_N}{2\pi k_B T}}, \quad Q_N \ll \xi_N(T), \quad L_N \gg \xi_N(T)$$

$$D_N = \frac{1}{3} U_{FN} \ell_N : \text{diffusion coefficient}$$

|                           |               |
|---------------------------|---------------|
| $U_{FN}$ : Fermi velocity | In the normal |
| $\ell_N$ : mean free path |               |

SNS junctions:

$$I = I_c \sin \varphi$$



$$I_c(\tau) \sim (T_{c_s} - \tau)^2 e^{-2d_N/\xi_N}$$

$$\sim (T_{c_s} - \tau)^2$$

$$T \ll T_{c_s}$$

cf: SIS junction

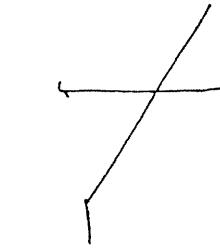
$$I_c(\tau) = \frac{\pi \Delta(\tau)}{2eR_N} \tanh \left( -\frac{\Delta(\tau)}{2k_B T} \right)$$

$$\sim (T_{c_s} - \tau)$$

Approached by J. Clarke

$\rightarrow$  Simplification of De Gennes's approach

$$\begin{aligned} N_S = N_A & \quad \rightarrow \quad \Delta_N(0_-) = \Delta_S(0_+) \\ \xi_S = \xi_N & \quad \left( \frac{d\Delta_N}{dx} \right)_{0-} = \left( \frac{d\Delta_S}{dx} \right)_{0+} \end{aligned}$$



Assuming

$$\frac{R}{R_N} = 1 - \frac{w}{2d_N}$$

$$= 1 - \left( \frac{a \xi_N}{d} \right) \ln \left\{ \frac{b I_c(0) \left( 1 - \frac{T}{T_{c_s}} \right)^2 \xi_N^2}{2e w k_B T} \right\}$$

or

$$(1 - \frac{R}{R_N}) \sqrt{T} \sim \ln \left\{ (1 - \frac{T}{T_{c_s}})^2 \right\} + \text{const.}$$

## 6.6. Array of Josephson Junction.

6-19.

Restriction : Neglect macroscopic field screening effect

Criteria J.J.

$2\pi f_R$

$3\pi f_R$

granular Superconductor.

Ceramic HTSC

$\boxed{\text{Eq 6-13}}$

$$B = H$$

$$f_i = f = Ha^2/\Phi_0$$

where  $H$  is the field applied normal to the array,  
Yielding a uniformly frustrated array.

$$E = E_J \sum_{\text{array}} (1 - \cos K_i)$$

Note :

$$\lambda_\perp = \lambda_{\text{eff}}^2/d$$

$$J_s d = \frac{C}{4\pi \lambda_{\text{eff}}^2} \cdot d \cdot \frac{2\Phi_0}{2\pi} \nabla \varphi$$

$$\lambda_\perp = \frac{C \Phi_0}{8\pi^2 I_c}$$

which diverges as  $I_c \rightarrow 0$

$$\lambda_\perp \gg R (\approx \epsilon_r)$$

Screening effect can be safely ignored

$$\lambda_\perp \leq a$$

Otherwise

$$\sum_{\text{Contour}} Y_L = 2\pi \sum_{\text{Enclosed Cells}} (f_j - \eta_j)$$

Screening effect is important.

### 6.6.1. Arrays in Zero Magnetic field.

6-81

The Kosterlitz - Thouless transition.

6-82

$$\pi_{\text{tot}} |\chi_1| = \frac{\Delta\pi}{4} = \frac{\pi}{2}$$

$$\text{Total} |\chi_1| = \chi_1 \sim \frac{q}{r}$$

$$\text{Total } \chi_1 \text{ is due to } J_1 \text{ and } J_2 \text{ and } J_3 \text{ and } J_4$$

Energy  $\approx$

$$E_J \cdot \frac{\chi_1^2}{2} \approx E_J \cdot Q^2 / 2r^2$$

$$\therefore E = \int E_J \cdot \frac{Q^2}{2r^2} \left( \frac{d\pi}{dr} \right)$$

$$\sim \pi E_J \frac{Q^2}{2} \ln \frac{R}{a}$$

$$\therefore E = \boxed{\pi E_J \ln \frac{R}{a}}$$

$R$  is the outer limit of the integration.

$R$  : radius of the array

Bound pair energy

Question:  $\hat{H} \bar{\chi} \bar{\chi} = ?$

$$R < \lambda_1 \text{ or } R < \lambda_2$$

Final Core  $\equiv$   $\bar{\chi}$  is due to  $(J_1, J_2)$

$$E_{12} = 2\pi E_J \ln (R_{12}/a)$$

or Energy is 2D Coulomb gas model wif

$$E = \frac{4\pi Q}{2\pi r} = \frac{2Q}{r}$$

$$F = 2Q^2/R_{12}$$

$$\therefore E = 2Q^2 \ln \left( \frac{R_{12}}{a} \right)$$

Defn: Energies of vortices maps onto that of a

2D Coulomb gas of charges of magnitude

$$Q = (\pi E_J)^{1/4}$$

$$\begin{aligned} \Delta F &= E - TS \\ &= 2\pi E_J \ln N - T \cdot (2\pi \ln N) \end{aligned}$$

$$\therefore kT = \pi E_J$$

Kosterlitz - Thouless transition R.G.

6-83

Kosterlitz - Thouless transition temperature

$$T_c \propto \frac{1}{\lambda^2}$$

Beasley, Mooij, Orlando

$\lambda_L > R$  or Superconductor gap  $\Delta$   $\propto$   $\lambda_L^{-1/2}$

$T_c \propto \lambda_L^{-1/2} T_{KT}$  or  $\Delta \propto \lambda_L^{-1/2}$

$$\begin{aligned} \langle R_{12}^2 \rangle &\propto \int_1^\infty R^2 e^{-E(R)/kT} 2\pi R dR \\ &\propto \int_1^\infty R^3 e^{-2\pi E_J R/kT} dR \\ &= \int_1^\infty R^3 R^{-2\pi E_J / kT} dR \end{aligned}$$

which is infinite if  $T \geq T_{KT}$ ,

$$\text{where } T_{KT} = \frac{\pi}{2} E_J (T_{KT})$$

$$\begin{aligned} kT_{KT} &= \frac{\pi}{2} E_J (T_{KT}) \\ &= \frac{\pi}{2} \cdot \frac{k^2 n_s (T_{KT}) d}{m^*} \\ &= \frac{\Phi_0^2}{32\pi^2} \frac{d}{\lambda_{\text{eff}}^2 (T_{KT})} \\ &= \frac{\Phi_0^2}{32\pi^2 \lambda_L (T_{KT})} \\ &= \frac{\Phi_0^2}{8\pi c^2 L_{K\Box} (T_{KT})} \end{aligned}$$

$L_{K\Box}$ : kinetic inductance  
per square of the film.

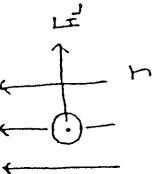
### Current Induced depairing

6-85

- nonlinear current - voltage characteristics
- competition between Lorentz force & logarithmic attractive force of a vortex pair

Lorentz force on a vortex by a transport current

( per unit length)

$$\vec{F}_L = \frac{1}{c} \vec{J} \phi.$$


Neglecting the renormalization effect between vortices

$$U(r) = U_0(r) - F_L r \\ = 2E_c + g^2 \left[ \ln \frac{r}{\xi} - 2m\mu_F r/\hbar \right]$$

where  $g^2 = \pi n_s \hbar^2 / 2m$

effective vortex charge



$$F_L = \frac{1}{c} \frac{I \phi_0}{\omega d} d = \frac{1}{c} I_s \phi_0 d$$

$$= \frac{1}{c} n_s^{3/2} e U_s \phi_0 d$$

$$= \frac{1}{c} n_s e U_s \phi_0$$

$$F_L r = g^2 2m\mu_F r/\hbar$$

the interaction energy at the saddle

$$U(r_c) = 2E_c + g^2 \left[ \ln \frac{r_c}{\xi} - 1 \right]$$

$$\approx 2E_c - g^2 \ln \left( \frac{I_o}{J_o} \right)$$

$$J_o \approx \frac{k n_s e}{2m\xi}$$

$$\frac{r_c}{\xi} = \frac{I_o}{J_o}$$

the classical thermally-activated escape rate over this saddle point,

$$\sim e^{-U(r_c)/k_B T} \approx \left( \frac{J_o}{J_s} \right)^{\xi^2/k_B T} \\ D_J = \ln \left( \frac{J_s}{J_o} \right) \\ \sim \left( \frac{r_c}{\xi} \right)^{\xi^2/k_B T} = \ln \left( J_o/J_s \right)$$

The density of current-induced single vortices

$\rightarrow$  balance between vortex pair breaking & the recombination.

$$n_{f,J} = \Gamma - d n_{f,J}^2$$

in equilibrium  $n_{f,J} \sim \Gamma^{1/2}$

$$\begin{aligned}
 R &= 2\pi \xi^2 n_{f,j} R_0 \\
 &\sim \left( \frac{J_s}{J_0} \right)^2 / 2k_B T \\
 &= \left( \frac{J_s/J_0}{\alpha} \right) \pi k \\
 \text{or } \alpha R &= e^{-\alpha R_0}
 \end{aligned}$$

then  $V \approx IR \sim T^{1+\pi K(\tau)} = T^{\alpha(\tau)}$

$$\begin{aligned}
 \alpha(\tau) &= 1 + \frac{g^2}{2k_B T} = 1 + \pi K(\tau) \\
 &= 1 + \frac{\pi n_s t_h}{4\pi k_B T}
 \end{aligned}$$

$$\alpha(\tau) = \begin{cases} 1 & T > T_c \quad \text{only for infinite system} \\ 3 & T = T_c \quad \text{Universal jump condition} \end{cases}$$

Extended to the case of renormalized int. by replacing  $n_e$  by  $n_s^R$ : the renormalized superelectron density.

the relation can be used to determine  $K(\tau)$  experimentally in the low current level.

$$\frac{d \ln R(\tau)}{d \ln I} = \pi K(\tau)$$

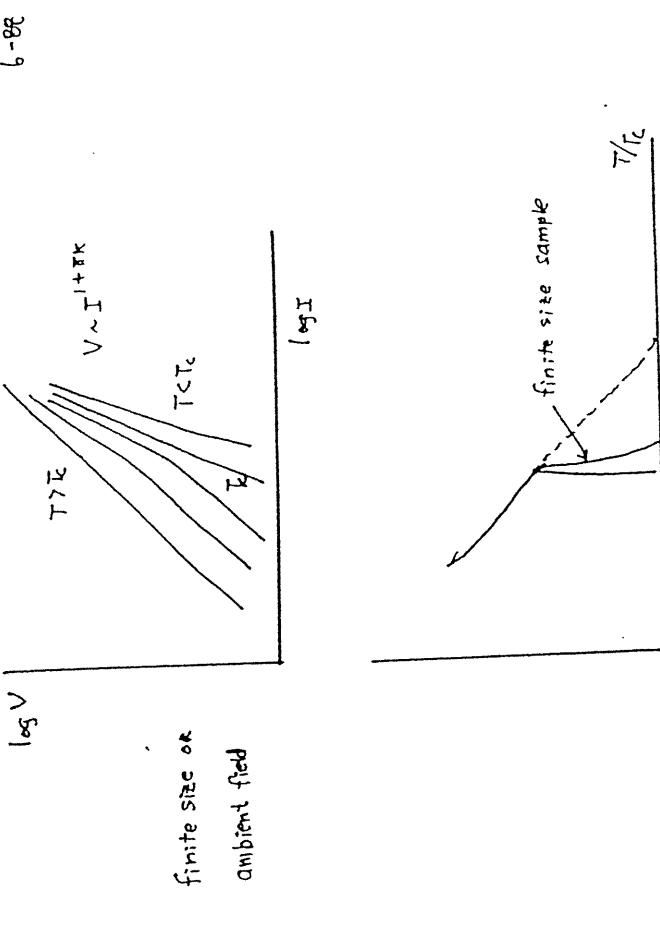
6-87  
Halperin & Nelson

$$R = (2\pi K - 4\pi) \left( \frac{J_s}{J_0} \right)^K R_0$$

$$J_0 = \frac{k_B T_c e}{4\pi g^2} \approx \frac{h n_e e}{2m g}$$

finite size or

ambient field



- if  $Q = \infty$  ( $Q \equiv \alpha n^{1/\xi}$ )  $\pi K$ , a universal jump  $0 \rightarrow 2$  at  $T_c$
- if  $Q$  finite, the jump smears out. Assuming that the smearing is only due to finite size of the sample.
- the relation can be used to determine  $K(\tau)$  experimentally in the low current level.

$$\frac{\Delta K}{\Delta T} = -\frac{4}{\pi^2} \varrho_0, \quad T \leq T_c$$

$$\varrho_0 = \frac{1}{2} \ln \left( \frac{T_c}{T} \right)$$

For example in  $Tl_2Ba_2Ca_3Cu_2O_8$

$$\varrho_0 = 5.3$$

Fiori, Hebard, and Glaberson (1983)

6-89

Cf.  $r_c$  can be obtained from the force relation

also.

$$U = 2E_c + 2\pi K_B T \ln(\frac{r}{\xi})$$

$$F = -\frac{\partial U}{\partial r}$$

$$= -2\pi K_B T \ln(r) \cdot \frac{1}{r}$$

force balance condition

$$2\pi K_B T \cdot K \cdot \frac{1}{r_c} = \frac{I}{wd} \frac{\phi_0}{c} d$$

$$Y_c : \frac{2\pi c \omega k_B T K(r_c)}{I \phi_0} \quad \left( = \frac{2\pi c \omega k_B T}{I \phi_0} \frac{n_s h^2}{4\pi K_B T} \right)$$

$$= \frac{2e\omega}{I \hbar c} \frac{n_s h^2}{4m}$$

$$= \frac{2e\omega}{J wd} \cdot \frac{n_s h}{4m}$$

$$= \frac{e n_s h}{2\pi \epsilon U_c} \frac{J}{d} \cdot \frac{1}{2m}$$

$$= \frac{\hbar}{2m U_c}$$

i)  $r_c > \omega$

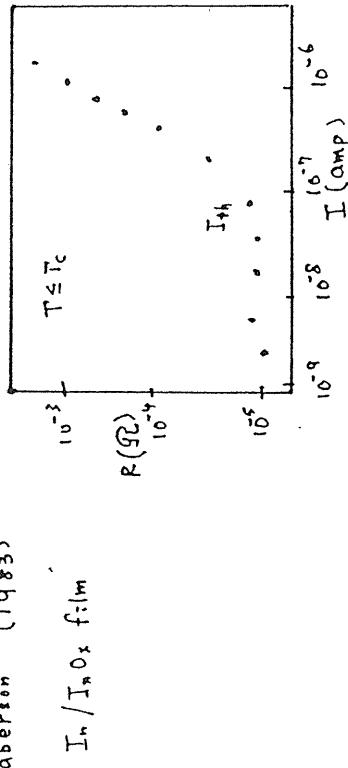
The onset of depairing in a "finite film":

$$r_c \approx \omega$$

$$I_{th} = \frac{2\pi c K_B T K(\omega)}{\phi_0} = \frac{2e K_B T k(\omega)}{\hbar}$$

Glaberson (1983)

$$I_n / I_{n0, film}$$



$$I_{th} (\text{theoretical}) = 55 \text{nA}$$

$$I_{th} (\text{exp}) \approx 20 \text{nA}$$

% mechanism of  $I_{th}$

% finite size effect

% ambient magnetic field effect

ii) if  $r_c \ll \omega$ , the resistance increase solely due to the current-induced depairing.

$$R \sim I^{n+1}$$

iii) if  $r_c \approx \omega$ :

the onset of depairing in a "finite film":

$$r_c \approx \omega$$

$$I_{th} = \frac{2\pi c K_B T K(\omega)}{\phi_0} = \frac{2e K_B T k(\omega)}{\hbar}$$

Magnetoresistivity :  $R_H$

6-91

6-92

$$R = 2\pi \xi^2 n_{f,H} R_N \quad \text{flux flow resistance}$$

$$n_{f,H} \phi_0 \approx BA \quad \approx HA \quad \text{for } H \gg H_{c1}$$

$$R_H \approx \left( \frac{A}{n_f} \right)^{1/2} = \left( \frac{\phi_0}{H} \right)^{1/2}$$

$$\text{or } R_H = \ln \left( \frac{r_n}{\xi} \right)$$

$$= \frac{1}{2} \ln \left( \frac{\phi_0}{\xi^2} \frac{1}{H} \right)$$

$$\approx \frac{1}{2} \ln \left( \frac{H_{c2}}{H} \right)$$

In analogy to the current-induced depairing

case:

$$R(J,T) \sim e^{-\varrho_J \pi K(T)}$$

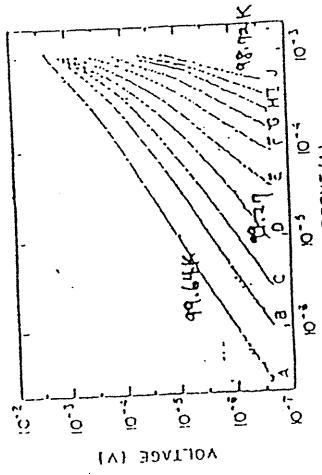
$$R(H,T) \sim e^{-\varrho_H \pi K(T)}$$

$$= \left( \frac{H}{H_{c2}} \right)^{\pi K(T)/2} \rightarrow \text{consistent with the fact that}$$

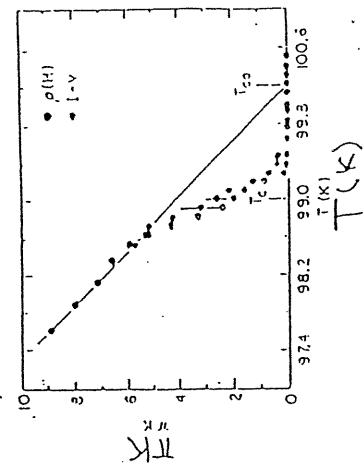
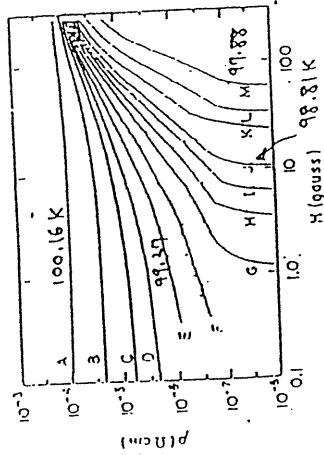
$$R(H) \sim H \quad \text{at } T = T_c$$

$$\ln R \sim \frac{\pi K}{2} \ln \left( \frac{H}{H_{c2}} \right)$$

$$2 \frac{d \ln R(T)}{d \ln H} = \pi K(T)$$



$\text{YBa}_2\text{Cu}_3\text{O}_8$  film.



KT transition in S.C. Films (2D)

interaction energy between vertices

$$V(r) \approx \frac{\phi_0^2}{4\pi^2\lambda_{\perp}^2} \ln\left(\frac{r}{\xi}\right) \quad , \quad \xi \ll r \ll \lambda_{\perp}$$

$$\lambda_{\perp} = \frac{2\lambda^2}{d}$$

$$= 2\pi J \ln\left(\frac{r}{\xi}\right) \quad = 2\pi K_B T \ln\left(\frac{r}{\xi}\right)$$

$$= g^2 \ln \gamma_{\xi}$$

$$\frac{2\pi J}{e^2} = \frac{\phi^2}{4\pi^2\lambda_{\perp}^2} \quad = \frac{\phi^2}{\pi n_s t^2} \quad = \frac{g^2}{2m}$$

$$n_s = n_s^{3D}$$

$$K = \frac{J}{K_B T} = \frac{n_s t^2}{4m K_B T}$$

$$\lambda_{\perp} \equiv \frac{2\lambda^2}{d} = \frac{2\lambda^2(\epsilon)}{d} \left( \frac{\epsilon_0}{\epsilon} \right) / \frac{\Delta(\tau)}{\Delta(0)} \tanh \frac{\beta\Delta(\tau)}{2}$$

$$K_B T_c = \frac{\pi J(\tau_c)}{2} = \frac{\phi_0^2}{16\pi\lambda_{\perp}^2(\tau_c)}$$

$$\frac{T_c}{T_{c0}} \equiv (1 + \alpha \ln R_B \epsilon_c / R_c)^{-1}$$

$$\epsilon_c = \frac{\pi J(\tau_c)}{2 K_B T_c} \approx 1.2 \pm 0.1 \quad \text{for HgXe film}$$

$$R_c = \frac{\hbar}{e^2} = 4.11 \times 10^{-10} \Omega$$

Table I. Basic Properties of a Single Quantum Vortex in Bulk and Thin Film  
Supercconductors ( $n = 1, \lambda \gg \xi$ )

Film Bulk

$\phi_0 d$

$$c \phi_0 d \frac{1}{r} \quad r \ll \lambda$$

$$\left( \frac{4\pi\lambda}{\phi_0} \right)^2 d \left( \ln \frac{1}{\lambda} + \ln 2 - \gamma \right) \quad r \ll \lambda$$

$$\left( \frac{4\pi\lambda}{\phi_0} \right)^2 d \left( \ln \frac{1}{\lambda} + \ln 2 - \gamma \right) \quad r \ll \lambda$$

$$\frac{8\pi(2n)^2}{\phi_0^2 d} \left( \frac{\lambda}{\lambda_0} \right)^2 e^{-\lambda/\lambda_0} \quad r \ll \lambda$$

M

$\phi_0 d$

U

K

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4

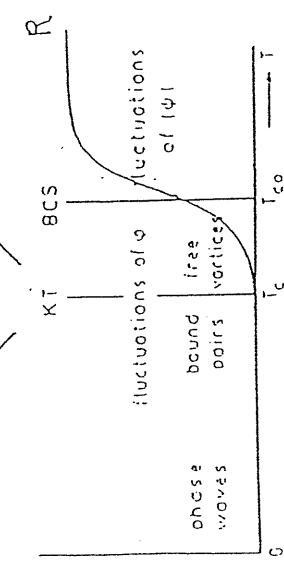
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$$\xi_s = \text{average separation between bound vortices} = \alpha \xi e^{\left(b' \frac{T_c - T}{T_c}\right)^2}$$

$\xi_s$  = average separation  
between bound vortices

$$\xi_f = \text{free vortices} = \lambda_m (\xi_s / \xi_f)$$

$$= \frac{1}{2\pi} \sqrt{\frac{b}{1 - T/T_c}}$$



$$\sigma - \sigma_H = \frac{e^2}{16\pi d} \frac{1}{\frac{T}{T_{co}} - 1} \quad \text{at } T_{co} \quad \text{flux flow resistance}$$

$$T_{co} = \frac{b'}{2\pi \xi_f^2}$$

$$\sigma - \sigma_H = \frac{1}{16\pi d} \frac{1}{\frac{T}{T_{co}} - 1} \quad \text{at } T_{co} \quad \text{flux flow resistance}$$

$T_c < T < T_{co}$   
dissipation due to flux flow resistance of  
free vortices.

$$R = 2\pi \xi_f^2 n_f R_H$$

$$= A R_H e^{i\phi} \left( -2 \sqrt{\frac{b}{\frac{T}{T_c} - 1}} \right)$$

$$T_c, b \in \mathbb{C}^{1/2} \approx \mathbb{R}^{1/2}$$

In order to study the vortex excitation

(In a fully renormalized way), we use the

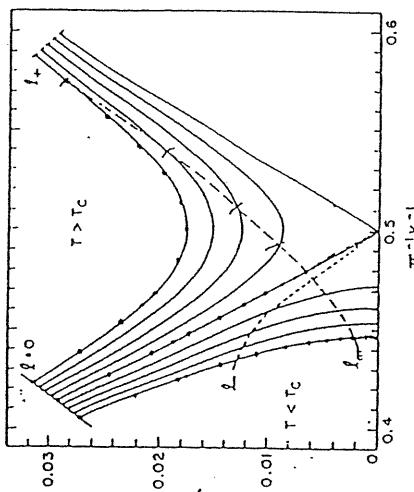
Nelson-Kosterlitz recursion relations:

$$\begin{aligned} \frac{d}{dx} K^{-1} &= 4\pi^2 y^2 \\ \frac{dy}{dx} &= (2 - \pi K)y \end{aligned}$$

$$\text{where } Q = \ln(\frac{y}{\xi})$$

$$\begin{aligned} y &= e^{2Q - u/2k_B T} \\ &= \left(\frac{r}{\xi}\right)^2 e^{-u/2k_B T} : \text{pair-excitation prob} \\ K &\approx \beta J \end{aligned}$$

$K$  and  $y$  at any given  $r$  can be obtained from  
an initial condition.



initial cond

$$K(0) \propto \frac{T_{co}}{T} - 1$$

$$y(0) = y_0 e^{-CK(0)}$$

↑

related to

vortex core energy

$$y_0 \approx 1$$

$$C \approx 1$$

linearized form:

$$\text{Near } T \approx T_c, \quad K = \frac{2}{\pi}$$

$$\text{Defining } x = \frac{2}{\pi K} - 1$$

$$\rightarrow \pi K = \frac{2}{1+x} \approx 2(1-x)$$

then

$$x \frac{dx}{dQ} = 8\pi^2 y^2$$

$$y \frac{dy}{dQ} = 2xy$$

$$x \frac{dx}{dQ} = 8\pi^2 xy^2$$

$$y \frac{dy}{dQ} = 2xy^2$$

$$x \frac{dx}{dQ} - 4\pi^2 y \frac{dy}{dQ} = 0$$

$$\therefore x^2 - 4\pi^2 y^2 = c(T) \\ = \text{an invariant}$$

$$= x_0^2 - 4\pi^2 y_0^2$$

where

$$x_0 = x(Q=0), \quad y_0 = y(Q=0)$$

then

$$\frac{dx}{dQ} = 8\pi^2 y^2 = 2(x^2 - c)$$

$$\text{i) } c = 0 \quad \text{at } T = T_c$$

$$x = \pm 2\pi y$$

$$\text{or } \pi K = 2 + 4\pi y$$

$$x = 0, \quad y = 0 \rightarrow \text{fixed pt. of the sys. as } Q \rightarrow \infty$$

$$\begin{aligned} T > T_c, \quad \pi K(\infty) = 0 \\ T = T_c, \quad \pi K(\infty) = 2 \end{aligned} \quad \left. \begin{array}{l} \text{universal jump} \\ \text{Vortices} \end{array} \right.$$

$y(\infty) = 0$  no bound vortices at infinite separation  $\rightarrow$  all finite bound

$$\frac{dx}{dQ} = 8\pi^2 y^2 = 2x^2$$

$$\frac{dx}{x^2} = 2 dQ$$

$$-\frac{1}{x} - \frac{1}{x_0} = 2Q$$

$$x = -\frac{1}{2Q - \frac{1}{x_0}} \quad \left. \begin{array}{l} \text{limit} \\ \text{large } Q \end{array} \right. \rightarrow -\frac{1}{2Q}$$

$$y \rightarrow \frac{1}{4\pi Q} \quad \pi K = 2 + \pi$$

$$\text{ii)} \quad C < 0 \quad (\tau > \tau_c)$$

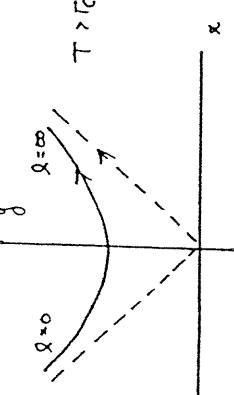
The curve turning away from the origin

$$\varphi \rightarrow \infty : \begin{cases} x_R \approx \infty \rightarrow K_e = 0 & \text{interaction totally screened.} \\ y_R \approx \infty & \text{plenty of free vortices at large separation.} \end{cases}$$

$\varphi_{\infty} = -D \left[ \tanh \left\{ 2D\varphi + \tanh^{-1} \left( -D/\chi_0 \right) \{ \cdot \} \right\} \right]^{-1}$

$$2\pi y = D \left[ \sinh \left\{ 2D\varphi + \tanh^{-1} \left( -D/\chi_0 \right) \{ \cdot \} \right\} \right]^{-1}$$

$$D \equiv |C|^{1/2}$$



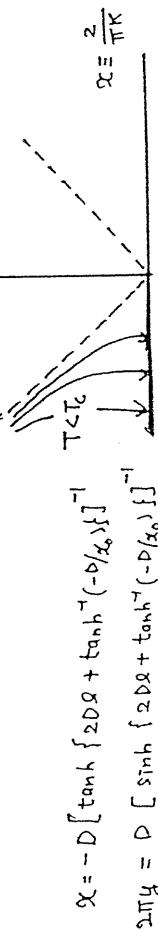
$$\text{iii)} \quad C > 0 \quad (\tau < \tau_c)$$

fixed point at  $y_R = 0$

$$\chi_R = -\sqrt{C}$$

corresponding to somewhat stronger int. between bound vortices.

$$\varphi_{\infty} = \frac{1}{2} \ln \left( 14D/\omega g^2 \right)$$



$$\text{length Scales}$$

$$b=101$$

$$\lambda_m = \ln \left( \frac{\omega}{E} \right) : \text{sample size}$$

$$\lambda_- = (2\pi K(\infty) - 4)^{-1} : \text{average distance between bound vortices at } \tau < \tau_c$$

$$\approx \frac{1}{2\pi} \left( \frac{b}{1 - \tau/\tau_c} \right)^{\frac{1}{2}}, \quad \tau \leq \tau_c$$

$\lambda_+ : \text{average distance between free vortices}$

$$\lambda_+ = \left( \frac{b}{\frac{T}{T_c} - 1} \right)^{1/2}$$

$$T > T_c$$

$$R_T \sim e^{-2\lambda_+}$$

$$\rightarrow \lambda_j = \ln \left( \frac{J_0/J}{\tau} \right) : \text{onset of current-induced depairing}$$

$$R_J \sim e^{-\pi K \lambda_j}$$

$$\rightarrow \lambda_H = \frac{1}{2} \ln \left( \frac{H_{tz}}{H} \right) : \text{distance between field generated vortices}$$

$$R_H \sim e^{-\pi K \lambda_H}$$

$$R_o \sim \omega g^2 (\rho_{00})$$

Controllable characteristic length scale

$$\chi \equiv \frac{2}{\pi K} - 1$$

$$\chi = -D \left[ \tanh \left\{ 2D\varphi + \tanh^{-1} \left( -D/\chi_0 \right) \{ \cdot \} \right\} \right]^{-1}$$

$$2\pi y = D \left[ \sinh \left\{ 2D\varphi + \tanh^{-1} \left( -D/\chi_0 \right) \{ \cdot \} \right\} \right]^{-1}$$

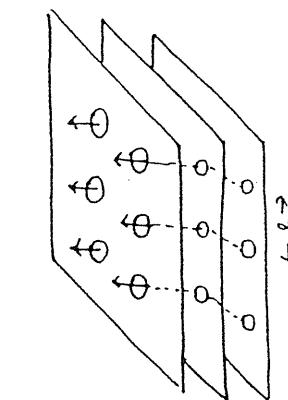
### \* Layered - Structure System

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If  $\ell_m > \ell_0$  (or  $w > r_0$ ), the jump smears out due

(artificial multi-layer system  
HTSC system.

to the finiteness of  $\varrho_0$ .



$$\frac{\Delta k}{\Delta T} = -\frac{4}{\pi^2} \frac{\varrho_0}{T}, \quad T \geq T_c$$

$$\varrho_0 \text{ in } T_{2, \text{Ba}_2\text{Ca}_3\text{O}_8} \rightarrow \varrho_0 = 5.3$$

Hikami & Tsuneto

Prog. Theoretical Physics (B, 387 (1980))

$\left( \begin{array}{l} \text{Independent vortex-} \\ \text{pair configuration} \\ \text{on a layer} \end{array} \right) : \left( \begin{array}{l} \text{multi-layer vortex} \\ \text{ring configuration} \end{array} \right)$

$r < r_0$

$r > r_0$

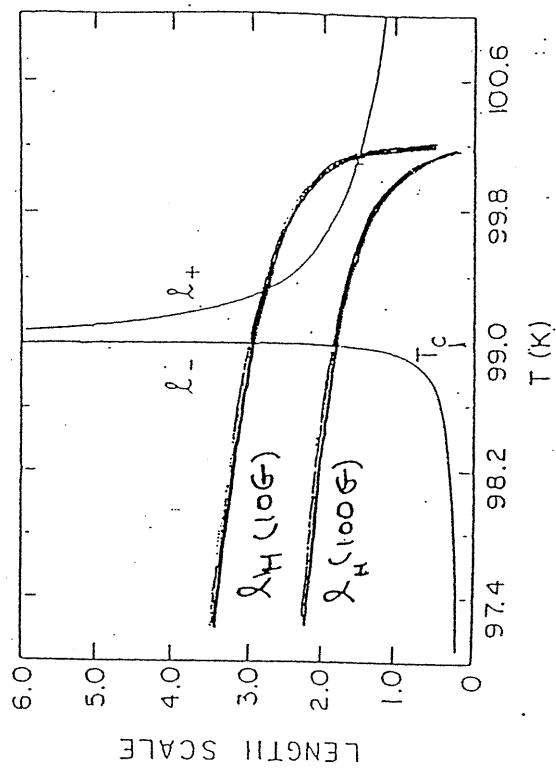
$$r_0 = \xi \left( \frac{k}{k_c} \right)^{\frac{1}{2}}$$

$T \geq r_0$

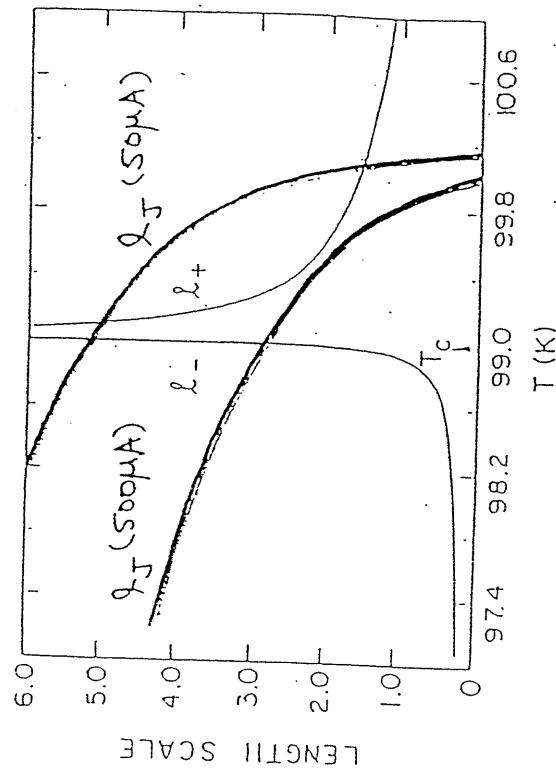
$$\text{OR } \varrho \geq \varrho_0 = \frac{1}{2} \ln \left( \frac{k}{k_c} \right)$$

$6 \cdot 10^4$

$2 \cdot 10^4$



LENGTH SCALE



LENGTH SCALE

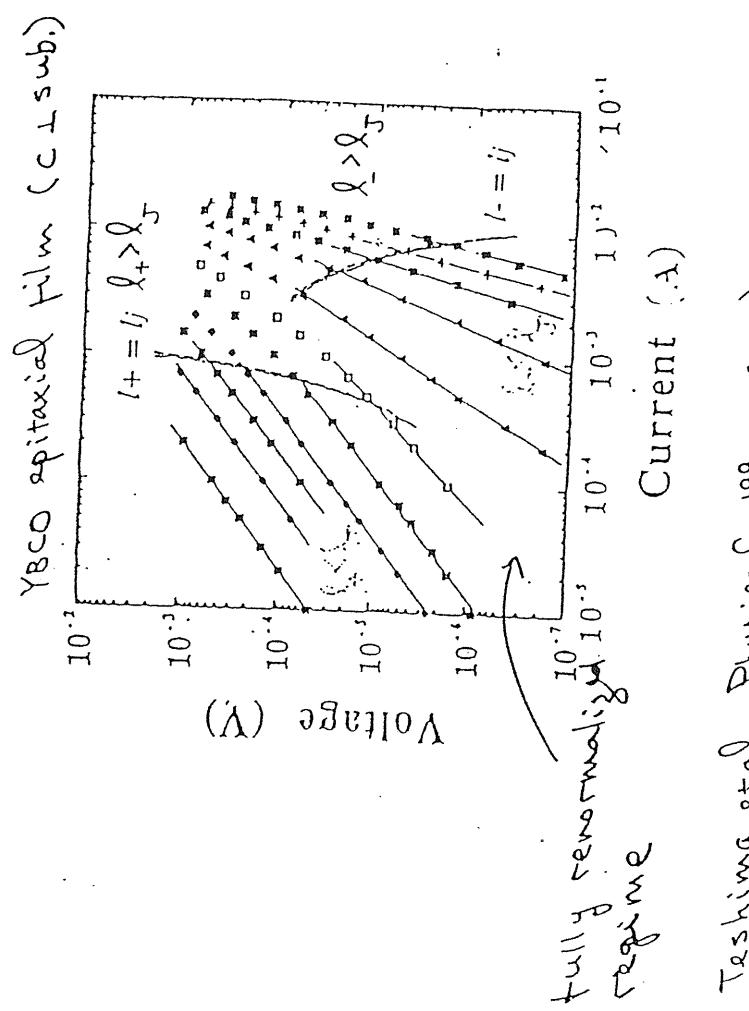
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fully renormalized case

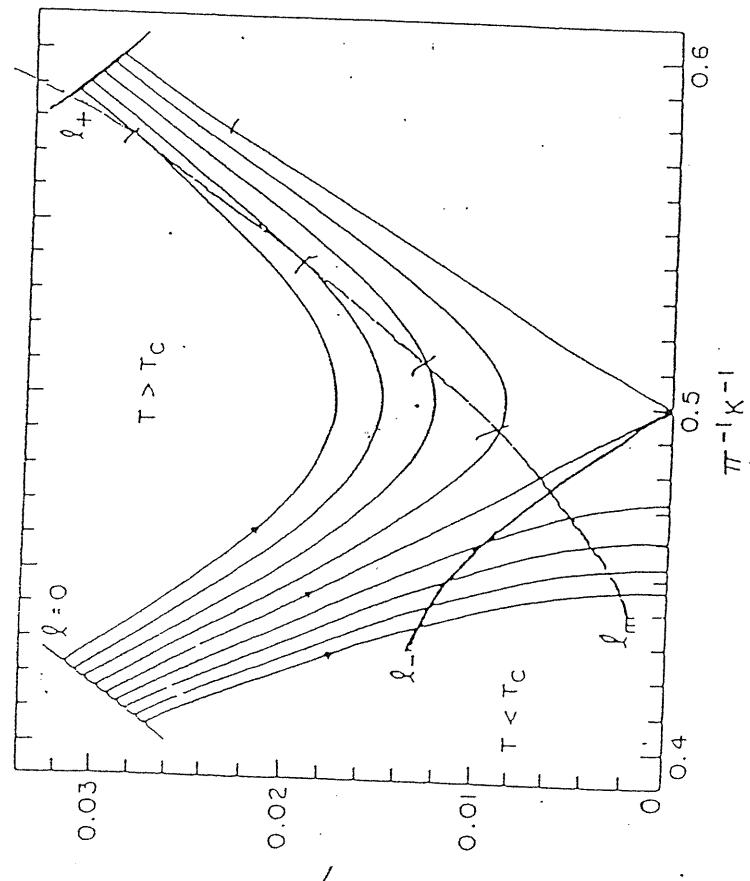
$$\lambda_m > \lambda_+$$

$$\lambda_J > \lambda_-$$

$$\lambda_u > \lambda_m, \lambda_-$$



Teshima et al. Physica C 199, 149 (1992)



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AC Response:

$$ik \frac{\partial \psi}{\partial t} = 2u \dot{\psi} \quad \Psi: \text{ s.c. order parameter}$$

$$= 2(u_c + ev) \Psi$$

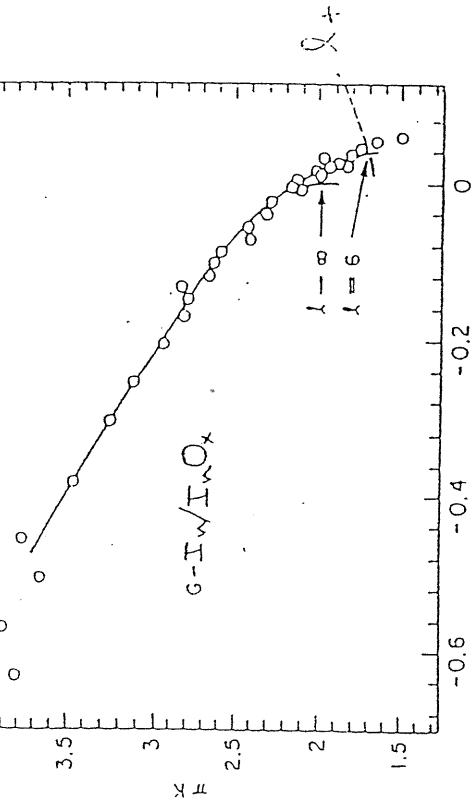


FIG. 13. Reduced stiffness constant vs reduced temperature for sample A-2. Fitted curves are for  $l_c = 6$ ,  $l \rightarrow \infty$ , and  $l = l_+$  (dashed curve).

Fiory, Habard, & Glaberson, PRB 28, 5075 (1983)

$$\begin{aligned} ik \frac{\partial \phi}{\partial t} &= 2u \dot{\phi} \quad \leftarrow \quad \Psi = |\Psi| e^{i\phi} \\ \frac{4\pi\lambda^2}{c} \vec{J}_S + \vec{A} &= \frac{\phi}{2\pi} \nabla \phi \quad \leftarrow \text{G.L. eq.} \\ \vec{E} &= -\frac{1}{c} \frac{\partial \vec{A}}{\partial t} - \nabla V \\ &= \frac{4\pi\lambda^2}{c^2} \frac{\partial \vec{J}_S}{\partial t} + \nabla \left( \frac{u_c}{e} \right) \\ L_k &= L_k \frac{\partial k_x}{\partial t} + \nabla \left( \frac{u_c}{e} \right) \\ K_k &= \frac{2\pi \frac{\partial \lambda}{c^2}}{L_k} = \frac{m}{n_s e^2} \\ &= L_k \underbrace{\frac{\partial k_x}{\partial t}}_{\text{Superfluid background}} + \underbrace{\frac{\phi}{\theta u c} \frac{\partial}{\partial k_x} k_y}_{\text{flowing vortices}} = \frac{1}{k} \end{aligned}$$

$K_N \ll K_S$ , as  
long as  $\omega \ll \frac{R_N}{L_K}$

Since  $\frac{1}{K_b} \propto \frac{1}{K_r} \approx \frac{1}{K_s}$

$$Z_f = i\omega L_k + Z_v = i\omega L_k \underbrace{\epsilon(\omega)}_{\text{Complex}} + Z_v$$

$$\text{or } R_f = R + i\omega L$$

$$R_f : T > \tau_c \quad L_k = \frac{m}{nse^2} \quad T \ll \tau_c$$

$$\begin{cases} R_b(\omega) & T \leq \tau_c \\ R_p(\omega) & L_p \end{cases} \quad \left\{ \begin{array}{l} T \sim \tau_c \\ T < \tau_c \end{array} \right.$$

$$\epsilon(\omega) = \epsilon_f + \epsilon_s \quad 0 < T < \tau_c$$

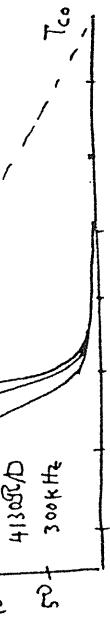
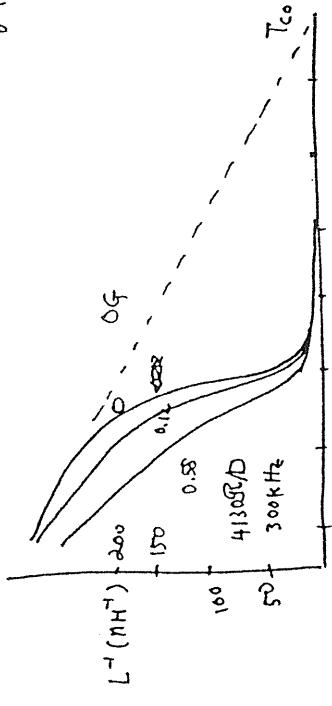
$$\epsilon(\omega) = \epsilon_b$$

$$T < \tau_c \quad \epsilon(\omega) = \epsilon_b \quad \text{no vortex exists}$$

$$\vec{K} = -\frac{\vec{A}}{cL_k} \quad \text{London eq.}$$

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$T < \tau_c$  outside the critical region

( $1.2 \leq T \leq 1.3 \text{ K}$ )

$$L' \approx L_k^{-1} \propto T_{c0} - T$$

$$\text{iii) } \lambda(\tau_c) \tau_c = \frac{\phi_0^2}{16\pi^2 k_B} = 1.96 \text{ fm K}$$

Universal jump cond.

$$\text{iv) } \lambda_\perp = \frac{2\lambda^2}{d} = \frac{2\lambda_u^2(0)}{d} \left( \frac{\phi_0}{2} \right)^2 \left\{ \frac{\Delta(\tau)}{\Delta(0)} \tanh \left( \frac{\beta\Delta(\tau)}{2} \right) \right\}^{-1}$$

v)  $B \neq 0$ .

$$L' \rightarrow 0 \text{ at temp. where } \lambda_\omega \sim \lambda_H \quad \&$$

$\lambda_+ > \lambda_H$

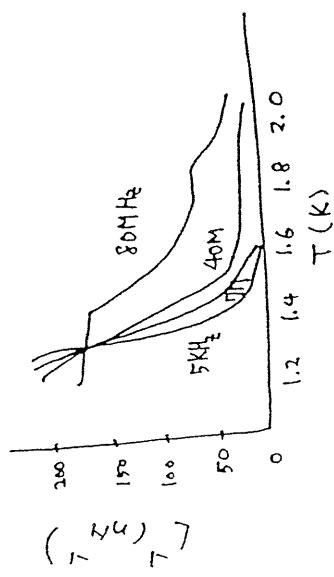
$\lambda_\omega < \lambda_H < \lambda_+(\tau)$  : response dominated by bound pairs

threshold :  $(14\rho/\omega)^{1/2} > (\phi_0/B)^{1/2}$

$\beta/\beta_c \sim \lambda_\omega \sim \lambda_H$

V)  $\omega$ -dependence

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$$R_\omega = (14D/\omega)^{1/2}$$

$$T_c < T < R_\omega : \quad R_\omega < \xi_r \quad \text{bound pair effect dominates}$$

$\nabla_{\text{vortex}} - \text{pair polarization picture valid}$

$$T > T_c : \quad R_\omega = \xi_r(\omega) : \quad \text{Crossover at } R_\omega$$

$T_c < T < R_\omega : \quad R_\omega < \xi_r \quad \text{bound pair effect dominates}$

$T > R_\omega : \quad R_\omega > \xi_r \quad \text{free vortex effect}$

the bend in  $L^{-1}(\omega)$  takes place at  $R_\omega$

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$$\begin{aligned} R_\omega &= \xi_r(R_\omega) \\ &= \xi_r e^{b \left( \frac{R_\omega}{T_c} - 1 \right)^{-\frac{1}{2}}} \end{aligned}$$

$$R_\omega = \xi_r \left( \frac{R_\omega}{T_c} \right) = b \left( \frac{R_\omega}{T_c} - 1 \right)^{-\frac{1}{2}}$$

$$\therefore R_\omega^2 = b^{-2} \left( \frac{R_\omega}{T_c} - 1 \right)$$

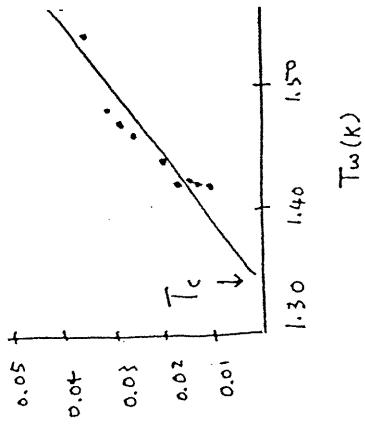
$$R_\omega \leftarrow D$$

$$\Theta \quad \frac{D}{k_B T} = \frac{1}{\eta} = R_D^f \cdot \frac{c^2}{B \phi_0}$$

$$\begin{aligned} &= 2\pi \xi^2 n_f R_N^D \frac{c^2}{B \phi_0} \\ &= 2\pi \xi^2 c^2 R_N^D / \phi_0^2 \end{aligned}$$

$$n_f = n_f^{\text{ext}} \quad \text{at } T_c$$

$$\Theta \quad D \leftarrow \text{from } \frac{dH_{c2}}{dT}$$



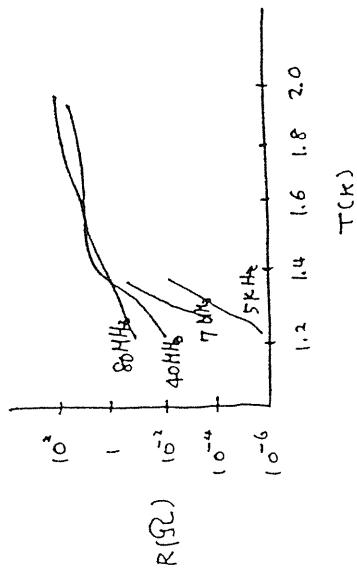
$$R_\omega(K)$$

$$R_\omega(K)$$

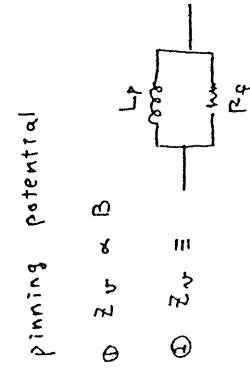
V<sub>f</sub>) r<sub>f</sub> resistance

V<sub>ii</sub>) Pinning & lattice melting

$$\mu = \frac{U}{F_z} = \frac{C z_{\text{v}}}{B \phi_0}$$



Vortex motion in a uncorrelated harmonic pinning potential



$$R_f \propto \eta_f$$

$$\Theta = \tan^{-1} [\omega (L - L_k)/\kappa]$$

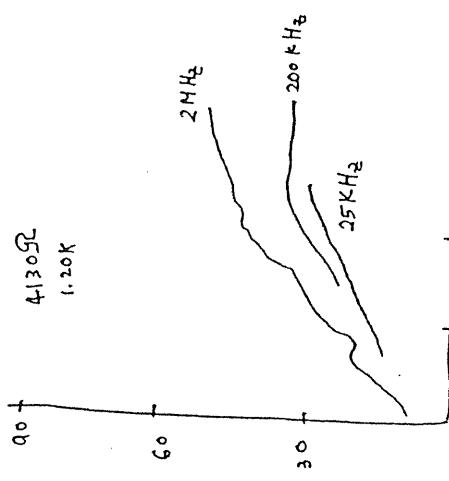
$$\gamma_\omega < \xi_+(T)$$

$$R \propto \exp \left[ - \frac{\phi_0^2 \alpha_n (\kappa_\omega / \xi) + \frac{1}{4}}{4\pi^2 \epsilon(\kappa_\omega) \lambda_L(T)} \right]$$

at  $T = T_c$

$$R = 2\pi^2 \omega L \propto \Omega_\omega^{-2} : \text{theory}$$

$$\propto \omega^{+0.9} : \text{exp}$$



Viii)

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lower sheet resistance film

→ stronger vortex correlation

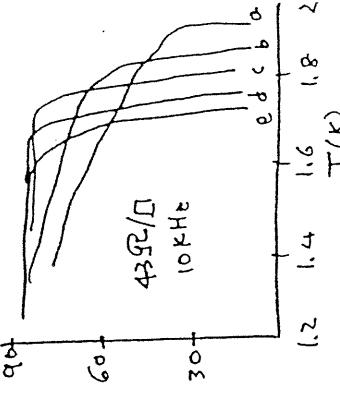
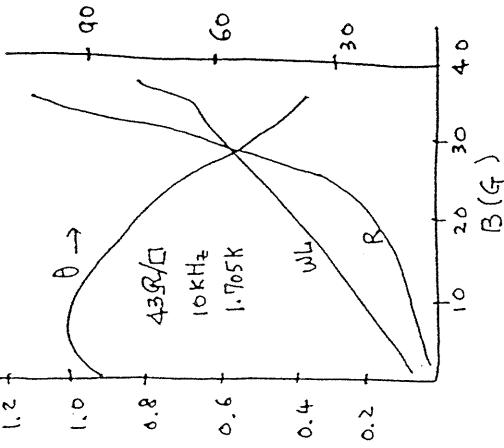
→ higher melting temperature

$$T_M = \phi_0 A_1 / 64\sqrt{3} \pi^3 R_c \lambda_z(\tau_M)$$

$$\beta < \alpha_0 < \lambda_\perp$$

$$0.40 < A_1 < 0.75$$

$T_n$  a high  $R_\perp$  film :  $\lambda_\perp \propto R_0$



- o : milestone paper (mostly static resp.)  
 v : ac response  
 $\Delta$  : high  $T_c$  S.C.  
 References : KT transition in S.C. films
- D 1. Halperin and Nelson, J. Low Temp. Phys. 35, 599 (1979)
- D 2. Flory, Hebard, & Glaberson, PRB 28, 5075 (1983)
- D 3. Kadin, Epstein & Goldman, PRB 21, 6691 (1980)
- D 4. Garland and Lee, PRB 36, 3638 (1987)
- D 5. Techima et al. Physica C 199, 149 (1992)
- v G. Hebard and Flory, PRL 44, 291 (1980)
- v H. Hebard and Flory, Proceedings of the "International Conference on Ordering in 2D." (1980)
- v Q. Flory and Hebard, PRB 25, 2073 (1982)
- v Q. Hebard and Flory, Physica 109C 110B, 1637 (1982)
- $\Delta$  v 10. Martin et al. PRL 62, 677 (1989)  
 Flory et al. PRL 61, 1419 (1988)
- $\Delta$  v 11. Kim et al. PRB 40, 8834 (1989)
- $\Delta$  12. Onogi, Ichiguchi, and Aida, Solid state comm. 69, 991 (1989)
- $\Delta$  14. Ying and Kuok, PRB 42, 2242 (1990)

## Fluctuation Effects in Classical Superconductors.

G-L effect : Ur minimum o shor gur.

Effect on  $\Phi_1$  : Change in  $\Phi_1$   $\propto$   $T^{1/2}$  at  $T \ll T_c$ .

- Thermally activated flux creep

finite resistance below  $T_c$

$\Delta\Phi_1$  o tay gur aya gur?

Metastable persistent current in a ring

- Flux gap jumps o tay gur aya gur?

- $T > T_c$

$$\langle \psi_1 \rangle = 0 \quad \tau_{10^2} \propto \langle \psi_1^2 \rangle \neq 0.$$

In thermodynamic fluctuations give rise to  
superconducting effect.

8.1.  $\frac{2eV}{L}$  wire on a ring.  $\Delta\Phi_1$  o tay gur aya gur?

Persistent current in a ring.



$$\Phi_{12} = \Phi_1 - \Phi_2 \quad \text{for } \frac{\partial \Phi_1}{\partial A} = \frac{\partial \Phi_2}{\partial A} = 0$$

$\int_A \nabla \Phi_1 \cdot dS = 2n\pi$

- fluctuation effect aya gur?

$$|\psi_1| = \text{const. for } \frac{\partial \Phi_1}{\partial A} = 0$$

$\psi_1(x) = |\psi_1| e^{i\phi_1(x)} = |\psi_1| e^{i\theta(x)}$

$$\boxed{V=0}$$

$$|\psi_1| = \text{const. for } \frac{\partial \Phi_1}{\partial A} = 0$$

$\Phi_{12} \equiv$  constant mean field  $\approx$   $\Delta\Phi_1$  o tay gur aya gur.

Total current = const.

Noise Normal Current  $\approx$  compensate  $\Delta\Phi_1$

$$W(t) \propto \langle \Phi_{12}^2 \rangle \propto \text{noise voltage} \propto \sim \omega^2$$

$\bar{V} \approx$  Energy error o tay gur aya gur.

$\Delta\Phi_1$  o tay gur aya gur?

$$\langle \Phi_{12} \rangle > \sim \text{increase with time}$$

Steady state o tay gur aya gur.

$\Delta\Phi_1$  - Phase slip

o tay gur aya gur Spatially localized plateau

Phase slip aya gur?

Steady state  $\approx$  1D wire aya gur aya gur

$$\frac{2eV}{L} \propto \frac{\partial \Phi_1}{\partial A} \propto \text{noise voltage}$$

1D wire o tay gur aya gur 1D wire aya gur aya gur

$d \ll L$ ,  $d \ll \lambda$

- fluctuation effect aya gur?

$$|\psi_1| = \text{const. for } \frac{\partial \Phi_1}{\partial A} = 0$$

18.1

$$\Delta F = \frac{8\sqrt{2}}{3} \frac{h^2}{8\pi} A \propto 0.13 \text{ eV}$$

$I=0$   $\Delta F_0$   $\Delta \varphi_0$   $\Delta \varphi_1 = 2\pi$  or  $2\pi \gamma$   $\text{for}$

$$I \neq 0 \quad \Delta F = \Delta F_+ - \Delta F_- = \frac{h}{2e} I$$

$$\frac{d\varphi_2}{dt} = \mathcal{Q} \left[ \exp \left( - \frac{\Delta F_0 - \delta F/2}{kT} \right) - \exp \left( \frac{\Delta F_0 + \delta F/2}{kT} \right) \right]$$

$$= 2\mathcal{Q} e^{-\Delta F_0/kT} \frac{\sinh \frac{\delta F}{2kT}}{\sinh \frac{\delta F}{kT}}$$

$$V = \frac{\hbar \mathcal{Q}}{e} e^{-\Delta F_0/kT} \sinh \frac{hI}{4ekT}$$

Small current  $\propto \frac{1}{T^{3/2}}$ 

$$R = \frac{V}{I} = \frac{\pi k^2 \mathcal{Q}}{2e^2 kT} e^{-\Delta F_0/kT}$$

Langer and Ambegaokar at phase-slip process at  
fixed  $T$ .  $\Delta F_0 = T(4\pi - \gamma)$   $\text{for}$

$$= 0.013 \mu\text{A}/\text{k}$$

Higher current

$$V = \frac{\hbar \mathcal{Q}}{2e} e^{-\Delta F_0/kT} e^{I/I_0}$$

$$\frac{d\varphi}{dx} \propto 0 \text{ for } \text{at} \text{ } \frac{d\varphi}{dx} = 0$$

Saddle point free energy increment  $\Delta F_0$ .Attempt freq.  $\mathcal{Q}$ Langer and Ambegaokar at  
 $\frac{d\varphi}{dx} = 0$   $\text{for}$ 

$$I = \frac{h\mathcal{Q}}{2e}$$

McCumber and Halperin.

Time dependent GL theory

$$\bar{R} = \frac{L}{S} \left( \frac{\Delta F_0}{kT} \right) \frac{1}{\tau_c}$$

$$\text{where } \frac{1}{\tau_c} = \frac{8K(T_c - T)}{\pi k}$$

$\Omega \rightarrow T \rightarrow T_c \rightarrow \Sigma_{\text{total}} \rightarrow \Omega_{\text{total}}$

McCumber - Halperin prefactor.

Lukens, Warburton, Webb

whiskers. ~ 0.5 μm diameter

$10^6$  ohm cm diameter 0.5 μm

- 8.3. Superconductivity above  $T_c$  in zero-dimensional system.

S.C. above  $T_c$  in zero dimensional sys.

$\Psi$ : const. over the particle volume  $V$

201. 12. 2

$$F_s - F_h = \left( \alpha |\Psi|^2 + \frac{1}{2} \beta |\Psi|^4 \right) V$$

$$d \equiv d_o (t-1)$$

$$i) T < T_c$$

$$F_o = F_s - F_h$$

$$\min \left[ \alpha \left( -\frac{d}{\beta} \right) + \frac{1}{2} \beta \cdot \frac{d^2}{\beta^2} \right] V$$

$$= - \frac{d^2}{2\beta} V = - \frac{d^2}{2\beta} (1 - t^2) V = - \frac{H_e^2}{8\pi} V$$

$$I = 0.2 \times 10^{-6} A$$

$$V = 10^{-13} V$$

100 phase slippages per second.

1 millidegree in 4.5 sec  $10^{11}$  per second

1 in  $10^9$  years

$$\frac{\text{normal resistance}}{\text{three millidegree}} \quad \text{no resistance}$$

where

$$|\psi_0|^2 = -\frac{\alpha}{\beta} = \frac{d_0(1-t)}{\beta}$$

fluctuations about  $\psi_0$ :

$$\frac{\partial F}{\partial \psi} = (2\alpha\psi + 2\beta\psi^3) V$$

$$\rightarrow \frac{\partial F_0}{\partial \psi} \Big|_{\psi_0} = [2\alpha\psi + 2\beta(-\frac{\alpha}{\beta})\psi] V = 0$$

$$\frac{\partial^2 F}{\partial \psi^2} \Big|_{\psi_0} = (2\alpha + 6\beta\psi^2) V \Big|_{\psi_0}$$

$$= \left\{ 2\alpha + 6\beta \left(-\frac{\alpha}{\beta}\right)^2 V \right\}$$

$$= -4\alpha V$$

$$\langle F - F_0 \rangle = \frac{1}{2} \frac{\partial^2 F}{\partial \psi^2} \Big|_{\psi_0} (\delta\psi)^2 \approx k_B T$$

$$= 2\alpha \cdot (1-t) V (\delta\psi)^2$$

$$\frac{(\delta\psi)^2}{\psi_0^2} \approx -\frac{k_B T}{2\alpha V} \left( -\frac{\beta}{\alpha} \right) = \frac{k_B T}{2\alpha V} \frac{4\pi}{H_c^2} = \frac{2\pi k_B T}{H_c^2 V}$$

$$= \frac{2\pi k_B T}{H_c^2 (1-t)^2 V} \xrightarrow{S_n \rightarrow 10^{-20}} \frac{10^{-20}}{(1-t)^2 V} = 2(\delta\psi)^2$$

Very small unless

$$\Theta \quad T \text{ very close to } T_c$$

$$\textcircled{2} \quad \text{the size: very small} \quad d \leq 1000 \text{ \AA}$$

Divergence of  $(\delta\psi)^2$  at  $T_c$  is cutoff by the

quadratic term

$$\frac{1}{4!} \frac{\partial^4 F}{\partial \psi^4} (\delta\psi)^4 V \approx k_B T$$

$$\frac{1}{24} (12\beta) (\delta\psi)^4 V$$

$$(\delta\psi)^2 \Big|_{T_c} = \left( \frac{2k_B T}{\beta V} \right)^{1/2}$$

$$T \gtrsim T_c$$

$$\alpha' > 0 \quad F_0 \equiv F_{\min} = 0 \quad \text{at } \psi = 0$$

$$\frac{\partial^2 F}{\partial \psi^2} \Big|_{\psi_0} = (2\alpha + 6\beta\psi^2) V \Big|_{\psi_0} = 2\alpha V$$

$$= 2d_0(t-1) V$$

$$\langle F - F_0 \rangle = \frac{1}{2} \frac{\partial^2 F}{\partial \psi^2} \Big|_{\psi_0} (\delta\psi)^2 \approx k_B T$$

$$\therefore (\delta\psi)^2 \approx \frac{k_B T}{d V} = \frac{k_B T}{d (t-1) V} = 2(\delta\psi)^2$$

8-Q.

Once again the divergence is cutoff very near  $T_c$ :

$$F = \left( \alpha | \psi |^2 + \frac{1}{2} \beta (|\psi|^4) V \right) = k_B T$$

$$| \psi |^2 = \frac{-\alpha + \sqrt{\alpha^2 - 4(\frac{\beta}{2})(-\frac{k_B T}{V})}}{\beta}$$

$$= (\delta \psi)^2$$

$$(\delta \psi)^2 = \frac{\alpha}{\beta} \left\{ \left( 1 + \frac{2\beta k_B T}{\alpha^2 V} \right)^{\frac{1}{2}} - 1 \right\}$$

$\rightarrow T \rightarrow T_c$

$$\frac{\alpha}{\beta} \left( \frac{2\beta k_B T}{\alpha^2 V} \right)^{\frac{1}{2}} = \left( \frac{2k_B T}{\beta V} \right)^{\frac{1}{2}}$$

$$\rightarrow T > T_c \quad \frac{\alpha}{\beta} \left( \frac{\beta k_B T}{\alpha^2 V} \right) = \frac{k_B T}{\alpha V}$$

Observation of zero-dim S.C. fluctuation:

$$\xi_0 < R \ll \lambda$$

$$\frac{1}{R}$$

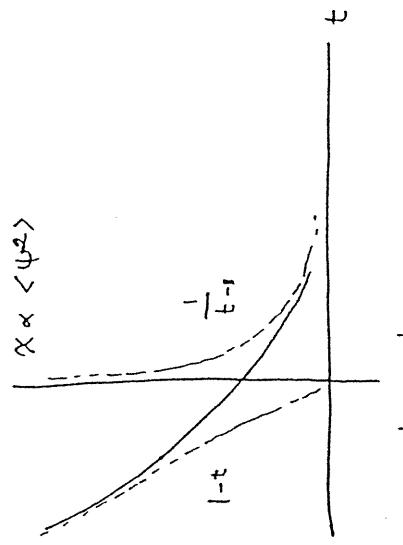
$$\chi = -\frac{1}{40\pi} \frac{R^2}{\lambda^2}$$

$$= -\frac{1}{40\pi} \cdot \frac{4\pi e^{*2}}{mc^2} \langle \psi^2 \rangle R^2$$

$$(\delta \psi)^2$$

$$\propto \begin{cases} \frac{1}{t-1}, & t > 1 \rightarrow \text{fluctuation effect} \\ 1-t, & t < 1 \rightarrow \text{S.C. mean field effect} \end{cases}$$

takes over.



Critical region

Mean field description cannot be applied for the critical region.

8-10

Spatial Variation of fluctuations.

( More in-depth analysis )

Consider a bulk specimen far above  $T_c$

$\rightarrow$  quadratic term neglected

$$f - f_n = \alpha |\psi|^2 + \frac{\hbar^2}{2m^*} |(-i\nabla - \frac{2\pi\vec{A}}{\Phi_0})\psi|^2$$

linearized GL eqn. for a small  $\psi$  (or  $\delta\psi$ )

$$(-i\nabla - \frac{2\pi\vec{A}}{\Phi_0})^2 \psi = - \frac{2m^*\alpha}{\hbar^2} \psi$$

$$= -\frac{1}{\epsilon_s^2} \psi \quad \text{②: note the sign}$$

i)  $\vec{A} = 0$  case:

$$\psi(\vec{r}) = \sum_{\vec{k}} \psi_{\vec{k}} e^{i\vec{k}\cdot\vec{r}}$$

$$f = \sum_{\vec{k}} \alpha \psi_{\vec{k}} \psi_{-\vec{k}} e^{i(\vec{k}-\vec{k}')\cdot\vec{r}} + \frac{\hbar^2}{2m} \sum_{\vec{k}} \sum_{\vec{k}'} \vec{k} \cdot \vec{k}' \psi_{\vec{k}} \psi_{-\vec{k}'} e^{i(\vec{k}-\vec{k}')\cdot\vec{r}}$$

when integrated over the unit volume

$$\int f d^3r = f \text{ again}$$

unit vol. =  $\sum_{\vec{k}} \alpha |\psi_{\vec{k}}|^2 + \frac{\hbar^2}{2m} \leq k^2 |\psi_{\vec{k}}|^2$

$$= \sum (\alpha + \frac{\hbar^2}{2m} k^2) |\psi_{\vec{k}}|^2$$

Probability of finding a given distribution of the Fourier Component.

$$\ln(\{\psi_{\vec{k}}\}) = e^{-f/k_B T}$$

$$= \frac{1}{\prod_{\vec{k}}} e^{-(\alpha + \frac{\hbar^2 k^2}{2m}) |\psi_{\vec{k}}|^2 / k_B T}$$

Gaussian distribution

$T > T_c$

$$\langle |\psi_{\vec{k}}|^2 \rangle \approx \frac{k_B T}{\alpha + \frac{\hbar^2 k^2}{2m}}$$

$$= \frac{2m^*}{\hbar^2} \frac{k_B T}{k^2 + \frac{1}{\epsilon_s^2}} \quad \left( \frac{1}{\epsilon_s^2} = \frac{2m^* \alpha}{\hbar^2} \right)$$

equivalent to assigning a thermal energy  $k_B T$  to each orthogonal mode. (i.e., equipartition thm).

the correlation fn.

$$g(\vec{r}, \vec{r}') \equiv \langle \psi^*(\vec{r}), \psi(\vec{r}') \rangle$$

$$= \left\langle \sum_{\vec{k}, \vec{k}'} \psi_{\vec{k}}^* \psi_{\vec{k}'} e^{i(\vec{k}' \cdot \vec{r} - \vec{k} \cdot \vec{r}')} \right\rangle$$

$$\text{introduce: } \langle \vec{r} \rangle \equiv \frac{\vec{r} + \vec{r}'}{2} \quad \rightarrow \quad \vec{r}' = \langle \vec{r} \rangle + \frac{1}{2} \vec{R}$$

$$\vec{R} \equiv \vec{r}' - \vec{r}$$

$$\vec{r} = \langle \vec{r} \rangle - \frac{1}{2} \vec{R}$$

$$g(\vec{r}, \vec{r}') = \left\langle \sum_{k, k'} \psi_k^* \psi_{k'} \exp\left(\frac{i(\vec{k} + \vec{k}') \cdot \vec{r}}{2}\right) \exp\left(-i(\vec{k} - \vec{k}') \cdot \vec{r}'\right) \right\rangle$$

Taking an average over  $\langle \vec{r}' \rangle$ ,

$$\begin{aligned} g(\vec{r}, \vec{r}') &= g(\vec{r} - \vec{r}') = g(\vec{r}) \\ &= \sum_k \langle |\psi_k|^2 \rangle e^{i\vec{k} \cdot \vec{r}} \end{aligned}$$

$$= \sum_k \frac{2m^*}{\hbar^2} \frac{\kappa_B T}{k^2 + \xi^2} e^{i\vec{k} \cdot \vec{r}}$$

$$V = \frac{1}{(2\pi)^3} \frac{2m^* \kappa_B T}{\hbar^2} \int d^3 k \frac{e^{i\vec{k} \cdot \vec{r}}}{|k|^2 + \frac{1}{\xi^2}}$$

$$2\pi \sin \theta d\theta k^2 dk$$

$\Theta$  integration

$$\int_0^\pi d\mu e^{ikr \cos \theta} = \frac{2}{kr} \sin kr$$

$k$  integration

$$\int_0^\infty dk \cdot k \cdot \frac{\sin kr}{k^2 + \frac{1}{\xi^2}} \frac{2}{k} = \frac{2}{k} \int_0^\infty dx \cdot \frac{x \sin x}{x^2 + \frac{R^2}{\xi^2}}$$

$$kR \approx x$$

$$\begin{aligned} &\int_0^\infty dx \cdot \frac{x \sin x}{x^2 + \frac{R^2}{\xi^2}} = \int_0^\infty dx \cdot \frac{1}{2i} \frac{x(e^{ix} - e^{-ix})}{x^2 + \frac{R^2}{\xi^2}} \\ &= \int_0^\infty dx \cdot \frac{x e^x}{x^2 + \frac{R^2}{\xi^2}} + \int_0^\infty -dx' \cdot \frac{(-e^{ix})(-e^{-ix'})}{x'^2 + \frac{R^2}{\xi^2}} \\ &= \int_0^\infty \frac{dx}{2i} \frac{x e^x}{x^2 + \frac{R^2}{\xi^2}} + \int_{-\infty}^0 \frac{-dx'}{2i} \frac{(-e^{ix})(-e^{-ix'})}{x'^2 + \frac{R^2}{\xi^2}} \\ &= \frac{1}{2i} \int_{-\infty}^\infty dx \cdot \frac{x e^x}{x^2 + \frac{R^2}{\xi^2}} \\ &= \frac{1}{2i} \oint dz \cdot \frac{ze^{iz}}{z^2 + \frac{R^2}{\xi^2}} \\ &= \pi \operatorname{Res}\left(i \frac{R}{\xi}\right) \\ &= \pi \cdot i \frac{R}{\xi} \cdot e^{-R/\xi} = \frac{\pi}{2} e^{-R/\xi} \\ &\therefore g(R) = \frac{1}{(2\pi)^2} \cdot \frac{2m^* \kappa_B T}{\hbar^2} \frac{2}{R} \left( \frac{\pi}{2} e^{-R/\xi} \right) \\ &= \frac{m^* \kappa_B T}{2\pi \hbar^2} \frac{1}{R} e^{-R/\xi} \end{aligned}$$

The local values of  $\psi$ , in the fluctuation regime  
are correlated only over a distance  $\xi(T)$

Effect of a Magnetic Field.

8-15.

( On the spatial distribution of fluctuations )

$$G.L. \quad \text{e.g.} \quad (-i\nabla - \frac{2\pi\vec{A}}{\phi_0})^2 \psi = -\frac{1}{\xi^2} \psi \quad \dots \quad (1)$$

Introduce

$\Psi_v$  : Complete set of orthonormal Order parameter

$\mathcal{H}$  : Pseudohamiltonian operator.

$$H \Psi_v = \frac{\hbar^2}{2m^*} \left[ (-i\nabla - \frac{2\pi\vec{A}}{\phi_0})^2 + \frac{1}{\xi^2} \right] \Psi_v = \varepsilon_v \Psi_v \quad \dots \quad (2)$$

$$\text{e.g. } (2) \xrightarrow{\varepsilon_0=0} \text{e.g. (1)}$$

Recalling the results of sec. 4-8,

$$\psi = e^{i\mathbf{k}_y y} e^{i\mathbf{k}_z z} f(x) \\ (-i\nabla - \frac{2\pi\vec{A}}{\phi_0})^2 \Psi_v = \left( \frac{2m^*}{\hbar^2} \varepsilon_v - \frac{1}{\xi^2} \right) \Psi_v$$

$$- f''(x) + \left( \frac{2\pi H}{\phi_0} \right)^2 (x - \frac{\phi_0}{2\pi H} \mathbf{k}_y)^2 f(x) = \left( \frac{2m^*}{\hbar^2} \varepsilon_v - \frac{1}{\xi^2} - \mathbf{k}_z^2 \right) f(x)$$

$$\therefore (n + \frac{1}{2}) \hbar \omega_c = \varepsilon_v - \frac{\hbar^2}{2m^*} \left( \frac{1}{\xi^2} + \mathbf{k}_z^2 \right)$$

$$\therefore \varepsilon_v = (n + \frac{1}{2}) \hbar \omega_c + \frac{\hbar^2}{2m^*} \left( \frac{1}{\xi^2} + \mathbf{k}_z^2 \right)$$

$$\omega_c = \frac{2eH}{m^*c} \quad \text{Cyclotron freq.}$$

8-16.

Expanding  $\psi(\vec{r}) = \sum_v c_v \Psi_v(\vec{r})$  orthonormal

$$f = d |\psi|^2 + \frac{\hbar^2}{2m^*} \left| \left( -i\nabla - \frac{2\pi\vec{A}}{\phi_0} \right) \psi \right|^2$$

$$F = \int f^3 d^3r$$

$$= d \sum_{v,v'} c_v c_{v'}^* \int \Psi_v(\vec{r}) \Psi_{v'}^*(\vec{r}) d^3r$$

$$+ \frac{\hbar^2}{2m^*} \int \left| \left( -i\nabla - \frac{2\pi\vec{A}}{\phi_0} \right) \psi \right|^2 d^3r \\ = d \sum_v |c_v|^2 + \frac{\hbar^2}{2m^*} \sum_{v,v'} c_v c_{v'}^* \int \Psi_{v'}^* \left( -i\nabla - \frac{2\pi\vec{A}}{\phi_0} \right)^2 \Psi_v d^3r \\ = d \sum_v |c_v|^2 + \frac{\hbar^2}{2m^*} \sum_{v,v'} c_v c_{v'}^* \int \left( \frac{2m^*}{\hbar^2} \varepsilon_v - \frac{1}{\xi^2} \right) \Psi_{v'}^* \Psi_v d^3r \\ = \sum_v (d + \varepsilon_v - \frac{1}{\xi^2} \frac{\hbar^2}{2m^*}) |c_v|^2 \\ = \sum_v |c_v|^2 \varepsilon_v'$$

Assigning  $K_B T$  to each normal mode

$$|c_v|^2 \varepsilon_v' = K_B T$$

$$W(\{\Psi_v\}) = \prod_v e^{-|c_v|^2 \varepsilon_v'/K_B T}$$

Take the Symmetric Gauge :  $\vec{A} = \frac{1}{2} \vec{H} \times \vec{r}$

$$H \psi_0 = \frac{\hbar^2}{2m} \left\{ \left( -i\nabla - \frac{2\pi A}{\phi_0} \right)^2 + \frac{1}{\xi^2} \right\} \psi_0 = E_0 \psi_0$$

$$OR \quad H = \frac{\hbar^2}{2m} \left( \vec{P} - \frac{e}{c} \cdot \frac{1}{2} \vec{H} \times \vec{r} \right)^2 + \frac{\hbar^2}{2m\xi^2}$$

$$\begin{aligned} &= \frac{P_x^2}{2m} - \frac{e}{m^*c} \vec{H} \cdot \vec{r} \times \vec{P} + \frac{e^2}{2m^*c^2} \left[ r^2 H^2 - (\vec{r} \cdot \vec{H})^2 \right] + \frac{\hbar^2}{2m^*} \cdot \frac{1}{\xi^2} \\ &= \frac{1}{2m} (P_x^2 + P_y^2) + \frac{e^2 H^2}{2m^*c^2} (r^2 - \vec{z}^2) - \frac{eH}{m^*c} L_z + \frac{1}{2m^*} P_z^2 + \frac{\hbar^2}{2m^*} \cdot \frac{1}{\xi^2} \\ &= \frac{1}{2m^*} (P_x^2 + P_y^2) + \frac{1}{2} m^* \omega_L^2 (x^2 + y^2) + \omega_L L_z + \frac{1}{2m^*} P_z^2 + \frac{\hbar^2}{2m^*} \cdot \frac{1}{\xi^2} \\ &\quad \underbrace{+ \frac{1}{2m^*} (P_x^2 + P_y^2) + \frac{1}{2} m^* \omega_L^2 (x^2 + y^2) + \omega_L L_z + \frac{1}{2m^*} P_z^2 + \frac{\hbar^2}{2m^*} \cdot \frac{1}{\xi^2}}_{2P} \end{aligned}$$

$$\omega_P \quad \omega_L \equiv - \frac{eH}{m^*c} = \frac{\omega_c}{2}$$

$H_0$  : harmonic osc.

$(H_0, L_z, \omega_P)$  commutes with each other

→ common eigenstate available

$$H_0 \psi_{n', m_z, \rho_z} = \hbar \omega_L (n'+1) \psi_{n', m_z, \rho_z}$$

$$L_z \psi_{n', m_z, \rho_z} = m_z \psi_{n', m_z, \rho_z}$$

$$P_z \psi_{n', m_z, \rho_z} = P_z \psi_{n', m_z, \rho_z}$$

$$\begin{aligned} H \psi_{n', m_z, \rho_z} &= \left\{ \hbar \omega_L (n'+1) + \hbar \omega_L m_z + \frac{\hbar^2}{2m\xi^2} + \frac{\rho_z^2}{2m} \right\} \psi_{n', m_z, \rho_z} \\ &= \left\{ \hbar \omega_c \left( \frac{n'+m_z}{2} + \frac{1}{2} \right) + \frac{\hbar^2}{2m\xi^2} + \frac{\rho_z^2}{2m} \right\} \psi_{n', m_z, \rho_z} \end{aligned}$$

We can show that  $n' + m_z = 2n$  for  $\psi_{n', m_z}$

to be nonvanishing.

$$H \psi_{n', m_z, \rho_z} = \left\{ \hbar \omega_c (n+\frac{1}{2}) + \frac{\hbar^2}{2m\xi^2} + \frac{\rho_z^2}{2m} \right\} \psi_{n', m_z, \rho_z}$$

To find the eigenfunction:

$$\frac{\partial}{\partial x} \equiv \partial_x, \quad \begin{aligned} \bar{x} &= x + iy \\ \bar{\bar{x}} &= x - iy \end{aligned}$$

$$\begin{aligned} H_0 &= - \frac{\hbar^2}{2m^*} (\partial_x^2 + \partial_y^2) + \frac{1}{2} m^* \omega_L^2 (x^2 + y^2) \\ &= - \frac{2\hbar^2}{m^*} \frac{\partial^2}{\partial z \partial \bar{z}} + \frac{1}{2} m^* \omega_L^2 z \bar{z} \end{aligned}$$

$$\text{Let } \psi(z, \bar{z}) = e^{\frac{i\hbar z}{2m} z \bar{z}} f(z, \bar{z})$$

$$\text{then } H_0 \psi(z, \bar{z}) = E_0 \psi(z, \bar{z})$$

$$\left\{ - \frac{2\hbar^2}{m^*} \partial_z \partial_{\bar{z}} - \hbar \omega_L (z \partial_z + \bar{z} \partial_{\bar{z}}) \right\} f(z, \bar{z}) = (E_0 + \hbar \omega_L) f(z, \bar{z})$$

$$E_{n'}^{(n)} = \hbar \omega_L (n'+1)$$

$$\begin{aligned} \text{ground state} \quad E_0^{(0)} &= \hbar \omega_L \\ f_0 &= e^{- \frac{i\hbar \omega_L}{m^*} z \bar{z}} \end{aligned}$$

To find the excited states:

$$[h_0, \partial_{\bar{z}}] = -\hbar\omega_L [z\partial_z, \partial_{\bar{z}}] = -\hbar\omega_L [z, \underbrace{\partial_z \partial_{\bar{z}}} =]$$

$$= \hbar\omega_L \partial_z$$

$$[h_0, \partial_{\bar{z}}] = \hbar\omega_L \partial_{\bar{z}}$$

$\therefore \partial_z f_0, \partial_{\bar{z}} f_0 \rightarrow$  Eigenfunctions of  $h_0$  with eigenvalue

$$(E_0 + \hbar\omega_L) + \hbar\omega_L = E_0^{(0)} + 2\hbar\omega_L$$

$\rightarrow \partial_z, \partial_{\bar{z}}$  : ladder operators

$$\therefore f_{n,\bar{n}} = \partial_z^n \partial_{\bar{z}}^{\bar{n}} e^{-\frac{m^2\omega_L}{\hbar} z\bar{z}}$$

$$\text{with } E_0 = \underbrace{\hbar\omega_L}_{\sim} + \hbar\omega_L (n+\bar{n})$$

Ground state energy

$$\psi_{n,\bar{n}} = e^{\frac{m^2\omega_L}{\hbar} z\bar{z}} \left(\frac{\partial_z}{\partial z}\right)^n \left(\frac{\partial_{\bar{z}}}{\partial \bar{z}}\right)^{\bar{n}} e^{-\frac{m^2\omega_L}{\hbar} z\bar{z}}$$

$$E = E_0 + \hbar\omega_L m_z$$

$$= \hbar\omega_L + \hbar\omega_L (n+\bar{n}) + \hbar\omega_L (n-\bar{n})$$

$$L_z \psi_{n,\bar{n}} = m_z \underbrace{\psi_{n,\bar{n}}}_{m_z} = (n-\bar{n}) \underbrace{\psi_{n,\bar{n}}}_{m_z}$$

$$E_n = (2n+1) \hbar\omega_L = (n+\frac{1}{2}) \hbar\omega_L$$

$$\psi \sim e^{-\frac{m^2\omega_L}{\hbar} z\bar{z}} = e^{-\frac{m^2\omega_L}{2\hbar} (z^2+\bar{z}^2)}$$

8-19.

8-20.

$$\text{Put } \Psi_0 = f_{m,n}(p) e^{im\varphi} e^{in\theta_z}$$

$$f(p) \sim f_i e^{-\frac{m^2\omega_L}{2\hbar} p^2} = e^{-\frac{\pi^2 h}{2\hbar} p^2}$$

irrespective of  $n$  and  $m$ .

wave functions localized in a region satisfying

$$\pi \hbar p^2 \sim \phi_0$$

$$\begin{aligned} g(E, \tilde{r}') &= \langle \Psi^*(\tilde{r}) | \Psi(E, \tilde{r}') \rangle \\ &= \sum_{n', m'} C_{n', m'}^* \langle \Psi_{n', m'}^*(\tilde{r}) | \Psi_{n', m'}(E, \tilde{r}') \rangle \\ &= \sum_{n', m', k_z, k_{\bar{z}}} C_{n', k_z}^* C_{n', k_{\bar{z}}} \langle f_{m', n'}(0) | f_{m', n'}(p) | e^{i(Bz - E'z')} \rangle e^{i(m\varphi - m'\varphi')} \\ &\quad \text{Transforming to relative and C.M. coordinates} \\ \langle e^{i(\tilde{r}_z z - \tilde{r}_{\bar{z}} \bar{z}')} \rangle &= \delta_{k_z, k_{\bar{z}}} e^{i \tilde{r}_z z} = e^{i \tilde{r}_z z} \delta_{k_z, k_{\bar{z}}} \\ \langle e^{i(m\varphi - m'\varphi')} \rangle &= \delta_{m', m} e^{i m (\varphi - \varphi')} \\ \therefore g(p, z) &= \sum_{n', m', k_z} C_{n', k_z}^* C_{n', k_z} f_{m', n'}(0) f_{m', n'}(p) e^{i \tilde{r}_z z} \\ &\quad (\because f_i(0) = 0 \text{ unless } m=0) \end{aligned}$$

$$|C_{n, k_z}|^2 = k_B T / \varepsilon_{n, k_z} \sim (E_z^2 + E_{0, n}^2)^{-1}$$

$$E_{0, n} = \frac{1}{\xi^2} + \frac{2\pi^2 \hbar \omega_L}{\hbar^2} (n + \frac{1}{2}) = \frac{1}{\xi^2} + \frac{(2n+1)2\pi h}{\phi}$$

$$g(P, z) \sim \int_0^\infty \frac{e^{i P z} R^2 dR}{R^2 + R_{c,n}^2} f_{o,n}^{(0)}(P)$$

$$\sim e^{-K_{0,n}|z|} - \pi H \rho^2 / 2\phi_0$$

Dominant for  $n = 0$

$$\rightarrow e^{-K_{0,0}|z|} - \pi H \rho^2 / 2\phi_0$$

$$K_{0,0} = \left( \xi^{-2} + \frac{2\pi H}{\phi_0} \right)^{1/2}$$

The radius of the "Correlated fluctuations"

$$\text{Shrink below } \xi \text{ as } H \approx \frac{\phi_0}{2\pi\xi}$$

$$\chi \propto C <\psi^2> R^2 \quad R: \text{radius of a particle}$$

The susceptibility gets smaller in a finite field than in the limit of zero field.

High field rapidly extinguish the fluctuations.

### Fluctuation Diamagnetism above $T_c$

$\alpha$  Superconductor above  $T_c$

$\rightarrow$  A collection of independent fluctuating droplets of superconductivity

$$\chi \sim -\frac{1}{40\pi} \frac{4\pi e^2}{m^* c^2} \langle \delta \psi^2 \rangle \langle r^2 \rangle$$

$\uparrow$   
Size of the droplet

$$\begin{aligned} &= -\frac{1}{10} \frac{e^2}{m^* c^2} \frac{k_B T \langle r^2 \rangle}{dV} \\ &= -\frac{1}{5} (2\pi)^2 \cdot \left(\frac{2e}{hc}\right)^2 \frac{k_B T \langle r^2 \rangle \xi^2}{V} \\ &= -0.8 \pi^2 \frac{k_B T \xi^2 \langle r^2 \rangle}{\phi_0^2 V} \end{aligned}$$

$$\begin{aligned} V &= \frac{4}{3} \pi \xi^3 & \approx -\frac{\pi}{6} \frac{k_B T}{\phi_0^2} \xi^4(T) & \approx 10^{-7} \cdot \frac{1}{(t-1)^{1/2}} \\ \langle r^2 \rangle &\sim \left(\frac{\xi}{2}\right)^2 & & \end{aligned}$$

Schmid, P.R.B. 1970  
527 (1969)

enhancement limited by  
① 1-st order transition in H-field  
② finite transition width

$$\chi_{\text{fluct}} \ll -\frac{1}{4\pi}$$

③ fluctuation effect  $\rightarrow$  weakened or destroyed in a high field

### Generalization of Schmid result.

( Valid only for a finite field)

$$\frac{\delta M}{H^2 T} = f(x) \quad (x = (T - T_{c_2})^{-\frac{1}{2}}$$

$$x = \frac{T - T_c}{H} \left( \frac{dH_s}{dT} \right)_{T_c} : \text{Prange, P.R.B. I. 2349 (1971)}$$

Not in good agreement with exp.

→ Short wavelength [ $\leq \xi(d)$ ] fluctuations taken into account, dominating  $T \gg T_c$

Strong H

$$\frac{\delta M}{H^2 T} = f_{\text{PAW}}(x, H/H_s) : \text{Patton, Ambegaokar \& Wilkins}$$

Solid state Com.  
7. 12.81 (1969)

$H_s$ : material dependent scaling field

The divergence near  $T_{c_2}(H)$  in a finite field obscured by

① Superconducting and a sudden jump  
at  $T > T_{c_2}(H)$  - type I

② finite transition width - type II

### 8-23.

### Fluctuation Diamagnetism in 2D

$$d \ll \xi \longrightarrow V \sim \pi \xi^2 d$$

$$\chi \approx - \frac{\pi^2 k_B T \xi^2 \langle r^2 \rangle}{\phi_0^2 V} = - \pi k_B T \langle r^2 \rangle / \phi_0^2 d$$

$\langle r^2 \rangle ?$

$$\frac{\chi H^2}{8\pi} = \frac{\vec{J} \cdot \vec{A}}{2c} \approx A^2 \text{ in the London gauge}$$

but  $\oint \vec{A} \cdot d\vec{s} \approx B$  (area of the fluctuating region)

$$A \approx AB/S \quad S: \text{perimeter}$$

$$\approx A^2/S$$

$$\chi \sim \left(\frac{A}{S}\right)^2 \approx \left(\frac{\xi}{2}\right)^2$$

$\rightarrow \{ - \langle r^2 \rangle = \left(\frac{\xi}{2}\right)^2 \text{ for a sphere}$

$$\langle r^2 \rangle_{\text{eff}} \approx \frac{\xi^2}{4} : \begin{cases} H_L & \text{for a disk} \\ \left(\frac{d}{2}\right)^2 : H_n & \end{cases}$$

$$\chi_{\perp}^{2D} \approx - \frac{k_B T \xi^2}{\phi_0^2 d} \approx \frac{k_B}{d} \chi^{3D} \propto (T-1)^{-1}$$

$$\chi_{||}^{2D} \approx - \frac{k_B T d}{\phi_0^2} \approx \frac{d}{\xi} \chi^{3D} \approx \frac{1}{\xi} \chi^{3D} \sim \text{almost observable constant.}$$

### 8-24.

### Diamagnetism in 2D

$$\chi_{\perp, \text{total}}^{2D} = \chi_{\perp}^{2D} \cdot \text{Volume of the film}$$

$$\propto \left(\frac{\xi}{d}\right) \cdot \chi^{3D} \cdot d \sim \frac{\xi}{d} \chi^{3D}$$

→ very small

$\chi_{\perp}^{2D}$ , total observable only in layered structure

- artificially fabricated
  - intrinsically formed
- $T_{GL}$
- all the high-T<sub>c</sub> S.C. materials, etc

$$\frac{\partial \psi}{\partial t} = -\frac{1}{T_{GL}} (1 - \xi^2 \nabla^2 \psi) \quad T > T_c \quad \text{without e.m. interaction}$$

equil. GL eq

$$\left(-i\nabla - \frac{2\pi\tilde{A}}{\Phi_0}\right)^2 \psi = -\frac{1}{\xi^2} \psi$$

$$\tilde{A} = 0 \Rightarrow (1 - \xi^2 \nabla^2) \psi = 0$$

layered structure with Josephson links between layers

→ dimensional crossover with T, H

→ Lawrence - Doniach theory.

$T_{GL}$  = temp-dependent relaxation time of the uniform ( $K=0$ ) mode

$$= \frac{\pi\hbar}{8k_B(T-T_c)}$$

for  $K \neq 0$

$$\psi = \sum_k \psi_k e^{i\vec{k} \cdot \vec{r}} e^{-t/\tau_k}$$

$$\text{then } \sum_k \psi_k e^{i\vec{k} \cdot \vec{r}} \left(-\frac{1}{\tau_k}\right) e^{-t/\tau_k} = -\frac{1}{T_{GL}} \sum_k (1 + \xi_k^2) \psi_k e^{i\vec{k} \cdot \vec{r}} e^{-t/\tau_k}$$

$$\therefore \tau_k = \frac{T_{GL}}{1 + \xi_k^2} \quad K \neq 0 \text{ mode (with higher energy)}$$

decays mode rapidly

o maintain a nonzero  $|\Psi_k|^2$ , the thermal average value,

$$\frac{\partial \Psi_k}{\partial t} = -\frac{1}{\tau_s} (1 - \xi^2 \nabla^2) \Psi_k + F_k$$

white spectrum driving force

$$\langle \Psi_k(0) \Psi_k(t) \rangle = \langle |\Psi_k|^2 \rangle e^{-t/\tau_s}$$

$$\langle |\Psi_k|^2 \rangle = \frac{1}{2\pi} \int_{-\infty}^{\infty} \langle |\Psi_{k,\omega}|^2 \rangle d\omega$$

$$\langle |\Psi_{k,\omega}|^2 \rangle = \langle |\Psi_{k,\omega}|^2 \rangle \frac{2\tau_k}{1 + \omega^2 \tau_k^2}$$

$$= \frac{2m^*}{\hbar^2} \frac{K_B T}{K^2 \xi^2} \frac{2\tau_k}{1 + \omega^2 \tau_k^2}$$

$$= \left( \frac{2m^* \xi^2}{\hbar^2} \right) \frac{K_B T}{1 + K^2 \xi^2} \quad (\text{...})$$

$$= \frac{1}{d} \frac{\tau_k}{\tau_o} \left( \frac{2 K_B T \tau_k}{1 + \omega^2 \tau_k^2} \right)$$

$$= \frac{16 K_B}{\pi} \cdot \frac{T - T_c}{F_d} \frac{K_B T \tau_k^2}{1 + \omega^2 \tau_k^2}$$

$$\downarrow$$

temp. independent

Conductivity :  $T > T_c$

Fluctuation - Enhanced Conductivity :  $T > T_c$

1) Aslamasov - Larkin conductivity

$$\sigma_{AL}' = \frac{e^2 \xi^2}{m^*} \sum_K \eta_s(K) \tau_s(K)$$

$$= \frac{(2e)^2}{m^*} \sum_K \frac{\langle |\Psi_k|^2 \rangle}{2} \tau_s(K)$$

$$\langle |\Psi_k|^2 \rangle = \sum_K \left( \alpha + \frac{\hbar^2 K^2}{2m^*} \right) |\Psi_k|^2$$

$$\begin{aligned} \langle |\Psi_k|^2 \rangle &= \frac{1}{2\pi} \int_{-\infty}^{\infty} \langle |\Psi_{k,\omega}|^2 \rangle d\omega \\ &= \langle |\Psi_{k,\omega}|^2 \rangle \frac{2\tau_k}{1 + \omega^2 \tau_k^2} \end{aligned}$$

$$\begin{aligned} \langle |\Psi_{k,\omega}|^2 \rangle &= \frac{2m^* \xi^2}{\hbar^2} \frac{K_B T}{1 + \xi^2 K^2} \\ &= \frac{16 \pi (2e)^2 \xi^2}{16 \pi} \frac{\tau_c}{T - T_c} \underbrace{\sum_K}_{\substack{\left(1 + \frac{\hbar^2 K^2}{2m^*}\right)}} \frac{1}{\left(1 + \frac{\hbar^2 K^2}{2m^*}\right)} \\ &\sim \frac{1}{(2\pi)^d} \int \frac{d^d K}{\left(1 + \frac{\hbar^2 K^2}{2m^*}\right)^2} \sim (T - T_c)^{-d/2} \end{aligned}$$

$$\sim (T - T_c)^{-(d-2)/2}$$

8-29.

$$\frac{1}{\sigma_{AL}} \sim \left( \frac{e^2}{32 \hbar \xi(0)} \left( \frac{\tau}{\tau - \tau_c} \right)^{1/2} \leftrightarrow \frac{e^2}{32 \hbar \xi(0)} \frac{1}{\epsilon^{1/2}} : 3D \right)$$

$$\epsilon = \epsilon_0 T / T_c$$

$$16 \hbar d \frac{\tau}{\tau - \tau_c} \rightarrow \frac{e^2}{16 \hbar d} \frac{1}{\epsilon} : 2D (d \ll \xi) \\ \frac{\pi e^2 \xi(0)}{16 \hbar A} \left( \frac{\tau}{\tau - \tau_c} \right)^{1/2} : 1D (A \ll \xi^2)$$

$$\epsilon_{AL}' = \epsilon_0 T / T_c$$

$$\frac{e^2}{32 \hbar \xi(0)}$$

$$\left( \frac{\tau}{\tau - \tau_c} \right)^{1/2}$$

Notes :

$$i) 3D \rightarrow \sigma_0 = \frac{e^2}{32 \hbar \xi(0)}$$

$$\sigma / \sigma_0 \approx [\kappa_F^2 \xi(0)]^{-1} \sim 10^{-5 \pm 2}$$

ii) Actual measurement on  $\sigma'_{AL} = \sigma_{AL}' d$

→ thickness not crucial

iii) Nernst - Thompson Conductivity → due interaction

between the quasi particles and fluctuation pairs

$$\sigma'_{Nernst} = \frac{e^2}{m} \sum_k n_s(k) \tau_d(k)$$

Current carried by quasi particles lifetime for diffusive decay of density fluctuations.

$$\tau_d(k) = \frac{1}{DK^2 + \frac{1}{T_c}}$$

$T_h$ : lifetime of quasi particles against condensing into fluctuation pairs

$$\text{against condensing into fluctuation pairs}$$

$$\text{or } R = \frac{1}{DK^2 + \frac{1}{T_c}}$$

$$G_{Nernst}' = \frac{e^2}{m} \frac{2m \xi^2}{\hbar^2} \sum_k \frac{\kappa_B T_c}{1 + \xi^2 K^2} - \frac{1}{DK^2 + \frac{1}{T_c}}$$

$$= \frac{e^2}{16 \hbar} \frac{1}{\epsilon - \delta} \ln \left( \frac{\epsilon}{\delta} \right)$$

$$\sigma = 1 - \frac{T}{T_c} \text{ or } \ln \left( \frac{T}{T_c} \right)$$

$$\delta = \frac{\xi^2(0)}{DT_c} = \frac{\pi \hbar}{8 \kappa_B T_c} \frac{1}{T_c} \text{ or } \frac{\tau_{d(0)}}{T_c} = \frac{\xi^2(0)}{\xi_0^2}$$

pair breaking strength.

## Chapter 9.

### The high Temperature Superconductors

#### Q.1. Introduction

1. Kramerskroner Onnes eti 45mtr 23K
2. Gavalier 1986 LBCO Bednorz, Müller 1987. 35K
3. Surprising and exciting

o1 यूप्लै: Surprising and Exciting

1.  $T_c$  7K OHE तरीके
2. Oxide तरीके नहीं

QOK YBCO

United States, Japan, China

Y तरीके La, Nd, Sm, Eu, Gd, Ho, Er, Lu

BSCCO, TBCCO

YBCO

CuO<sub>2</sub> plane of 90°

YBCO - CuO<sub>2</sub> chain of 90°  
युजो युप्लै यूप्लै चेट अन्यथा।

YBCO 93K, BSCCO 110K, TBCCO 130K

q-1

q-2.

1. N<sub>2</sub> cooling
2. What is the mechanism responsible for the high  $T_c$ .

BCS or Cooper pair or तरीके?

1.  $T_c$   $\propto$   $\frac{1}{\Delta E}$   $\propto$   $\frac{1}{\Delta^2}$   
 $\Delta$  कोई  $\Delta$ -L से अलग  
 $\Delta$  द्वारा modified by the radically modified parameter values.
2. Mechanism  $\propto$   $\frac{1}{\Delta E}$   $\propto$  Magnetism or BCS/Ginzburg-Landau theory  
Short coherence length,  
Prominent fluctuation effects.
3.  $T_c$  तरीके  
decoupled superconducting film planes
4. Resistive transition can be understood by a discussion of the elastic properties of the flux lattice.  
Melting, Voter glass transition योर.

5.

High frequencies losses.

1. Unconventional d-wave pairing.

## Q.2. The Lawrence - Doniach Model.

Q.2.1.

The Anisotropic Ginzburg - Landau limit.

Layered structure  $\tilde{\zeta}$   $\gamma_{\text{E}}$ .

Cell : layered transition metal dichalcogenides

Such as  $\text{TaS}_3$  with organic molecules intercalated between the metallic layers

2D functions coupled together with Josephson tunneling between adjacent layers.

$$H = \sum_n \left[ d |\psi_n|^2 + \frac{1}{2} \beta |\psi_n|^4 + \frac{\hbar^2}{2m_s} \left( \left| \frac{\partial \psi_n}{\partial x} \right|^2 + \left| \frac{\partial \psi_n}{\partial y} \right|^2 \right) \right]$$

$$+ \frac{\hbar^2}{2m_s S^2} |\psi_n - \psi_{n+1}|^2 \right]$$

$$\Omega_{\text{tot}} \psi_n = |\psi_n| e^{i\varphi_n}$$

$$\frac{\hbar^2}{m_s S^2} |\psi_n|^2 \cdot [1 - \cos(\varphi_n - \varphi_{n+1})]$$

$\Omega_{\text{tot}} \psi_n = |\psi_n| e^{i\varphi_n}$   $\text{discretized.}$

$$\alpha \psi_n + \beta |\psi_n|^2 \psi_n - \frac{\hbar^2}{2m_s} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) \psi_n - \frac{\hbar^2}{2m_s S^2} (\psi_{n+1} - 2\psi_n + \psi_{n-1}) = 0$$

$$\text{Vector Potential } \mathbf{A} = \frac{e}{c} \mathbf{B} + \mathbf{A}_{\text{ext}}$$

$$\alpha \psi_n + \beta |\psi_n|^2 \psi_n - \frac{\hbar^2}{2m_s} \left( \nabla - i \frac{2e}{\hbar c} \mathbf{A} \right)^2 \psi_n - \frac{\hbar^2}{2m_s S^2} (\psi_{n+1} e^{-i\epsilon A_z S/\hbar c} - 2\psi_n + \psi_{n-1} e^{2i\epsilon A_z S/\hbar c}) = 0$$

$\Omega_{\text{tot}} \tilde{\zeta} \approx \text{smooth state}$

$$\frac{\psi_n - \psi_{n+1}}{S} \rightarrow \frac{\partial \psi}{\partial z}$$

$$d\psi + \beta |\psi|^2 \psi - \frac{\hbar^2}{2} \left( \nabla - i \frac{2e}{\hbar c} \mathbf{A} \right) \cdot \left( \frac{1}{m} \right) (\nabla - i \frac{2e}{\hbar c} \mathbf{A}) \psi = 0$$

① If the interlayer coupling is weak

$$\text{M.} \rightarrow m_{\text{ab}}$$

② If the anisotropic term  $\frac{1}{m}$  of reciprocal mass tensor

$$\tilde{\xi}_{\text{LLC}}: \text{The mass anisotropy} - \frac{\hbar^2}{S} \text{ to be anisotropic}$$

$$\frac{\tilde{\xi}_{\text{LLC}}(\tau)}{\hbar^2} = \frac{\hbar^2}{2m_s |\mathbf{A}(\tau)|}$$

$$\text{Since } d(\tau) \sim (\tau - \tau_c), \quad \tilde{\xi}_{\text{LLC}} \text{ scale with } \frac{1}{\sqrt{m}}$$

③ and diverges as  $(\tau - \tau_c)^{-\frac{1}{2}}$ .

$$\Omega_{\text{tot}} \tilde{\zeta} \sim 2\sqrt{\pi} H_c(\tau) \xi_{\text{LLC}}(\tau) \lambda_c(\tau) = \Phi_0$$

④  $\lambda_c$  with axis  $\perp \tilde{\zeta}$   $\parallel \tilde{\zeta}$  screening area

not with axis  $\parallel \tilde{\zeta}$  field screening

$$\lambda_c = \lambda_s$$

Vector Potential  $\mathbf{A} = \frac{e}{c} \mathbf{B} + \mathbf{A}_{\text{ext}}$

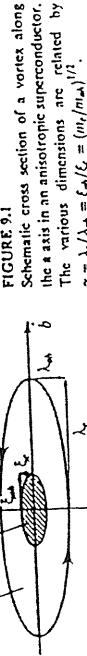


FIGURE 9.1

Schematic cross section of a vortex along the a-axis in an anisotropic superconductor. The various dimensions are related by  $\gamma = \lambda_s/\lambda_a = \epsilon_{\text{ab}}/\epsilon_{\text{aa}} = (m_s/m_a)^{1/2}$ .

$$\textcircled{4} \quad H_{c_2} = \frac{\Phi_0}{2\pi \xi^2}$$

Blatter, Geshkenbein, Larkin

$$H_{c_1||c} = \frac{\Phi_0}{2\pi \xi_{ab}^2}$$

$$H_{c_2||ab} = \frac{\Phi_0}{2\pi \xi_{ab} \xi_c}$$

So long as the continuum GL approximation is applicable, one begins by introducing related coordinates,

$$\vec{x} = (\hat{x}, \hat{y}, \hat{z}/\gamma), \quad \vec{A} = (\hat{A}_x, \hat{A}_y, \hat{A}_z)$$

$$\vec{B} = (\gamma \hat{B}_x, \gamma \hat{B}_y, \hat{B}_z)$$

So that  $H_{c_2||ab} \gg H_{c_2||c}$

$$\xi_{ab} \gg \xi_c$$

Relation.

$$\gamma = \left( \frac{m_c}{m_b} \right)^{1/2} = \frac{\lambda_c}{\lambda_{ab}} = \frac{\xi_{ab}}{\xi_c} = \left( \frac{H_{c2||ab}}{H_{c1||c}} \right)$$

$$= \left( \frac{H_{c1||c}}{H_{c2||ab}} \right)$$

$$\gamma_{BCO} : \quad \frac{m_c}{m_{ab}} \approx 50 \quad \gamma \sim 7$$

$$BSSCO : \quad \frac{m_c}{m_{ab}} \approx 20,000, \quad \gamma \geq 150$$

\textcircled{5} Angular dependence interpolation  $H_{c2} \dots$

$$\left( \frac{H_{c_2}(0) \sin \theta}{H_{c_2||c}} \right)^2 + \left( \frac{H_{c_2}(0) \cos \theta}{H_{c_2||ab}} \right)^2 = 1$$

$$\text{OR equally } H_{c_2} = \frac{H_{c2||ab}}{\sqrt{(\cos^2 \theta + \gamma^2 \sin^2 \theta)^{1/2}}}$$

which makes isotropic the gauge-invariant derivative term, at the expense of introducing anisotropy in the magnetic energy terms.

$$\text{Q: } B \gg H_{c_1}, \text{ old flux } \xi^2 \text{ of } \Omega \text{ overlap } \xi^2 \text{ of } \Omega$$

macroscopic magnetic energy  $\xi$  average field  $\bar{z}$   
 $\tau_{\text{max}} \text{ of } \xi_{ab}$

$$Q(\theta, H, T, \xi, \lambda, \gamma, \delta) = S_Q \tilde{Q}(\xi_B H, \gamma T, \xi, \lambda, \gamma \delta)$$

$$\text{Q: field } \theta \text{ plane ab } \tau_{\text{max}} \bar{z}$$

$\delta$ : scalar disorder strength

Q: desired quantity for which the isotropic result  $\tilde{Q}$  is known.

$$\xi_B^2 = \gamma^{-2} \cos^2 \theta + \sin^2 \theta$$

$$S_Q = \frac{1}{\xi} \text{ for volume, energy, temperature, action}$$

$$S_Q = \frac{1}{\xi_0} \text{ for magnetic field.}$$

Crossover to two-dimensional Behavior.

$$\text{For } T \rightarrow T_c, \quad \xi_c \approx \xi_{c(0)} (1-t)^{\frac{1}{2}} \quad t \rightarrow \infty$$

$$T \rightarrow 0 \quad \xi_c \rightarrow a \text{ limiting value.}$$

$$\text{or } \frac{1}{\xi_c} \rightarrow \infty \text{ as } \xi_c \rightarrow 0.$$

3D continuum approximation.

2D individual layer 2 terms:

$\kappa_{\text{lem}}$   $\xi_0$  - layered dichalcogenides

설명과 연관성이 있음.

$$\xi_c(T^*) = S/\sqrt{2}$$

$$T < T^* \text{ or } |\xi_c| \text{ core } \rightarrow \text{finite size.}$$

$$H_{c2} \rightarrow \infty \text{ or } \xi_c \rightarrow 0.$$

unphysical infinity is eliminated by taking into account the infinite layer thickness,

② pair breaking due to Pauli paramagnetism

③ spin-orbit coupling effect.

Discrete opes

$$0 = \alpha \psi_n + \beta |\psi_n|^2 \psi_n - \frac{\hbar^2}{2m_b} \left( \nabla - i \cdot \frac{2e}{\hbar c} \vec{A} \right) \hat{\psi}_n$$

$$= \frac{\hbar^2}{2m_c S^2} \left( \psi_{n+1} e^{-2ieA_z S/\hbar c} - 2\psi_n + \psi_{n-1} e^{2ieA_z S/\hbar c} \right)$$

$$H_{c2} = H_{c2}^0 \text{ for } \xi_c \gg a$$

$$T \rightarrow T_c, \quad H_{c2} = H \propto, \quad H = H_G$$

$$\textcircled{5} \quad \text{lowest eigenvalue } T \propto H_{c2}, \text{ approximation}$$

linearized version of GL model

(48) on H  $\Psi$  is finite and non-zero  $\Psi = \frac{1}{\xi_{ab}(T)} \Psi$

$$-\frac{d^2\Psi}{dx^2} + \frac{2m_b}{m_c S^2} \left[ 1 - \cos \frac{2\pi H x}{\Phi_0} \right] \Psi = \frac{1}{\xi_{ab}(T)} \Psi$$

check point.

$$\textcircled{6} \quad S \rightarrow 0, \quad \frac{d^2\Psi}{dx^2} + \frac{m_b}{m_c} \left( \frac{2\pi H x}{\Phi_0} \right)^2 \Psi = \frac{1}{\xi_{ab}(T)} \Psi$$

critical field  $\xi_c$

$$H_{c2} = \frac{\Phi_0}{2\pi\xi_{ab}} \left( \frac{m_c}{m_b} \right)^{\frac{1}{2}} = \frac{\Phi_0}{2\pi\xi_{ab} \xi_c}$$

②  $m_c S^2 \rightarrow \infty$   $\Psi$  is decoupled.

$$-\frac{d^2\Psi}{dx^2} = \frac{1}{\xi_{ab}^2} \Psi$$

uniform  $\Psi$  or  $\Psi = \frac{1}{\xi_{ab}} \rightarrow \infty$

## Q. 2. 3. Discussion.

(3)  $H_1 \rightarrow \infty$  cosine average zero and

$$-\frac{d^2\psi}{dx^2} = \left[ \frac{1}{\xi_{ab}^2(\tau)} - \frac{2m_{ab}}{m_s s^2} \right] \psi$$

Lawrence - Doniach theory

Artificial layered material

Uniform  $\xi_{ab}$  Solution on  $\text{CH}_3\text{N}$

$$\xi_{ab}^2 = \frac{mcS^2}{2m_b} \quad \text{or} \quad \xi_c(\tau) = \frac{S}{\sqrt{2}}$$

(3)  $H \neq \infty$ , but large

$$H_{c2,ab}(\tau) \approx \frac{\left( \phi_0 / 2\pi S^2 \right) \left( \frac{m_b}{m_s} \right)^{1/2}}{\left[ 1 - S^2 / 2 \xi_c^2(\tau) \right]^{1/2}}$$

$$\tau \rightarrow \tau^* \quad 0.10 \quad H_{c2,ab}(\tau) \sim (\tau - \tau^*)^{-1/2}$$

This divergence is artifact.

① Pauli paramagnetism

④ finite layer thickness

$$\frac{\xi}{\sigma} \approx 10^{-5}$$

1) Anisotropic 3D behavior

$$H_{c2} \propto T_c - T$$

2) 2D behavior

3) Cross over behavior

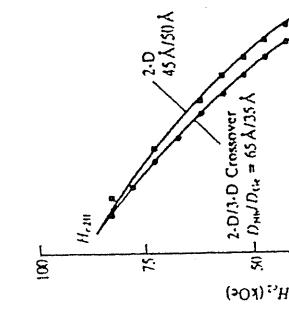


FIGURE 9.2  
Upper critical fields of layered Nb/Gc composites with layer thickness  $D_{Nb}$  and  $D_{Gc}$  as indicated. Decreasing the Ge thickness effects the progression from anisotropic 3-D behavior ( $|H_{c2}| \sim (T_c - T)$ ) to "crossover" to 2-D behavior with "decoupling" of  $H_{c2} \sim (T_c - T)^{1/2}$ . The solid lines are from the Lawrence-Doniach model.  $H_{c2}$  is essentially independent of the thickness parameters since it is determined solely by the coherence length in the plane. [After Ritter et al., Phys. Rev. Lett. 45, 1299 (1980).]

## 2. 2

1. Multi-layer samples of Superconductors.

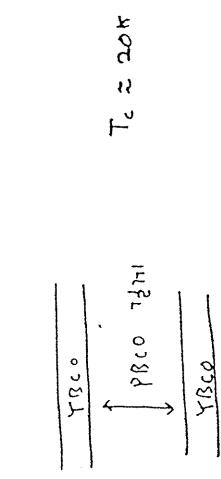
Mn Ge = 3

Ge: Insulator.

Mass ratio YBa<sub>2</sub>Co<sub>3</sub>O<sub>7</sub>/Ge = 24/2

resistive transition at  $T_c = 9.5 \text{ K}$

## 2. YBCO &amp; PBCO ပါဂ္ဂ



q-12.

$\gamma_{\text{BCO}} \approx 30 \text{ G} \cdot \text{K}$  Superconductor  
 $\gamma_{\text{BSCO}} \approx T_c \approx 0.1 \text{ K}$  ortho

④ မြတ်စွာနှင့် မြတ်စွာနှင့် magnetic property ဆုံးဝါယာ  
 $\Sigma \frac{1}{2} \gamma_{\text{BSCO}}$

$$\frac{\gamma_{\text{BCO}}}{\text{PBCO (unitcell)}}$$

$$\frac{M_c}{m_{\text{eff}}}$$

$$2H T_c \text{ မြတ်စွာ ?}$$

G. L ဒါ linearized version ဆုံးဝါယာ  
 မြတ်စွာနှင့်.

## YBCO, BSCO

① LD စိတ်လျော်စွဲ ရှိခိုးမြတ်စွာ

unit cell နဲ့ အကျင့်သော ကျော်လျော်စွဲ  
 $S^2 \frac{1}{2} \gamma_{\text{BSCO}}$  မြတ်စွာနှင့်?

②  $H_c(\tau)$  ဒါ fluctuation မြတ်စွာ ပုံစံ  
 $\xi_c(\tau^*) = S/\sqrt{2}$ ,  $0.1 \text{ \AA}$  for YBCO, BSCO

$$\frac{M_c}{m_{\text{eff}}} = 50, 20,000$$

$$H_{c\parallel} = 2\sqrt{2} H_c \lambda/d$$

$$\xi_c(\tau^*) = S/\sqrt{2}, \quad \text{we find } \frac{T^*}{T_c} = 0.94 \text{ and } 0.999$$

$$= \left[ \sqrt{2} / \pi d \xi_{\text{BSCCO}}(0) \right] (1-t)^{1/2}$$

$d \sim 1 \text{ \AA}$ ,  $\xi_c \sim 0.4 \text{ \AA}$  ပြု၍ မြတ်စွာနှင့် BSCCO မြတ်စွာနှင့် OK.

Q-3. Magnetization of layered superconductors.

9-13. Note  $\Phi$   $M = (B - H)/4\pi \sim H^* \ll H$

### Magnetization $\vec{M}$

#### q.3.1. The anisotropic Ginzburg-Landau Regime

Anisotropic  $\vec{M}$  in  $H, \vec{B}, \vec{H}$  or colinear  $\vec{M}_1 \parallel \vec{c}_1$

(unless they lie along a principal axis)

fortunately,

$$H_{c1} \ll H \ll H_{c2},$$

$K \gamma_1 \gg 1$ , Order parameter  $\gamma_1 \gg 1$

Const.  $\Rightarrow$  London Assumption of  $\gamma_1 \approx$

$$B \approx H \gg |M| \sim H_{c1}$$

Kogan  $\frac{\epsilon}{\mu} \approx$  Anisotropic London  $\frac{H_{c1}}{H_{c2}} \approx 8$ .

Mass anisotropy

Helmholtz free energy

$$F = \frac{B^2}{8\pi} + \frac{H^*}{4\pi} \left( B_{ab}^2 + \gamma^2 B_a^2 \right)^{1/2}$$

$B_{ab} : B \ll ab$   $\frac{\partial F}{\partial B}$  Component

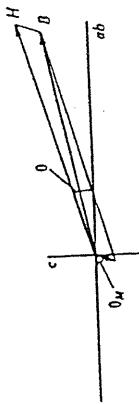
$$H^* \approx \frac{\Phi_0}{8\pi \gamma \lambda_{ab}^2} \cdot \ln \frac{|H_{c2}(0)|}{B}$$

$$|H| = 4\pi \frac{\partial F}{\partial B} = B - 4\pi M$$

$$\begin{aligned} M_{ab} &= B_{ab} + H^* \frac{B_{ab}}{\left( B_{ab}^2 + \gamma^2 B_a^2 \right)^{1/2}} \\ H_c &= B_c + H^* \frac{\gamma^2 B_a}{\left( B_{ab}^2 + \gamma^2 B_a^2 \right)^{1/2}} \end{aligned}$$

Tuominen:  $\frac{|H|}{H_L}$  is a ft. only of  $\gamma, \Theta$

FIGURE 9.3  
Schematic diagram illustrating the fact that  $M$  is not collinear with  $B$  unless  $B$  lies along a principal axis, and that  $|M| \ll |B|$ , so that  $B \approx H$ .

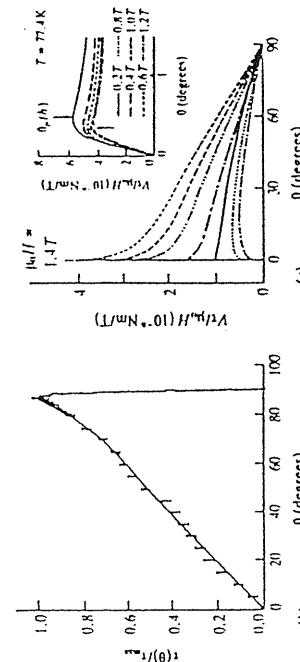
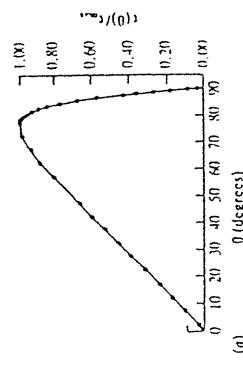


These prediction has been tested.

$$\tau = \sum_i x_i T_i$$

$$T(\theta) = \vee (H_c H_{\theta^k} - H_{\theta^k} H_c)$$

$$= V(\delta^2 - 1) \frac{HH^*(\theta)}{4\pi} \frac{\sin \theta \cdot \cos \theta}{\left( \cos^2 \theta + \delta^2 \frac{\sin^2 \theta}{4} \right)^{1/2}}$$



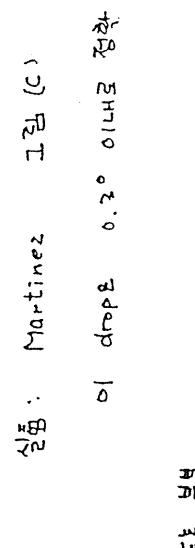
제작자: 김민수  
제작일: 2024-01-15

$$\text{Small } r \quad T(\theta) \approx \sin\theta \cdot \cos\theta$$

$\gamma \gg 1$ , scale of the torque becomes independent of

四庫全書

$$\begin{aligned} \text{Maxima } \theta_m &\sim \delta^{-\frac{1}{2}} \\ \text{Half maxima } -\theta_{1/2} &\sim \frac{1}{\sqrt{2}} \delta^{-1} \ll 1 \end{aligned}$$



- discreteness of the layer  $\Rightarrow$  translation  
 $\text{लेसोन ओर्डर} = \text{लॉज़िकल ट्रान्शियन्शन क्षमता}$
- discrete

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$H$  at finite angle  $\theta_H \neq 0^\circ$   $\Rightarrow$   $B_{H\perp} \neq 0$

$\theta_H < \theta_c$  flux lines run strictly parallel to the plates, remaining "locked in" between the layers. — Transverse Meissner effect.

### Organic layered Superconductor

(BEDT-TTF)<sub>2</sub> Cu(SCN)<sub>2</sub>

Mansky, Charkin, Haddon

$$H_{th} \sin \theta \approx H_J$$

- ac susceptibility Measurement

### Bulaevskii, Ledvij, Kogan

An applied field first penetrates the planes when its perpendicular component  $H \sin \theta$  exceeds a threshold value  $H_J$ , which is of the order of  $H_{C, \text{llc}}$ .

$$\theta_c \sim \frac{1}{H_J} \quad \text{at small angle.}$$

Quantitative test  $\Leftarrow$  demagnetization effect critical or  $\theta_c$ .

Martinez: torque in BSCCO at  $T_K$  ( $\tau/\tau_c \approx 0.92$ ) increase linearly with the internal perpendicular  $H_z \sim 1000$  G

$$H_{c1} = \frac{H_z}{\sqrt{2}} Q_K$$

$$K = 70, \lambda_{\text{eff}}(0) \approx 1500 \text{ Å} \quad \text{at } T = 0$$

$$H_{C, \text{llc}}$$

### 12) Q-4 of YBCO

No discontinuity of the angular dependence

Sharp lock-in phenomena is washed out by thermal fluctuation in the region of reversible magnetization near  $T_c$

Low temp magnet  $H_{\text{lock-in}} \approx 0$

— Mansky low temp data

### Further theoretical work of B. L. K

fluctuation effect  $\Rightarrow$

- Martinez's data fit  $\Rightarrow$   $\theta_c \propto H$ .
- Martinez conclude that the extreme anisotropy of BSCCO cannot be adequately described by the anisotropic GL model:
- Only a sample dependent lower bound  $\sigma > 150$  can be given.

#### Q.4. Flux Motion and the Resistive Transition:

Q-19.

An initial overview

equilibrium properties treated in G.L theory

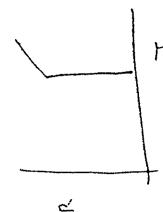
$\Delta H \propto \frac{1}{T}$  - Anisotropy and planar structure

but ignoring fluctuations

intrinsic flux pinning

and things in the absence of  
transport current.

Classically



fluctuation :  $\frac{R}{R_0} \propto \frac{1}{T^2}$   
pinning is reasonably effective

$$\Delta T : T_c^{+} \text{ or } T_c^{-}$$

$$\Delta H : T_c^{+} \text{ or } T_c^{-}$$

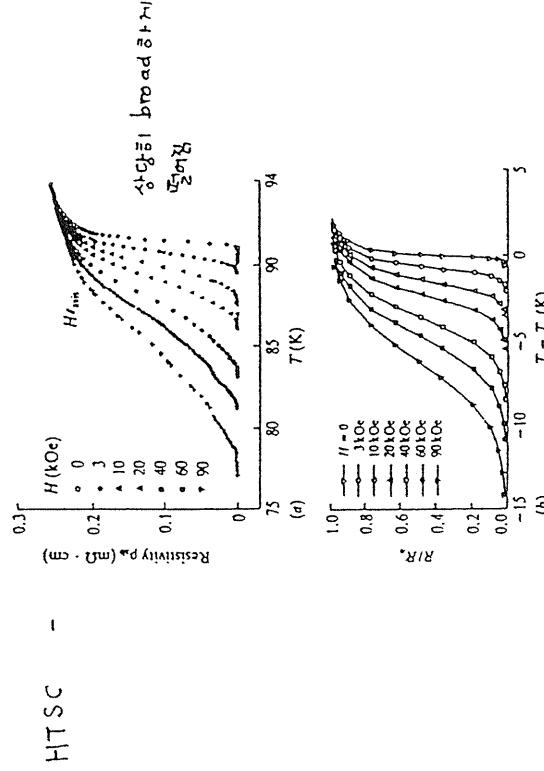


FIGURE 9.5

(a)  $\rho(T)$  of YBCO crystal for various values of  $H$  (recorded by  $f_1$  et al.) showing the broadening of the resistive transition by magnetic field. (b) Computation curves computed by a model of Tinkham. (Phys. Rev. Lett. *61*, 1656 (1988).) Although agreement is good over the top 90 percent of the resistive transition, the experimental resistance cuts off more sharply as  $R = 0$ .

Q-20.

Q-20

- 1.  $T_c$  is large
- 2.  $V_F$  " small
- 3. " small — small coherence volume

$$\left[ \frac{H_c^2}{8\pi} \cdot \frac{\epsilon_s^2}{\epsilon_1} \right] \longrightarrow T_c^{+} \text{ or } T_c^{-}$$

DETAILED thermally activated processes

with rates proportional to  $\exp(-E_0/kT)$

$$0.17201 \text{ MHz switch}$$

$$\text{or}, \quad T_p = 800 \text{ K}, \quad \frac{G_c}{H_0} = 10^8$$

$$H_0 = kT_p$$

$$\sum Q \propto e^{-E_0/kT}$$

$$10^3 \text{ per second at } 77 \text{ K}$$

$$10^{-19} \text{ " at } 4 \text{ K}$$

$$H_{irr} \propto (T_c - T)^{1.5}$$

$$\text{irreversible line of } Q$$

SPIN glass  $\rightarrow$   $\tilde{\sigma}_1 \text{ or } -\tilde{\sigma}_1$  — Muller —  $\tilde{\sigma}_1$

q-2.

Teshurun and Malozemoff  $\propto (\tau_i - \tau_c)^{1.5} e^{-\frac{\Delta}{kT}}$

$$U \propto (\tau - \tau_c)^{1/4} / B \propto \text{flux pinning}$$

Tinkham : 0.245 activation energy  $\frac{1}{2}$  Ambegaokar - Halperin & Helfand on thermally activated phase slippage in overdopped Josephson Junction

- field or Temperature  $\propto T^2$   $\propto \log \frac{B}{B_0}$ .

01 Thermally activated flux flow model & field dependent broadening on  $\frac{1}{2}$   $\frac{d\phi}{dt}$

$$Q_{\text{eff}} \propto \frac{1}{T} \propto \frac{1}{R} \propto \frac{1}{B} \propto \frac{1}{\log \frac{B}{B_0}}$$

ডেভন পিনিং

- off the freezing/melting temperature between vortex liquid to vortex solid.

First order  $\frac{1}{2} \frac{d\phi}{dt} =$  flux line solid (good crystal)

- sharp jump in resistance

less perfect crystals  
- transition maybe continuous

point pinning  
correlated pinning

q-22.

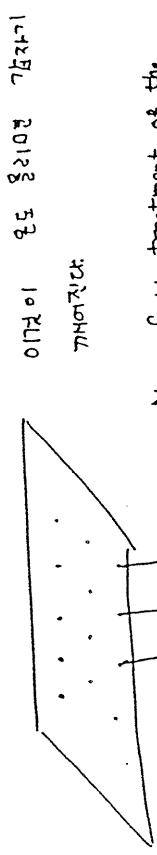
01 top layer weakly coupled to 2D pancake vortices

Correlated pinning on many layers leads to collective pinning energy that is strong enough to resist thermal activation.

No simple universal explanation.

- fluctuation of  $E_C$
- $\delta \tau$  after
- strength & geometric disorder appear to lead to different regime.

### q-5. The Melting Transition.



Mean field treatment of the

Classical Superconductor

- Melting transition is obscure.
- $Q_{\text{heat}} \propto T^2 \rightarrow 0$  near  $T_c(H=0)$

Brezin : fluctuation effect  $\propto$  first-order nature  $\tau$ -pinning

পিনিং

### Pinning of fluxons

- highly resistive whether the flux lines are in a solid or liquid

Pinning on  $\Sigma_{\text{BH}}$  Resistive transition

$\Sigma_{\text{BH}}$  - in the presence of inhomogeneity

And pinning

Q4 Melting transition is

বেশি ক্ষমতা দিয়ে পারে না।  
অর্থাৎ এটা :

Vortex transition microscopic state

এখন নম্বৰ of degree of freedom

& associated entropy reduction

বিশেষ ধৰণ ত্বক

Specific heat measurement is

$H_{\text{c1}} \ll H \ll H_{\text{c2}}$

Consequence for transport properties.

### Q1 Section 2 ফিল্টার কো

- 1) Work out a simple model which predicts the melting line  $B_{\text{M}}(T)$  in an anisotropic 3D superconductor

- 2) Summarize the experimental evidence showing that such a transition line is observed in YBCO
- 3) Work out the condition under which the melting becomes a 2-D process in BSCCO.

### Q5.1. A Simple Model Calculation

Model Calculation — Lindemann criterion

$C_L = \square \times$  interline spacing

0.172 is first order, 2nd order হচ্ছে

$$\text{force } f_{\text{ax}} = \frac{\Phi_0}{4\pi} \frac{\partial h_i(r)}{\partial x_s}$$

গুরুত্বপূর্ণ বল শূন্য  
অথবা  $\delta x$  শূন্য নেই বল শূন্য

$$\frac{\Phi_0}{4\pi} \sum \frac{\partial^2 h_i(r)}{\partial x_s^2} \delta x$$

low flux density

$$\text{force} \sim \frac{1}{\delta x}$$

and flux lattice is very soft

$$H_{\text{c1}} \ll H \ll H_{\text{c2}},$$

the vortices are over looping but cores are not.

$$h(r) \sim \frac{\Phi_0}{2\pi\lambda^2} \ln\left(\frac{r}{\lambda}\right)$$

0.172 লেখ কোম্পনেন্ট  $\Sigma_2 \approx \text{শূন্য}$

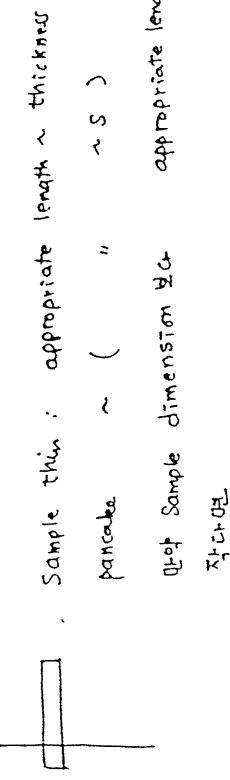
The restoring force constant per unit length

$$K \approx (\sqrt{3} \Phi_0 / 4\pi\lambda^2) B \approx H_{\text{c1}} B$$

Length of the displacement segment

q-25.

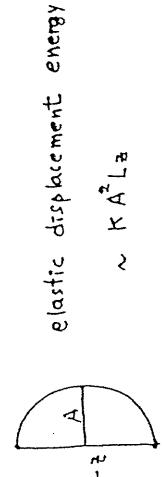
$$0.172 \propto B_m^2 \quad 7.5 \times 10^{-2}$$



$$B_m = C^2 C_L^4 \Phi_0^5 (kT)^{-2} \lambda^{-4}$$

Djot Anisotropy  $\propto \frac{L_z}{r^2}$ Opt Sample dimension  $L_c$  appropriate length  $r$ 

$$\propto L_c r \propto L_z$$

Stretching energy  $E_1 A^2 / L_z^2$ 

$$K A^2 L_z = E_1 A^2 / L_z$$

$$\text{Optimal length } L_z \sim (E_1 / K)^{1/2} \sim \Phi_0 / \lambda$$

$$K = \sqrt{3} \Phi_0 / 4\pi \lambda^2 \quad (\sim \Phi_0 / \lambda)$$

$$E_1 = (\Phi_0 / 4\pi \lambda)^2 \propto \lambda$$

Mean vibration amplitude

$$A^2 \sim kT (k \epsilon_1)^{-\frac{1}{2}} = C_L^2 \alpha_s^2$$

Lindemann parameter  $C_L = 0.15 \text{ or } 8 \times 10^{-2}$ 

$$k T_m = C C_L^2 \Phi_0^2 \lambda^{-2} B^{-\frac{1}{2}}$$

$$\text{where } C = \frac{1}{4\pi^2}$$

Blatter:

$$B_m = \frac{C^2 C_L^4 \Phi_0^5}{(kT)^2 \lambda_{ab}^4 \gamma (\cos^2 \theta + r^2 \sin^2 \theta)^{1/2}}$$

$$\lambda^{-2} \sim (T_c - T) \propto \lambda$$

$$B_m \propto (T_c - T)^2$$

The fit to data can be extended farther below  $T_c$  by using the two fluid temperature dependence

$$\lambda^{-2} \sim (T_c^4 - T^4)$$

$$B_m \propto H_{c2} \propto 1/\lambda^{1/2}$$

$$\frac{B_m}{H_{c2}} = \frac{2\pi C^2 C_L^4 \Phi_0^4 \xi_{ab}^2}{(kT)^2 \lambda_{ab}^4 \gamma^2}$$

$$2.57 \times 10^{-4} \text{ or } 8 \times 10^{-2}$$

$$\frac{1}{\gamma^2} \sim 2 \times 10^{-2} \text{ for YBCO}$$

$$10^{-4} \text{ for BSCCO}$$

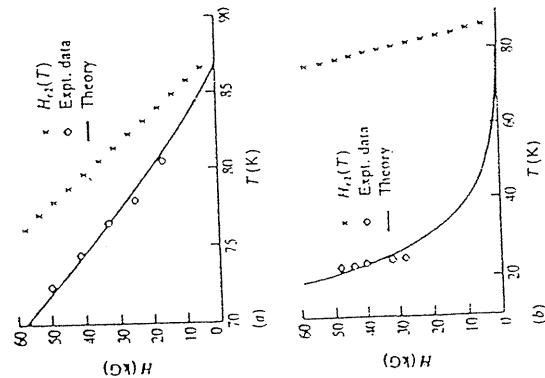
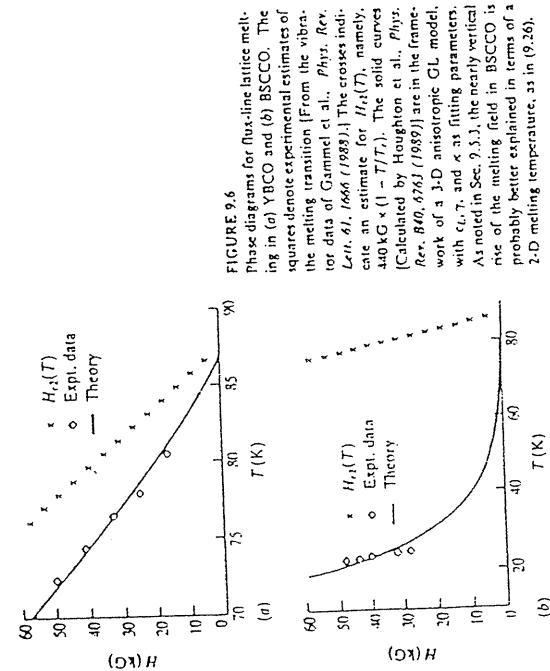


FIGURE 9.6  
Phase diagrams for fluxline lattice melting in (a) YBCO and (b) BSCCO. The squares denote experimental estimates of the melting transition [From the vibratory data of Gammel et al., Phys. Rev. Lett. 61, 1666 (1988).] The crosses indicate an estimate for  $H_{c1}(T)$ , namely,  $4.0 \times G \times (1 - T/T_c)$ . The solid curves [Calculated by Houghton et al., Phys. Rev. B40, 5763 (1989)] are in the framework of a 3-D anisotropic GL model, with  $\epsilon_{\perp}, \gamma$ , and  $\kappa_{33}$  fitting parameters. As noted in Sec. 9.3.3, the nearly vertical rise of the melting field in BSCCO is probably better explained in terms of a 2-D melting temperature, as in (9.26).



$\Delta_2$ : Gammel et al. vibration read & melting  $\frac{1}{2} \pi \delta \omega$ .

Rather sharp peak in the damping of the oscillatory motion at field dependent Temperature  $T_m(H)$ .

Qualitatively similar to the irreversibility line in the H-T plane

$T_m(H)$  .

Irreversibility line in the H-T plane

$\frac{1}{2} \pi \delta \omega$  (1988)

Gammel - Hellingoler  $\frac{1}{2} \pi \delta \omega$  (1988)  
Houghton, Pelcovits -  $0.17 \times 0.1$  frequency dependent Crossover  $\pm \frac{1}{2} \pi \delta \omega$  115 K

$$\tau(\tau, H) \sim \frac{1}{\omega} \text{ or } 145$$

Sharp melting transition in very low frequency.

0.1 Hz, torsional oscillator data on unturned crystal.  
YBCO.

$$\boxed{\text{data}} \quad T_c - T_m = A B^{\kappa} e^{-\nu z}$$

(a) Theory

$$\begin{aligned} A: \text{constant} \\ f^2 e^{\nu z} &= \cos^2 \theta + \nu^2 \sin^2 \theta \\ \text{or angular dep.} &\equiv \alpha \cos(\alpha z) \text{ or } \beta \sin(\beta z) \\ H_m &\sim (T_c - T_m)^{\beta} \\ L^{-1} \frac{d}{dz} \frac{d}{dz} \frac{d}{dz} &\propto \alpha^2 \beta^2 \end{aligned}$$

Safar - Melting  $\alpha_1$  further support

$\gamma_{\text{YBCO}}$  min picovolt sensitivity, millikelvin temperature resolution in field up to  $\eta T_c$ . Revealed reproducible hysteresis in the I-V curves whether sweeping the field or temperature.

Continuous phase transition  $\equiv$  usually reversible, thus hysteresis is evidence for a first-order transition.

Hysteresis  $\propto \frac{1}{\omega^2}$  knee structure  $\perp$  of Cr.

Two remarks concerning this issue.

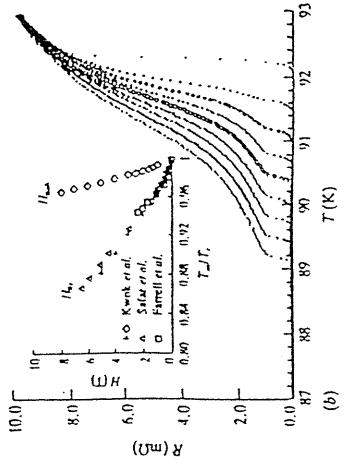
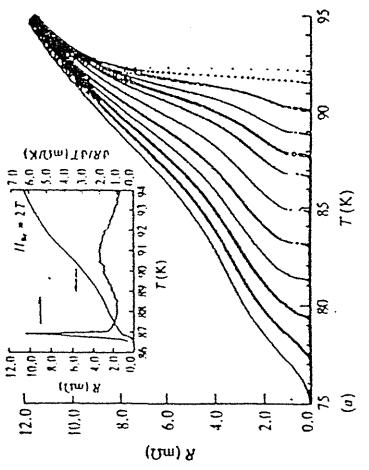
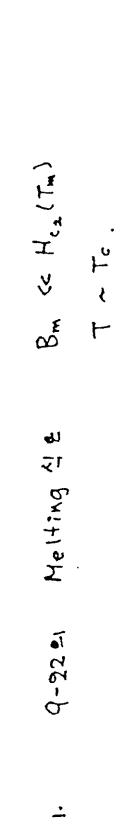


FIGURE 9.7  
 (a) Resistive transition in magnetic fields of 0, 0.1, 0.5, 1, 1.5, 2, 3, 4, 5, 6, 7, and 8 Tesla for  $H_{dc}$  in an unoriented YBCO crystal, showing a drop at the melting transition. (inset) Determination of  $T_m$  from peak of  $dR/dcT$  for  $H = 27$ . (b) Similar data for  $H_{dc}$ , at  $H = 0, 1, 2, 3, 4, 5, 6, 7$ , and 8T. (inset) Phase diagram of the melting transition for both field orientations, showing comparison with torsional oscillator data of Farrell et al., and hysteretic  $\chi/V$  criterion of Safar et al. The "zero resistance" data points of Lee et al. in Fig. 9.5a also coincide closely with these results. [all other data plotted here are from P.K. Kwock et al., Phys. Rev. Lett. 69, 1770 (1992).]

① Kwock, Safar et al. 1992, 1993  
 Farrell  
 $(T_c - T)^2$  law slowly varying  
 $\chi/V$  vs  $T_c - T$

Beck et al. 1991  
 Exponent 2/3  
 Drop Some physics ok but discrepancy appears puzzling



1. Q-22 is Melting like  $B_m \ll H_{c2}(T_m)$   
 $T \sim T_c$ .  
 So far  $B_m \sim (T_c - T)^2$  for  $T \ll T_c$ .  
 $H_{c2} \sim (T_c - T)$

$B$  approaches the 2nd order transition to the normal state at  $H_{c2}$ .  
 $\lambda^{-2} \sim n_s \sim (4\pi)^2 \propto (1-b)$   
 where  $b \equiv B/H_{c2}$ .  
 $\lambda^{-2} \propto B_m \sim (T_c - T)$

2.  $T \sim T_c$  or  $T_c$ .

Mean field temperature dependence = break down.  
 $\lambda^{-2} \propto (T_c - T)^{2/3}$  or  $\propto (T_c - T)^4/3$   
 $B_m \propto (T_c - T)^{2/3}$  or  $\propto (T_c - T)^4/3$

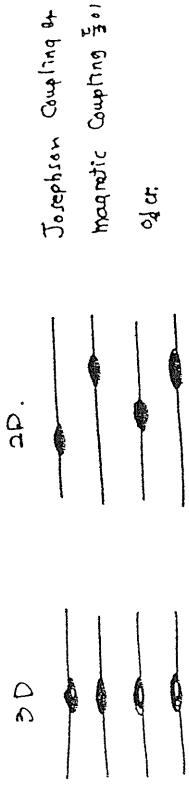
Low  $T_c$   
 HTSC  
 $\lambda^{-2} \propto (T_c - T)^{2/3}$  or  $\propto (T_c - T)^4/3$   
 we should expect  $B_m \propto (T_c - T)^{2/3}$  or  $\propto (T_c - T)^4/3$

$\xi_{T_c}$  vs  $T_c$  not reasonable.

Two dimensional vs. three dimensional

More detailed analysis,

$\gamma_{BCO}$  : 3D  
 $B_{SCCO}$  : General Lawrence-Domach Model  
 recognizing the importance of discrete superconducting planes.



$$\gamma \rightarrow \infty \quad E_J \rightarrow 0$$

$$\text{Cross over} \quad \gamma \leq \frac{\lambda_{ab}}{S} \sim 100$$

$$\text{Intraplane force constant} \quad \kappa_S \sim B \Phi_0 S / \lambda_{ab}^2$$

Josephson interplane term

$$E_J = \frac{\Phi_0^2}{16\pi^2 \lambda_c^2 S}$$

$a$ : intervortex separation

The coupling energy per vortex  $\sim a^2 E_J$

⑥ Intra plane force const.  $\sim B$

$$B_{cr} \sim \Phi_0 (\lambda_{ab} | S \lambda_c)^2 \sim \Phi_0 / S^2 \gamma^2$$

Fisher's relation  $\approx 1$ .

Integrating over a Fourier spectrum of fluctuations using the Full elastic constants, Glazman, Koshelev - same functional dependence on parameters with an estimated numerical const. of order 10

$$B_{cr} \approx (10^3 - 10^4 \text{ Tesla}) / \gamma^2$$

|                              |   |
|------------------------------|---|
| $\gamma_{BCO}$<br>$B_{SCCO}$ | unobtainable field<br>$B_{cr} \leq 1 \text{ Tesla}$ |
|------------------------------|---|

$$\Omega \ll \gamma \quad B \gg B_{cr}$$

$$\text{Vortex critical interaction} \quad \tilde{\epsilon}_1 \approx \tilde{\epsilon}_2$$

$$2D \quad \frac{1}{2} \kappa_S (\delta x)^2 \sim \frac{1}{2} \kappa T \quad \text{and Lindemann melting criterion}$$

$$3D \quad \text{Melting } \frac{\partial \epsilon}{\partial T} \gtrless \text{ Lindemann melting}$$

$$01 \quad \text{of } \frac{\partial \epsilon}{\partial T}$$

$$k T_m^{2D} = C C_L^2 \Phi_0^2 S / \lambda_{ab}^2$$

$$01 \quad \text{of } \frac{\partial \epsilon}{\partial T}$$

$$C = 1/128 \pi^2 \sqrt{3}$$

① Melting rate Bonn criterion. Qualitative increasing stiffness with increasing  $\beta$  just compensates for smaller lattice spacing

### 9.6. The effect of Pinning

$B_{cr}$   $H_{cr}$   $\frac{B_{cr}}{H_{cr}}$   $B_{2D}$   $B_{3D}$   
Interplane restoring forces are more important

o) Melting  $\frac{E_{cr}}{E} = 3D \approx 10^4 - 10^5$

$$(T - T_c)^2 \text{ on } H$$

$$\frac{E_{cr}}{E} \approx 2 \times 10^4 - 10^5$$

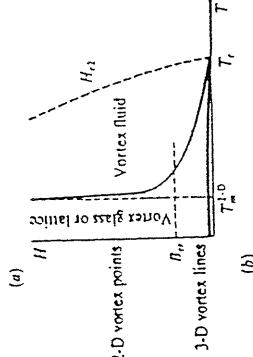
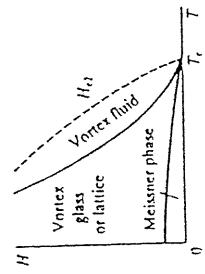


FIGURE 9.8  
Schematic phase diagrams for melting of the flux-line solid for (a) 3-D material (e.g., YBCO) and (b) highly layered material (e.g., NSCCO). The latter shows the crossover from 3-D to 2-D melting at the crossover flux density  $B_{cr}$ , which is at inaccessibly high fields for the 3-D material in (a).

flux lines in an ideal homogeneous material

Anisotropy

thermal vibration

$\alpha = 17\text{m}$  Spatial inhomogeneity factor  $\approx 1/3$ .

atomic scale mismatch & grain size  
more extended defects

- dislocations
- grain boundaries
- inclusions of 2nd phase
- twin planes

Introduce sufficient pinning to raise  $T_{melt}$

Many interesting questions

1. What is the linear  $\approx T$
2. Glass transition  $\approx 10^{-24}$  ?  
Exponentially small?

o) Section on

brief discussion of pinning and  
flux-creep effect.

### Q. 6.1. Pinning Mechanisms in HTSC.

q-35

Twin planes and other extended defect.

Twin planes and other extended defect.

Larkin & Ovchinnikov's Collective pinning model.

- Effect of pinning by randomly distributed weak point defects.
- not correlated defects such as twin boundaries.



(110), (1-10)

point defect or impurity & twin boundary  
रेखाशमी वैक्स.

Point defects

- departure from stoichiometry at even a single atomic site —  $\frac{1}{2} \times \frac{1}{2}$  order parameter  $\frac{1}{2} \sqrt{3}$  of a:

Pinning of coherent state

Larkin - Ovchinnikov collective pinning model  
is not appropriate.

Point defects

- departure from stoichiometry at even a single atomic site —  $\frac{1}{2} \times \frac{1}{2}$  order parameter  $\frac{1}{2} \sqrt{3}$  of a:

Pinning of coherent state

High : field or plane  $\gamma_1$  or  $\gamma_2$  on  $\gamma_3$   
 $T_c$  - one switch

Thunberg :

- pinning force on a vortex
- initially rises linearly with the distance from a vacancy

$U \sim r^{-1}$  constant

Kes  $\gamma_1$  estimate

pancake vortex by vacancy or  $\gamma_1$

BSCO  $T_c \sim 5 \times 10^6$  A/cm<sup>2</sup>  
pinning energy  $\sim 34$  K  
in temperature unit

Screw dislocation

: internal structure of the dislocation itself  
surface roughness which is induced by the growth pattern

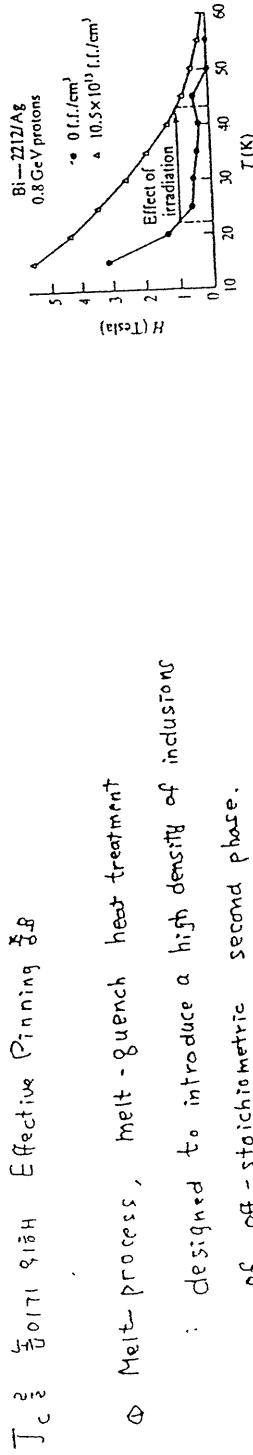


FIGURE 9.9  
The irreversibility line in a BSCCO tape before and after introduction of defects by proton bombardment. The data at zero flux reflect the usual melting transition in a good sample. The data from the irradiated sample reflect the glass transition. The practical significance of the data is that the resistive transition in a field of 17 T has been increased from ~22 to ~31 K, thus expanding the parameter range in which the material is effectively superconductive. (After Krusin-Elbaum et al.)

### Q. G. 2. Effective Pinning & Effectiveness of radiation-induced pinning defects.

Q. G. 2.

### Larkin - Ovchinnikov theory of Collective Pinning

- Electron irradiation is relatively ineffective
- Neutron, proton irradiation provides more substantial deformations and more pinning.
- Most impressive

: bombardment by a high-energy beam of heavy ions.

High momentum nr. straight ballistic trajectory

Create an extended set of correlated defects lying along a straight line.

Ref: Nelson & Vinen or boson localization.

Ref:

Krusin - Elbaum  
Randomly directed tracks of fission fragments also greatly increase critical currents and significantly raise the irreversibility temperature.

Macrosopic volume & correlated volume  $V_c$  with length  $\sim L_c$  along the field direction  $R_c$  transverse.

|       |       |       |
|-------|-------|-------|
| $V_c$ | $V_c$ | $V_c$ |
| $V_c$ | $V_c$ | $V_c$ |

$V_c$  nr. straight &  $L_c$  can adjust more frequently from region to region over the

$$G_L \text{ or } \zeta :$$

$$1 \gg 1, H_{c2} \gg B \gg H_c,$$

uniform shear of a triangular FLL in isotropic superconductors.

$$C_{66} \approx \frac{H_c^2 b (1-b)^2}{16\pi}$$

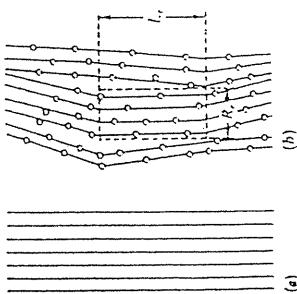


FIGURE 9.10  
Schematic diagram illustrating the coherence volume concept of the Larkin-Ovchinnikov theory. (a) With no pinning, the flux-line lattice (FLL) is periodic and exactly parallel to the magnetic field. (b) With random attractive pinning sites, the local direction of the FLL is modulated slightly within each coherence volume (defined by  $R_c$  and  $L_c$ ) to combine optimally the energy reduction from the pins with energy increase from distortion of the FLL.

$$\text{Def. } a = \left( \frac{\Phi_0}{B} \right)^{1/2}$$

lattice correlation is lost as soon as the distortion distance is  $a$ .

distortion distance is only the range  $\delta$  of the pinning force, which is typically less than  $a$ .

distortion of  $R_c, L_c$  on  $\frac{1}{2} C_{44} \propto a$ .

Strains  $S_s, S_t$  of the order of  $\epsilon/R_c, \delta/L_c$   
Shear tilt.

Elastic free energy per unit volume

$$\frac{1}{2} [ C_{66} S_s^2 + C_{44} S_t^2 ]$$

$$C_{66}, C_{44} \text{ are } \frac{1}{2} \text{ times}$$

de Gennes and Matignon 1964  
Brandt, Kogan, Campbell Ann - 1989.

$$\pi \frac{B H_{c1}}{16\pi} (1-b)^2 \left( b = \frac{B}{H_{c1}} \right)$$

$$K_{44} \text{ or } \frac{1}{2} C_{44} \text{ order of } \zeta$$

$$C_{44} \text{ or } \frac{1}{2} C_{44}$$

$$S_t = \delta x / L \text{ of } \zeta$$

$$\text{line length of } \frac{1}{2} \pi R_c$$

$$(L^2 + \delta x^2)^{1/2} - L = (\delta x)^2 / 2L$$

$$\text{flux cut } \frac{1}{2} \pi R_c$$

$$\frac{1}{2} C_{44} S_t^2 = \frac{\Phi_0 H}{4\pi} \cdot \frac{(\delta x)^2}{2L} \cdot \left( \frac{B}{\Phi_0} \right) \frac{1}{L}$$

$$\frac{1}{2} C_{44} \cdot \frac{(\delta x)^2}{L^2} = \frac{\Phi_0 H}{4\pi} \cdot \frac{(\delta x)^2}{\beta L} \cdot \left( \frac{B}{\Phi_0} \right) \frac{1}{L}$$

$$\therefore C_{44} = \frac{HB}{4\pi}$$

Net free energy change.

$$\delta F = \frac{1}{2} C_{ee} \left( \frac{\xi}{R_c} \right)^2 + \frac{1}{2} C_{44} \left( \frac{\xi}{L_c} \right)^2 - f \xi \frac{n^2}{V_c}$$

$$V_c = R_c^2 L_c$$

$R_c, L_c$  minimize  $\delta F$  & minimize  $\lambda$ .

$$L_c = \frac{2 C_{44} C_{ee} \xi^2}{n f^2}, \quad R_c = \frac{2 \sqrt{C_{44} C_{ee}} \xi}{n f^2}$$

$$V_c = \frac{4 C_{44} C_{ee} \xi^4}{n^3 f^6}$$

1. Pinning force  $F$  distort  $\xi$ .

2. Correlation volume is elongated along the field direction since  $L_c/R_c = \sqrt{2} (C_{ee}/C_{44})^{1/2} \gg 1$

3.  $\lambda$  minimum

Neutron diffraction — fairly good agreement.

$$\delta F_{\min} = - n^2 f^4 / (8 C_{44} C_{ee} \xi^2)$$

Pinning force per unit volume  $f (n/V_c)^{1/2}$  determines the maximum sustainable Lorentz density

$$T_c \frac{B}{c} = f \left( \frac{n^2 f^6}{V_c} \right)^{1/2} = \frac{n^2 f^4}{2 \cdot C_{44} C_{ee} \xi^3}$$

Note

1. Melting & finite  $C_{ee} \rightarrow 0$

$$f \propto \xi^{-1/2}$$

∴ based on linear elasticity theory

2. Single parameter  $\omega = n f^2$  on  $\omega E$ .

$n$ : density of pin  
 $f$ : strength "

Both  $L_c, R_c$  are proportional to  $\omega^{-1}$   
while  $T_c$  scales with  $\omega^2$ .

Collective pinning in 2D

$L_c = d$  the thickness of the film or layer.

$$V_c = R_c^2 d$$

$$\delta F = \frac{1}{2} C_{ee} \left( \frac{\xi}{R_c} \right)^2 + \frac{1}{2} C_{44} \left( \frac{\xi}{L_c} \right)^2 - f \xi \frac{n^2}{V_c}$$

$R_c$  at minimum

$$R_c = \frac{C_{ee} \alpha d^{1/2}}{n^2 f}$$

$$T_c \frac{B}{c} = \frac{n^2 f^2}{C_{ee} \xi d}$$

$R_c \sim \omega^{-1/2}, T_c \sim \omega$

Larkin - Ovchinnikov model  $\propto \text{Kerr, } T_{\text{cur}} \sim$

Larkin - Ovchinnikov model

$$D \text{ dimension} \geq \frac{4}{3}$$

$D = 4 \pi$  Critical dimension of  $\sigma$ .

$D > 4$  physically meaningful minimum free energy  $\approx L = \infty$ , which implies that long-range order in the FLL is retained.

$D < 4$  Long range order is destroyed by any density of small random defect.

이론의  
문제점

- Correlation of elastic deformation of the FLL. dislocation or Rortex?
- Vacancies, Interstitial defect  $\rightarrow$  ?

q. 6. 3. Giant flux Creep in the Collective Pinning model

- Thermal activation of  $\rightarrow$  Collective Pinning effect  
 $\rightarrow$  Giant flux Creep in the high-temperature Superconductors.

Classical Anderson - Kim flux-Creep theory

$$= \left(\frac{\tau}{\tau_c}\right) \left(\frac{U_{Lc}}{U_c}\right)^{k+1} \left(\frac{L}{L_c}\right)^k$$

$$U = U_0 [1 - (\frac{\tau}{\tau_c})] \quad \text{Anderson - Kim}$$

$$U = U_0 [1 - \frac{\tau}{\tau_c}]^{\frac{3}{2}} \quad \text{- tilted - washboard cosine potential.}$$

$$\frac{\partial U}{\partial \tau} \approx 0 \quad U = U_0 [1 - \frac{\tau}{\tau_c}]^d \approx \infty.$$

Pinning state Distribution - Collective pinning effect

$$U(\tau) \approx U_0 (\frac{\tau_c}{\tau})^\mu \quad \text{with } \mu \leq 1$$

- form  $\approx$  Flux-creep measurements of Maley
- $\approx$   $\propto \tau^{-\mu}$

이론 - Anderson - Kim

$$\tau \rightarrow 0 \quad U(\tau) = U_0 \quad \text{Nonzero linear resistance.}$$

- or
- $\tau \rightarrow 0 \quad U(\tau) \rightarrow \infty$

$$\boxed{\frac{U(\tau)}{U_0}}$$

$$U(\tau) \sim U_0 (\frac{\tau_c}{\tau})^\mu$$

- $\rightarrow$  Elasticity force  
 $E_1(\delta x)^2 L \rightarrow \frac{1}{L^2} \cdot \left(\frac{L}{L_c}\right)^{\mu} \cdot L \rightarrow L^{2-\mu}$
- $\rightarrow$  Lorentz force



$$\delta F(L) \approx U_0 \left( L/L_c \right)^{2\zeta-1} - J \left( \frac{J_c}{c} \right) L_c \left( \frac{U_0}{L_c} \right)^{\zeta+1}$$

$$= U_0 \left[ \left( \frac{L}{L_c} \right)^{2\zeta-1} - \left( \frac{J}{J_c} \right) \left( \frac{L}{L_c} \right)^{\zeta+1} \right]$$

$$\text{Minimize } \lambda(\zeta), \quad L = L_c \left( \frac{J_c}{J} \right)^{1/\zeta-1}$$

at activation energy

$$= U_0 \left( \frac{L}{L_c} \right)^{2\zeta-1}$$

$$= U_0 \left( \frac{J_c}{J} \right)^{\frac{2\zeta-1}{2-\zeta}}$$

$$= U_0 \left( \frac{J_c}{J} \right)^\mu \quad \mu = \frac{2\zeta-1}{2-\zeta}$$

Numerical estimation

$$\mu = \frac{1}{7}, \quad \zeta = \frac{3}{5}$$

Flux bundle at center

$$\text{Scale as } B^{(2-\zeta)/2}$$

Current Voltage Relation.

$$V \propto \exp \left[ - \frac{U_0}{kT} \left( \frac{J_c}{J} \right)^\mu \right]$$

Anderson - Kim theory  
Unstable state

Anderson - Kim theory

$$J(t) \approx J_c \left[ 1 - \frac{kT}{U_0} \ln \left( 1 + \frac{t}{t_{c0}} \right) \right]$$

$$V \propto \exp \left[ - \frac{U_0}{kT} \left( \frac{J_c}{J} \right)^\mu \right] \text{ stable}$$

$$J(t) \approx J_c \left( \frac{kT}{U_0} \ln \frac{t}{t_{c0}} \right)^{-\frac{1}{\mu}} \quad \text{for } J \ll J_c$$

$$\langle U(J) \rangle \sim U_0 \left( J/J_c \right)^\mu \text{ linear response}$$

$$\sim \text{ext. dim. } (t/t_{c0})$$

or  
 $\rightarrow J_c \rightarrow J_c \text{ on } \gamma \text{ range of } \beta \text{ range of } \alpha$   
 or  
 Anderson - Kim at  $\alpha = \beta$ .

$$J(t) \approx J_c \left[ 1 + \frac{kT}{U_0} \ln \left( 1 + \frac{t}{t_{c0}} \right) \right]^{-\frac{1}{\mu}}$$

stable

$$M(t) = M_0 e^{\frac{\mu}{2} \beta t}$$

q. 6.4. The Vortex - Glass Model.

Larkin, Ovchinnikov

Pinning center sol. of eq. of weak  $\beta$   
 Crystalline at long range order  $\zeta$  monotonous.

$J \ll J_c$  highly nonlinear flux response  $\frac{d\Phi}{dt}$

Anderson - Kim

linear - exponential -

$T_g^0$ : Well defined glass melting transition  
zero resistance  $\Omega^{-\infty}$

H.P.A. Fisher, D.S. Fisher

- Vortex glass phase transition was proposed.

$$\text{1) } T_g \quad \tau_{\text{f},g}$$

$T \rightarrow T_g$ , linear resistance is zero

$$\text{2) } \xi_g \sim |T - T_g|^{-\nu}$$

Vortex-glass phase correlation length

$$\tau_g \sim \xi_g^\zeta$$

Critical slow down  $\zeta$  vs exponents

Scale  $\zeta \propto \tau$

$$\frac{E}{\text{length} \cdot \text{time}} \sim \left( \frac{1}{\text{length}} \right)^{\nu-1}$$

$$\xi_g^{z+1} E \approx E_z \left( \xi_g^{\nu-1} J \right)$$

$E_z$  : different scaling functions for temperature  $T_g$   
above +, below - the glass temperature  $T_g$

$$T < T_g \quad E(J) \sim \exp[-(\frac{J}{J_g})^4]$$

$$J \rightarrow 0 \quad E \rightarrow 0$$

$T = T_g$ , Fisher predict a power law I-V characteristic

$$E \sim J^{(z+1)/(\nu-1)} = J^{\frac{z+1}{z}}$$

$$T > T_g \quad E \sim J^z$$

$\zeta \propto$

$Y_{\text{BCO}}$  thin film - Koch

single  $\chi$ -tail - Grammel

$\zeta \sim 4\pi l$

Universal curve  $\zeta \propto \alpha$ .

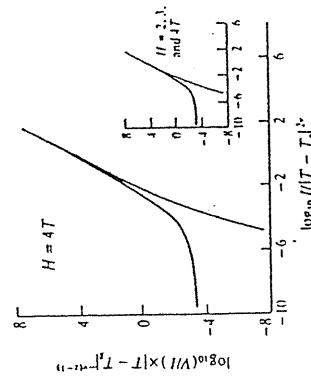


FIGURE 9.11  
Empirical scaling functions for scaled nonlinear resistance vs. scaled current density for temperatures above and below the vortex-glass transition temperature  $T_g$ . This is a collapsed data plot of  $119 I/I_g$  curves on a YBCO sample [after Koch et al., Rev. Lett. 63, 1511 (1989); Ibid. 64, 2395 (1990)]. Curves with temperatures ranging from 84.5 to 72.7 K in 0.1 K intervals at  $H = 47$ . The inset shows these data superimposed with similarly collapsed data at  $H = 2$  and  $37$ . In each case,  $\nu = 1.7$  and  $z = 1.8$  were used. The upper curve is for  $T > T_g$ , the flat part at the left corresponds to linear resistance at low current. The lower curve is for  $T < T_g$ , and shows no sign of approaching a nonzero linear resistance. The data points from all I-V curves superpose within the width of the plotted curves.

$$\xi_{2D} \propto T^{-2\beta_D}$$

$$\xi_4^{z-1} E/J \approx E_z (\xi_4^2 J) / \xi_4^2 J$$

Glassy state

$$T_g : E/J |T - T_g|^{(z-1)} \approx J/(T - T_g)^{2\beta} \text{ scale}$$

단계

$T > T_g$  경우

$$R \sim (T - T_g)^{\nu(z-1)}$$

linear dependence

$$\left(\frac{\partial \ln R}{\partial T}\right)^{-1} \text{ vs. } T \text{ graph } \text{ shows } \frac{1}{\nu(z-1)}$$

알아낸다

$$\text{Gammel } \approx \nu(z-1) \approx 6.5 \text{ 입증 가능하다.}$$

Note.

- ①  $\gamma$ 는  $\xi$ 에 비례하는 first-order phase transition의  $\gamma$ 이다.

- ② width of the vortex glass critical regime

Should increase with stronger disorder

Vortex Glass in two dimension.

lower critical dimension D

$$2 < D < 2$$

critical temperature Glass transition은  $\theta$  같다.

Fisher et al.

Nelson and Steinhardt

$$V_{2D} = 2.0 \pm 0.3$$

② linear resistivity remains

$$R_{lin} \propto \exp[-(T_0/T)^{\rho}]$$

$T_0$ : characteristic temp

Dekker: 3D scaling을 잘 알았고

$$2D \quad " \quad \text{not so good.}$$

q.6.5 Correlated Disorder and Bose Glass Model.

Correlated disorder - twin planes  
columnar defect

Add coherently

Krook - twinned single Y-tad

Nelson and Steinhardt

Wander

Vortex & wander

$\Rightarrow$  Lorentz force of current on itself  
magnetic linear  $\propto \sigma$ .

1. tight binding approximation

$\tau_{111111}$  parameter  $\propto \sigma$ .

① Chemical potential to control the number of particles. (fluxons)

② A repulsive energy for two fluxons

on the same site

③ hopping matrix element.

flux line or Columnar defect or

bosonic particle or 2D potential  $\propto r^{-1}$

flux line or  $\propto r^{-1}$

Free energy

$$1) \left| \frac{d\tilde{\epsilon}(z)}{dz} \right|^2 \tilde{s}_r : \text{increase of line energy due to meander away from the z-direction}$$

2) defect pinning potential -  $U_D(r_{pin})$

3) an interaction potential depending on the separation of pairs of fluxons at the same height  $z$ .

$$z \rightarrow t \approx \text{height}$$

The classical statistical mechanics of the fluxons in 3D is then equivalent to the quantum mechanics of interacting bosons in 2D random static potentials  $U(r)$

$$\nu_{11} = 2\nu_2$$

$$\rho_{11} \sim (\tau_{B4} - T)^{-\nu_1}$$

$$\nu_{11} \approx 2.01$$

or  $\rho_{11} \approx 2.01 \times 10^{15}$

localization length  $\ell_1 \sim (\tau_{B4} - T)^{-\nu_2}$  with  $\nu_2 \approx 2.1$

parallel correlation length

along the z-axis  $\ell_{11} \sim \ell_1^2 / D_0$

$T \rightarrow \tau_{B4}$

$$\tau_{B4}$$

Bose glass temperature  $\tau_{B4}$ .

sharp phase transition  $\tau_{B4}$

ground state energy  $E_{GS}$

or fictitious quantum problem at

low temp - linear resistance is zero

Boson Glass phase,  $\propto \frac{1}{T}$ .

QHED

Q-53.

.

Q.7. Granular high temperature superconductors.

Model 1 Prediction

I - V graph

$$V \sim \exp [ - (J_c / J)^n ]$$

$$\mu = \frac{1}{3} \text{ at low current values}$$

$$\mu = 1 \text{ at high "}$$

Scaling of resistance  $\tau$  with scaling length  $a_2$ 

$$\rho_1, \rho_{11}$$

$$V_1, V_{11}$$

$$D, D'$$

$$2D$$

$$\tau \sim \rho_1^{x'}$$

$$\rho \sim (T - T_{\text{BC}})^{1/(z-2)} \quad | \quad \rho \sim (T - T_{\text{BC}})^{0/(z-1)}$$

$$E \sim J^{(1+z')/3} \quad | \quad E \sim J^{\frac{1+z'}{2}} \text{ at } T_{\text{BC}}$$

Correlated pinning by  $\tau_{\text{BC}}$  on  $E$ 

Sharp angular dependence in the position of the irreversibility line.  
— isotropic vortex glass model on  $E$  is like this

Ideal AB junction

 $I_c : \text{ Ambegaokar - Baratoff (AB) relation}$ — isotropic vortex glass model on  $E$  is like this

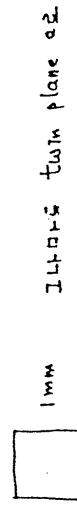
$$I_c(0) \sim 10^{-2} A, \quad I_c(0) \sim 10^6 A/cm^2$$

$$\text{size} \quad 10^3 - 10^4 \text{ A/cm}^2$$

Q-54.

① Inhomogeneities - isolated weak spots  
in otherwise ideal crystalline material.

Large scale application

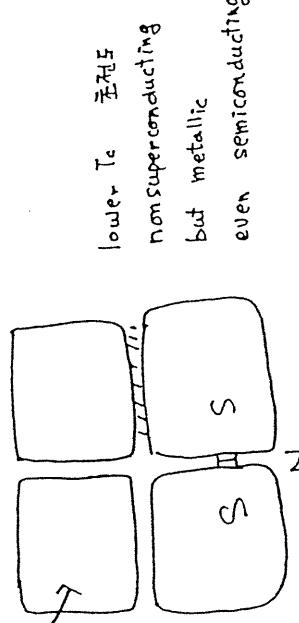


Large-scale application

Mixed oxide micron size on micron

size

Mixed oxide powder  $\Rightarrow$  pellet  
grains of relatively good stoichiometric  
crystalline material



Critical current

 $I_c(0)$  $J_c(0)$  $A$  $c$  $m$  $^2$  $A$  $c$  $m$  $^2$

Q-55. Magnetic field —  $\mathbf{H}$  &  $\mathbf{J}_{\text{eff}}$ .

Q-56

At  $T_c$  relatively few percolating paths exist.

Josephson penetration depth

$$\lambda_J = \left[ \frac{C \Phi_0}{8\pi^2 J_{cJ} (2\lambda + d)} \right]^{\frac{1}{2}}$$

Effective medium parameter

• Josephson Coupling  $\approx \frac{d\Phi}{2}$

Effective mass parameter in the anisotropic G-L model.

$$J_{cJ} = I_c/Q$$

$$I = I_c \sin(\varphi_i - \varphi_j - \frac{2\pi}{\Phi_0} \int_A \mathbf{A} \cdot d\mathbf{S})$$

London Gauge

$$\varphi_i = 0$$

$$\overrightarrow{J} = -2\pi \frac{J_{cJ} a}{\Phi_0} \hat{A}$$

Taking the curl.

$$\nabla^2 \overrightarrow{h} = \frac{8\pi^2 J_{cJ} a}{C \Phi_0} \hat{k}$$

where  $h$  is the local value of the magnetic flux density

$$\lambda_J = \left( C \Phi_0 / 8\pi^2 a J_{cJ} \right)^{\frac{1}{2}}$$

London penetration depth  $\lambda_L$

$$\lambda_L = \left( mc^2 / 4\pi n_s e^2 \right)^{\frac{1}{2}}$$

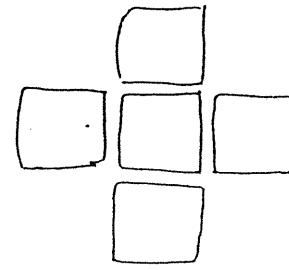
$$\lambda_J \approx 5.0 \text{ nm} \quad \text{if clean}$$

$$\lambda_J \approx (1 - T/T_c)^{-\frac{1}{2}}$$

Maximum phase gradient

$$\nabla \varphi \sim \frac{1}{\sqrt{3}} \xi$$

$$\sim \pi/2 a$$



$$\xi_J = 2a/\sqrt{3}\pi \sim 0.4a$$

$$\therefore H_{cJ} = \left( \frac{H_{cJ}^2}{8\pi} \cdot a^3 \right)^{\frac{1}{2}} \sim 1.6 Q$$

$$k_J = \lambda_J / \xi_J = \left( 3 \frac{\Phi_0}{c} \cdot c / (32 J_{c1} \alpha^2) \right)^{1/2}$$

$$\sim 10 \frac{HTSC}{\text{cm}}$$

GL theory:

the field for first fluxon penetration in a high K superconductor

$$H_{c1} = \frac{\Phi_0}{4\pi \lambda^2} \ln k$$

$$\begin{aligned} & \stackrel{5}{=} \\ & \propto \lambda_J, K_J \stackrel{5}{\geq} \lambda_J \stackrel{5}{\geq} \lambda_J \end{aligned}$$

$$= \frac{2\pi \alpha J_{c1}}{c} \cdot \ln \left( \frac{\lambda_J}{\xi_J} \right)$$

$$\sim 0.5 \text{ Oe}$$

$$| - | > H_{c1,J} \stackrel{5}{\approx} \frac{\Phi_0}{\lambda^2}$$

Josephson weak link  $\stackrel{5}{\approx}$   
2nd fluxon  $\stackrel{5}{\approx} \frac{\Phi_0}{\lambda^2}$   $\stackrel{5}{\approx}$   
Bean or Critical-state penetration occurs  
for  $H > H_{c1,J}$

flux in grain  $\propto \xi_J^2 \stackrel{5}{\approx} \xi_{c1,J}^2$

$$\text{Field gradient } \frac{4\pi J_{c1}}{c} \sim 1000 \text{ Oe/cm}$$

$H_{c2}$  for type II

$$H_{c2} = \frac{\Phi_0}{2\pi \lambda^2} \stackrel{5}{\approx}$$

$$\propto \alpha \stackrel{5}{\approx} \lambda^2 \quad H_{c2,J} = 3\pi \frac{\Phi_0}{8\alpha^2} \stackrel{5}{\approx}$$

$$0.1 \text{ field } \xi \text{ flux quanta } \stackrel{5}{\approx} 10^{-12} \text{ erg} \text{ on 1 cm}^2$$

Fig.

- For  $H < H_{c1,J}$  ( $\sim 0.5 \text{ Oe}$ ), the field is screened exponentially over a distance  $\lambda_J \sim 5 \mu\text{m}$

- For  $H_{c1,J} < H < H_{c2,J}$  ( $\sim 25 \text{ Oe}$ )  
the field penetrates a distance  $\sim \lambda_J/4\pi J_{c1}$   
in a Bean-type critical state, leaving the grains as partially diamagnetic inclusions.

$$3. H \longrightarrow H_{c2,J}$$

$J_{c1}$  is reduced by a factor  $\sim H_{c2}/H$   
by the phase randomization, allowing even deeper field penetration

- $H < H_{c1,J}$  ( $\sim 500 \text{ Oe}$ ), the field penetrates into each grain only to a depth  $\lambda_J \sim 1500 \text{ nm}$
- $H > H_{c1,J}$ , fluxons enter the grain
- When  $H > H_{c2,J}$ , set up another "Bean model" screening within each grain, but with the penetration depth determined by  $J_{c2}$  instead of  $J_{c1}$

- Finally, at  $H_{c2,J}$ , all superconductivity is extinguished.

9.7.2.

q-59.

Relationship between Granular and Continuum Model.

Q.8.5

Fluxons and High frequency losses

High freq. electromagnetic properties

London two fluid model

BCS  
low - high freq.

Comprehensive and unified approach

Coffey and Clem

- a.c field, d.c field, surface normal anisotropy arises of the superconductor.
- frequency, temperature, pinning strength

Complex penetration depth

$$Z_s = R_s - iX_s$$

$$= -i \cdot \frac{4\pi w}{c} \tilde{\lambda}(\omega, B, T)$$

$\tilde{\lambda}(\omega, B, T)$  : exponential decay and phase evolution of the rf magnetic field  $B$

$$\tilde{B}(x, t) = \hat{z} b_0 e^{-k_x x} e^{-i\omega t}$$

Clem &amp; Coffey or

$$\nabla \times \tilde{J}_s = - \frac{c}{4\pi\lambda^2} (\vec{B} - n\vec{\Phi}_0 \hat{z})$$

4-60.

$$\nabla \times \tilde{J}_s = - \frac{c}{4\pi\lambda^2} (\vec{B} - n\vec{\Phi}_0 \hat{z})$$

$$\nabla \times \vec{B} = \frac{4\pi}{c} \vec{J}$$

$$\therefore \nabla \times \nabla \times \vec{B} = - \underbrace{\frac{1}{\lambda^2} (\vec{B} - n\vec{\Phi}_0 \hat{z})}_{\text{Current } \vec{G}_{nf} \vec{E} \text{ of}} + \underbrace{\frac{4\pi G_{nf}}{c^2} \frac{\partial}{\partial t} \vec{B}}_{\text{normal fluid driven by the induced electric field}}$$

$$\nabla^2 \vec{B} = \frac{4\pi G_{nf}}{c^2} \frac{\partial}{\partial t} \vec{B} + \frac{1}{\lambda^2} (\vec{B} - n\vec{\Phi}_0 \hat{z}) \quad \dots *$$

Equation of motion for the displacement  $u$  from equilibrium at pinning site is

$$\gamma \ddot{u}(x, t) + \kappa \vec{u}(x, t) = \vec{f}(x, t) \times \vec{\Phi}_0 \hat{z} / c \quad \dots *$$

$\gamma$  : viscous drag coefficient

$\kappa$  : restoring force constant.

Self consistently  $\frac{\kappa}{2} \pi r$ .

$$\tilde{\lambda}(\omega, B, T) = \lambda \left( \frac{1 + i \frac{\tilde{\delta}_s}{\delta_{nf}} / 2\lambda^2}{1 - 2i \frac{\lambda^2}{\delta_{nf}^2}} \right)^{1/2}$$

$$T \rightarrow T_c, B \rightarrow B_{ci}(T), n_s \rightarrow 0$$

Clem and Coffey assume the simple analytic dependence

$$\frac{1}{\lambda^2(B, T)} = \frac{(1-t^4) [1 - B/\beta_{c2}(T)]}{\lambda^2(0, 0)}$$

- q.q. Anomalous properties of high temperature and Exotic Superconductors.

二

- ### q.a.1. Unconventional Pairing

## **Microscopic Nature of the Superconducting state**

Antiferromagnetic fluctuation - D wave pairing

ପ୍ରକାଶକ ପତ୍ର ଓ ବ୍ୟାପକ ବିଜ୍ଞାନ

High  $T_c$ , anisotropy, estimated Fermi Velocity

density of state

|              |                  |           |                 |          |
|--------------|------------------|-----------|-----------------|----------|
| $\Delta C$   | Josephson effect | frequency | $2eV/h$         | $T_{JL}$ |
| flux quantum |                  |           | $\frac{hc}{2e}$ |          |

Andreev reflection along time-reversed trajectory

古文

Knight shift is observed

$\Rightarrow$  spin singlet  
Not compatible with conventional  $s$ -wave superconductivity.

## With material anisotropy

$$v_{k^*} = \arg \max_{v \in \mathcal{V}} \left( v(R_i - \bar{r}_i) e^{(R_i - \bar{r}_i)/\sigma} \right)$$

କାନ୍ତିର ପଦମାଲା

$$g(t^*) = \frac{\sum_{k'} V_{kk'} g_{k'}}{2E - E}$$

- (1) Superconducting phenomena directly reflecting the symmetry of the paired state

(2) phenomena reflecting the density of states for quasi-particles

### group theory

q-62.

Sigrist and Rice 91 eq 2

9-64.

double-junction dc SQUID on 278

Annett - tetragonal  
YBCO - tetragonal, Orthorhombic

Chain ...

flat tetragonal orbit

Several possible symmetries for the gap function

S wave : full tetragonal symmetry  $\propto \Phi_{10\sigma}$   
Crystal

d wave symmetry -  $\propto z^2 y^2$  symmetry  $\propto \Phi_{10\sigma}$

This function is clearly of lower symmetry  
than tetragonal - change sign

pairing state

Strong repulsive core at short distance

$T_{c2} = 0$  in 4 probability amplitude goes  
to zero.

Q, Q, 2. Pairing Symmetry and Flux Quantization

$$\Delta(\vec{r}) \propto (\rho_x^2 - \rho_y^2) \propto \cos 2\theta$$

Sigrist and Rice

$$\begin{aligned} \text{Josephson Current} & \propto \cos 2\theta \\ & \propto \cos 2\theta_0 \end{aligned}$$

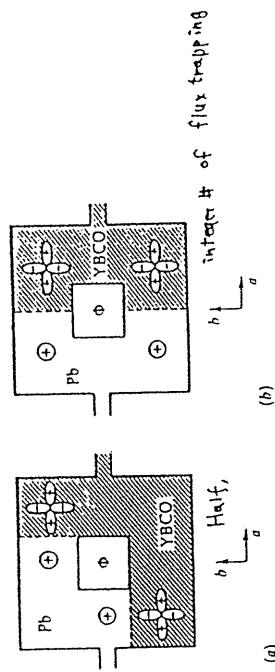


FIGURE 9.12  
Superconducting SQUID rings combining films of Pb (with s-wave symmetry) and YBCO (with assumed  $d_{+/-}d_{-/+}$  symmetry). The dashed lines represent Josephson junctions between the two metals. In configuration (a), the intrinsic angle-dependent sign of the order parameter effectively introduces a phase difference of  $\pi$  between the two junctions, leading to a halfintegral number of flux quanta trapped in the ring. In (b), both junctions are on the same face of the assumed d-wave superconductor, so that there is no such phase difference, and the quantization leads to integral numbers of trapped flux quanta, as expected with conventional superconductors.

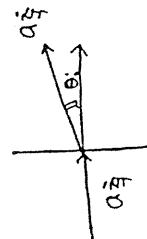
$\frac{\Phi}{2}$  trapped flux quantum ring of lowest energy state  $\Theta$ .

Woolman :  $\frac{\Phi}{2}$  trapped flux quantum ring of lowest energy state  $\Theta$ .

Tsuji, Matoh :  $\Theta$  trapped flux quantum object  
of size  $\Theta$ ?

Scanning SQUID obj.

- Scan the entire sample configuration for trapped flux or pinned vortices.



### 9.9.3. The energy gap

The Gap symmetry :

$$\text{BCS} \quad \text{(i)} \quad \frac{2\Delta}{k_B T_c} = 3.52 \propto T_c$$

- 2) No quasi particle states
- 3) density of state —  $\frac{1}{2} \pi \propto \Delta$  singular peak in the density of states at the gap edge.

**HTS**

Specific heat,  
 $T - V$  for single - particle tunneling  
 Magnetic penetration depth  $\lambda$   
 frequency - dependent electromagnetic absorption

$\frac{1}{T}$  for nuclear resonance

HTSC — clear deviations from BCS

Ortogonal d-wave  $\frac{1}{2} \propto \frac{1}{T}$

The Gap width

$$\frac{2\Delta}{k_B T_c} :$$

$\Delta - \eta \propto \xi$

HTSC  $\xi$  not weak coupling BCS.

It is possible that very strong coupling could account for such a high  $2\Delta/k_B T_c$  ratio

- change of sign of Josephson coupling under rotation by  $\pi/2$
- d - wave pairing
- Angle resolved photoemission Spectroscopy on BSCCO (ARPES)

d - wave tilt something very similar.  
 gap like feature is found below  $T_c$   
 along the  $K_x$  or  $K_y$  direction.  
 but not along direction rotated by  $\frac{\pi}{4}$  from them in the ab plane

States in the gap.

NMR -  $\Theta$  No Hebel - Slichter peak in  $\frac{1}{T}$

- ② At low temp  $\frac{1}{T} \sim T^2$  or  $T^{4.5}$
- ③ Cur - curr

Spatial variation of the superconductivity within the crystal unit cell.

$\tilde{\Theta}_{HTS}$

- 1.  $\frac{1}{T}$  or exponential decay  $\Theta \propto e^{-\Delta/T}$ 
  - absence of clean energy gap power law variation is at least qualitatively consistent with the d - wave pairing.
- 2. absence of the Hebel - Slichter peak
  - Break down or modification of BCS coherence

### Conclusion

1. Due inconsistencies on quasi-particle excitation spectrum of the HTSC
  - BCS like gluon signature &c.
2. Gap is wider relative to  $T_c$ , but is not clean.
3. Intrinsic density of state
  - zero energy gap at  $T=0$  K if the pairing were expected if the pairing were d-wave instead of the BCS s wave.

Q.Q. 4.

### Heavy Fermion Superconductors

#### Heavy Fermion Superconductor

- exotic type of superconductor discovered before the HTSC.
  - unconventional pairing
- $T_c \sim 1\text{K}$  rather than  $\sim 10\text{K}$ .

Great scientific interest

- May provide one of the few examples of unconventional pairing in superconductors.

existence of several distinct superconducting phases in pressure - temperature plane.

1. Due inconsistencies on quasi-particle excitation spectrum of the HTSC
  - unconventional pairing with a complicated order parameter.
2.  $C_V \sim T$  or  $T^2$  depending on the material quality of the sample.

- Clean.
- Clean Fermi energy gap at  $T=0$  K.
  - $C_V \propto T$
  - $T_c \sim 1\text{K}$
  - $C_V \propto T^2$

Great scientific interest

- May provide one of the few examples of unconventional pairing in superconductors.

1. One type of electron of mass  $\sim 100\text{m}_e$ 
    - CeCu<sub>2</sub>Si<sub>3</sub> and UPt<sub>3</sub>
  2. Mass - electron mass of  $\sim 100\text{m}_e$
  3. Specific heat
- Heavy Fermion Heavy Fermion

## 0. Special topics

1959. Anderson

10-2.

Bogoliubov eq.

- : Spectrum of excitations for spatially inhomogeneous superconductors.

Ansatz

- Anderson dirty superconductor theory
- low lying excitations in a vortex core which make it quasi normal
- magnetic impurity or excitation spectrum of the transition
- gapless superconductor

Time dependent GL theory for gapless superconductor  
chap 11.

Relaxation by inelastic electron-phonon processes  
when an energy gap does exist

### 10.1. The Bogoliubov Method:

Generalized self-consistent field

- BCS :  $k_F, k_B$   
 $k$  was a good quantum number
- Hartree-Fock equations of the many electron theory to include the effects of the superconducting pairing potential  $\Delta(\mathbf{r})$  as well as the ordinary scalar potential  $U(\mathbf{r})$

General prescription :

- Applicable in dirty superconductor
- pair time reversed state.

Ansatz

- Electronic eigenfunctions are some functions  $W_n(\vec{r})$  which are certainly far from plane waves.
- $W_n(\vec{r}) \equiv W_n^*(\vec{r})$  is degenerate

Due to time reversal noninvariant terms in the hamiltonian

- $W_n(\vec{r}) \equiv W_n^*(\vec{r})$  or spin reversal of  $\vec{r}$
- $T_c, H_c, \Delta \equiv$  independent of the electronic mean free path.

Bogoliubov eq.

## Bogoliubov - de Gennes method

$$\Psi(\vec{r}, \uparrow) = \sum_n \{ \gamma_{n\uparrow} u_n(\vec{r}) - \gamma_{n\downarrow}^+ u_n^*(\vec{r}) \}$$

$$[\gamma_{n\alpha}, \gamma_{m\beta}] = 0 \quad \text{anti-commutator}$$

$$[\gamma_{n\alpha}, \gamma_{m\beta}^+]_+ = \delta_{mn} \delta_{\alpha\beta}$$

$|\psi|^2$  : Cooper pair + occupy  $\frac{1}{2} \gamma_{n\downarrow}^2$

$$\Psi(\vec{r}, \downarrow) = \sum_n \{ \gamma_{n\downarrow} u_n(\vec{r}) + \gamma_{n\uparrow}^+ u_n^*(\vec{r}) \}$$

$$H_{\text{eff}} = \int d\vec{r} \left\{ \sum_n \Psi^+(\vec{r}, \alpha) \left[ \frac{1}{2m} (-i\hbar\nabla - \frac{e\vec{A}}{c})^2 + U(\vec{r}) - \mu \right] \Psi(\vec{r}, \alpha) \right\}$$

$$+ \Delta(\vec{r}) \Psi^+(\vec{r}, \uparrow) \Psi^+(\vec{r}, \downarrow) + \Delta^*(\vec{r}) \Psi(\vec{r}, \downarrow) \Psi(\vec{r}, \uparrow) \}$$

$$\Delta(\vec{r}) = V \langle \Psi(\vec{r}, \uparrow) \Psi(\vec{r}, \downarrow) \rangle$$

$$= V \sum_{n,n'} \{ \langle \gamma_{n\uparrow} \gamma_{n'\downarrow}^+ \rangle u_n u_{n'}^* - \langle \gamma_{n\downarrow}^+ \gamma_{n'\downarrow} \rangle u_n u_{n'}^* \} \delta_{nn'}$$

$$= V \sum_n \{ 1 - \gamma_{n\uparrow}^+ \gamma_{n\downarrow} - \gamma_{n\downarrow}^+ \gamma_{n\downarrow} \} u_n u_n^*$$

$$= V \sum_n (1 - 2f) u_n^*(\vec{r}) u_n(\vec{r})$$

$$H_{\text{eff}} \xrightarrow{\text{diagonalize}} E_g + \sum_n E_n \gamma_{n\downarrow}^+ \gamma_{n\downarrow}$$

$$[H_{\text{eff}}, \gamma_{nd}] = \sum_{n',d'} E_{n'} [\gamma_{n'd'}^+ \gamma_{n'd'}, \gamma_{nd}]$$

$$\begin{aligned} &= \sum_{n',d'} E_{n'} [\gamma_{n'd'}^+ \gamma_{n'd'} \gamma_{nd} - \gamma_{nd} \gamma_{n'd'}^+ \gamma_{n'd'}] \\ &= - \sum_{n',d'} \underbrace{E_{n'} (\gamma_{n'd'}^+ \gamma_{nd} + \gamma_{nd} \gamma_{n'd'})}_{\delta_{nd}} \gamma_{n'd'} \end{aligned}$$

$$\delta_{nd}, \delta_{dd'}$$

$$= - E_n \gamma_{nd}$$

and

$$[H_{\text{eff}}, \gamma_{n\alpha}^+] = E_n \gamma_{n\alpha}^+$$

$$\begin{aligned} & [ \Psi(\vec{r}, \uparrow), H_{\text{eff}} ] = \int d\vec{r}' \sum_{\alpha} \{ \Psi^+(\vec{r}', \alpha') H_{\text{eff}} \Psi(\vec{r}', \alpha') + U(\vec{r}') \Psi^+(\vec{r}', \alpha') \Psi(\vec{r}', \alpha') \} \\ &= [ \Psi(\vec{r}, \uparrow), \int d\vec{r}' \sum_{\alpha} \{ \Psi^+(\vec{r}', \alpha') H_{\text{eff}} \Psi(\vec{r}', \alpha') + U(\vec{r}') \Psi^+(\vec{r}', \alpha') \Psi(\vec{r}', \alpha') \} ] \end{aligned}$$

$$+ \Delta(\vec{r}') \Psi^+(\vec{r}', \uparrow) \Psi^+(\vec{r}', \downarrow) + \Delta^*(\vec{r}') \Psi(\vec{r}', \downarrow) \Psi(\vec{r}', \uparrow)$$

$$\text{where } H_{\text{eff}} \equiv \frac{1}{2e} (-i\hbar \nabla - \frac{e\vec{A}}{c})^2 - \mu$$

$$\Rightarrow 1^{\text{st}} \text{ term} = H_{\text{eff}}(\vec{r}) \Psi(\vec{r}, \uparrow)$$

$$2^{\text{nd}} \text{ term} = U(\vec{r}) \Psi(\vec{r}, \uparrow)$$

$$3^{\text{rd}} \text{ term} = \Delta(\vec{r}) \Psi^+(\vec{r}, \downarrow)$$

$$4^{\text{th}} \text{ term} = 0$$

$$\therefore [\Psi(\vec{r}, \uparrow), H_{\text{eff}}] = (H_{\text{eff}} + U(\vec{r})) \Psi(\vec{r}, \uparrow) + \Delta(\vec{r}) \Psi^+(\vec{r}, \downarrow)$$

$$[\Psi(\vec{r}, \downarrow), H_{\text{eff}}] = 1^{\text{st}} \text{ term} = H_{\text{eff}}(\vec{r}) \Psi(\vec{r}, \downarrow)$$

$$2^{\text{nd}} \text{ term} = U(\vec{r}) \Psi(\vec{r}, \downarrow)$$

$$3^{\text{rd}} \text{ term} = -\Delta(\vec{r}) \Psi^+(\vec{r}, \downarrow)$$

10-5.

$$\therefore [\psi(r, t), H_{\text{eff}}] = (H_e + U(r)) \psi(r, t) - \Delta(F) \psi^*(r, t)$$

retro reflection

$$\begin{aligned} LHS &= \sum_n [\gamma_{nF} U_n(F) - \gamma_{nF}^* U_n^*(F), H_{\text{eff}}] \\ &= \sum_n [\gamma_{nF}, H_{\text{eff}}] U_n(F) - [\gamma_{nF}^*, H_{\text{eff}}] U_n^*(F) \\ &= \sum_n [E_n U_n(F) \gamma_{nF} + E_n U_n^*(F) \gamma_{nF}^*] \end{aligned}$$

$$i\hbar \frac{\partial U_n}{\partial t} = E_n U_n(F) = (H_e + U(F)) U_n(F) + \Delta(F) U_n(F)$$

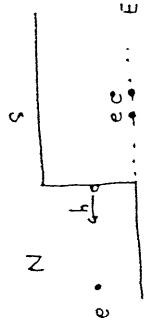
$$E_n U_n(F) = -(H_e + U(F)) U_n^*(F) + \Delta(F) U_n^*(F)$$

$$i\hbar \frac{\partial U_n}{\partial t} = E_n U_n(F) = -(H_e^* + U(F)) U_n(F) + \Delta^*(F) U_n(F)$$

$$i\hbar \frac{\partial}{\partial t} \begin{pmatrix} U_n \\ U_n \end{pmatrix} = \begin{pmatrix} H_e + U & \Delta \\ \Delta^* & -(H_e^* + U) \end{pmatrix} \begin{pmatrix} U_n \\ U_n \end{pmatrix}$$

Bogoliubov - de Gennes eq.

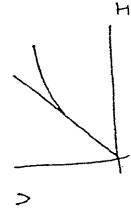
$$U_n^2 + U_n^* = 1$$



Andreev - reflection.

electronic interface on the electron  
condensational domain, final hole of  
state or the  $\Delta$  (super)

pair condensation : increase in the conductance



$$\begin{aligned} \vec{U}_e &= \frac{1}{\hbar} \frac{dE_e}{d\vec{k}_e} \\ \vec{U}_n &= \frac{1}{\hbar} \frac{dE_n}{d\vec{k}_n} \end{aligned}$$

S

ballistic Scattering

$$t < 0$$

$\vec{k}_{\text{initial}}$

non-Ohmic

$$S \rightarrow \Delta_0 e^{i\varphi}$$

hole of S.C.의 wave function의 phase는 TCH3

기초 고 속성으로 예상해 결과 실증을 위한 목표.

$\Omega \subseteq \mathcal{A}_N$ , System은  $T_{\text{c}}$ 에

TCH3 pick up.

미로를 통과하거나 정복?

10-6.

In Bogoliubov - transf.

10^-7.

$\sigma_{II}$ , NS interface

10^-8

$\Psi(\vec{r}, t)$  : spatial variations of order parameter

$$i\hbar \frac{\partial}{\partial t} f = \left[ -\frac{\hbar^2}{2m} \nabla^2 + V(x) - \mu(x) \right] f(x, t) + \Delta(x) g(x, t)$$

$$i\hbar \frac{\partial}{\partial t} g = - \left[ -\frac{\hbar^2}{2m} \nabla^2 + V(x) - \mu(x) \right] g(x, t) + \Delta(x) f(x, t)$$

$$\begin{aligned} f &: \text{electron branch} \\ g &: \text{hole branch} \end{aligned}$$

Steady State

$$\begin{aligned} f &= \tilde{u} e^{i(\epsilon_x - E t / \hbar)} \\ g &= \tilde{v} e^{i(\epsilon_x - E t / \hbar)} \end{aligned}$$

$V = 0$  free electron or hole

$$E \tilde{u} = \left( \frac{\hbar^2 k^2}{2m} - \mu \right) \tilde{u} + \Delta \tilde{v}$$

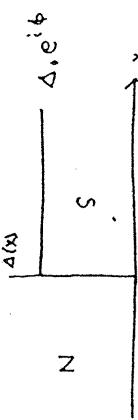
$$E \tilde{v} = - \left( \frac{\hbar^2 k^2}{2m} - \mu \right) \tilde{v} + \Delta^* \tilde{u}$$

$$\begin{pmatrix} H, \Delta(x) \\ \Delta^*(x), -H \end{pmatrix} \Psi = E \Psi$$

$$\Psi = \begin{pmatrix} \tilde{u} \\ \tilde{v} \end{pmatrix} e^{iEx}$$

$$H = \frac{\hbar^2 k^2}{2m} - \mu$$

chemical potential



$$i\hbar \frac{\partial}{\partial t} \tilde{u} = \left( H - \Delta_0 e^{i\phi} \begin{pmatrix} \tilde{u} \\ \tilde{v} \end{pmatrix} \right) \begin{pmatrix} \tilde{u} \\ \tilde{v} \end{pmatrix} e^{ikx} = E \begin{pmatrix} \tilde{u} \\ \tilde{v} \end{pmatrix} e^{ikx}$$

$$i\hbar \frac{\partial}{\partial t} \tilde{v} = \left( \Delta_0 e^{-i\phi} (H - \mu) \right) \begin{pmatrix} \tilde{u} \\ \tilde{v} \end{pmatrix} e^{ikx} = E \tilde{v}$$

$$\Delta_0 e^{-i\phi} \tilde{u} - \left( \frac{\hbar^2 k^2}{2m} - \mu \right) \tilde{v} = E \tilde{v}$$

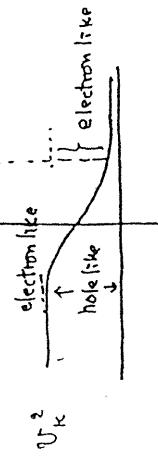
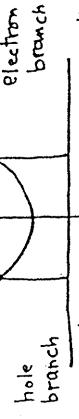
$$\left[ E - \left( \frac{\hbar^2 k^2}{2m} - \mu \right) \right] \tilde{u} = \Delta_0 e^{i\phi} \tilde{v}$$

$$\left[ E + \left( \frac{\hbar^2 k^2}{2m} - \mu \right) \right] \tilde{v} = \Delta_0 e^{-i\phi} \tilde{u}$$

$$\text{or } \frac{E+V}{2} \text{ or } \frac{E-V}{2}$$

$$E^2 - \left( \frac{\hbar^2 k^2}{2m} - \mu \right)^2 = \Delta_0^2$$

$$\rightarrow \hbar k^\pm = \sqrt{2m (\mu \pm \sqrt{E^2 - \Delta_0^2})}$$



for  $K^+$ 

$$\left( E - \left( \frac{\hbar^2 k^2}{2m} - \mu \right) \right) \tilde{U} = \Delta_0 e^{i\phi} \tilde{V}$$

$$\left( E - \sqrt{E^2 - \Delta_0^2} \right) \tilde{U} = \Delta_0 e^{i\phi} \tilde{V}$$

$$\text{Put } \tilde{V} = V_0$$

$$\begin{aligned} \tilde{U} &= \frac{\Delta_0}{E - \sqrt{E^2 - \Delta_0^2}} V_0 e^{i\phi} = \frac{E + \sqrt{E^2 - \Delta_0^2}}{\Delta_0} V_0 e^{i\phi} \\ &= V_0 e^{i\phi} \end{aligned}$$

 $\therefore \chi < 0$ 

$$U_0^2 + V_0^2 = 1 \quad \sqrt{\frac{E^2 - \Delta_0^2}{E}}$$

$$U_0^2 = \frac{1}{2} \left( 1 - \sqrt{\frac{E^2 - \Delta_0^2}{E}} \right)$$

$$K^+ : \psi_e^{K^+}(x) = \begin{pmatrix} U_0 e^{i\phi} \\ V_0 \end{pmatrix} e^{iK^+ x}$$

(उपरी गुणस्तंश वृत्ति इलेक्ट्रॉन लाई)

$$\text{for } K^- : \left( E - \left( \frac{\hbar^2 k^2}{2m} - \mu \right) \right) \tilde{U} = \Delta_0 e^{i\phi} \tilde{V}$$

$$\left( E + \sqrt{E^2 - \Delta_0^2} \right) \tilde{U} = \Delta_0 e^{i\phi} \tilde{V}$$

$$\text{Put } \tilde{V} = V'$$

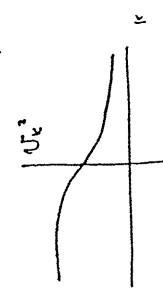
$$\tilde{U} = \frac{\Delta_0}{E + \sqrt{E^2 - \Delta_0^2}} V' e^{i\phi}$$

$$= \frac{\Delta_0}{E - \sqrt{E^2 - \Delta_0^2}} V' e^{i\phi}$$

$$U'^2 + V'^2 = 1$$

$$\begin{aligned} U'^2 &= U_0^2 = \frac{1}{2} \left( 1 + \sqrt{\frac{E^2 - \Delta_0^2}{E}} \right) \\ U'^2 &= V_0^2 = 1 - \sqrt{\frac{E^2 - \Delta_0^2}{E}} \end{aligned}$$

$$K^- : \psi_h^{K^-}(x) = \begin{pmatrix} V_0 e^{i\phi} \\ U_0 \end{pmatrix} e^{iK^- x}$$



$$U'^2 + V'^2 = 1 \quad \sqrt{\frac{E^2 - \Delta_0^2}{E}}$$

$$\begin{aligned} \left( \frac{\hbar^2 k^2}{2m} - \mu \right) \tilde{U} &= E \tilde{U} \\ \left( \frac{\hbar^2 k^2}{2m} - \mu \right) \tilde{V} &= E \tilde{V} \end{aligned}$$

$$\left[ E - \left( \frac{\hbar^2 k^2}{2m} - \mu \right) \right] \tilde{U} = 0$$

$$\left[ E + \left( \frac{\hbar^2 k^2}{2m} - \mu \right) \right] \tilde{V} = 0$$

$$- \hbar q_F^\pm = \sqrt{2m(\mu \mp E)}$$

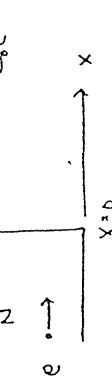
$$\psi_e^{K^+}(x) = \begin{pmatrix} 1 \\ 0 \end{pmatrix} e^{iK^+ x}$$

$$q^+ : \tilde{U} = 0,$$

$$\psi_e^{K^-}(x) = \begin{pmatrix} 0 \\ 1 \end{pmatrix} e^{iK^- x}$$

$$q^- : \tilde{U} = 0$$

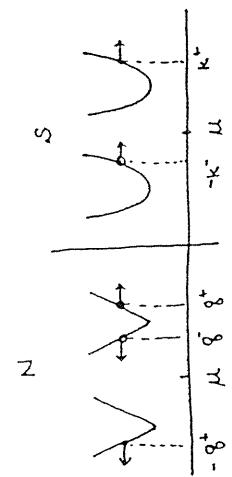
$$l = c u_0 e^{i\phi} \rightarrow c = \frac{1}{u_0} e^{-i\phi}$$



$$\psi_{in} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} e^{iq^+ x} + a \underbrace{\begin{pmatrix} 0 \\ 1 \end{pmatrix} e^{iq^+ x} + b \begin{pmatrix} 1 \\ 0 \end{pmatrix} e^{-iq^- x}}_{\text{Andreev reflection}}$$

$\psi_{in}(0) = \psi_{tr}(0)$

$$\psi_{tr} = c \left( \frac{u_0 e^{i\phi}}{u_0} \right) e^{iq^+ x} + d \left( \frac{u_0 e^{-i\phi}}{u_0} \right) e^{-iq^- x}$$



$$(6.c) \quad \psi_{in}(0) = \psi_{tr}(0)$$

$$\psi_{in}'(0) = \psi_{tr}'(0)$$

$$\begin{pmatrix} 1 \\ 0 \end{pmatrix} + a \begin{pmatrix} 0 \\ 1 \end{pmatrix} + b \begin{pmatrix} 1 \\ 0 \end{pmatrix} = c \left( \frac{u_0 e^{i\phi}}{u_0} \right) + d \left( \frac{u_0 e^{-i\phi}}{u_0} \right)$$

$$q^+ \begin{pmatrix} 1 \\ 0 \end{pmatrix} + q^- a \begin{pmatrix} 0 \\ 1 \end{pmatrix} - q^+ b \begin{pmatrix} 1 \\ 0 \end{pmatrix} = c k^+ \left( \frac{u_0 e^{i\phi}}{u_0} \right) - d k^+ \left( \frac{u_0 e^{-i\phi}}{u_0} \right)$$

0.15 egs & quasi particles এবং ইলেক্ট্রন এবং হোল পার্টিকুলের উপর পরিবর্তন

$b = d = 0$   
electron & hole এর উপর পরিবর্তন  
transform  
→ mixed branch.

$$\alpha = c u_0 = \frac{u_0}{u_0} e^{-i\phi}$$

$$\psi_{in} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} e^{iq^+ x} + \left( \frac{u_0}{u_0} e^{-i\phi} \right) e^{iq^- x}$$

hole এর wave function  $e^{-i\phi}$  ২টি phase রে pick up.

i.e., microscopic quantity এর macroscopic quantity রে pick up.

$$\psi_{tr} = \left( \frac{1}{u_0} e^{-i\phi} \right) e^{iq^+ x}$$

$$|\alpha| = \frac{u_0}{u_0}$$

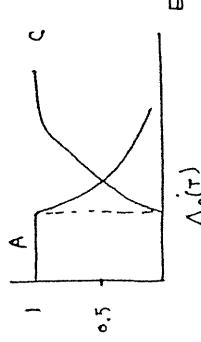
$$A(E) = \alpha^* \alpha = \left| \frac{u_0}{u_0} \right|^2$$

$$= \left| \frac{E - \sqrt{E^2 - \Delta_0^2}}{E + \sqrt{E^2 - \Delta_0^2}} \right|^2$$

$$C(E) = C^* C = (u_0^2 - u_0'^2)$$

$$= 1 - \left| \frac{u_0'}{u_0} \right|^2$$

$$= 1 - A(E)$$



গৃহীত ফলাফল মাঝে → Andreev reflection রেজিস্ট্রেশন

" " → b & d রে ইলেক্ট্রন এবং হোল পার্টিকুলের উপর পরিবর্তন

### 10.1.1. Dirty Superconductors.

10-13.

Self-consistent  $\Delta$   $\approx \Delta_{\text{cr}}$

Anderson problem of a dirty, but nonmagnetic Superconductor.

the normal state Eigenst.  $u_n$  satisfy

$$H_0 u_n = k_n u_n$$

where  $k_n$  is the eigenvalue measured from the chemical potential  $\mu$ .

Pure metal one

$u_n$  is Bloch functions of  $k$  is well defined for  $\epsilon < 0$ .

Due to impurity  $\gamma$   $u_n$  is

Metal of ordinary homogeneous state

$\Delta(F)$  or  $\gamma$  constant.

$$U_n(\vec{r}) = U_n u_n(\vec{r})$$

$$V_n(F) = V_n u_n(F)$$

where  $U_n, U_n$  are now simply numbers

$$(\xi_n - E_n) U_n + \Delta U_n = 0$$

$$(-\xi_n - E_n) U_n + \Delta^* U_n = 0$$

whose solution requires

$$E_n = (\xi_n^2 + \Delta^2)^{1/2}$$

$$(-\xi_{k+q} - E_k) U_k + \Delta U_k = 0$$

$$\frac{1}{V} = \frac{1}{2} \sum_n \frac{|u_n(F)|^2}{E_n} \tanh \frac{\beta E_n}{2}$$

Due to scattering of density of state  $\epsilon$   $\Phi(\epsilon)$   $\approx \epsilon$

$$[1 - \Gamma(\epsilon)]$$

$T, \Delta \ll \Phi(\epsilon) \approx \epsilon$ .

10.1.2. Uniform Current in Pure Superconductors.

만약 전류가 흐르면

gap이  $\gamma$   $\approx \epsilon$   $\approx \Delta$

초자드는 유지된다.

Quasi particle energy  $\epsilon$   $\approx$   $U_F, P$  투과된다.

$$\Delta = |\Delta| e^{i \varphi_F}$$

Due to impurity scattering of  $\gamma$   $\approx \epsilon$

$U_n(F), U_n(\vec{r}) \approx 0$   $\gamma$   $\approx \epsilon$  plane wave

$$H_0 U(\vec{r}) + \Delta(F) U(\vec{r}) = E U(F) \quad [10-4]$$

$$- H_0^* U(\vec{r}) + \Delta^*(F) U(\vec{r}) = E U(\vec{r}) \quad [10-5]$$

$$U_k(F) = U_k e^{i(\vec{k}-\vec{q}) \cdot \vec{r}} \quad [10-6]$$

$$U_k(\vec{r}) = U_k e^{i(\vec{k}+\vec{q}) \cdot \vec{r}} \quad [10-7]$$

$$= U \sum_n U_n^*(\vec{r}) U_n(F) \cdot (1-2f_n) \quad [10-8]$$

$$(\xi_{k+q} - E_k) U_k + \Delta U_k = 0$$

$$E_k = \frac{\xi_{k+q} - \xi_{k-q}}{2} + \left[ \left( \frac{\xi_{k+q} + \xi_{k-q}}{2} \right)^2 + |\Delta|^2 \right]^{\frac{1}{2}}$$

$$\text{Since } \xi_k = \frac{\hbar^2 R^2}{2m} - \mu$$

we have

$$\frac{1}{2} (\xi_{k+q} - \xi_{k-q}) = \frac{\hbar^2}{m} \vec{R} \cdot \vec{q} = \frac{\hbar}{m} \vec{P}_k \cdot \vec{q}$$

As long as  $\vec{q} \ll \vec{P}_k$ ,  $\xi_{k+q} + \xi_{k-q} \approx 2\xi_k$

$$\text{then } E_k = E_k^0 + \vec{P}_k \cdot \vec{V}_s$$

where  $\vec{V}_s = \hbar \vec{q}/m$  is the velocity of the

Super current

$E_k^0 = (\xi_k^2 + |\Delta|^2)^{\frac{1}{2}}$  is the excitation energy in the absence of a current.

$$E_{\min} = \Delta - P_F v$$

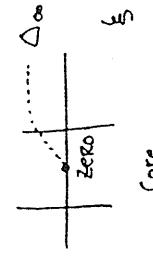
excitation on  $E_k$  on the order of  $\Delta$  for  $|\Delta| \gg \Delta$ .

$$\Delta H_T \propto \frac{1}{r^3} \Delta r$$

gapless superconductor.

We still expect the perfect conductivity properties.

$$GL \text{ eq: } \Delta(z, r, \theta) \sim |\Delta(r)| e^{i\theta}$$



we have

$$\xi_{k+q} - \xi_{k-q} = \frac{\hbar^2}{m} \vec{R} \cdot \vec{q}$$

at  $T_c$   $\xi(T_c) \gg \xi(\infty)$  &  $G_L$  is exact.

what is the nature of the quasi-particle excitations?

Caroli, de Gennes, Matrice model  $\propto q^2$ .

$$\text{Bogoliubov eq. } \begin{bmatrix} \xi \\ G_L \end{bmatrix} \propto \begin{bmatrix} \xi_0 & \Delta(r) \\ \Delta(r) & \xi_0 \end{bmatrix} \begin{bmatrix} \xi \\ G_L \end{bmatrix}$$

Bardone, Kummel, Jacobs, Tewordt &  $\xi_0$

$$\Omega \xi \propto \chi \partial H \frac{1}{r^2} \Delta r$$

Self-consistent solutions for  $\Delta(r)$  and  $h(r)$

using a variational expression for the free energy

$r \gg \xi$

$$\Omega_s = \frac{\hbar}{2mr} = \frac{\hbar}{m^*r}$$

Cave note

gapless superconductivity inside  $r \approx \xi$   
Bogoliubov eq. 2 ignore  $\partial t \partial r$ .

Low lying excitations with the wave functions  $U(r)$  and  $U(r)$  localized near the vortex core.

The lowest one lies at  $\sim \frac{\hbar^2}{2m\xi^2} \sim \frac{\Delta_\infty^2}{E_F}$

Classic superconductor in  $T < \xi^2$

$$10^{-4} \Delta_\infty \ll kT_c$$

This is effectively gapless

Hess

STM : spatially resolved density of states associated with a vortex in the layered superconductor  $^{2H}-NbSe_2$ .

$$HTSC - \xi \leq 20\text{Å}$$

lowest level is comparable with the gap. There may be only one bound state

FAR-IR Spectroscopy Drew at 10K

1992. P.R. L.

Superconductivity.

$$T_c^2 \propto D \propto \frac{1}{\xi^2}$$

- gapless  $\xi \gg \lambda$   $T_c \neq 0$ .

$\vec{k}, -\vec{k}$  on drift momentum  $\vec{g} \in \text{Chkt}$   
 $\vec{k} + \vec{g}, -\vec{k} + \vec{g}$ , thus lifting the degeneracy of  $\xi_{\vec{k}}$  and  $\xi_{-\vec{k}}$ , which has been exact because of time-reversal symmetry.

Anderson & dirty superconductivity of non-magnetic alloys with mean free path  $\lambda \ll \xi$ .

Same  $T_c$ . Same BCS density of states as that for a pure superconductor  
Abrikosov and Gor'kov & magnetic impurity  
 $\xi \gg \lambda$

- Strong depression of  $T_c$
- Modification of the BCS density of states
- It becomes gapless for a finite range of concentration below the critical value which destroys superconductivity entirely

Haki, da Gennes

: Abrikosov and Gor'kov for the density of states  
and the depression of  $T_c$  could be transcribed to  
describe the effect of many other pair breaking perturbations,  
⇒ i.e., those which destroy the time reversal  
degeneracy of the paired states.

Orzani

magnetic fields - currents, rotations,  
spin exchange, hyperfine fields,  
magnetic impurities

Gapless Superconductor in CFT

Proximity effect &  $\Delta$  is spatial gradients  
in the order parameter due to  $\Delta$

General formalism.

Green's function formalism of Gor'kov

Thermalization:

Energy loss

$A_{\text{Gr}}$  or  $\frac{\partial \epsilon}{\partial k}$  or other magnetic perturbation on  $\Delta$  is

described by the effect of many other pair breaking perturbations.

⇒ i.e., those which destroy the time reversal

degeneracy of the paired states.

Orzani

magnetic fields - currents, rotations,

spin exchange, hyperfine fields,

magnetic impurities

Orzani Scattering rate  $\tau_{\text{sc}}$

$$\frac{d\varphi}{dt} = \left( \frac{2e}{\hbar c} \right) \vec{v}_k \cdot \vec{A}$$

Orzani Scattering time  $\tau = \left( \frac{d\varphi}{d\tau} \right)^{-1}$  or  $\tau_{\text{sc}} = \frac{1}{\tau}$

phase change per collision  $\delta\varphi$  or  $\Delta\varphi$

$\frac{d\varphi}{dt} \approx$   $\Delta\varphi/\tau$  Collision with  $\Delta\varphi$

random work process on  $\Delta\varphi$

Random work on  $\Delta\varphi$

$$\frac{1}{\tau_k} = \tau \left\langle \left( \frac{d\varphi}{dt} \right)^2 \right\rangle$$

$$= \frac{1}{3} \Omega_F^2 \tau \left( \frac{2e}{\hbar c} \right)^2 \langle A^2 \rangle$$

$$= D \left( \frac{2\pi}{\Phi_0} \right)^2 \langle A^2 \rangle$$

$$D = \frac{1}{3} N_F \lambda = \frac{1}{3} \Omega_F^2 \tau , \quad 2d = \lambda / \tau_k$$

Pair breaking vs  $T_c$  reduction.

10-21.

Maki & de Gennes

10-22.

$$\ln \frac{T_c}{T_{c0}} = \Psi\left(\frac{1}{2}\right) - \Psi\left(\frac{1}{2} + \frac{\alpha}{2\pi k T_c}\right)$$

$\Psi(z) = \Gamma'(z)/\Gamma(z)$  digamma function.

$$T_c = T_c(\alpha), \quad T_{c0} = T_c(0)$$

Expansion.

$$\ln\left(\frac{T_c}{T_{c0}} - 1\right) = \frac{\pi\alpha}{4} = \frac{(\frac{\pi}{8})\hbar}{T_c k}$$

Superconductivity is completely destroyed

$$(i.e., \quad T_c = 0) \quad \text{for}$$

$$2\alpha = \frac{\hbar}{T_c} = 1.76 \text{ K}T_c = \Delta_{BCS}(0) = \Delta_0$$

Temperature dependence of the critical pair breaking strength  $\alpha$ ,

$\alpha \propto \sqrt{T_c}$

All pair breaking ergodic perturbations are equivalent

To magnetic impurities in their effect on  $T_c$ .

This function  $d_c(T)$  should be a universal function,

$$d_c = \frac{DeH}{c} \quad \text{bulk type II in vortex state}$$

$$d_c = 0.59 \frac{DeH}{c} \quad \text{surface sheath}$$

$$d_c = \frac{1}{6} \frac{De^2 H^2 d^2}{\hbar c^3} \quad \text{thin film, parallel field}$$

$$d_c = \frac{DeH}{c} \quad \text{thin film, perpendicular field}$$

$$d_c = \frac{2De^2 \langle A^2 \rangle}{\hbar c^3} \quad \text{small particles}$$

Age magnetic impurity spin  $S$ ,

Spin of the conduction electron  $S_e$ ,

$$H \sim J(r) \vec{S} \cdot \vec{S}$$

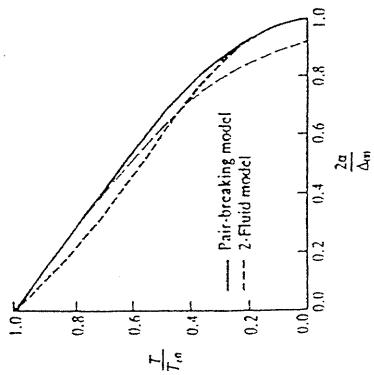
pair breaking energy  $E$

$$E \approx \frac{\chi J^2}{E_F}$$

$\chi$  is the fractional impurity concentration

$J$  is an average over the atomic volume.

FIGURE 10.1  
Universal functional relation between the pair-breaking parameter  $\alpha$  and the reduced  $T_c$ .  $T_c$  is shown by solid curve. The shading depicts the gapless region. Values of  $2\alpha$  for various magnetic perturbations are given in (10.2n) to (10.2g). The dashed curve labeled "two-fluid" is a plot of  $2\alpha/\Delta_{00} = (1-t^2)/(1+t^2)$ , where  $t = T/T_c$ . This relation reproduces the results of the GL critical-field calculations building in the two-fluid temperature dependence  $\lambda(t) \propto (1-t^2)^{1/2}$ ,  $H_{c1}^2(t) \propto (1-t^2)$ , and hence  $\alpha \propto \xi^{-1}(t) \propto \lambda(t) H_{c1}^2(t) \propto (1-t^2)/(1+t^2)$ . [See (10.23).]



Effect of an external magnetic field on the electronic spin.

$$\frac{d\psi}{dt} = \frac{2\mu_B H}{\hbar} = \frac{eH}{mc}$$

appropriate Scattering time is  $\tau_{so}$

$$2d \approx \frac{\tau_{so} e^2 \hbar H^2}{m^2 c^2}$$

Top orbit spin orbit scattering on  $\frac{g}{2} \mu_B H$

pair breaking energy  $2d = 2\mu_B H$

zero energy excitation  $\mu_B H = \Delta$

at first order transition to the normal state occurs when  $\mu_B H = \Delta_0/\sqrt{2}$

Clogston or Chandrasekhar or TIR.

This limits the critical field of material with negligible spin orbit scattering to a value

$$H_P = \Delta_0/\sqrt{2} \mu_B \quad \text{with} \quad \Delta_0 = 1.76 K T_c$$

$$\frac{H_P}{T_c} = 18,400 \text{ G/K}$$

$H_{c1} \approx H_P$  type II Superconductor and  $H_{c2} \geq H_P$ ,

importance of the randomizing effect of spin-orbit scattering in reducing the pair breaking effect of the Zeeman energy of the spins.

### 10.2.2. Density of States.

Magnetic field  $\tau_{so}$  gapless regime

thermal conductivity,  
microwave absorption,  
electron tunneling

Gap is fusing out with low-lying excitations coming in before the peak in the spectrum at the gap edge had entirely disappeared.

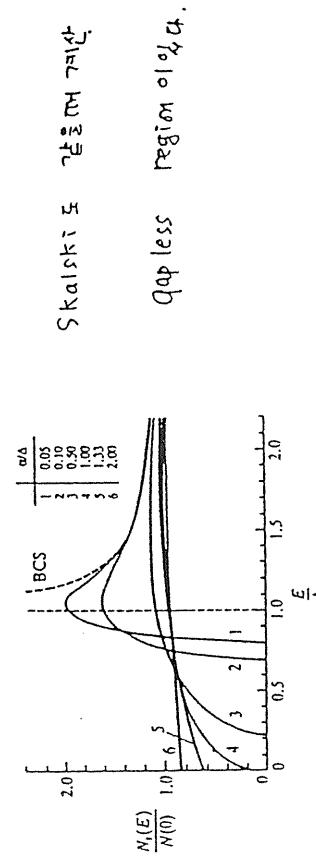


FIGURE 10.2  
The density of states as a function of the reduced energy for several values of the reduced pair-breaking strength  $\alpha/\Delta$ . In this diagram  $\Delta$  is understood as  $\Delta(T, \alpha)$ . (After Skalski et al.)

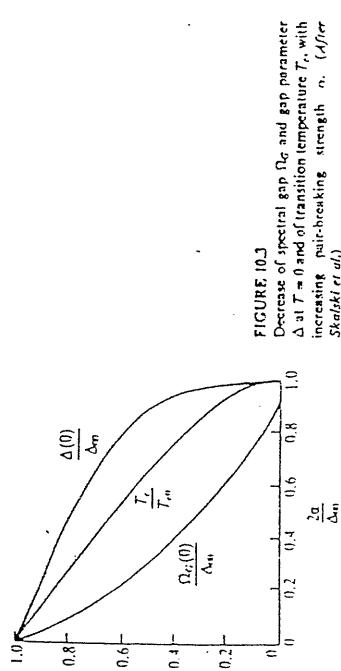


FIGURE 10.3  
Decrease of spectral gap  $\Delta_c$  and gap parameter  $\Delta$  at  $T = 0$  and of transition temperature  $T_c$  with increasing pair-breaking strength  $\alpha$ . (After Skarlicki et al.)

Excitation energies are changing from  $|\xi_1|$  in the absence of  $\Delta$  to

$$E = |\xi_1| \left( 1 + \frac{1}{2} \frac{\Delta^2}{2\xi_1^2 + \Delta^2} \right) \quad \Delta \ll \alpha$$

Note that for  $|\xi_1| \gg \alpha$ ,

$$E \approx |\xi_1| + \Delta^2 / 2|\xi_1| \approx (\Delta^2 + \xi_1^2)^{1/2}$$

BCS results of the ordinary superconductors.

$$|\xi_1| \ll \alpha$$

$$E = |\xi_1| \left( 1 + \frac{\Delta^2}{2\xi_1^2} \right) \sim |\xi_1|$$

Hence shows no gap in the spectrum

실험 : electron tunneling measurements of the density of states.

Woolf and Rest - lead and Indium films containing magnetic impurities.

Gd & rare earth impurity GdFeO<sub>3</sub>

Fe, Mn gap or not.  
gap or Fe, Mn zero?

Excitation energies are changing from  $|\xi_1|$  in the absence of  $\Delta$  to

$$\frac{N_s(E)}{N(0)} = \frac{d\xi}{dE} = 1 + \frac{\Delta^2}{2} \frac{E^2 - \alpha^2}{(E^2 + \alpha^2)^2} \quad \Delta \ll \alpha$$

Assumed in the AG theory

Fe, Mn

interact more strongly with the conduction electrons and thus are less localized.

Tunneling experiments using a thin film in a parallel magnetic field

Cleaner test of the theory.

Degree of localization of the magnetic moment on Fe, Mn  $\frac{g_F}{g_N} \frac{g_F}{g_N} \frac{g_F}{g_N}$

### 10.3. Time dependent Ginzburg - Landau theory

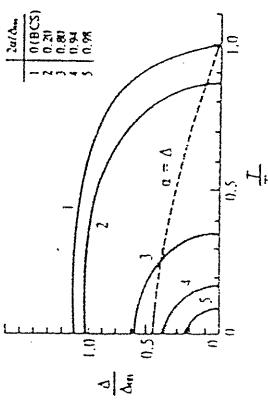


FIGURE 10.4  
Temperature dependence of pair potential or gap parameter  $\Delta$  for various pair-breaking strengths. The spectral gap  $\Delta_s$  is zero in the shaded region defined by  $\alpha > \Delta$ . (After Skalski et al.).

Levine Millstein and Tinkham — 1978 Dose of

$$\begin{aligned} d &\sim 1000 \text{ \AA} \\ \lambda &\sim 300-1200 \text{ \AA} \\ \xi_0 &\approx 2300 \text{ \AA} \end{aligned}$$

density of state  $\gtrless$  3He cooling,

$$0.36 \text{ K}$$

$$T_c/10$$

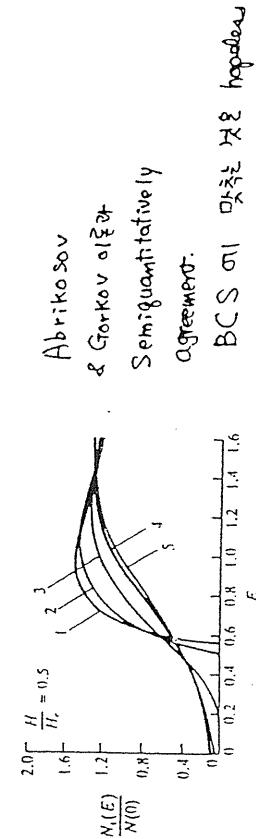


FIGURE 10.5  
The density of states as a function of energy  $E$  for several values of the mean free path and a magnetic field of half the critical field of the small spheres,  $(1-l=0; 2-l=\pi/10; 3-l=\pi/5; 4-l=\pi/10; 5-l=\infty)$ . Curve 5 corresponds to the calculations of Skalski et al. (Fig. 10.2), based on the Abrikosov-Gor'kov theory. Curve 5 corresponds to the limit treated by Larkin. (After Strässler and Wyder.)

G.L. olər a great success

Time dependent generalization

Nonlinear time dependent GL equation  $\hat{\Delta}$   
Gor'kov and Eliashberg

- difficulties stem essentially from the singularity in the density of states at the gap edge.
- slowly decaying oscillatory response in the time domain

Levine

Millstein and Tinkham

- Ortega mi ဆုတေသန?
- presence of magnetic impurities or other pair breakers rounds off the singularity in the BCS density of states.
  - due to pair-breaking strength  $\lambda$   $\hat{\Delta}$
  - spectrum of gap  $\hat{\Delta}$

G.E  $\gtrless$  Rigorous version of a nonlinear

TDGL  $\hat{\Delta}$   $\hat{\Delta}$   $\hat{\Delta}$   $\hat{\Delta}$

- $\hat{\Delta}$  gapless superconductor  $\hat{\Delta}$
- Schmid : TDGL without restriction

But lack rigorous justification except in a gapless regime.

New application

$$D' \left( \frac{\partial^2}{\partial t^2} + i \frac{2e\psi}{\hbar c} \right) \Delta + \xi^2 \left( 1 \Delta^2 - 1 \right) \Delta + \left( \frac{\nabla}{\zeta} - \frac{2e}{\hbar c} \right)^2 \Delta = 0$$

$$\mathcal{J} = \sigma \left( -\nabla \psi - \frac{1}{c} \frac{\partial \vec{A}}{\partial t} \right) + \text{Re} \left[ \Delta^* \left( \frac{\nabla}{\zeta} - \frac{2e}{\hbar c} \vec{A} \right) \Delta \right] \frac{1}{8\pi e\kappa}$$

$$\rho = \frac{\psi - \varphi}{4\pi \lambda \tau_H}$$

(+) Maxwell eq coupling the scalar and vector potential  $\psi$  and  $\vec{A}$  to the charge and current density  $\rho$  and  $\vec{J}$ .

D: normal state diffusion constant  
 $\psi$ : electrochemical potential devived by the electronic charge.

$$\Delta_0 = \pi \tau_H \left[ 2 \left( T_c^2 - T^2 \right) \right]^{\frac{1}{2}}$$

$\Delta = 1$  in the absence of field.

$$\xi = \hbar (6D/\tau_c)^{\frac{1}{2}} / \Delta_0$$

$\tau_s$  = spin - flip scattering time

$$\lambda = \hbar c \left( 8\pi \sigma \tau_s \right)^{\frac{1}{2}} / \Delta_0$$

temperature dependent magnetic penetration depth

Restriction  $T_c \ll \xi \lambda$

" dissipation of energy by the time-varying field and current  $\psi$   $\vec{A}$

paramagnetic alloy superconductor to a strong variable magnetic field.

$$\tau = \tau_{GL} = \frac{8\kappa(\tau_c - \tau)}{\pi\hbar}$$

Most convincing experimental test of the TDGL theory is actually in the measurements of fluctuation conductivity above  $T_c$ .

Above  $T_c$ , one automatically has a gapless superconductor, but even so there are complications as discussed there unless the so-called Maki terms are suppressed by residual pair-breaking effects of some sort.

### 10. 3. 1. Electron - phonon Relaxation

Magnetic impurity  $\approx$   $\tau_{R1} \ll \tau_{R2}$ , relaxation processes on  $\tau_{R1}$   $\tau_{R2}$ .

Gorkov - Eliashberg theory is not directly applicable.

On  $\tau_{R2} \gg \tau_{R1}$  - inelastic phonon-electron interaction to achieve between quasi-particles and condensate and within the quasi-particle condensation.

Inelastic phonon scattering time  $\tau_E$  near  $T_c$

or  $\tau_{\text{ph}}$  or  $\tau_L$ ?

Low temperature phonon-limited electronic thermal conductivity

$\propto T$  or  $\propto T^2$ .

$$\tau_E(T_c) \sim \left(\frac{\Theta_0}{T_c}\right)^2 \sim 10^{-10} \text{ sec}$$

Much shorter in lead and much longer in aluminum because of their higher and lower  $T_c$  values

$$10^{-10} \sim \tau_{\text{el}} \ll \tau_{\text{ph}} \ll \tau_L$$

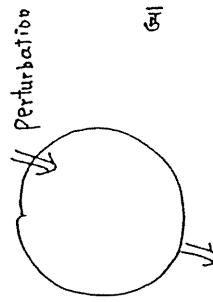
Or  $\tau_E$

$\tau_E \approx \tau_{\text{el}}$  or  $\tau_L$ .

### Nonequilibrium Superconductivity

- ① Chapter: electron population is driven out of thermal equilibrium
  - Steady state dynamic equilibrium
- ② More general time-dependent regime

### Dynamic equilibrium



- ③ Normal Current to a supercurrent at a NS interface with associated resistance
- ④ Voltage developed in the superconductor.

$$\psi \sim |\Delta(r)| e^{i\varphi(r)}$$

⑤ Microwave irradiation.

Review Volume:

K.E. Gray, Nonequilibrium Superconductivity



parameterize the strength of this longitudinal  
disequilibrium  $\rightarrow T^*$  effective quasi particle temperature

Near  $T_c$  :  $\Delta \ll kT_c$

$$\frac{\delta T^*}{T} = \frac{T^* - T}{T} \approx \frac{1}{N(\omega)} \sum_k \frac{\delta f_k}{E_k}$$

$$= \int_{-\infty}^{\infty} \frac{\delta f_k}{E_k} \delta \xi_k$$

Schmid & Larkin  $\&$  Aslamazov & Larkin  $\Rightarrow$   $\Delta \propto T^*$ .

$\Delta \propto T^*$  : G. L. Aslamazov & Larkin

$$T^*, \Delta + \delta \Delta^* \text{ originate from}$$

### Odd Class

Charged perturbations

- Electron injection, Conversion of normal current to supercurrent near an interface

Charge imbalance

$$Q^* = \sum_k \delta \xi_k \delta f_k = \sum_k \frac{\xi_k}{E_k} \delta f_k$$

Charge neutrality disturbance

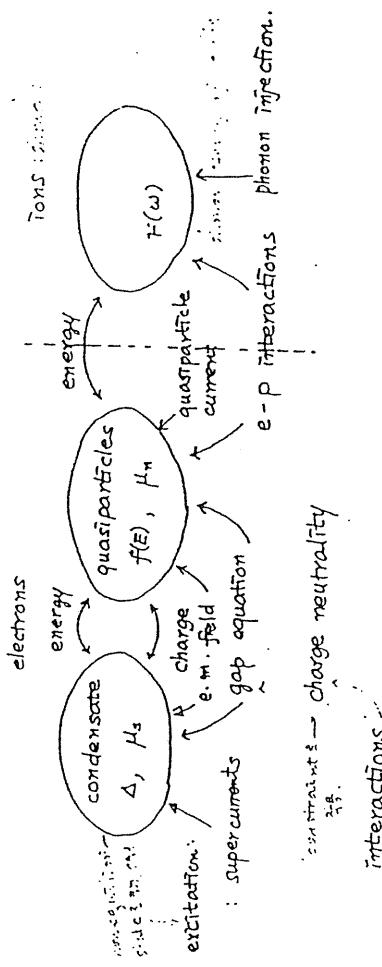
$\Delta \propto$  electrochemical potentials of the normal quasi particle  
Superconducting pairs

$\mu_p$ :

## Nonequilibrium SC --- Charge Imbalance

### I. Introduction.

- superconductors = electrons  $\oplus$  phonon
- electrons in SC. :
- Cooper pairs : [quasiparticles]
- ions - represented by phonons



- SC. state (order parameter)
- e-p interactions
- phonon injection.

### A. perturbation modes

- 1) energy mode : amplitude  $\propto \Delta$ .  
Lifshitz number :  $\Delta(1/H)$
- phonons  $\approx$  bolometric perturbation

- a) charge mode : phase  $\phi$   
 - external current  
 - quasiparticle injection (tunneling)

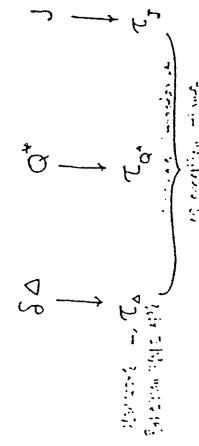
$$\langle \delta \phi \rangle [\phi, n] = i$$

$$\Delta n \Delta \phi \approx 2\pi$$

B. generation modes (생성 모드): injection.

- 1) steady-state (dc)
- 2) modulated generation method (ac)
  - electromagnetic absorption
  - ultrasonic attenuation
- 3) transient (pulse mode) method
  - pico-second spectroscopy
  - femto-second spectroscopy
  - relaxation 속도

C. detection.



- linked to the underlying microscopic process
- scattering times
- electron-phonon int.
- e-e int.
- spin orbit int.

- spin-flip int. (mag. impurities)
- impurity scatterings (elastic scattering)

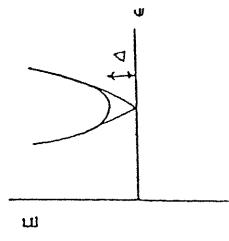
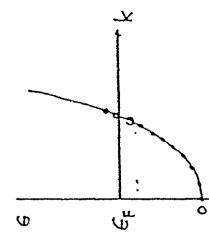
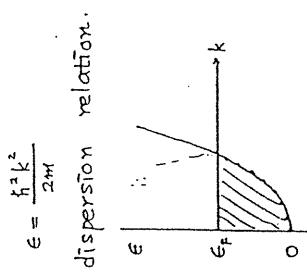
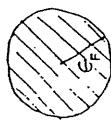
## II. Basic theory of nonequilibrium.

1. quasiparticles & their distribution.

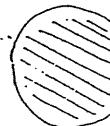
at  $T=0$

< normal metals >

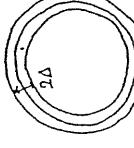
$f$  (occup. prob.)

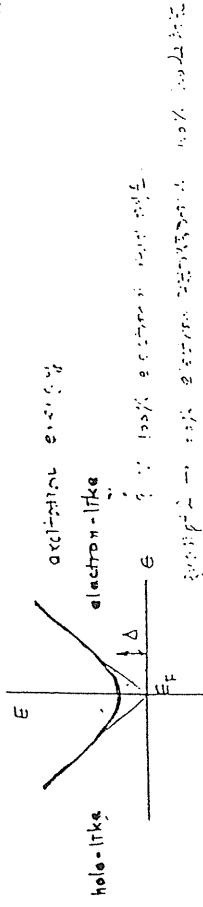


< SC. >



•  $\mu$  (μ) = 0





$$E = \sqrt{(\epsilon - \epsilon_F)^2 + \Delta^2}$$

$$E = \sqrt{\xi^2 + \Delta^2} : \text{Excitation energy.}$$

BCS state

$$|\psi_c\rangle = \prod_{k>k_1, k_1 \dots k_m} (u_k + v_k c_{k\uparrow}^+ c_{-k\downarrow}) |0\rangle$$

$$|\psi_k|^2 = \text{pair } (k\uparrow, -k\downarrow) \text{ occupation prob.}$$

$$|U_x|^2 = 1 - |V_x|^2 = \text{empty state prob.}$$

$$N = 2 \sum |v_k|^2$$

$$\mu_k^2 = \frac{1}{2} \left( 1 - \frac{\xi_k}{E_k} \right)$$

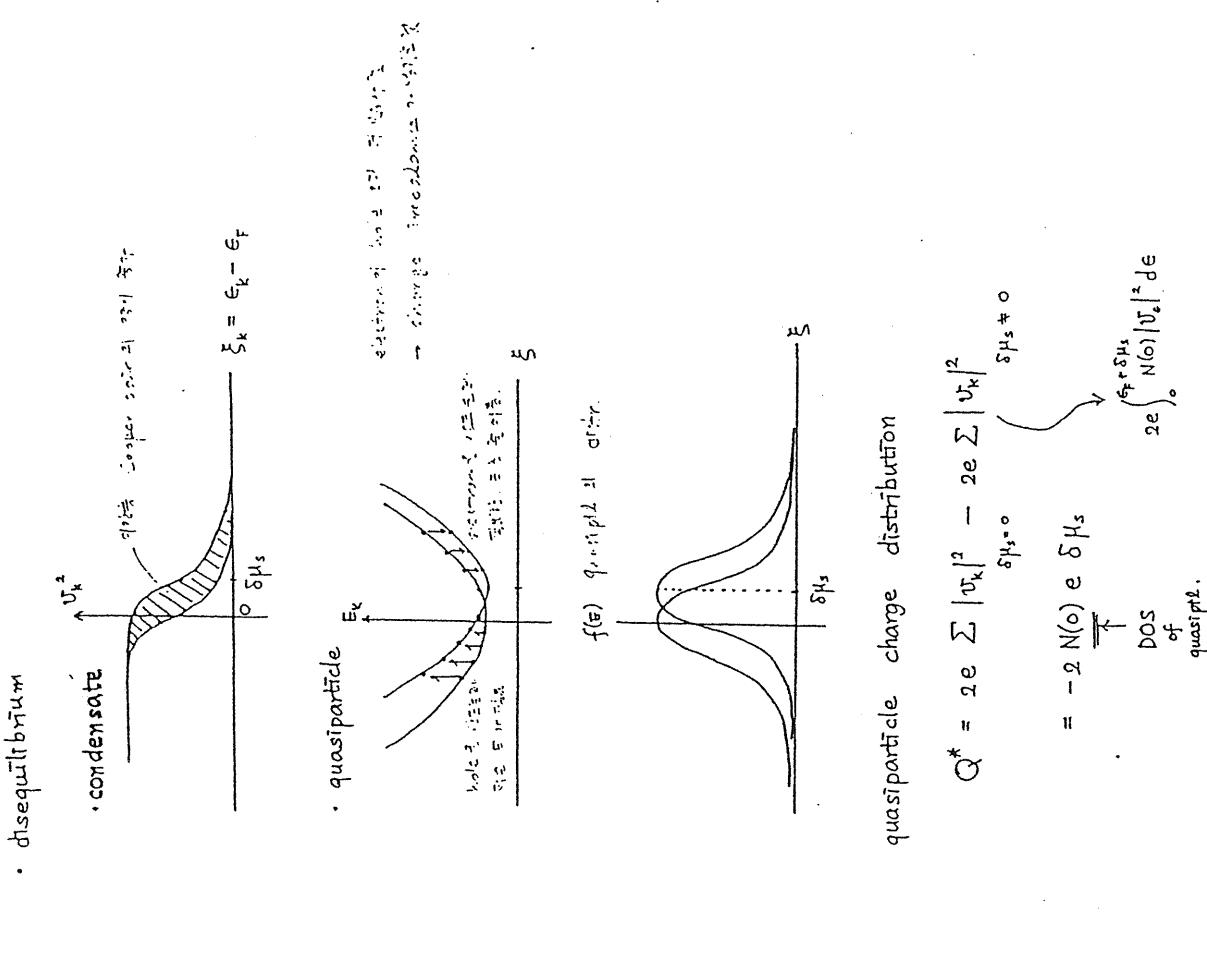
$$E_k = \frac{k^2 k^2}{k^2} = k^2$$

$$E_k = \sqrt{\xi_k^2 + \Delta^2}$$

quasiparticle occupation

$$f(E_x) =$$

$$f(\xi_n) = \frac{1}{1 + \varrho^{(\epsilon_k - \epsilon_n)}}$$



## 2. Dynamical eqs and relaxation times

$$1) \text{ TDGL: } \tau_{\text{relax}} = \text{measured time} - \text{initial time}$$

GL theory  $\rightarrow \tau_{\text{relax}} \sim \text{initial state} \rightarrow \text{final state}$ .

$$f_{\text{relax}} = f_N + \alpha |\psi|^2 + \frac{1}{2} \beta |\psi|^4 - \frac{\hbar^2}{2m} \left( \nabla - 2ie \frac{\hat{A}}{\hbar} \right)^2 \psi$$

$$\tau_{\text{relax}} = \frac{\partial \psi}{\partial t} = \psi - \frac{\beta}{|\alpha|} |\psi|^2 \psi - \xi^2 \nabla^2 \psi$$

Forces . excitations X.

## 2) quasiparticle relaxations

- recombination.

- scattering — inelastic scattering.

Example:  $T_{\text{ep}} \sim 10^{-8} \text{ sec}$  All near  $T_c$

electron-phonon scattering

$$\tau_{\text{ep}} \sim 0.5 - 3 \times 10^{-10} \text{ sec. Pb, In, Sn.}$$

Forces . excitations X.

Forces . excitations X.

Superfluid contribution

$$Q_x = \frac{2}{\Omega} \sum_k v_k^2$$

quasiparticle contribution

$$Q^* = Q_{\text{relax}} - Q_x = \frac{2}{\Omega} \sum_k q_k f_k$$

$$q_k = u_k^2 - v_k^2$$

$$= \frac{1}{2} \left( 1 + \frac{\xi}{E} \right) + \frac{1}{2} \left( 1 - \frac{\xi}{E} \right)$$

$$= \frac{\xi}{E} \equiv \text{effective quasiparticle charge}$$

## Theory of Charge Imbalance

1. Quasiparticle Charge and charge imbalance

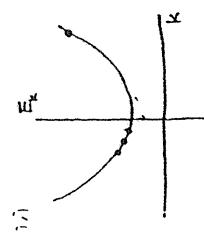
BCS theory in SC, total charge per unit volume

$$Q_{\text{tot}} = \frac{2}{\Omega} \sum_k [u_k^2 f_k + v_k^2 (1 - f_k)]$$

$$f_k(E_k) = \frac{1}{1 + e^{\beta E_k}}$$

$u_k^2 f_k = (\text{prob. of } k \text{ state not filled by a pair})$   
 $\times (\text{prob. of being filled by quasiparticle})$

$$\begin{aligned} \rightarrow Q_{\text{tot}} &= \frac{2}{\Omega} \sum_k (u_k^2 - v_k^2) f_k + \frac{2}{\Omega} \sum_k v_k^2 \\ &= \underbrace{\frac{2}{\Omega} \sum_k g_k f_k}_{Q^*} + \underbrace{\frac{2}{\Omega} \sum_k v_k^2}_{Q_x} \end{aligned}$$



$$\begin{aligned} Q^* &= 0 \\ Q &\neq 0 \end{aligned}$$

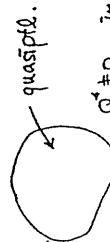
$$Q^* = \frac{2}{\Omega} \sum_k \sigma_k f_k = 0$$

↑ even  
↓ odd

$$\begin{aligned} \text{In equilibrium: } Q_{\text{tot}} &= Q^* + Q_s = \frac{2}{\Omega} \sum_k \sigma_k^2 \\ &= 0 \end{aligned}$$

In equilibrium.

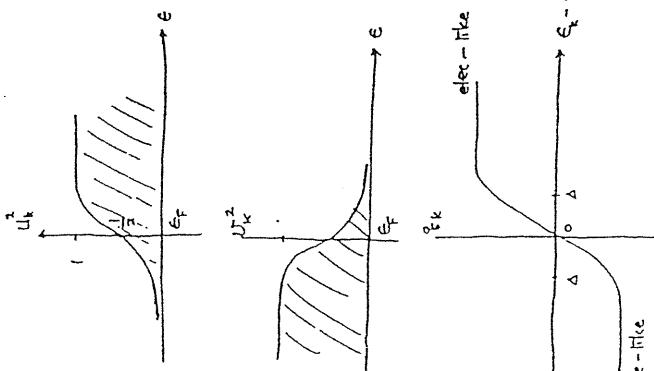
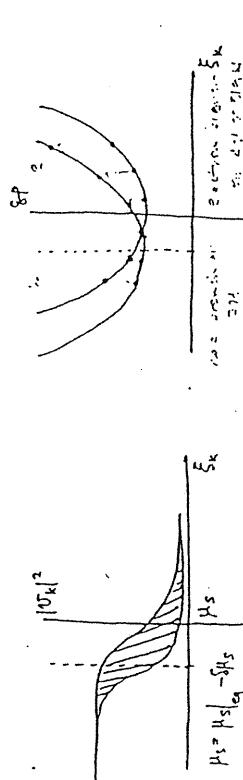
$f_k$  ≠ Fermi function.



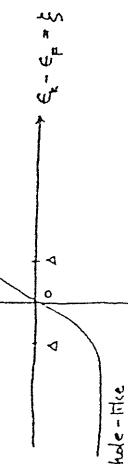
$Q^* \neq 0$  in inequilibrium.

$Q^* > 0 \rightarrow$  chemical pot. of change.

$$\delta \mu_s = \mu_s - \mu_s|_{\sigma_k=0} < 0$$



$$-1 \leq \delta_k \leq 1$$



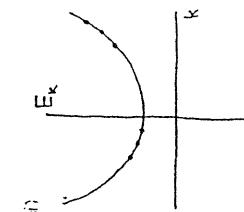
$$\xi$$

Some disequilibrium situations

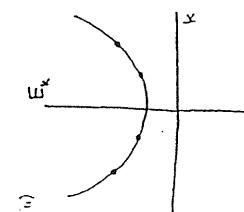
$$\text{qp charge } Q^* = \frac{2}{\Omega} \sum_k \sigma_k f_k$$

charge imbalance

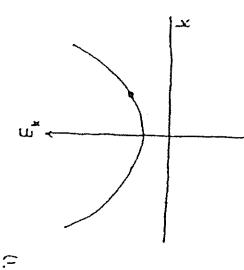
$$Q = \frac{2}{\Omega} \left( \sum_{k>k_F} f_k - \sum_{k< k_F} f_k \right)$$



$$\begin{aligned} Q^* &\neq 0 \\ Q &= 0 \end{aligned}$$



$$\begin{aligned} Q^* &= 0 \\ Q &= 0 \end{aligned}$$

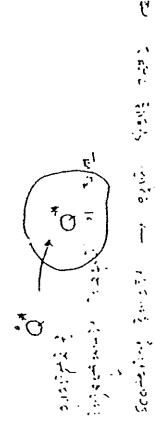


$$\begin{aligned} Q^* &\neq 0 \\ Q &\neq 0 \end{aligned}$$

$$Q_{\text{ext}} = Q^* + Q_s \Big|_{\delta\mu_s=0} = \frac{2}{\Omega} \sum_k \frac{V_k^2}{\delta\mu_s} \Big|_{\delta\mu_s=0}$$

$$\boxed{V \left[ Q^* = \frac{2}{\Omega} \sum_k \left( V_k^2 \Big|_{\delta\mu_s=0} - V_k^2 \Big|_{\delta\mu_s \neq 0} \right) \right]}$$

$$T=0 \rightarrow -2N(0) \delta\mu_s \quad \rightarrow \quad eQ^* = -2eN(0) \delta\mu_s$$



$$\frac{1}{R}$$

$$\therefore \dot{Q}^* = \frac{Q^*}{\tau_a}$$

$$H' = \sum_{kq_F} \left( T_{kq_F} C_k^+ C_{q_F} + \text{H.C.} \right)$$

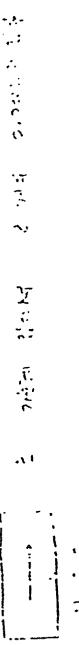
$\Leftrightarrow$  Bogoliubov transf.

$$C_k^+ = U_k \gamma_{ek0}^+ + U_k \gamma_{hk0}^-$$

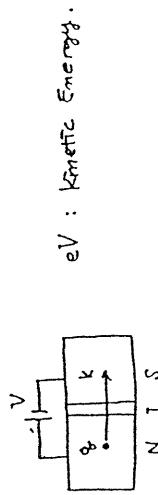
$$\Rightarrow H' = \sum_{kq_F} T_{kq_F} (U_k \gamma_{ek0}^+ + U_k \gamma_{hk0}^-) (U_k \gamma_{ek0}^+ + U_k \gamma_{hk0}^-) + \text{h.c.}$$

$$\begin{aligned} & \text{in N.} & g < g_F & \quad U_g = 0 \quad U_{g_F} = 1 \\ & & g > g_F & \quad U_g = 1 \quad U_{g_F} = 0 \end{aligned}$$

$$\begin{aligned} H' &= \sum_{k, q < g_F} \left[ T_{kq} (U_k \gamma_{ek0}^+ + U_k \gamma_{hk0}^-) \gamma_{hk1}^+ + T_{qk} \gamma_{hk1} (U_k \gamma_{ek0} + U_k \gamma_{hk0}^+) \right] \\ &+ \sum_{k, q > g_F} \left[ T_{kq} (U_k \gamma_{ek0}^+ + U_k \gamma_{hk0}^-) \gamma_{ek0} + T_{qk} \gamma_{ek0}^+ (U_k \gamma_{ek0} + U_k \gamma_{hk0}^+) \right] \end{aligned}$$



2. tunneling generation and detection of CI.



tunneling hamiltonian.

$$H' = \sum_{kq_F} \left( T_{kq_F} C_k^+ C_{q_F} + \text{H.C.} \right)$$

$\Leftrightarrow$  Bogoliubov transf.

$$C_k^+ = U_k \gamma_{ek0}^+ + U_k \gamma_{hk0}^-$$

$$\Rightarrow H' = \sum_{kq_F} T_{kq_F} (U_k \gamma_{ek0}^+ + U_k \gamma_{hk0}^-) (U_k \gamma_{ek0}^+ + U_k \gamma_{hk0}^-) + \text{h.c.}$$

$$\begin{aligned} & \text{in N.} & g < g_F & \quad U_g = 0 \quad U_{g_F} = 1 \\ & & g > g_F & \quad U_g = 1 \quad U_{g_F} = 0 \end{aligned}$$

$$\begin{aligned} H' &= \sum_{k, q < g_F} \left[ T_{kq} (U_k \gamma_{ek0}^+ + U_k \gamma_{hk0}^-) \gamma_{hk1}^+ + T_{qk} \gamma_{hk1} (U_k \gamma_{ek0} + U_k \gamma_{hk0}^+) \right] \\ &+ \sum_{k, q > g_F} \left[ T_{kq} (U_k \gamma_{ek0}^+ + U_k \gamma_{hk0}^-) \gamma_{ek0} + T_{qk} \gamma_{ek0}^+ (U_k \gamma_{ek0} + U_k \gamma_{hk0}^+) \right] \end{aligned}$$

NIS junction:

the injection rate of qps into  $\vec{k}$  bin  $s$  (with a bias  $V$ )  
 Eqs. (3) and (4)  $\rightarrow$  Golden rule.

$$f_k(m) = \frac{1}{2} \frac{2\pi}{\hbar} |\Gamma|^2 N_{\kappa}(0) \left[ U_k^2 (1-f_k) (1-f_{k'}) - \eta_{kk'}^2 f_k (1-f_{k''}) \right] = \frac{1}{2} \left( \tilde{\Xi}_k - \tilde{\Xi}_{k'} \right),$$

Golden rule.

$$-u_k^2 f_k \underbrace{f(-E_k + eV)}_{f(E_k - eV)} + V_k^2 (1 - f_k) f^*(E_k + eV) \quad [$$

$$[1 - f^\circ(E_{\text{K}} - \text{eV})]$$

$$U_k^2 (1 - f_k) f_k^2 (E_k - eV) = U_k^2 \left[ \left( 1 - f^*(E_k + eV) \right) \right]$$

藏文

$$\begin{aligned}
f_k(\tau) &= 2 \cdot \frac{2\pi}{h} |\tau|^2 N_n(0) \left[ U_k^2 (1-f_k) f^*(E_k - eV) + U_k^2 f_k (1-f^*(E_k + eV)) \right] \\
&\stackrel{\text{using } \tau = \frac{E_k + eV}{2} + i\frac{\hbar}{2}}{=} U_k^2 f_k [1 - f^*(E_k - eV)] + U_k^2 (1-f_k) f^*(E_k + eV) \\
&= \frac{U_k^2}{2} [1 - f^*(E_k - eV)] + \frac{U_k^2}{2} [f^*(E_k + eV) - f_k] \\
&\quad \left. \begin{array}{l} U_k^2 + U_k^2 = 1 \\ U_k^2 - U_k^2 = g_k \end{array} \right\} \rightarrow U_k^2 = \frac{1}{2} \left[ 1 - \frac{f_k}{f^*(E_k + eV)} \right] \\
&= \frac{4\pi}{h} |\tau|^2 N_n(0) \left\{ \frac{1}{2} \left[ f^*(E_k + eV) + f^*(E_k - eV) \right] - f_k \right.
\end{aligned}$$

$$+ \frac{g_k}{2} \left[ f^*(E_k - eV) - f^*(E_k + eV) \right] \} \dots , \text{!} \}$$

卷之三

11-16

"g + 1 - \lambda^{\alpha} - = x\_3 - \lambda\_3 + 1 - 1 - (\lambda\_1 - 1) x\_1 x\_3 n \quad \text{↗} \quad \text{↘} \quad ⑥

$$x^{\frac{1}{2}} + 1 + \sqrt{x} - x^{\frac{1}{2}} = 1 - x^{\frac{1}{2}}$$

$$\exists^* - 1 + \quad \wedge^* = \exists^* + \exists^* - \quad 1 + \quad 1 + \quad \exists^* f(\exists^* f - 1) \exists^* n \quad \swarrow \quad \nearrow$$

$$x_8 - 1 - \lambda x_7 = x_8 + x_7 - 1 + 1 - x_7 (x_8 - 1) \in \mathcal{U}$$

$\times_b + l - \wedge^2 - E^k - E^k = l - l -$

$$\forall \theta = E^{\frac{1}{2}} - E^{\frac{1}{2}}_k = \left( \frac{1}{2} - \frac{1}{2} \right) \frac{1}{2} \frac{1}{2} \frac{1}{2}$$

$$\Delta \varphi = \pi + \frac{\pi}{2} = \frac{3\pi}{2}$$

| process # | particle change | prob. | truncating | add ins | conservation | energy | excitations | electrons | charge | emittering | condensation |
|-----------|-----------------|-------|------------|---------|--------------|--------|-------------|-----------|--------|------------|--------------|
|-----------|-----------------|-------|------------|---------|--------------|--------|-------------|-----------|--------|------------|--------------|

charge imbalance injection.

$$\dot{Q}_{inj}^* = \frac{2}{\Delta} \sum_k g_k f_k |_{inj}$$

$$= \frac{4\pi}{\hbar\Omega} |\tau|^2 N_n(o) \sum_k g_k^2 \left[ f^*(E_k - eV) - f^*(E_k + eV) \right]$$

$$= \frac{4\pi}{\hbar\Omega} |\tau|^2 N_n(o) N_s(o) \int_{-\Delta}^{\infty} \frac{N_s(E)}{N_s(E)} \left[ f^*(E - eV) - f^*(E + eV) \right] dE$$

$$\frac{N_s(E)}{N_s(o)} = \frac{E}{\sqrt{E^2 - \Delta^2}} = \frac{E}{|\xi|} = \left| \frac{g_s^{-1}}{g_k} \right| \Rightarrow N_s(E) \geq 0 \text{ note on S.}$$

$$\sum_k f(k) \rightarrow N(o) \int_{-\Delta}^{\infty} \frac{N_s(E)}{N(o)} f(k) dE$$

$$G_{ns} = \frac{4\pi e^2}{\hbar} |\tau|^2 N_n(o) N_s(o)$$

$$\dot{Q}_{inj}^* = \frac{G_{ns} V}{e\Omega} \left( \int_{-\Delta}^{\infty} \frac{1}{N_s(E)} \left( -\frac{\partial f^*}{\partial E} \right) dE \right)$$

$\therefore$  the injection rate of  $g_{ps}$  into  $T_{inj}$  per unit vol.

$$\dot{Q}_{inj}^* = \frac{G_{ns} V}{e\Omega} \left( \int_{-\Delta}^{\infty} \frac{1}{N_s(E)} \left( -\frac{\partial f^*}{\partial E} \right) dE \right) \quad eV \ll k_b T, \Delta$$

note that  $\Delta$  is small

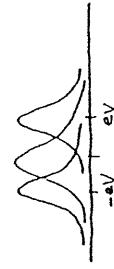
$$I = \frac{2\pi e}{\hbar} |\tau|^2 N_n(o) \left\{ U_k^2 (1-f_k) f^*(E_k - eV) + U_k^2 f_k [1 - f^*(E_k + eV)] \right\}$$

$$- U_k^2 f_k [1 - f^*(E_k - eV)] - U_k^2 (1-f_k) f^*(E_k + eV)$$

$$= \frac{4\pi e}{\hbar} |\tau|^2 N_n(o) \sum_k \left[ U_k^2 f^*(E_k - eV) - U_k^2 f^*(E_k + eV) - g_k f_k \right]$$

$$\therefore I = \frac{2\pi e}{\hbar} |\tau|^2 N_n(o) \left\{ \sum_k \left[ f^*(E_k - eV) - f^*(E_k + eV) - 2g_k f_k \right] \right. \\ \left. + \sum_k \frac{1}{2} f^*(E_k + eV) + \frac{1}{2} f^*(E_k - eV) - f_k^* \right\}$$

$$f_k \rightarrow f_k^* + \delta f_k$$



$$I = \frac{2\pi e}{\hbar} |\tau|^2 N_n(o) \sum_k \left[ f^*(E_k - eV) - f^*(E_k + eV) - 2g_k f_k \right]$$

$$= \frac{2\pi e}{\hbar} |\tau|^2 N_n(o) \int_{-\Delta}^{\infty} \frac{G_{ns}(E)}{G_{ns}(o)} Q^* dE$$

$$Q^* = \frac{V G_{ns}}{2N(o)e} \frac{g_{ns}}{\int_{-\Delta}^{\infty} \frac{G_{ns}(E)}{G_{ns}(o)} dE} = I_{eq} + I_{a*}$$

$$2 \sum_k g_k f_k = 2 \sum_k g_k f_k^* = \alpha Q^*$$

$$\sum_k g_k f_k^* = 0$$

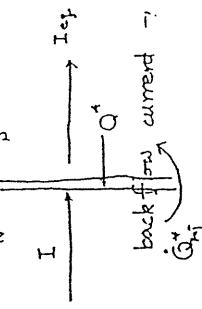
$$Q_{eq}^* = \frac{G_{ns} V}{e\Omega} \int_{-\Delta}^{\infty} \frac{1}{N_s(E)} \left( \frac{\partial f^*}{\partial E} \right) dE = \frac{2 G_{ns} V}{e\Omega} g_{ns}$$

$$I = \frac{4\pi}{\hbar\Omega} |\tau|^2 N_n(o) N_s(o) \int_0^\infty \frac{1}{N_s(E)} \left[ f_s(E - eV) - f_s(E + eV) \right] dE$$

$$I = \frac{2\pi e}{\hbar} |\tau|^2 N_n(o) \left\{ U_k^2 (1-f_k) f^*(E_k - eV) + U_k^2 f_k [1 - f^*(E_k + eV)] \right\}$$

$$- U_k^2 f_k [1 - f^*(E_k - eV)] - U_k^2 (1-f_k) f^*(E_k + eV)$$

$$= \frac{4\pi e}{\hbar} |\tau|^2 N_n(o) \sum_k \left[ U_k^2 f^*(E_k - eV) - U_k^2 f^*(E_k + eV) - g_k f_k \right]$$



tunneling rate

$$\frac{1}{\tau_{\text{run}}} = \frac{G_{\text{run}}}{2N(\alpha) e^* \Omega}$$

$$\frac{1}{\tau_{\alpha^*}} = \frac{\dot{Q}_{ij}^*}{Q^*} = \frac{\Gamma^*}{\tau_{\text{run}}} \left( \frac{2N(\alpha) eV}{Q^*} g_{ns} - 1 \right)$$

$$F^* = \frac{e \Omega Q_{ij}^*}{I}$$

$$\frac{V}{I} = R_j = R_{q_f}(\tau) + R_{q^*}(\tau) = \frac{1}{G_{\text{run}} g_{ns}} \left( 1 + \frac{1}{\tau_{\text{run}} / (\tau_{q^*} F^*)} \right)$$

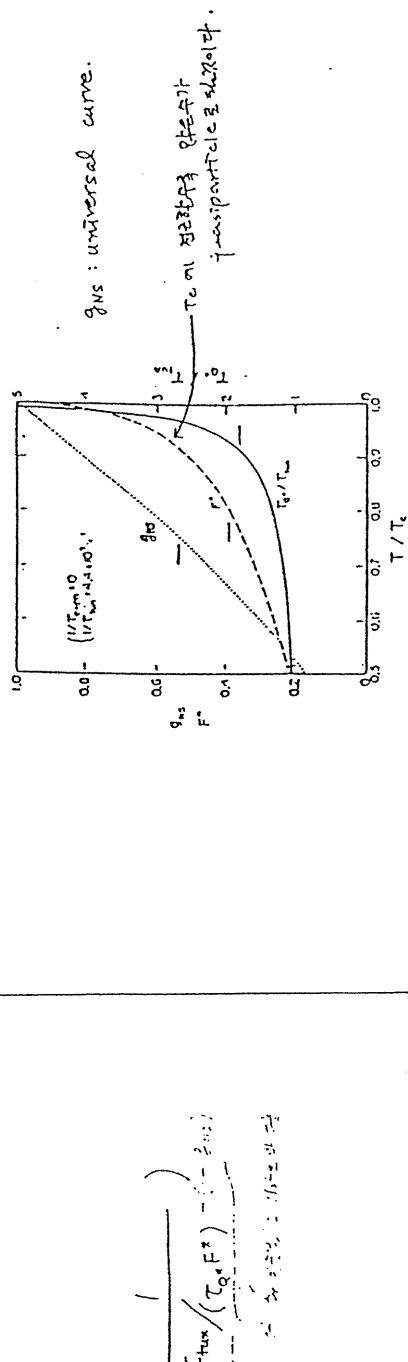
$$\therefore R_j = R_{q_f} + R_{q^*}$$

$$= \frac{1}{G_{\text{run}} g_{ns}} + R_{q^*} \cdot \frac{\tau_{q^*}^* F^*}{\tau_{\text{run}}}$$

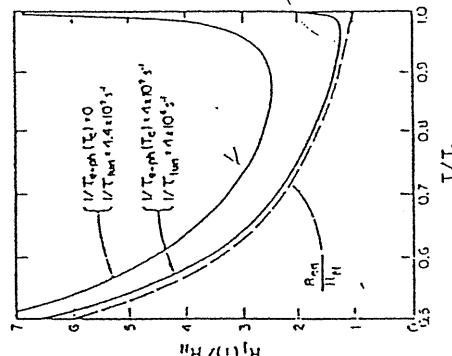
### Conclusion.

$\pi^3 \approx$  electron - photon scatter.

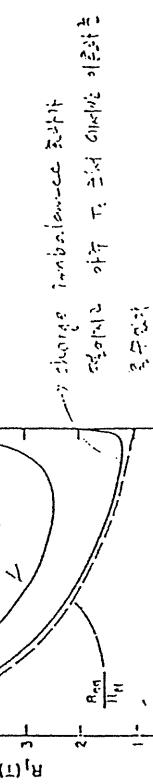
$T \propto$  electron-electron scatter.  $\leftrightarrow$  disordered system.



اے جیسا کوئی نہیں پیدا کر سکتا۔

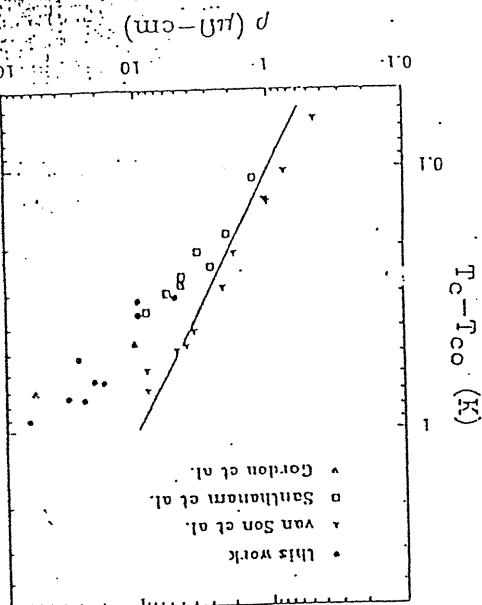


•  $\frac{1}{2} \times \frac{1}{2} = \frac{1}{4}$   $\frac{1}{4} \times \frac{1}{2} = \frac{1}{8}$   $\frac{1}{8} \times \frac{1}{2} = \frac{1}{16}$



الجامعة، أو من ممثليها، (١٥)

କାହିଁ କାହିଁ କାହିଁ କାହିଁ କାହିଁ



1. וְאַתָּה תִּשְׁלַח אֶת־בָּנֶיךָ מִן־עֲלֹתֶךָ כִּי־כֵן עָשָׂה יְהוָה בְּבָנָיו בְּבָנֵי אֶgypt וְאַתָּה תִּשְׁלַח אֶת־בָּנֶיךָ מִן־עֲלֹתֶךָ כִּי־כֵן עָשָׂה יְהוָה בְּבָנָיו בְּבָנֵי אֶgypt.

| Sample No | d (nm) | $\lambda$ (nm) | $\mu$ (cm $^{-1}$ ) | $\tau$ (ns) | $I_0$ (mV) | $I$ (mV) | $I/I_0$ | $1/\tau$ (ns $^{-1}$ ) | $1/\mu$ (cm $^2$ V $^{-1}$ ) |
|-----------|--------|----------------|---------------------|-------------|------------|----------|---------|------------------------|------------------------------|
| S1114     | 201    | 300            | 21.6                | 6.15        | 1.808      | 0.165    | 0.12    | 0.165                  | 0.165                        |
| S1113     | 200    | 300            | 6.0                 | 22.3        | 2.050      | 1.25     | 0.47    | 0.47                   | 0.47                         |
| S1112     | 200    | 206            | 27.5                | 1.77        | 1.70       | 1.67     | 0.35    | 0.35                   | 0.35                         |
| S1110     | 203    | 214            | 13.3                | 8.62        | 1.920      | 1.25     | 0.43    | 0.43                   | 0.43                         |
| S11125    | 200    | 215            | 11.6                | 11.5        | 1.905      | 4.44     | 0.18    | 0.18                   | 0.18                         |
| S1124     | 203    | 206            | 10                  | 7.2         | 1.800      | 3.25     | 0.18    | 0.18                   | 0.18                         |
| S1117     | 222    | 300            | 6.1                 | 2.31        | 1.510      | 0.16     | 0.58    | 0.58                   | 0.58                         |
| S1113     | 243    | 300            | 49.3                | 2.33        | 1.640      | 1.0      | 0.58    | 0.58                   | 0.58                         |
| S1103     | 265    | 315            | 13.8                | 4.6         | 1.643      | 270      | 0.0023  |                        |                              |

AE@i SC transliteration -loop.

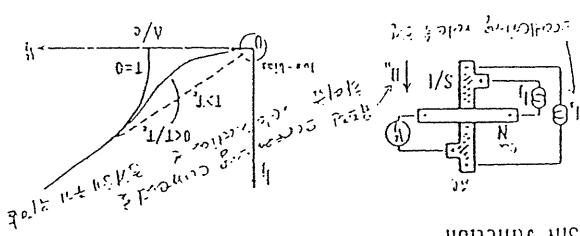
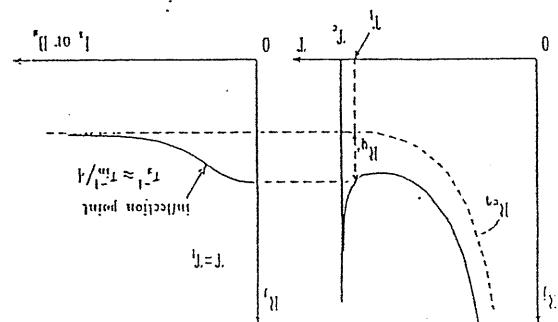
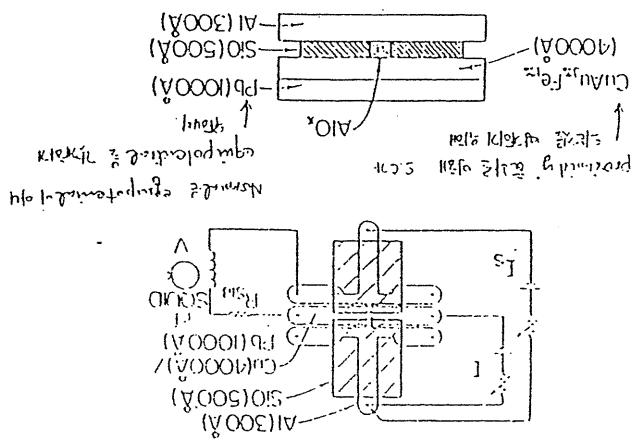
Small inches wide  $\rightarrow$  large hours  $\rightarrow$  high

$$\left( \begin{array}{cc} 1 & 1 \\ 1 & 1 \end{array} \right) = \frac{1}{\sqrt{2}} \left( \begin{array}{cc} 1 & -1 \\ 1 & 1 \end{array} \right) + \frac{1}{\sqrt{2}} \left( \begin{array}{cc} 1 & 1 \\ -1 & 1 \end{array} \right)$$

$$T_{q,i} \approx \frac{\pi\theta}{4} T_{ia} (T_{i-1} + 2T_{i+1})^{1/2}$$

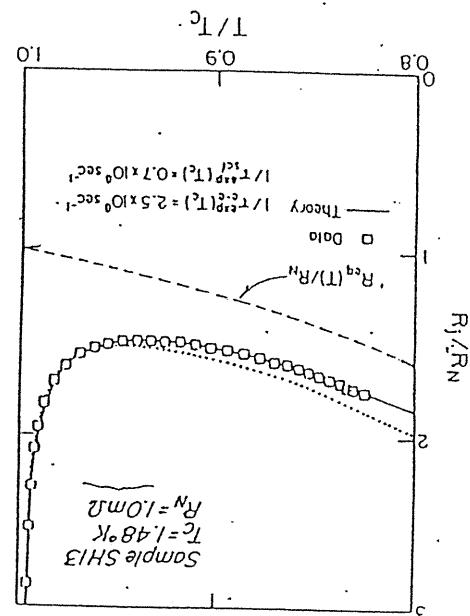
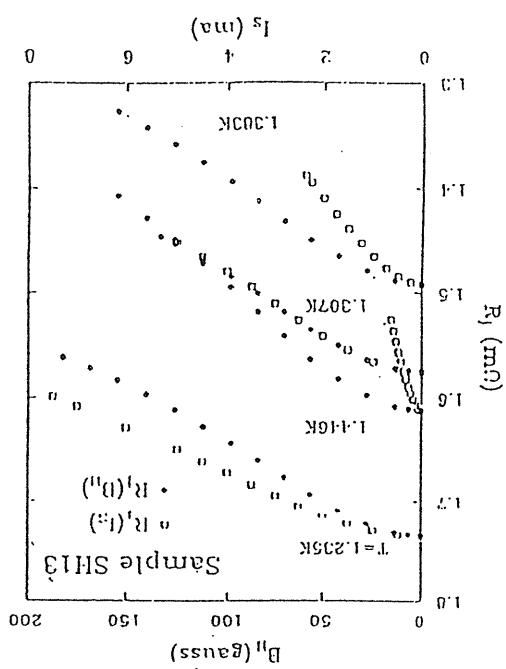
$$\left( \sum_{n=1}^{\infty} b_n \right) \text{ converges} \iff \sum_{n=1}^{\infty} |b_n| < \infty$$

In-situ fabrication with adaptive nanolets.

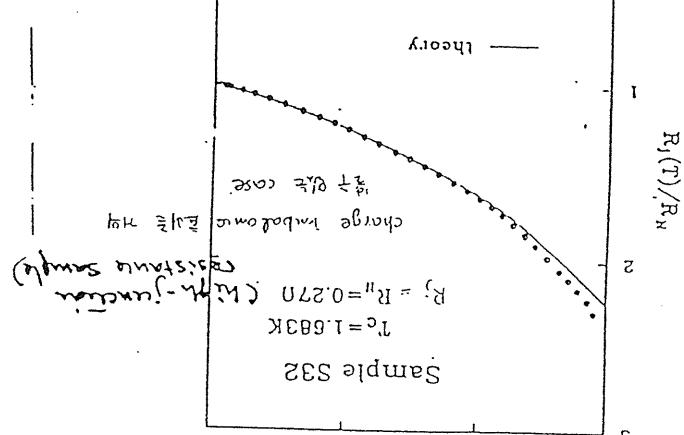
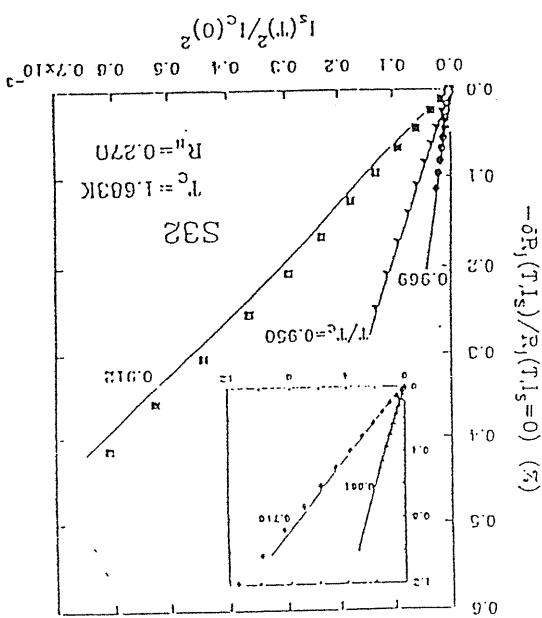


Experiments on aluminum films (R-6) *See ch. 8, P.A. 23,136)*

1974-1975  
Physics Department  
University of Illinois at Urbana-Champaign



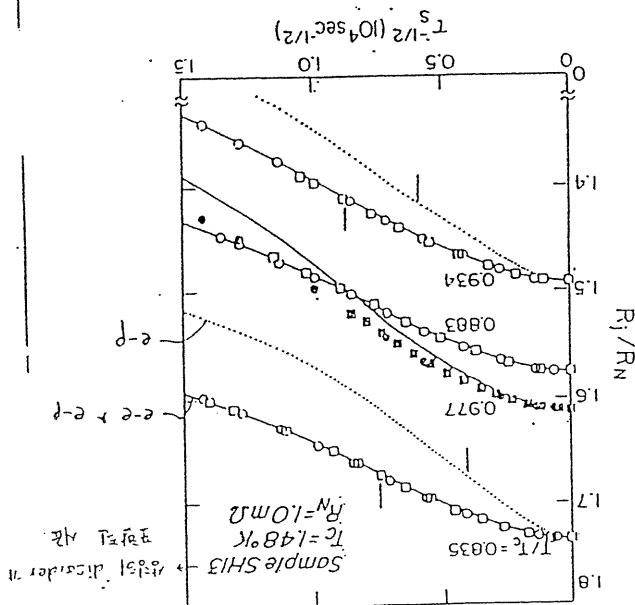
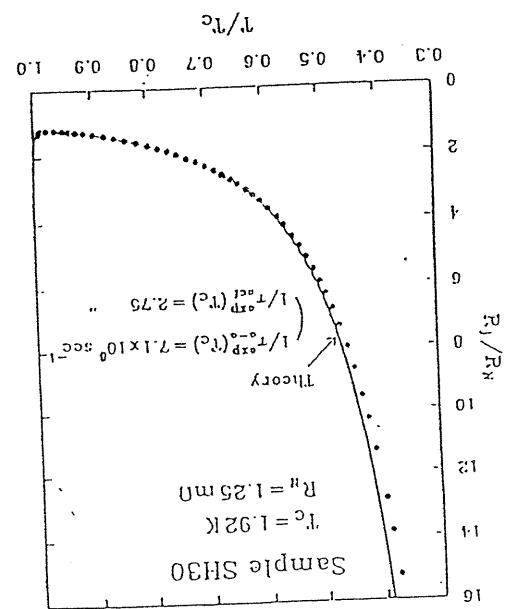
1974-1975 Physics Department University of Illinois at Urbana-Champaign



## Relaxation Times

II-28

II-29



disequilibrium caused by a given perturbation

: Only longitudinal relaxation is observed

Schmid & Schön on eqns  
Electronic Relaxation Mode

$$\tau_{\text{E}}^{(1)} = \tau_{\Delta} = \tau_{\tau} \approx 3.1 \cdot \tau_{\text{E}} \cdot k \cdot T_c / \Delta$$

$$\tau_{\text{E}}^{(2)} = \tau_{Q^*} = \frac{4}{\pi} \cdot \tau_c \cdot k \cdot T_c / \Delta$$

$\tau_{\text{E}}$  : energy relaxation or inelastic Scattering time  
for an electron at the Fermi Surface.

Kapton 61  
D<sub>2</sub> O 70%

characteristic time for  $f_{\mathbf{k}}$  to approach the  
Fermi function,  $\frac{1}{2} \delta f_{\mathbf{k}} \approx 0$  relaxes

at first inelastic scattering on  $\omega_{\text{IR}}$   $\delta f_{\mathbf{k}} \approx 0$   
Set  $\omega_{\text{IR}} = \Delta/kT_c$  portion  $\Delta/kT_c$  of  
thermally occupied state.

Inelastic electron-phonon scattering

$\tau_{Q^*}$  relax  $T^*$  effectively

- $Q^*$  can be effectively relaxed by elastic scattering  
in the presence of gap anisotropy

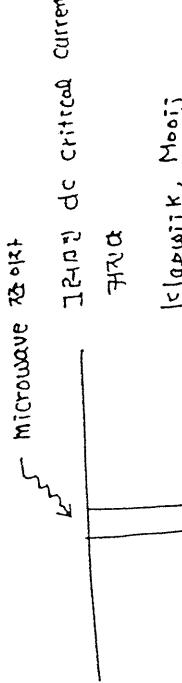
11.3. Energy-Mode Disequilibrium:  
Steady State Enhancement of Superconductivity

Electron  $\delta$  frequency  $\omega_1$  ( $\omega_0$ )  $T^*$   $\propto \omega_1 \omega$   
 $\omega_1 < \omega_0$   $\delta\omega$   $\propto \omega_0$   $\delta\omega$

dynamic regime in the cooling (or heating)

- Order parameter  $\Delta$   $\propto \delta\omega$

11.3.1. Enhancement by Microwaves  
Wu - Dayem Effect.



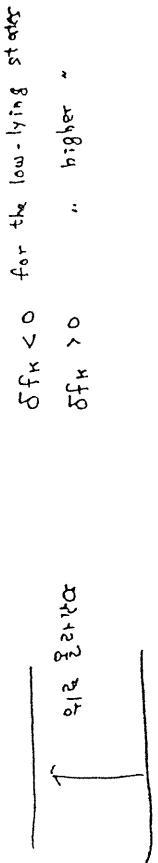
Enhancement: long narrow strips  
bridges

△ EHL Kommers and Clarke

11-28

$\hbar \omega < 2 \Delta$  at  $\Delta$   $\propto \omega$ .

So that no new quasi-particles are generated.



on quasi-particle creation

on higher energy of recombination of pairs

on minimum freq. above which pair breaking

$f < f_c$  if current  $I$  pair breaking

$T_E$  aluminum film: sheet resistance on bridge

enhancement  $\propto$   $\Delta T^2$   
 $\Delta T^2 \sim -0.02 \frac{I}{C}$  in Alumina film

at  $T_c$  two solutions

