

### Chapter 13.

#### Dielectrics and Ferroelectrics

applied electric field와 internal electric field 사이의 관계

Maxwell eq

$$\text{div} \vec{E} = 4\pi \rho$$

$$\nabla \times \vec{E} = -\frac{1}{c} \frac{\partial \vec{B}}{\partial t}$$

$$\text{div} \vec{B} = 0$$

$$\nabla \times \vec{H} = \frac{4\pi}{c} \vec{J} + \frac{1}{c} \frac{\partial \vec{D}}{\partial t}$$

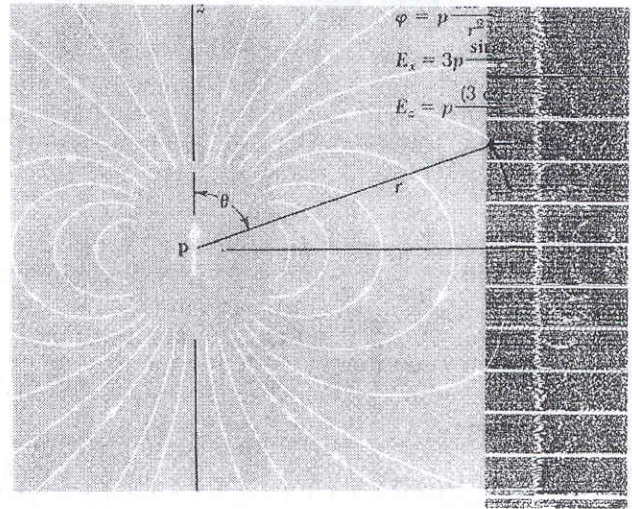
Polarization

P : total dipole moment per unit volume

$$P = \sum_n q_n r_n$$

dipole moment P일때 r 만큼 떨어진 곳에서 E field

$$\vec{E}(\vec{r}) = \frac{3(\vec{p} \cdot \vec{r})\vec{r} - r^2\vec{p}}{r^5}$$



Microscopic Electric field

$E_0$  : 외부에서 걸어준 E field

Arrange field 의 정의 : 그 점을 포함한 물체내의 모든 field 의 평균

$$\vec{E}(r_0) = \frac{1}{V_c} \int dV \vec{e}(\vec{r})$$

r에서의 microscopic field

E, P, J 사이의 관계를 알아야 한다.

Polarization에 의한 field

평면에 charge가 있다고 가정하고 본다.

$$\sigma = \hat{n} \cdot \vec{p}$$

$$E_1 = -4\pi\sigma = -4\pi p$$

↑ p

+++++

$$\vec{E} = \vec{E}_0 + \vec{E}_1 = E_0 - 4\pi\rho\hat{z}$$

$E_1$  : field of the surface charge density  $\hat{n} \cdot \vec{p}$  on the boundary

Depolarization field,  $E_1$

만약  $P_x, P_y, P_z$ 가 polarization  $\vec{P}$ 의 component 이면  
depolarization field 는

$$E_{1x} = -N_x P_x, \quad E_{1y} = -N_y P_y, \quad E_{1z} = -N_z P_z$$

$$N_x + N_y + N_z = 4\pi$$

Dielectric susceptibility  $\chi$

$$\vec{P} = \chi \vec{E}$$

만약  $E_0$ 가 ellipsoid의 principal axis와

같은 방향이면

$$\vec{E} = \vec{E}_0 + \vec{E}_1$$

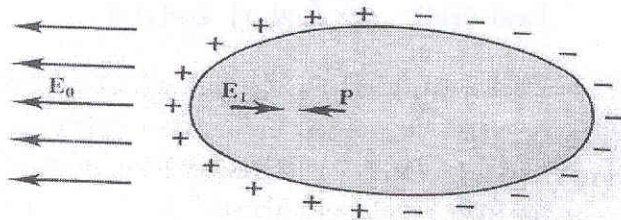
$$= E_0 - NP$$

$$\vec{P} = \chi \vec{E} = \chi(\vec{E}_0 - N\vec{P})$$

$$\therefore \vec{P} \cdot (1 + \chi N) = \chi \vec{E}_0$$

$$\vec{P} = \frac{\chi}{1 + \chi N} \vec{E}_0$$

local electric field at a atom



$$\vec{E}(\vec{r}) = \frac{3(\vec{p} \cdot \vec{r})\vec{r} - r^2\vec{p}}{r^5}$$

$$E_z = \frac{3(pz)z - r^2 p_z}{r^5}$$

한점에서의 field와 macroscopic field는 물론 다르다.

$$\text{이점에 작용하는 dipole field는 } E_{dipole} = P \sum_i \frac{3Z_i^2 - r_i^2}{r_i^5} = P \sum_i \frac{2Z_i^2 - x_i^2 - y_i^2}{r_i^5}$$

$$\sum \frac{z_i^2}{r_i^5} = \sum \frac{x_i^2}{r_i^5} = \sum \frac{y_i^2}{r_i^5}$$

∴ local field는 macroscopic average field  $\vec{E}$ 와 다르다.

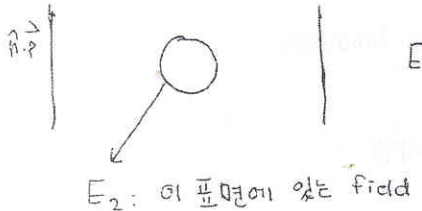
$$\vec{E}_{local} = \vec{E}_0 + \vec{E}_1 + \vec{E}_2 + \vec{E}_3$$

$E_0$  = field produced by fixed charges external to the body

$E_1$  = depolarization field (surface charge density  $\hat{n} \cdot \vec{p}$ ) (outer surface)

$E_3$  : field of atomic inside cavity

$E_2$  : 이 표면에 있는 field



$E_2$  정도는 약 50Å 반경의 radius로 잡자

$$E_1 + E_2 + E_3 = \sum_i \frac{3(\vec{p}_i \cdot \vec{r}_i)\vec{r}_i - r_i^2 \vec{p}_i}{r_i^5}$$

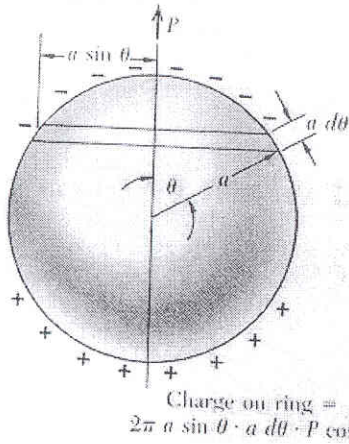
거리가 짧으면 잘 맞지 않는다.

따라서  $E_1, E_2$ 는 적분으로,  $E_3$ 는 직접 한다.

$p \cdot \cos \theta$  : charge density

$$E_2 = \int_0^\pi \frac{2\pi a \sin \theta a d\theta p \cos \theta \cos \theta}{a^2} = 2\pi p \int_0^\pi \sin \theta \cos^2 \theta d\theta$$

$$= 2\pi p \int_1^{-1} t^2 dt = 2\pi p \frac{2}{3} = \frac{4\pi}{3} p$$



$$\Rightarrow \frac{2\pi a \sin \theta d\theta}{a^2} (p \cos \theta) \cos \theta$$

$\sigma$ -방향  $[\cos \theta = t \quad -\sin \theta d\theta = dt]$

$$\vec{E}_{loc} = \vec{E}_0 + \vec{E}_1 + \frac{4\pi}{3} \vec{P} = \vec{E} + \frac{4\pi}{3} \vec{P}$$

Inside 에서의 dipole moment의 합은 zero 였다.

$$\vec{E}_{loc} = \vec{E} + \frac{4\pi}{3} \vec{P}$$

local field는  $\frac{4\pi}{3} \vec{P}$  가 더 들어간다.

### Dielectric Constant and Polarizability

$$\epsilon \equiv \frac{E + 4\pi p}{E} = 1 + 4\pi \chi$$

만약 Non cubic crystal 이었다면

$$P_\mu = \chi_{\mu\nu} E_\nu \quad ; \quad \epsilon_{\mu\nu} = 1 + 4\pi \chi_{\mu\nu}$$

The polarizability  $\alpha$  of an atom

$$P = \alpha E_{local}$$

$$P = \sum N_j P_j = \sum N_j \alpha_j E_{loc}(j)$$

dielectric constant 와 polarizabilities  
local field

$$P = (\sum N_j \alpha_j) (E + \frac{4\pi}{3} P)$$

$$\chi = \frac{P}{E} = \frac{\sum N_j \chi_{aj}}{1 - \frac{4\pi}{3} \sum N_j \chi_j}$$

$$\epsilon = 1 + 4\pi\chi$$

$$= 1 + \frac{4\pi \sum N_j \chi_j}{1 - \frac{4\pi}{3} \sum N_j \chi_j} = \frac{1 + \frac{8\pi}{3} \sum N_j \chi_j}{1 - \frac{4\pi}{3} \sum N_j \chi_j}$$

$$\therefore 12\pi a = (\epsilon - 1)(1 - a) = \epsilon - 1 - (\epsilon - 1)a$$

$$\therefore 12\pi a + (\epsilon - 1)a = \epsilon - 1$$

$$a[12\pi + (\epsilon - 1)] = \epsilon - 1$$

$$\text{Let } \sum N_j \chi_j = a$$

$$\chi = \frac{a}{1 - \frac{4\pi}{3} a} = \frac{\epsilon - 1}{4\pi}$$

$$\therefore 4\pi a = (\epsilon - 1)(1 - \frac{4\pi}{3} a)$$

$$= \epsilon - 1 - \frac{4\pi}{3} (\epsilon - 1)a$$

$$\therefore 4\pi a + \frac{4\pi}{3} (\epsilon - 1)a = \epsilon - 1$$

$$\frac{4\pi}{3} [3 + \epsilon - 1]a = \epsilon - 1$$

$$\therefore \frac{4}{3} \pi a = \frac{\epsilon - 1}{\epsilon + 2}$$

$$\therefore \frac{\epsilon - 1}{\epsilon + 2} = \frac{4\pi}{3} \sum N_j \alpha_j \quad \text{Clausius Mossotti relation}$$

### Electronic Polarizability

total polarizability : electronic : 핵에 대해 얼마나 움직이나?

: ionic : ion에 대해 얼마나 움직이나?

: dipolar : permanent dipole에 대해



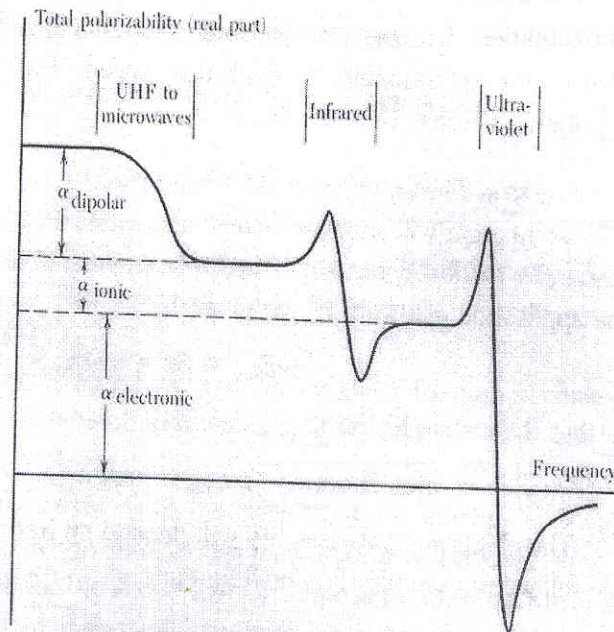


Figure 8 Frequency dependence of the several contributions to the polarizability.

momentum inertia 가 제일 작은 것은 electron이다.

$$\frac{n^2 - 1}{n^2 + 3} = \frac{4\pi}{3} \sum N_j \alpha_j \quad (\text{electronic})$$

$$n^2 = \epsilon$$

Classical theory of electronic polarizability

electron이 harmonically bound 되어 있다.

$$-e E_{loc} = \beta \chi = m \omega_0^2 \chi$$

$$\alpha (\text{electronic}) = P/E_{loc} = -e \chi / E_{loc} = e^2 / m \omega_0^2$$

$$-e E_{loc} = M \ddot{\chi} + m \omega_0^2 \chi$$

$$= -M \omega^2 \chi + M \omega_0^2 \chi$$

$$\therefore \chi = \frac{-e E_{loc}}{M(\omega_0^2 - \omega^2)}$$

$$\alpha (\text{electronic}) = \frac{P}{E_{loc}} = \frac{-e \chi}{E_{loc}} = \frac{e^2}{M(\omega_0^2 - \omega^2)}$$

Quantum theory

$$\alpha (\text{electronic}) = \frac{e^2}{m} \sum \frac{f_{ij}}{\omega_{ij}^2 - \omega^2}$$

$f_{ij}$  : oscillator 의 strength라 불리 운다.

### Structural phase transition

온도와 압력을 변화시킨다.

lowest accessible internal energy

온도  $T=0$

A ————— B

phonon spectrum을 보면 soft 하다.

lowest freq에서 phonon이 증가

entropy가 A보다 높다.

$F = U - TS$  로 결정한다.

$$F_A(T_c) = F_B(T_c)$$

*Applied*

- stress
- macroscopic electrical properties

Spontaneous dielectric polarization

Ferroelectrics 재미난 temp-dependent

dielectric constant

piezoelectric effect

pyroelectric effect

electrooptical effect [optical frequency doubling]

### Ferroelectric crystal

- positive charge와 negative charge의 중심이 맞지 않는다.

E field 증가  $\longleftrightarrow$  감소

significant hysteresis

갑자기 polarizability가 변한다. 이런 결정을 pyroelectric이라 부른다.

$\text{LiN}_3\text{O}_3$  :  $T_c = 1480\text{K}$ 에서 spontaneous polarization

Ferroelectricity  $\xrightarrow{T_c}$  paraelectricity

$T > T_c$

### Classification of Ferroelectric Crystal

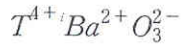
Order - disorder : paraelectric 이 반발 random하게 있다가 ordered phase로 바뀐다.

displacive : 한쪽으로 가서 생김

displacive : perovskite, ilmente

초전도연구단  
단장 이성익

perovskite



[below curi temp.]

-약간 변형.

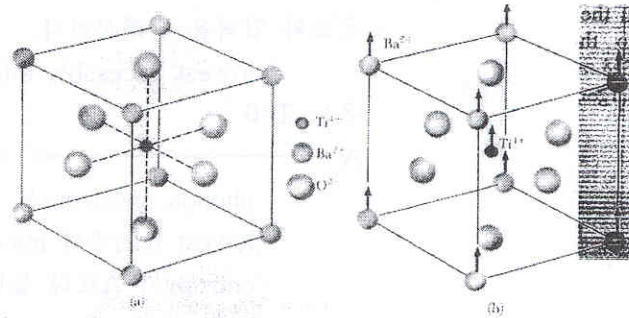


Figure 10 (a) The crystal structure of barium titanate. The prototype crystal is calcium titanate (perovskite). The structure is cubic, with  $Ba^{2+}$  ions at the cube corners,  $O^{2-}$  ions at the face centers, and a  $Ti^{4+}$  ion at the body center. (b) Below the Curie temperature the structure is slightly deformed, with  $Ba^{2+}$  and  $Ti^{4+}$  ions displaced relative to the  $O^{2-}$  ions, thereby developing a dipole moment. The upper and lower oxygen ions may move downward slightly.

ferroelectric effect in barium titanate

$$P_S = 8 \times 10^4 \text{ esu} \cdot \text{cm}^{-2}$$

$$\text{cell's volume } (4 \times 10^{-8})^3 = 64 \times 10^{-24} \text{ cm}^3$$

dipole moment per unit cell

$$P = (8 \times 10^4 \text{ esu} \cdot \text{cm}^{-2})(64 \times 10^{-24} \text{ cm}^3)$$

$$= 5 \times 10^{-18} \text{ esu} \cdot \text{cm}$$

Displacement transition

어떤 순간에 Fourier component of polarization이 매우 커진다.

같은 말로 Transverse phonon이 condense한다.

To phonon vanish

local electric field가 electric restoring force를 넘어서

돌아가지 않는다.

DIELECTRIC CONSTANT

$$\epsilon = \frac{1 + \frac{8\pi}{3} \sum N_i \alpha_i}{1 - \frac{4\pi}{3} \sum N_i \alpha_i}$$

dielectric constant become infinite

$$\frac{4\pi}{3} \sum N_i \alpha_i = 1 - 3S$$

$|S| \ll 1$ 이면 dielectric constant become infinite

$$\epsilon \cong \frac{1}{S}$$

Critical temp 근처에서는

$$S \cong \frac{T - T_c}{\xi} \text{ 라 하면, dielectric const는 } \epsilon \cong \frac{\xi}{T - T_c}$$

Soft-optical phonon

$$\frac{\omega_T^2}{\omega_L^2} = \frac{\epsilon(\infty)}{\epsilon(0)} ; \text{LST relation}$$

$$\epsilon(0) \rightarrow \infty \quad \omega_T \rightarrow 0$$

no effective restoring force

$$\text{실험 } \frac{1}{\epsilon(0)} \propto T - T_0, \quad \omega_T^2 \propto T - T_0 \text{ 가 된다.}$$

실험으로 증명이되다 [참고그림:ch13-fig13]

Landau theory of the phase transition

polarization

ferroelectric ————— paraelectric

discontinuous

charge

$$\hat{F}(P; T; E) = -EP + g_0 + \frac{1}{2} g_2 P^2 + \frac{1}{4} g_4 P^4 + \frac{1}{6} g_6 P^6$$

P가 center of inversion symmetry를 갖고 있기에  
이 series가 odd power를 갖고 있지 못하다.

extrem condition

$$\frac{\partial \hat{F}}{\partial P} = 0 = -E + g_2 P + g_4 P^3 + g_6 P^5$$

$g_2$ 가 온도 항을 갖고 있다면

$$g_2 = \gamma(T - T_0)$$

2nd-order transition

Zero applied field

$$\gamma(T - T_0)P_s + g_4 P_s^3 = 0$$

$$P_s = 0 \text{ or } P_s^2 = \frac{\gamma}{g_4}(T_0 - T)$$

만약  $T \geq T_0$ ,  $\gamma, g_4$  가 positive Nothing happen

$T \leq T_0$ ,  $\gamma, g_4$  가 positive

$$|P_s| = \sqrt{\frac{\gamma}{g_4}}(T_0 - T)^{\frac{1}{2}}$$

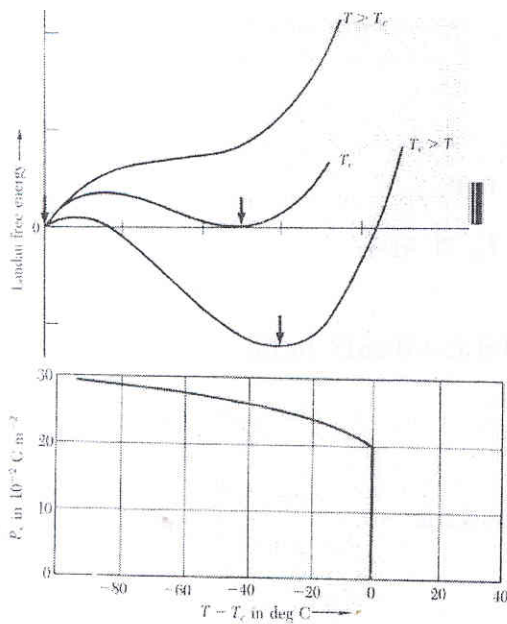
polarization이 continuously charge

→ 2nd order phase transition

First order transition

$$g_4 \text{ 가 negative 일 때, } g_6 \text{ 까지 가자 } \gamma(T - T_0)P_s - |g_4| P_s^3 + g_6 P_s^5 = 0$$





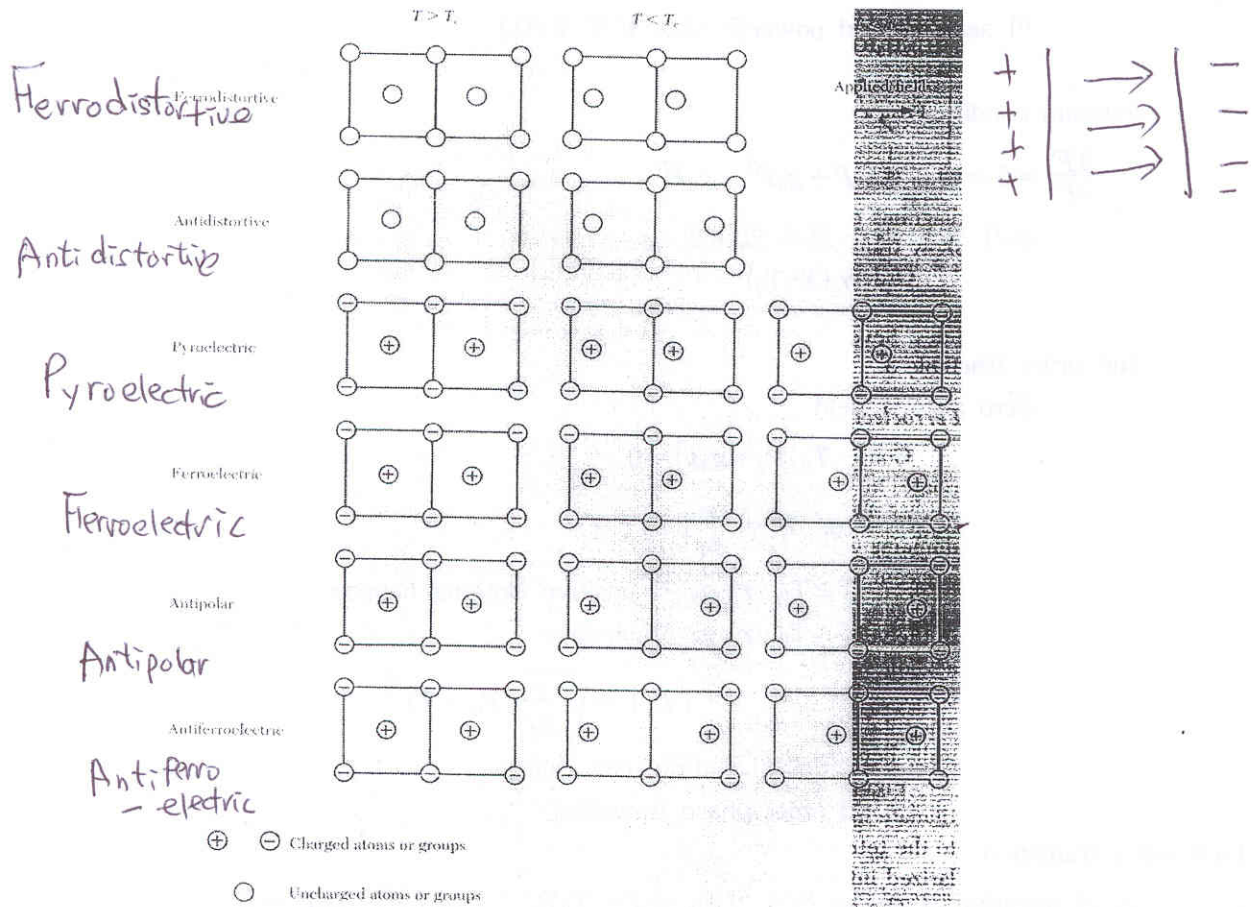
$$P_s = 0 \text{ or } \gamma(T - T_0)P_s - |g_4|P_s^3 + g_6P_s^5 = 0$$

$$P_s^2 = \frac{|g_4| \pm \sqrt{g_4^2 - 4\gamma(T - T_0)g_6}}{2g_6}$$

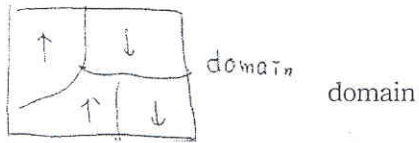
갑자기 변한다.  $\therefore$  first order transition 이다.

### Anti ferroelectricity

One type of deformation으로 antiferroelectric



## Ferroelectric Domain



total dipole moment of the crystal은 domain의 움직임으로 결정이 된다.

Thermal fluctuation과 경쟁

## piezoelectricity

모든 ferroelectric state는 piezoelectric이다.

stress  $Z$ 가 electric polarization을 바꾼다.

$$P = Zd + \underline{E}\chi, \quad e = Zs + Ed$$

$P$  : polarization      $d$  : piezoelectric strain constant

$Z$  : stress

$\chi$  : dielectric susceptibility

$e$  : electric strain

$s$  : electric compliance constant

ferroelectric이 아니더라도 piezoelectric이 될 수 있다.

Piezoelectric strain constant

$$d_{ik} = \left( \frac{\partial e_k}{\partial E_i} \right)_Z$$

$i = x, y, z$                        $k = xx, yy, yz, zx, xy$

PZT : lead zirconate - lead titanate

PVF<sub>2</sub> : 매우 강하다.

medical applications to monitor blood pressure and respiration