

## Chapter 2

### Reciprocal lattice

Diffraction of wave by crystal

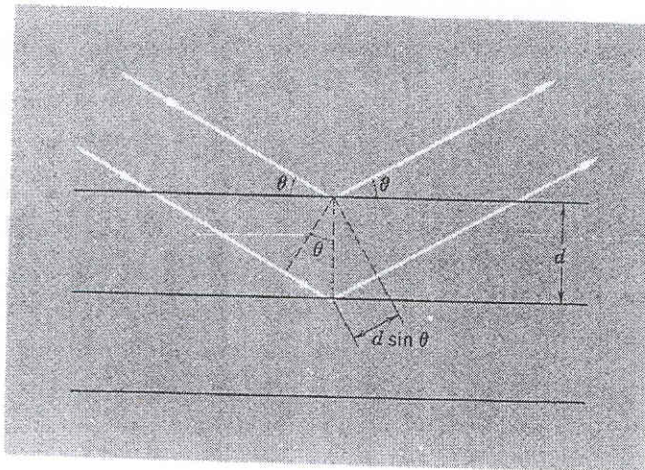


Figure 2 Derivation of the Bragg equation  $2d \sin \theta = n\lambda$ ; here  $d$  is the spacing of parallel atomic planes and  $2\pi n$  is the difference in phase between reflections from successive planes. The reflecting planes have nothing to do with the surface planes bounding the particular specimen.

constructive interference

$$2d \sin \theta = n\lambda$$

basis 내부의 원자들은 어떤 기여를 하나?

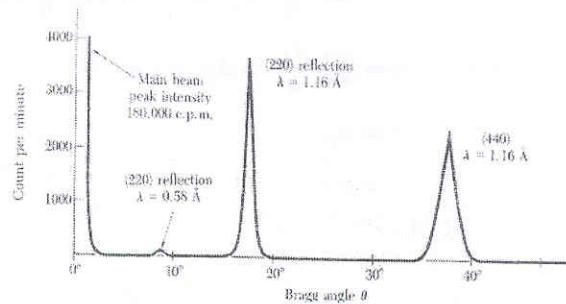
relative intensity 기여

$$\vec{T} = u_1 \vec{a}_1 + u_2 \vec{a}_2 + u_3 \vec{a}_3$$

charge concentration

electron number density

magnet moment density



Fourier Analysis

$$n(\vec{r} + \vec{T}) = n(\vec{r})$$

1차원

$$n(x) = n_0 + \sum_{p>0} C_p \cos \frac{2\pi x \cdot p}{a} + S_p \sin 2\pi x \cdot \frac{p}{a}$$

$$\begin{aligned} pf. \quad n(x+a) &= n_0 + \sum_{p>0} C_p \cos \frac{2\pi(x+a)p}{a} + S_p \sin \frac{2\pi(x+a)p}{a} \\ &= n(x) \end{aligned}$$

Complex

$$n(x) = \sum_p n_p \exp(i \frac{2\pi p x}{a})$$

$n(x)$  is real

$$\therefore n^*(x) = n(x)$$

$$\begin{aligned} \sum_p n_p^* \exp(-i \frac{2\pi p x}{a}) &= \sum_p n_p \exp(+i \frac{2\pi p x}{a}) \\ &= \sum_p n_{-p} \exp(-i \frac{2\pi p x}{a}) \end{aligned}$$

$$\therefore n_p^* = n_{-p}$$

Fourier Series

$$n(\vec{r}) = \sum_{\vec{G}} n_{\vec{G}} \cdot \exp(i\vec{G} \cdot \vec{r})$$

Inversion of Fourier Series

$$\begin{aligned} n_p &= \frac{1}{a} \int_0^a dx n(x) \exp(-i \frac{2\pi p x}{a}) \\ &= \frac{1}{a} \int_0^a dx \sum_{p'} n_{p'} \exp(ip' x \frac{2\pi}{a}) \cdot \exp(-i \frac{2\pi p x}{a}) \\ &= \sum_{p'} \frac{n_{p'}}{a} \int_0^a dx \cdot \exp(ix \frac{2\pi}{a} (p' - p)) \\ &= \sum_{p'} \frac{n_{p'}}{a} \cdot \delta_{p' - p} \cdot a \\ &= n_p \end{aligned}$$

pf. 끝

같은 방법으로

$$n_{\vec{G}} = \frac{1}{V_c} \int_{cell} dV n(\vec{r}) \exp(-i\vec{G} \cdot \vec{r})$$

Reciprocal lattice vector

$\vec{b}_1, \vec{b}_2, \vec{b}_3$  를 constant 라 하자.

$$\begin{aligned} \vec{b}_1 &= 2\pi \cdot \frac{\vec{a}_2 \times \vec{a}_3}{a_1 \cdot (a_2 \times a_3)}, \quad \vec{b}_2 = 2\pi \cdot \frac{\vec{a}_3 \times \vec{a}_1}{a_2 \cdot (a_2 \times a_3)}, \quad \vec{b}_3 = 2\pi \cdot \frac{\vec{a}_1 \times \vec{a}_2}{a_3 \cdot (a_2 \times a_3)} \\ \vec{b}_i \cdot \vec{a}_j &= 2\pi \delta_{ij} \end{aligned}$$

$$\vec{G} = \frac{2\pi P_x}{a} \hat{x} + \frac{2\pi P_y}{a} \hat{y} + \frac{2\pi P_z}{a} \hat{z}$$

$$= P_x \vec{b}_1 + P_y \vec{b}_2 + P_z \vec{b}_3$$

$$\vec{G} = v_1 \vec{b}_1 + v_2 \vec{b}_2 + v_3 \vec{b}_3$$

$v_1, v_2, v_3$  : integer

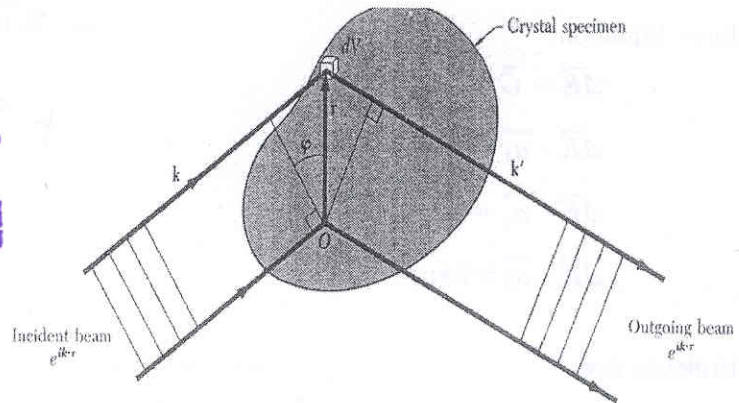
$$n(\vec{r} + \vec{T}) = \sum_{\vec{G}} n_{\vec{G}} \cdot \exp(i\vec{G} \cdot \vec{r}) \exp(i\vec{G} \cdot \vec{T})$$

$$= \sum_{\vec{G}} n_{\vec{G}} \cdot \exp(i\vec{G} \cdot \vec{r}) = n(\vec{r})$$

Diffraction Condition

Scattering amplitude

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$$F = \int dV n(\vec{r}) \exp[i(\vec{K} - \vec{K}') \cdot \vec{r}]$$

$$= \int dV n(\vec{r}) \exp(-i\Delta\vec{K} \cdot \vec{r})$$

where  $\vec{K} + \Delta\vec{K} = \vec{K}'$

Fourier components.

$$F = \int dV \cdot \sum_{\vec{G}} n_{\vec{G}} \cdot e^{i\vec{G} \cdot \vec{r}} \cdot \exp(-i\Delta\vec{K} \cdot \vec{r})$$

$$= \sum_{\vec{G}} \int dV \cdot n_{\vec{G}} \cdot \exp[i(\vec{G} - \Delta\vec{K}) \cdot \vec{r}]$$

$$\therefore \Delta\vec{K} = \vec{G}$$

OR  $\vec{K} + \vec{G} = \vec{K}'$

$$K^2 + G^2 + 2\vec{K} \cdot \vec{G} = K'^2$$

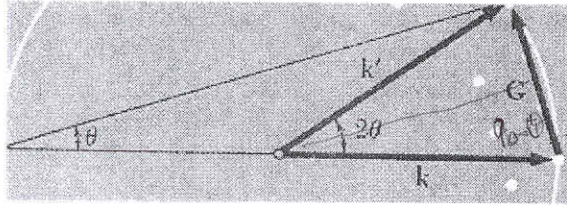
$$\therefore 2\vec{K} \cdot \vec{G} = G^2$$

OR  $2\vec{K} \cdot \vec{G} + G^2 = 0$

Th<sup>m</sup>.  $\vec{G} = h\vec{b}_1 + k\vec{b}_2 + l\vec{b}_3$ 에 수직인 평면사이의 거리 =  $\frac{2\pi}{|\vec{G}|}$

$$\therefore 2 \cdot \frac{2\pi}{\lambda} \cdot \sin \theta = \frac{2\pi}{d(hkl)}$$

$$\therefore 2d \sin \theta = \lambda \quad \text{or} \quad 2d \sin \theta = n\lambda$$



Laue Equation.

$$\Delta \vec{K} = \vec{G}$$

$$\Delta \vec{K} \cdot \vec{a}_1 = 2\pi v_1$$

$$\Delta \vec{K} \cdot \vec{a}_2 = 2\pi v_2$$

$$\Delta \vec{K} \cdot \vec{a}_3 = 2\pi v_3$$

$$2\vec{k} \cdot \vec{G} = |\vec{k}| |\vec{G}| \sin \theta$$

$$2|\vec{k}| |\vec{G}| \sin \theta = |\vec{G}| \lambda$$

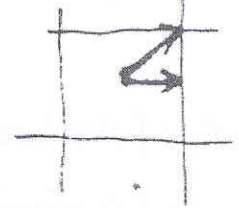
$$2 \cdot \frac{2\pi}{\lambda} \sin \theta = \frac{2\pi}{d}$$

$$2d \sin \theta = \lambda$$

$$2d \sin \theta = \lambda$$

Brillouin zone

$$2\vec{K} \cdot \vec{G} = |\vec{G}|^2 \quad \therefore \vec{K} \cdot \frac{\vec{G}}{2} = \left(\frac{1}{2} |\vec{G}|\right)^2$$



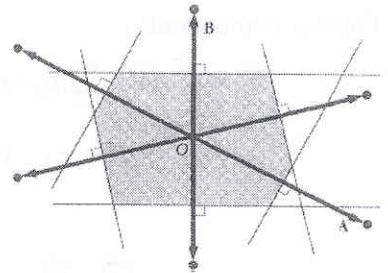
이것이 Wigner-Seitz cell 이다.

Construction of the first Brillouin zone for an oblique lattice

First Brillouin zone.

1-D에서는

$$K = \pm \frac{\pi}{a}$$



Reciprocal lattice to S.C lattice

$$\vec{a}_1 = a\hat{x}, \quad \vec{a}_2 = a\hat{y}, \quad \vec{a}_3 = a\hat{z}$$

$$\vec{b}_1 = \frac{2\pi}{a}\hat{x}, \quad \vec{b}_2 = \frac{2\pi}{a}\hat{y}, \quad \vec{b}_3 = \frac{2\pi}{a}\hat{z}$$

First Brillouin zone of S.C lattice

$$\pm \frac{1}{2} \vec{b}_1, \pm \frac{1}{2} \vec{b}_2, \pm \frac{1}{2} \vec{b}_3$$

Reciprocal lattice to B.C.C lattice

$$\vec{a}_1 = \frac{1}{2} a(-\hat{x} + \hat{y} + \hat{z}), \quad \vec{a}_2 = \frac{1}{2} a(\hat{x} - \hat{y} + \hat{z}), \quad \vec{a}_3 = \frac{1}{2} a(\hat{x} + \hat{y} - \hat{z})$$

volume of primitive cell is

$$V = |\vec{a}_1 \cdot \vec{a}_2 \times \vec{a}_3| = \frac{1}{2} a^3$$

$$\vec{b}_1 = \frac{2\pi}{a}(\hat{y} + \hat{z}), \quad \vec{b}_2 = \frac{2\pi}{a}(\hat{x} + \hat{z}), \quad \vec{b}_3 = \frac{2\pi}{a}(\hat{x} + \hat{y})$$

General reciprocal lattice vector is

$$\vec{G} = v_1 \vec{b}_1 + v_2 \vec{b}_2 + v_3 \vec{b}_3 = \frac{2\pi}{a} \left[ (v_1 + v_2) \hat{x} + (v_1 + v_3) \hat{y} + (v_2 + v_3) \hat{z} \right]$$

$$= \frac{2\pi}{a} \left[ (v_2 + v_3) \hat{x} + (v_1 + v_3) \hat{y} + (v_1 + v_2) \hat{z} \right]$$

shortest  $\vec{G}$

$$\left[ \frac{2\pi}{a}(\pm \hat{y} \pm \hat{z}), \quad \frac{2\pi}{a}(\pm \hat{x} \pm \hat{z}), \quad \frac{2\pi}{a}(\pm \hat{x} \pm \hat{y}) \right] + (v_1 + v_2) \hat{z}$$

Reciprocal space에서의 volume은?

$$\begin{aligned} \vec{b}_1 \cdot \vec{b}_2 \times \vec{b}_3 &= \left(\frac{2\pi}{a}\right)^3 \cdot (\hat{y} + \hat{z}) \cdot [(\hat{x} + \hat{z}) \times (\hat{x} + \hat{y})] \\ &= \left(\frac{2\pi}{a}\right)^3 \cdot (\hat{y} + \hat{z}) \cdot (\hat{y} + \hat{z} - \hat{x}) \\ &= 2 \cdot \left(\frac{2\pi}{a}\right)^3 \end{aligned}$$

fcc

에서의 vector ( normal to the surface. )

$$\frac{\pi}{a}(\pm \hat{y} \pm \hat{z}), \quad \frac{\pi}{a}(\pm \hat{x} \pm \hat{z}), \quad \frac{\pi}{a}(\pm \hat{x} \pm \hat{y})$$

Reciprocal lattice to F.C.C lattice

$$\vec{a}_1 = \frac{a}{2}(\hat{y} + \hat{z}), \quad \vec{a}_2 = \frac{a}{2}(\hat{x} + \hat{z}), \quad \vec{a}_3 = \frac{a}{2}(\hat{x} + \hat{y})$$

primitive cell 에서의 volume

$$V = |\vec{a}_1 \cdot \vec{a}_2 \times \vec{a}_3| = a^3/4$$

$$\vec{b}_1 = \frac{2\pi}{a}(-\hat{x} + \hat{y} + \hat{z}), \quad \vec{b}_2 = \frac{2\pi}{a}(\hat{x} - \hat{y} + \hat{z}), \quad \vec{b}_3 = \frac{2\pi}{a}(\hat{x} + \hat{y} - \hat{z})$$

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$$\begin{aligned}
 \text{Volume} &= \left(\frac{2\pi}{a}\right)^3 \cdot (-\hat{x} + \hat{y} + \hat{z}) \cdot \begin{vmatrix} \hat{x} & \hat{y} & \hat{z} \\ 1 & -1 & 1 \\ 1 & 1 & -1 \end{vmatrix} \\
 &= \left(\frac{2\pi}{a}\right)^3 \cdot (-\hat{x} + \hat{y} + \hat{z}) \cdot [0 \cdot \hat{x} + 2 \cdot \hat{y} + 2 \cdot \hat{z}] \\
 &= \left(\frac{2\pi}{a}\right)^3 \cdot 4
 \end{aligned}$$

가장 짧은  $\vec{G}$ 는

$$\vec{G} = \frac{2\pi}{a} (\pm \hat{x} \pm \hat{y} \pm \hat{z})$$

Fourier Analysis of the basis scattering amplitude

$$\begin{aligned}
 F_g &= N \int dV n(\vec{r}) \exp(-i\vec{G} \cdot \vec{r}) \\
 &= NS_G
 \end{aligned}$$

$S_G$  : structure factor

$$n(\vec{r}) = \sum_{j=0}^S n_j(r - r_j)$$

$$\begin{aligned}
 S_G &= \sum_j \int dV n_j(\vec{r} - \vec{r}_j) \cdot \exp(-i\vec{G} \cdot \vec{r}) \\
 &= \sum_j \exp(-i\vec{G} \cdot \vec{r}_j) \int dV n_j(\rho) \exp(i\vec{G} \cdot \rho) \\
 &= \sum_j f_j \exp(-i\vec{G} \cdot \vec{r}_j)
 \end{aligned}$$

$$\text{where } f_j \equiv \int dV n_j(\rho) \exp(-i\vec{G} \cdot \rho)$$

$$\vec{r}_j = x_j \vec{a}_1 + y_j \vec{a}_2 + z_j \vec{a}_3$$

$$\begin{aligned}
 \vec{G} \cdot \vec{r}_j &= (v_1 \vec{b}_1 + v_2 \vec{b}_2 + v_3 \vec{b}_3) \cdot (x_j \vec{a}_1 + y_j \vec{a}_2 + z_j \vec{a}_3) \\
 &= (v_1 x_j + v_2 y_j + v_3 z_j) \cdot 2\pi
 \end{aligned}$$

$$S_G(v_1 v_2 v_3) = \sum_j f_j \exp[-i2\pi(v_1 x_j + v_2 y_j + v_3 z_j)]$$

$$N_1(\text{cell}) \times S_1(\text{basis}) = N_2(\text{cell}) \times S_2(\text{basis})$$

Structure factor of the bcc lattice

$$x_1 = y_1 = z_1 = 0, \quad x_2 = y_2 = z_2 = \frac{1}{2}$$

$$S_G(v_1 v_2 v_3) = f \left[ 1 + \exp\left[i \left(\frac{2\pi}{2}\right) (v_1 + v_2 + v_3)\right] \right]$$

$$S = 0 \quad \text{when } v_1 + v_2 + v_3 = \text{Odd integer}$$

$$S = 2f \quad \text{when } v_1 + v_2 + v_3 = \text{Even integer}$$

Structural Factor of the fcc lattice

$$000, 0\frac{1}{2}\frac{1}{2}, \frac{1}{2}0\frac{1}{2}, \frac{1}{2}\frac{1}{2}0$$

$$S(v_1 v_2 v_3) = f \cdot \{1 + \exp[-i\pi(v_2 + v_3)] + \exp[-i\pi(v_1 + v_3)] + \exp[-i\pi(v_1 + v_2)]\}$$

$$\text{all even integer} \quad S = 4f$$

$$\text{all odd integer} \quad S = 0$$

KCl, KBr    꼭 simple cubic 같다.

∴ K, Cl ion 은 전자의 수가 다르다.

Atomic form factor

$$f_j = \int dV n_j(\vec{r}) \exp(-\vec{G} \cdot \vec{r})$$

$$\vec{G} \cdot \vec{r} = Gr \cos \alpha$$

만약 전자가 spherically symmetric about the origin

$$= 2\pi \int dr r^2 \sin \alpha \, dn_j(r) \exp(-iGr \cos \alpha)$$

$$= 2\pi \int dr r^2 n_j(r) \frac{\exp(-iGr \cos \alpha)}{iGr} \Big|_{\alpha=0}^{\alpha=\pi}$$

$$= 2\pi \int dr r^2 n_j(r) \frac{\exp(iGr) - \exp(-iGr)}{iGr}$$

$$= 4\pi \int dr r^2 n_j(r) \frac{\sin Gr}{Gr}$$

$n(\vec{r})$ 이  $r=0$ 에 응집되어 있다면,

$$f_j = 4\pi \int dr n_j(r) r^2 = 2$$

forward - direction  $G = 0$

f reduce to Z    forward direction

international tables for x-ray crystallography

속제 1 - 7