

**POSTECH 이성익 교수의
양자 세계에 관한 강연**

- 11장 -

편집 도우미: POSTECH 학부생 임향택

Chapter 11

Time-Independent Perturbation Theory

Not exactly soluble equation

$$H = H_0 + \lambda H_1$$

$$H_0 \phi_n = E_n^0 \phi_n$$

$$(H_0 + \lambda H_1) \psi_n = E_n \psi_n$$

ψ_n 을 λ 의 power 승으로 표시. 단 Convergence는 취급 안함

$$\lambda \rightarrow 0 \quad \psi_n = \phi_n, \quad E_n = E_n^0$$

Let

$$\psi_n = N(\lambda) \cdot \left\{ \phi_n + \sum_{k \neq n} C_{nk}(\lambda) \phi_k \right\}$$

$$\text{phase } N(\lambda) \quad N(0) = 1, \quad C_{nk}(0) = 0$$

$$\therefore C_{nk}(\lambda) = \lambda C_{nk}^{(1)} + \lambda^2 C_{nk}^{(2)} + \dots$$

$$\therefore E_n = E_n^0 + \lambda E_n^{(1)} + \lambda^2 E_n^{(2)} + \dots$$

$$(H_0 + \lambda H_1) \left\{ \phi_n + \sum_{k \neq n} \lambda C_{nk}^{(1)} \phi_k + \sum_{k \neq n} \lambda^2 C_{nk}^{(2)} \phi_k + \dots \right\}$$

$$\therefore = (E_n^0 + \lambda E_n^{(1)} + \lambda^2 E_n^{(2)} + \dots) \cdot \left\{ \phi_n + \sum_{k \neq n} \lambda C_{nk}^{(1)} \phi_k + \sum_{k \neq n} \lambda^2 C_{nk}^{(2)} \phi_k + \dots \right\}$$

$$\lambda: H_0 \sum_{k \neq n} C_{nk}^{(1)} \phi_k + H_1 \phi_n = E_n^0 \sum_{k \neq n} C_{nk}^{(1)} \phi_k + E_n^{(1)} \phi_n$$

$$\text{using } H_0 \phi_k = E_k^0 \phi_k$$

$$\therefore \sum_{k \neq n} C_{nk}^{(1)} E_k^0 \phi_k + H_1 \phi_n = E_n^0 \sum_{k \neq n} C_{nk}^{(1)} \phi_k + E_n^{(1)} \phi_n$$

$$\therefore E_n^{(1)} \phi_n = H_1 \phi_n + \sum_{k \neq n} (E_k^0 - E_n^0) C_{nk}^{(1)} \phi_k$$

Scalar product with ϕ_n

$$\therefore E_n^{(1)} = \langle \phi_n | H | \phi_n \rangle$$

Scalar product with ϕ_m , $m \neq n$

$$\begin{aligned} \left\langle \phi_m \left| H_0 \sum_{k \neq n} C_{nk}^{(1)} \phi_k \right. \right\rangle + \langle \phi_m | H_1 | \phi_n \rangle &= E_n^0 \sum_{k \neq n} \langle \phi_m | C_{nk}^{(1)} | \phi_k \rangle \\ \therefore \left\langle \phi_m \left| \sum_{k \neq n} E_k^0 C_{nk}^{(1)} \phi_k \right. \right\rangle + \langle \phi_m | H_1 | \phi_n \rangle &= E_n^0 C_{nm}^{(1)} \\ E_m^0 C_{nm}^{(1)} + \langle \phi_m | H_1 | \phi_n \rangle &= E_n^0 C_{nm}^{(1)} \\ \therefore C_{nm}^{(1)} &= \frac{\langle \phi_m | H_1 | \phi_n \rangle}{E_n^0 - E_m^0} \end{aligned}$$

λ^2 에 의 한 항

$$H_0 \sum_{k \neq n} C_{nk}^{(2)} \phi_k + H_1 \sum_{k \neq n} C_{nk}^{(1)} \phi_k = E_n^0 \sum_{k \neq n} C_{nk}^{(2)} \phi_k + E_n^{(1)} \sum_{k \neq n} C_{nk}^{(1)} \phi_k + E_n^{(2)} \phi_n$$

Scalar product with ϕ_n

$$\begin{aligned} \therefore \langle \phi_n | H_1 \sum_{k \neq n} C_{nk}^{(1)} | \phi_k \rangle &= E_n^{(2)} \\ E_n^{(2)} &= \sum_{k \neq n} \langle \phi_n | H_1 | \phi_k \rangle C_{nk}^{(1)} \\ &= \sum_{k \neq n} \langle \phi_n | H_1 | \phi_k \rangle \cdot \frac{\langle \phi_k | H_1 | \phi_n \rangle}{E_n^0 - E_k^0} \\ &= \sum_{k \neq n} \frac{|\langle \phi_n | H_1 | \phi_k \rangle|^2}{E_n^0 - E_k^0} \end{aligned}$$

a) if ϕ_n is ground state

$$\phi_n^{(2)} = \sum_{k \neq n} \frac{|\langle \phi_n | H_1 | \phi_k \rangle|^2}{E_n^0 - E_k^0} < 0$$

b) E_n^0 와 가까운 쪽에서 더 큰 기여

c) 만약 에너지 높으면 낮아지게
 낮으면 높아지게

A tendency of levels to repel each other.

$$1 = \langle \psi_n | \psi_n \rangle = N^2(\lambda) \left\{ 1 + \lambda^2 \cdot \sum_{k \neq n} |C_{nk}^{(1)}|^2 + \dots \right\}$$

$N(\lambda)$ 는 1차 항까지는 zero

$$= (1 + \lambda^2 \square) (\quad)$$

$$\therefore \psi_n = \phi_n + \sum_{k \neq n} \frac{\langle \phi_k | \lambda H_1 | \phi_n \rangle}{E_n^0 - E_k^0} \phi_k$$

만약, degenerate case

Orthogonal하게 만들자.

$$\langle \phi_m^{(j)} | \phi_n^{(i)} \rangle = \delta_{mn} \delta_{ij}$$

$$\psi_n = N(\lambda) \cdot \left\{ \sum_i \alpha_i \phi_n^{(i)} + \lambda \sum_{k \neq n} C_{nk}^{(1)} \sum_i \beta_i \phi_k^{(i)} + \dots \right\}$$

α_i, β_i 는 결정되어야 할 양. $H\psi_n = E_n\psi_n$

$$H_0 \sum_{k \neq n} C_{nk}^{(1)} \sum_i \beta_i \phi_k^{(i)} + H_1 \sum_i \alpha_i \phi_n^{(i)} = E_n^{(1)} \sum_i \alpha_i \phi_n^{(i)} + E_n^0 \sum_{k \neq n} C_{nk}^{(1)} \sum_i \beta_i \phi_k^{(i)}$$

$\phi_n^{(j)}$ 를 product하자.

$$\sum_i \alpha_i \langle \phi_n^{(j)} | \lambda H_1 | \phi_n^{(i)} \rangle = \lambda E_n^{(1)} \alpha_j$$

finite dimensional Eigenvalue problem

$$\sum_i \langle \phi_n^{(j)} | H_1 | \phi_n^{(i)} \rangle \alpha_i = E_n^{(1)} \alpha_j$$

예. two fold degeneracy

$$\langle \phi_n^{(j)} | H_1 | \phi_n^{(i)} \rangle = h_{ji}$$

$$h_{11}\alpha_1 + h_{12}\alpha_2 = E_n^{(1)}\alpha_1$$

$$h_{21}\alpha_1 + h_{22}\alpha_2 = E_n^{(1)}\alpha_2$$

$$|\alpha_1|^2 + |\alpha_2|^2 = 1$$

예. 수소 원자에서 P 상태 (same E) $L=1, L_z=1, 0, -1$ 모든 m-value에 대해

만약 외부에서 Electric field를 걸어주면 에너지를 splitting 시킨다. - Stark effect

$$H_0 = \frac{p^2}{2\mu} - \frac{ze^2}{r}, \quad \vec{F} = -\nabla H_1 \quad \text{and} \quad \vec{F} = -eE\hat{z}$$

$$H_1 = e\mathcal{E}z$$

S state (1,0,0)

$$\begin{aligned} E_{100}^{(1)} &= e\mathcal{E} \langle \phi_{100} | z | \phi_{100} \rangle \\ &= e\mathcal{E} \int d^3r |\phi_{100}(\vec{r})|^2 \cdot z \\ &= 0 \end{aligned}$$

Ground state가 degenerate 없으면

$$E^{(1)} = 0 \quad \therefore \text{no permanent dipole moment}$$

P state: $n=2$ state

$$\phi_{200} = (2a_0)^{-\frac{3}{2}} \left(1 - \frac{r}{2a_0}\right) e^{-\frac{r}{2a_0}} Y_{00}$$

$$\phi_{211} = (2a_0)^{-\frac{3}{2}} \cdot 3^{-\frac{1}{2}} \left(\frac{r}{a_0}\right) e^{-\frac{r}{2a_0}} Y_{11}$$

$$\phi_{210} = (2a_0)^{-\frac{3}{2}} \cdot 3^{-\frac{1}{2}} \left(\frac{r}{a_0}\right) e^{-\frac{r}{2a_0}} Y_{10}$$

$$\phi_{21-1} = (2a_0)^{-\frac{3}{2}} \cdot 3^{-\frac{1}{2}} \left(\frac{r}{a_0}\right) e^{-\frac{r}{2a_0}} Y_{1-1}$$

Let

$$\phi_{200} = |1\rangle$$

$$\phi_{211} = |2\rangle$$

$$\phi_{210} = |3\rangle$$

$$\phi_{21-1} = |4\rangle$$

$$\sum_i \alpha_i \langle \phi_n^{(j)} | H_1 | \phi_n^{(i)} \rangle = E_n^{(1)} \alpha_j = \sum_i \alpha_i E_n^{(1)} \delta_{ji}$$

$$\det(\langle i | H | j \rangle - E^{(2)}) = 0$$

$$\begin{aligned}
0 &= \det \begin{pmatrix} \langle 1|H_1|1\rangle - E_n^{(2)} & \langle 1|H_1|2\rangle & \langle 1|H_1|3\rangle & \langle 1|H_1|4\rangle \\ \langle 2|H_1|1\rangle & \langle 2|H_1|2\rangle - E_n^{(2)} & \langle 2|H_1|3\rangle & \langle 2|H_1|4\rangle \\ \langle 3|H_1|1\rangle & \langle 3|H_1|2\rangle & \langle 3|H_1|3\rangle - E_n^{(2)} & \langle 3|H_1|4\rangle \\ \langle 4|H_1|1\rangle & \langle 4|H_1|2\rangle & \langle 4|H_1|3\rangle & \langle 4|H_1|4\rangle - E_n^{(2)} \end{pmatrix} \\
\therefore &= \det \begin{pmatrix} -E_n^{(2)} & 0 & \langle 1|H_1|3\rangle & 0 \\ 0 & -E_n^{(2)} & 0 & 0 \\ \langle 3|H_1|1\rangle & 0 & -E_n^{(2)} & 0 \\ 0 & 0 & 0 & -E_n^{(2)} \end{pmatrix}
\end{aligned}$$

$$\therefore E_n^{(2)} = 0 \quad \text{or} \quad (E_n^{(2)} - |\langle 1|H_1|3\rangle|^2) = 0$$

$$\therefore E_n^{(2)} = \pm |\langle 1|H_1|3\rangle|$$

$\therefore \phi_{211}, \phi_{21-1}$ 은 Energy shift 없다.

$$\therefore 0 = \begin{pmatrix} -E_n^{(2)} & \langle 1|H_1|3\rangle \\ \langle 3|H_1|1\rangle & -E_n^{(2)} \end{pmatrix} \begin{pmatrix} \alpha_1 \\ \alpha_2 \end{pmatrix}$$

$$\begin{aligned}
\langle 1|H_1|3\rangle &= \int (2a_0)^{-\frac{3}{2}} \cdot 2 \cdot \left(1 - \frac{r}{2a_0}\right) e^{-\frac{r}{2a_0}} Y_{00}^* \cdot eEz \cdot (2a_0)^{\frac{3}{2}} \cdot 3^{\frac{1}{2}} \cdot \left(\frac{r}{a_0}\right) e^{-\frac{r}{2a_0}} Y_{10} r^2 dr \sin\theta d\theta d\phi \\
&= eE (2a_0)^{-3} \cdot 2 \cdot 3^{\frac{1}{2}} \cdot \int \left(1 - \frac{r}{2a_0}\right) \left(\frac{r}{a_0}\right) \cdot e^{-\frac{r}{a_0}} r^3 dr \int Y_{00}^* \cdot Y_{10} \cdot \cos\theta \cdot \sin\theta d\theta d\phi \\
&= eE \cdot (-3a_0)
\end{aligned}$$

$$Y_{10} = \sqrt{\frac{3}{4\pi}} \cos\theta, Y_{00} = \frac{1}{\sqrt{4\pi}}$$

$$\therefore 0 = \begin{pmatrix} -E_n^{(2)} & -3a_0 eE \\ -3a_0 eE & -E_n^{(2)} \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$$

Let $E_n^{(2)} = -3a_0 eE$ then $\alpha = \beta$

$$\therefore |\psi\rangle = \frac{1}{\sqrt{2}} (\phi_{200} + \phi_{210})$$

Let $E_n^{(2)} = 3a_0 eE$ then $\alpha = -\beta$

$$\therefore |\psi\rangle = \frac{1}{\sqrt{2}} (\phi_{200} - \phi_{210})$$

$$\begin{array}{l}
l = 1, m = 1, 0, -1 \\
l = 0, m = 0
\end{array}
\begin{array}{c}
\text{---} \\
\text{---} \\
\text{---}
\end{array}
\begin{array}{l}
m = 0 \quad \frac{1}{\sqrt{2}}(\phi_{200} - \phi_{210}) \\
m = \pm 1 \\
m = 0 \quad \frac{1}{\sqrt{2}}(\phi_{200} + \phi_{210})
\end{array}$$

2nd order for $l=0$

We have to calculate

$$\begin{aligned}
E_{100}^{(2)} &= e^2 \varepsilon^2 \sum_{k \neq n} \frac{|\langle \phi_{nlm} | z | \phi_{100} \rangle|^2}{E_1^0 - E_n^0} \\
\langle \phi_{nlm} | z | \phi_{100} \rangle &= \int d^3 r R_{nlm}(r) Y_{lm}^*(\theta, \phi) \cdot r \cos \theta \cdot R_{100}(r) Y_{00}(\theta, \phi) \\
&= \int r^2 dr R_{nlm}(r) R_{100}(r) \int d\Omega Y_{lm}^*(\theta, \phi) \cdot \sqrt{\frac{4\pi}{3}} Y_{10} Y_{00} \\
&= \frac{1}{\sqrt{3}} \delta_{l1} \delta_{m0} \int R_{nlm}(r) R_{100}(r) r^2 dr \\
&= \frac{1}{\sqrt{3}} \cdot \int_0^\infty R_{n10} \cdot R_{100} \cdot r^2 dr \quad \begin{pmatrix} l=1 \\ m=0 \end{pmatrix} \\
&= \frac{1}{3} \cdot \frac{2^8 \cdot n^7 \cdot (n-1)^{2n-5}}{(n+1)^{2n+5}} a_0^2 \\
&= f(n) a_0^2
\end{aligned}$$

$$\begin{aligned}
E_{100}^{(2)} &= -e^2 \varepsilon^2 \sum_{nlm} \frac{|\langle \phi_{nlm} | z | \phi_{100} \rangle|^2}{E_1^0 - E_n^0} \\
&= -e^2 \varepsilon^2 a_0^2 \sum_{n=2}^\infty \frac{f(n) \cdot a_0^2}{\frac{1}{2} \mu c^2 \alpha^2 \left(1 - \frac{1}{n^2}\right)} \\
&= -\frac{2e^2 \varepsilon^2 a_0^2}{\mu c^2 \alpha^2} \sum_{n=2}^\infty \frac{n^2 f(n)}{n^2 - 1} \\
&= -\frac{2\cancel{\varepsilon}^2 \varepsilon^2 a_0^2}{\cancel{\varepsilon}^2} \sum_{n=2}^\infty \frac{n^2 f(n)}{n^2 - 1} \quad \left(\mu c^2 \cdot \alpha^2 = \frac{e^2}{a_0} \right) \\
&= -2\varepsilon^2 a_0^3 \sum_{n=2}^\infty \frac{n^2 f(n)}{n^2 - 1} \\
E_{100}^{(2)} &= -2\varepsilon^2 a_0^3 \sum_{n=2}^\infty \frac{n^2 f(n)}{n^2 - 1}
\end{aligned}$$

Induced dipole moment

$$\begin{aligned}d &= -\frac{\partial E_{100}^{(2)}}{\partial \varepsilon} \\ &= -4\varepsilon a_0^3 \sum_{n=2}^{\infty} \frac{n^2 f(n)}{n^2 - 1}\end{aligned}$$