

Quantum Approximate Optimization Algorithm

Part 2

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구성

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Variational Quantum Algorithms

- ✓ What is VQAs?
- ✓ VQE and **QAOA**



Quantum Approximate Optimization Algorithm

What is QAOA?

Level p-QAOA

1. Initialize the quantum processor in $|+\rangle^{\otimes N}$
2. Generate a variational wavefunction

$$|\psi_p(\vec{\gamma}, \vec{\beta})\rangle = e^{-i\beta_p H_B} e^{-i\gamma_p H_C} \dots e^{-i\beta_1 H_B} e^{-i\gamma_1 H_C} |+\rangle^{\otimes N}$$
 by applying the **problem Hamiltonian H_C** and a mixing Hamiltonian $H_B = \sum_{j=1}^N X_j$

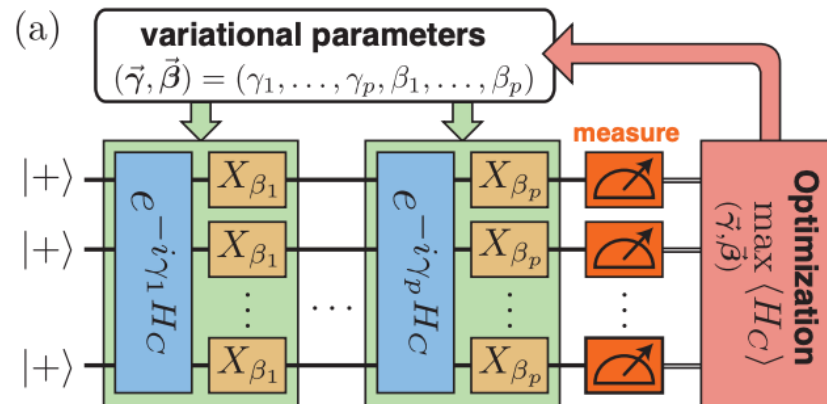
3. Determine the expectation value

$$F_p(\vec{\gamma}, \vec{\beta}) = \langle \psi_p(\vec{\gamma}, \vec{\beta}) | H_C | \psi_p(\vec{\gamma}, \vec{\beta}) \rangle$$

4. Search for the optimal parameters

$$(\vec{\gamma}^*, \vec{\beta}^*) = \arg \max_{\vec{\gamma}, \vec{\beta}} F_p(\vec{\gamma}, \vec{\beta})$$

by a classical computer



[L. Zhou et al., Quantum Approximate Optimization Algorithm: Performance, Mechanism, and Implementation on Near-Term Devices, Phys. Rev. X 10, 021067, 2020]

Approximation ratio $r = \frac{F_p(\vec{\gamma}^*, \vec{\beta}^*)}{C_{\max}}$



Variational Quantum Eigensolver (VQE)

Some variational ansätze – targeted at quantum simulation

✓ **Hamiltonian Variational** ansatz:

- Assume that: we want to find the ground state of $H = \sum_i H_i$

we can write $H = H_B + H_C$

↑ **easy to prepare** the ground state of H_B

- Then: prepare the ground state of H_A

For each of L layers l , implement $\prod_k e^{it_{lk}H_k}$ for some times $t_{lk} \in \mathbb{R}$

- Intuition comes from the **quantum adiabatic theorem**:

As $L \rightarrow \infty$, this ansatz provably can represent the ground state of H .

Adiabatic Quantum Computing



Adiabatic Quantum Computing

= Quantum Annealing

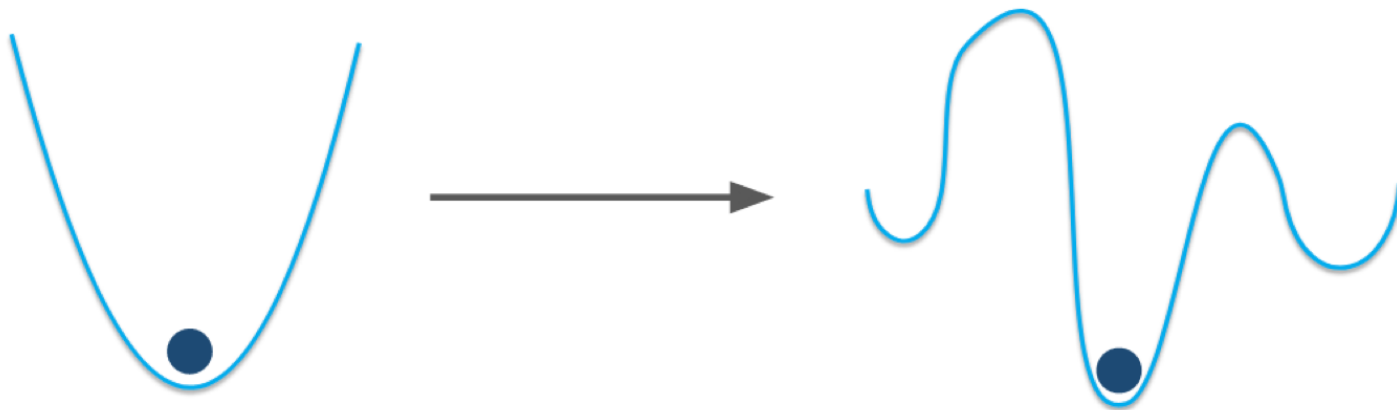


Figure 1. Schematic illustration of adiabatic quantum computing: by starting from the solution of a simple optimization problem (left) and slowly changing it to a complicated one (right), we are guaranteed by the adiabatic theorem to stay in the minimum during the whole evolution



Adiabatic Quantum Computing

Hamiltonian and time evolution

✓ Schrödinger equation:

- Time evolution of a quantum system with Hamiltonian H

$$H|\psi(t)\rangle = i\hbar \frac{\partial}{\partial t} |\psi(t)\rangle$$

- For time-independent H :

$$|\psi(t)\rangle = e^{-iHt/\hbar} |\psi(0)\rangle$$

✓ Adiabatic theorem:

If the Hamiltonian of a quantum system in its **ground state** is perturbed slowly enough, the system remains in its **ground state**.

Higher energy states





Adiabatic Quantum Computing

Hamiltonian and time evolution

- Consider the Hamiltonian $H = H_B + H_C$
- Time evolution operator

$$U(t) = e^{-\frac{iHt}{\hbar}} = e^{-\frac{i(H_B+H_C)t}{\hbar}}$$

- For commuting matrices H_B, H_C :

$$e^{H_B+H_C} = e^{H_B}e^{H_C}$$

- ✓ **Trotter Suzuki Formula:**

$$e^{-i(H_B+H_C)t} \approx \left(e^{-iH_B t/r} e^{-iH_C t/r} \right)^r$$



Adiabatic Quantum Computing

Adiabatic path or Annealing schedule

✓ Adiabatic path or Annealing schedule:

$$H(t) = \frac{t}{T}H_C + \left(1 - \frac{t}{T}\right)H_B \quad t \in [0, T]$$

✓ Discretizing AQC and QAOA:

$$U(T) = U(T, 0) = U(T, \dots, \vec{\gamma}, \vec{\beta}) = e^{-i\beta_p H_B} e^{-i\gamma_p H_C} \dots e^{-i\beta_1 H_B} e^{-i\gamma_1 H_C} |+\rangle^{\otimes N}$$

$$\approx \prod_{j=1}^p e^{-iH(j\Delta t)\Delta t} = \prod_{j=1}^p e^{-i\left(\frac{j\Delta t}{T}H_C + \left(1 - \frac{j\Delta t}{T}\right)H_B\right)\Delta t} \approx \prod_{j=1}^p e^{-i\left(\frac{j\Delta t}{T}\right)\Delta t H_C} e^{-i\left(1 - \frac{j\Delta t}{T}\right)\Delta t H_B}$$

γ_j β_j

Variants of QAOA



Quantum Physics

[Submitted on 15 Jun 2023 (v1), last revised 26 Jun 2023 (this version, v2)]

A Review on Quantum Approximate Optimization Algorithm and its Variants

Kostas Blekos, Dean Brand, Andrea Ceschini, Chiao-Hui Chou, Rui-Hao Li, Komal Pandya, Alessandro Summer

The Quantum Approximate Optimization Algorithm (QAOA) is a highly promising variational quantum algorithm that aims to solve combinatorial optimization problems that are classically intractable. This comprehensive review offers an overview of the current state of QAOA, encompassing its performance analysis in diverse scenarios, its applicability across various problem instances, and considerations of hardware-specific challenges such as error susceptibility and noise resilience. Additionally, we conduct a comparative study of selected QAOA extensions and variants, while exploring future prospects and directions for the algorithm. We aim to provide insights into key questions about the algorithm, such as whether it can outperform classical algorithms and under what circumstances it should be used. Towards this goal, we offer specific practical points in a form of a short guide. Keywords: Quantum Approximate Optimization Algorithm (QAOA), Variational Quantum Algorithms (VQAs), Quantum Optimization, Combinatorial Optimization Problems, NISQ Algorithms

Comments: 67 pages, 9 figures, 9 tables; version 2 -- added more discussions and practical guides

Subjects: **Quantum Physics (quant-ph)**

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(or [arXiv:2306.09198v2](https://arxiv.org/abs/2306.09198v2) [quant-ph] for this version)

<https://doi.org/10.48550/arXiv.2306.09198> 

Submission history

From: Rui-Hao Li [\[view email\]](#)

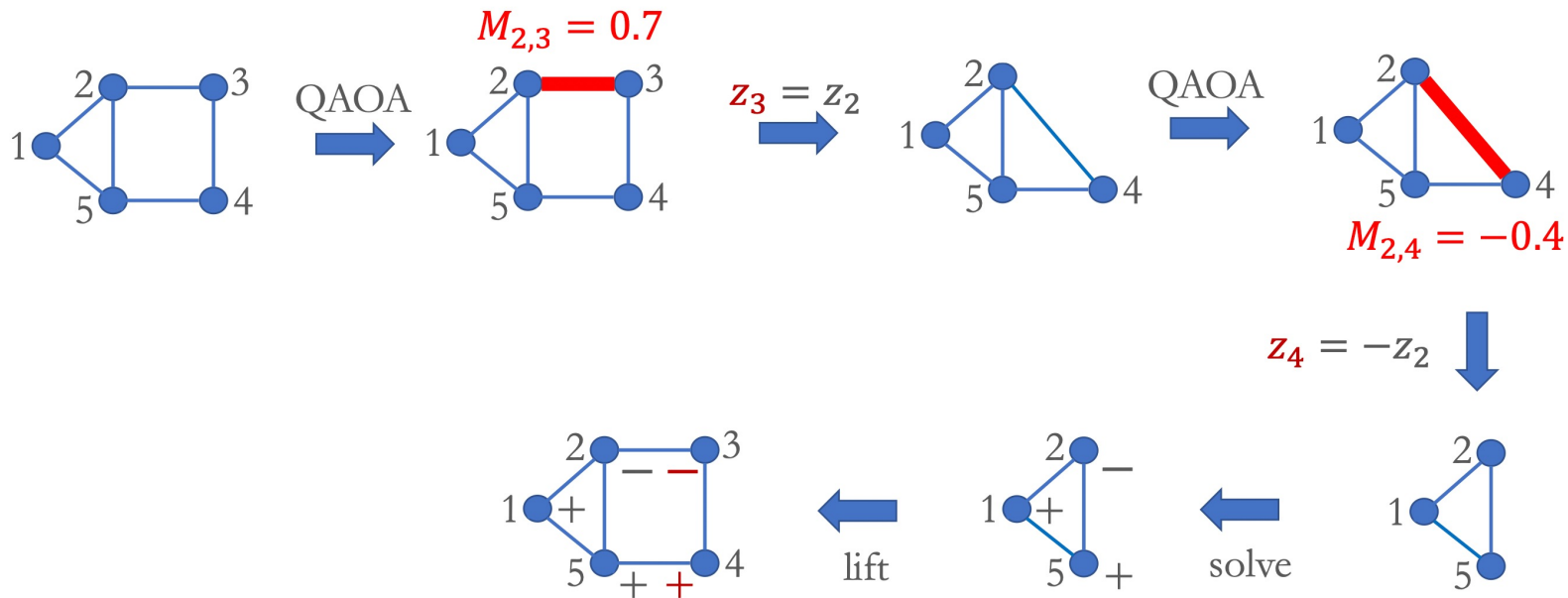
[v1] Thu, 15 Jun 2023 15:28:12 UTC (5,133 KB)

[v2] Mon, 26 Jun 2023 19:41:01 UTC (5,922 KB)



Variants of QAOA

✓ **Recursive QAOA** (2019) : iteratively reduces the problem size





Variants of QAOA

- ✓ Recursive QAOA (2019) : iteratively reduces the problem size
- ✓ **Warm starting QAOA (2021)**

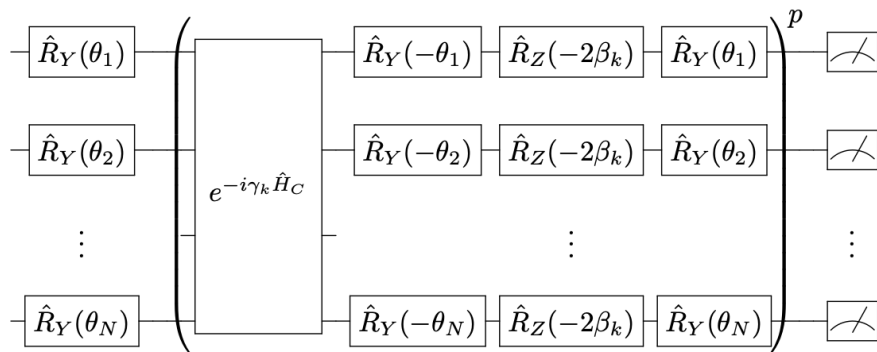
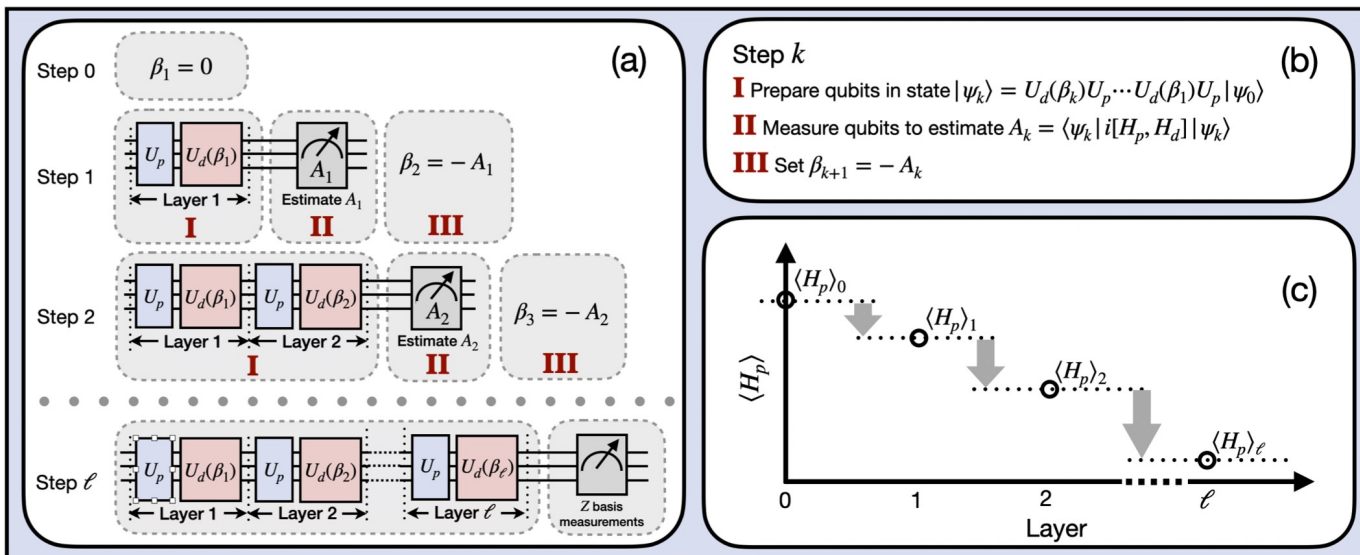


Figure 2: Quantum circuit for WS-QAOA. The first \hat{R}_Y rotations prepare the initial state $|\phi^*\rangle$. The mixer operator, i.e. $\hat{R}_Y(\theta_i)\hat{R}_Z(-2\beta_k)\hat{R}_Y(-\theta_i)$, is applied after the time-evolved problem Hamiltonian \hat{H}_C .



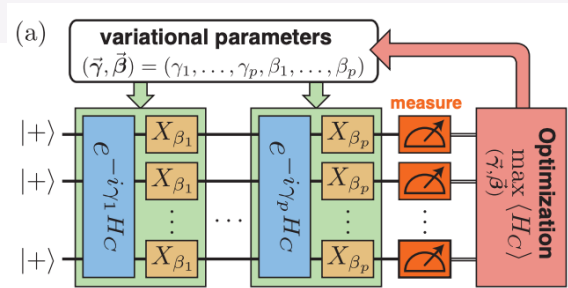
Variants of QAOA

- ✓ Recursive QAOA (2019) : iteratively reduces the problem size
- ✓ Warm starting QAOA (2021)
- ✓ **Feedback-based ALgorithm for Quantum OptimizationN (FALQON) (2021)**

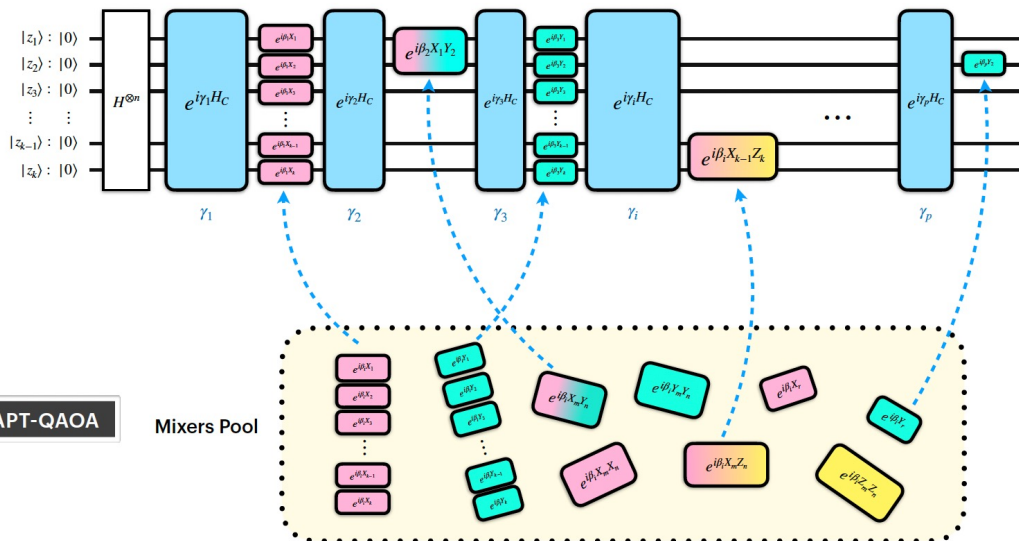


Variants of QAOA

- ✓ **Recursive QAOA** (2019) : iteratively reduces the problem size
- ✓ Warm starting QAOA (2021)
- ✓ FALQON (2021)
- ✓ **Adaptive QAOA** (2022) →
 - **different mixer** Hamiltonian
 at each level



[Y. Liao *et al.*, Quantum Optimization for Training Quantum Neural Networks, arXiv:2103.17047 (2021)]



ADAPT-QAOA

Mixer Pool

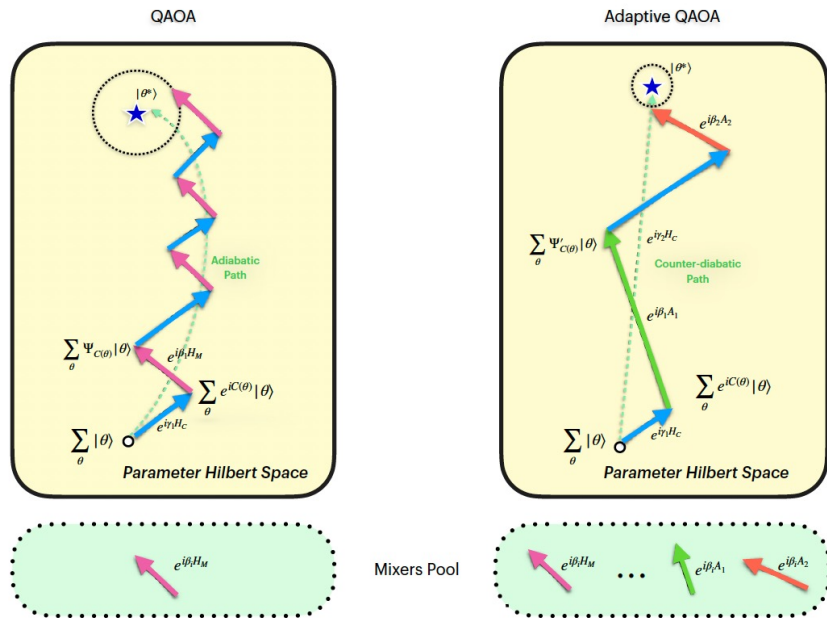
[L. Zhu *et al.*, Adaptive quantum approximate optimization algorithm for solving combinatorial problems on a quantum computer, Phys. Rev. Research 4, 033029 (2022)]



Variants of QAOA

- ✓ **Recursive QAOA** (2019) : iteratively reduces the problem size
- ✓ Warm starting QAOA (2021)
- ✓ FALQON (2021)
- ✓ **Adaptive QAOA** (2022) →
 - shortcuts to adiabaticity

[Y. Liao *et al.*, Quantum Optimization for Training Quantum Neural Networks, arXiv:2103.17047 (2021)]



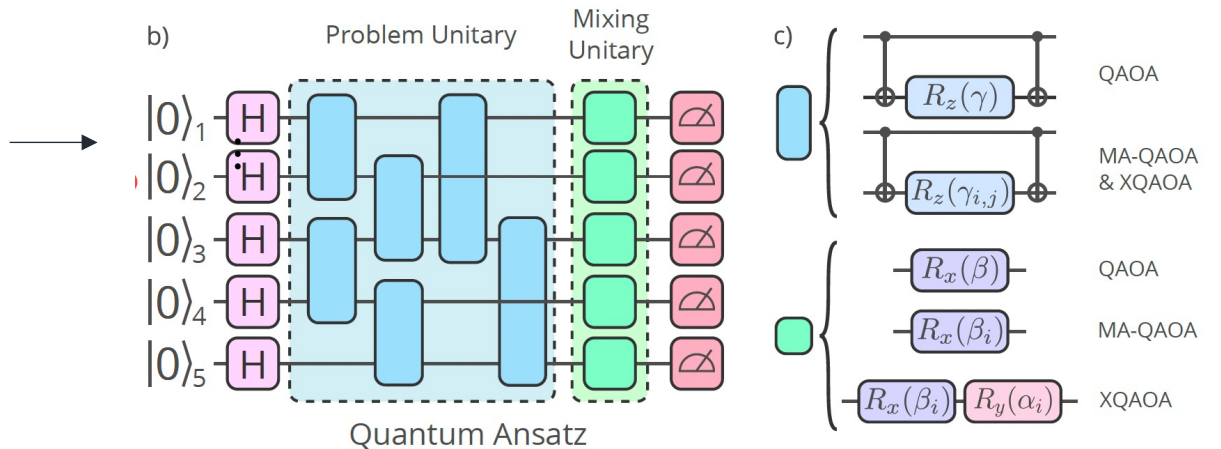
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Variants of QAOA

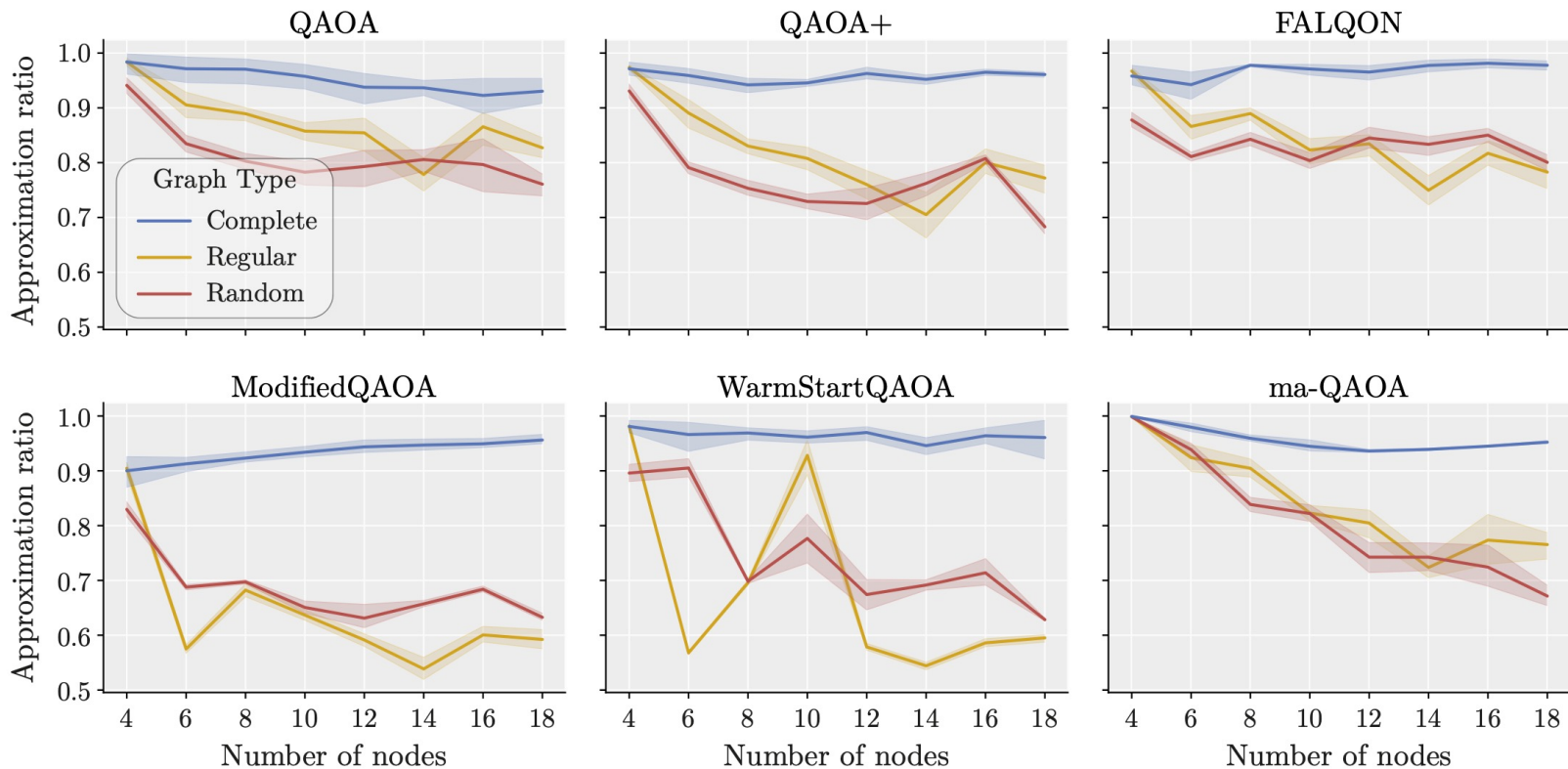
- ✓ **Recursive QAOA** (2019) : iteratively reduces the problem size
- ✓ Warm starting QAOA (2021)
- ✓ Adaptive QAOA (2022)
- ✓ **Multi-angle QAOA** (2022)
- ✓ **eXpressive QAOA** (2023)

⋮





Variants of QAOA



[K. Blekos *et al.*, A Review on Quantum Approximate Optimization Algorithm and its Variants, arXiv:2306.09198 (2023)]

Implementing QAOA Hands-on



Quantum Approximate Optimization Algorithm

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by applying the **problem Hamiltonian** H_C and a mixing Hamiltonian $H_B = \sum_{j=1}^N X_j$

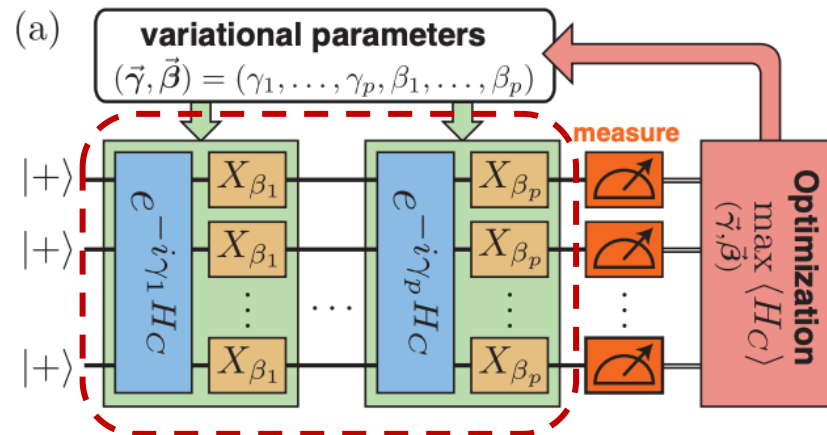
3. Determine the expectation value

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4. Search for the optimal parameters

$$(\vec{\gamma}^*, \vec{\beta}^*) = \arg \max_{\vec{\gamma}, \vec{\beta}} F_p(\vec{\gamma}, \vec{\beta})$$

by a classical computer



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Approximation ratio $r = \frac{F_p(\vec{\gamma}^*, \vec{\beta}^*)}{C_{\max}}$



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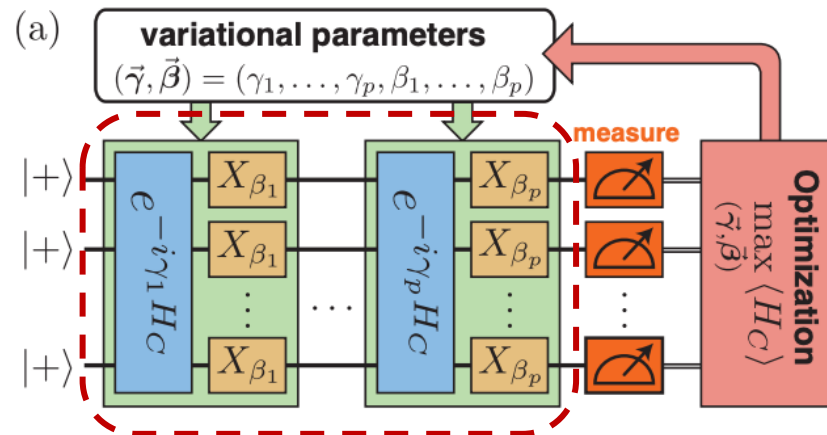
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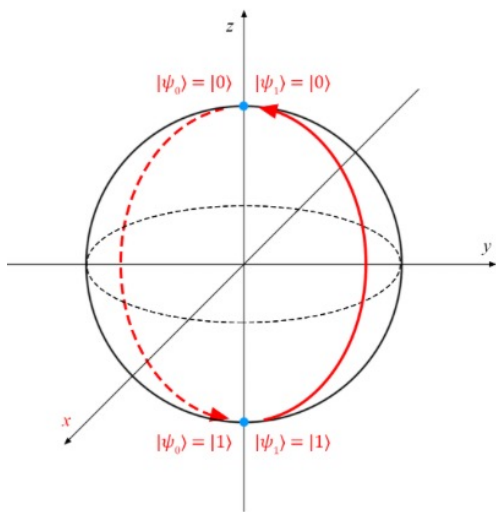


Implementing QAOA

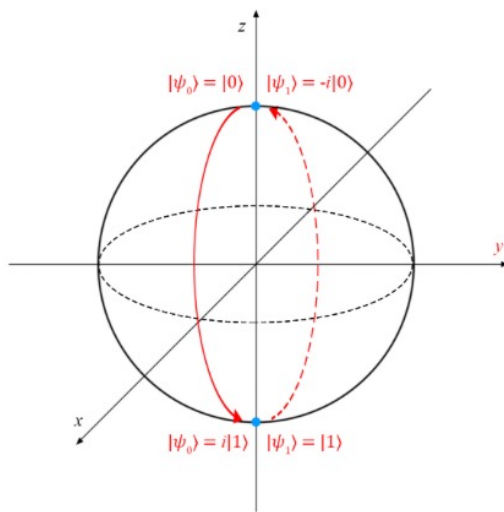
Pauli operators $X, Y,$ and Z

Pauli operators (single qubit operations)

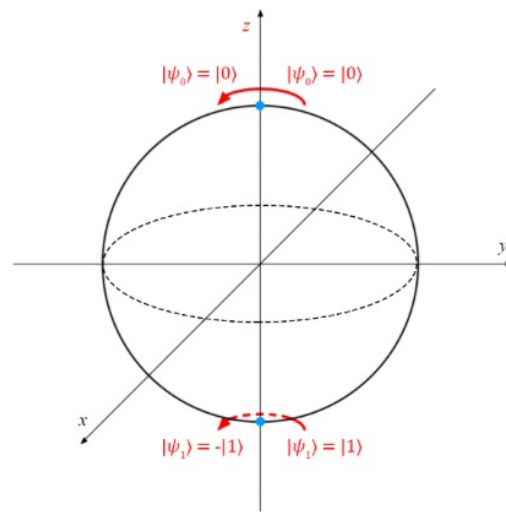
$$X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, Y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$



Pauli X



Pauli Y



Pauli Z

Single-Qubit Gates



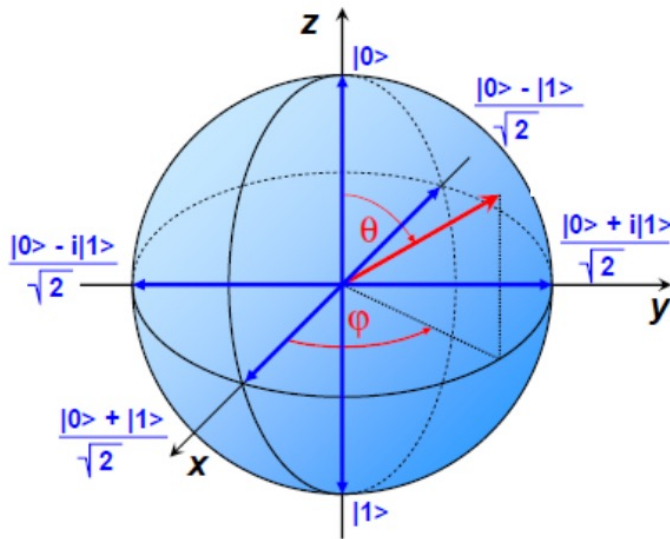
$$|\psi\rangle = \cos(\theta/2) |0\rangle + e^{i\phi} \sin(\theta/2) |1\rangle = \begin{pmatrix} \cos(\theta/2) \\ e^{i\phi} \sin(\theta/2) \end{pmatrix}$$

Rotation matrices:

$$\hat{R}_x(\theta) = \begin{pmatrix} \cos\frac{\theta}{2} & -i\sin\frac{\theta}{2} \\ -i\sin\frac{\theta}{2} & \cos\frac{\theta}{2} \end{pmatrix}$$

$$\hat{R}_y(\theta) = \begin{pmatrix} \cos\frac{\theta}{2} & -\sin\frac{\theta}{2} \\ \sin\frac{\theta}{2} & \cos\frac{\theta}{2} \end{pmatrix}$$

$$\hat{R}_z(\theta) = \begin{pmatrix} e^{-i\theta/2} & 0 \\ 0 & e^{i\theta/2} \end{pmatrix}$$





- The rotation matrices are a linear combination of the Pauli operators: $\hat{\sigma}_x$, $\hat{\sigma}_y$, $\hat{\sigma}_z$ and the identity operator (\hat{I}).

$$\hat{R}_x(\theta) = \begin{pmatrix} \cos\frac{\theta}{2} & -i\sin\frac{\theta}{2} \\ -i\sin\frac{\theta}{2} & \cos\frac{\theta}{2} \end{pmatrix} = \cos\frac{\theta}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} - i\sin\frac{\theta}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \cos\frac{\theta}{2} \hat{I} - i\sin\frac{\theta}{2} \hat{\sigma}_x = e^{-i\frac{\theta}{2}X}$$

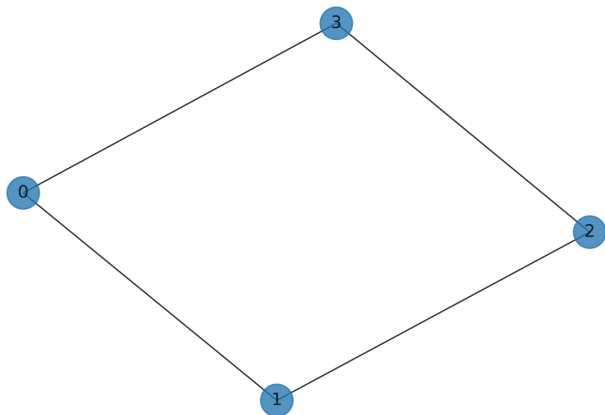
$$\hat{R}_y(\theta) = \begin{pmatrix} \cos\frac{\theta}{2} & -\sin\frac{\theta}{2} \\ \sin\frac{\theta}{2} & \cos\frac{\theta}{2} \end{pmatrix} = \cos\frac{\theta}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} - i\sin\frac{\theta}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} = \cos\frac{\theta}{2} \hat{I} - i\sin\frac{\theta}{2} \hat{\sigma}_y = e^{-i\frac{\theta}{2}Y}$$

$$\hat{R}_z(\theta) = \begin{pmatrix} e^{-\frac{i\theta}{2}} & 0 \\ 0 & e^{\frac{i\theta}{2}} \end{pmatrix} = \begin{pmatrix} \cos\frac{\theta}{2} - i\sin\frac{\theta}{2} & 0 \\ 0 & \cos\frac{\theta}{2} + i\sin\frac{\theta}{2} \end{pmatrix} \\ = \cos\frac{\theta}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} - i\sin\frac{\theta}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \cos\frac{\theta}{2} \hat{I} - i\sin\frac{\theta}{2} \hat{\sigma}_z = e^{-i\frac{\theta}{2}Z}$$



Implementing QAOA

The circuits of H_C and H_B



Pauli matrices

$$X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, Y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

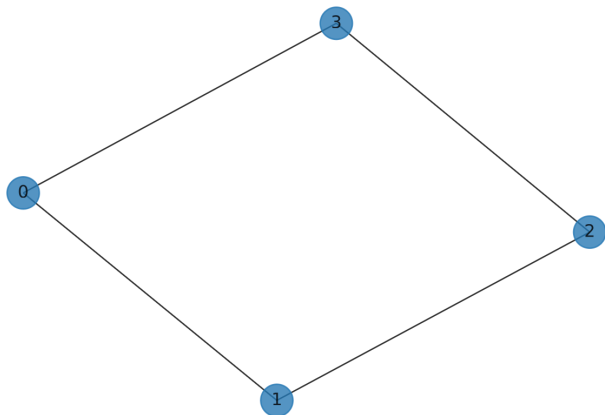
$$X|0\rangle = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = |1\rangle, \quad X|1\rangle = |0\rangle$$

$$R_X(\theta) = e^{-i\frac{\theta}{2}X}$$

$$H_B = \sum_{j=1}^N X_j \quad U(\beta) = e^{-i\beta H_B} = e^{-i\beta \sum_{j=1}^N X_j} = \prod_{j=1}^N e^{-i\beta X_j} = \prod_{j=1}^N R_{X_j}(2\beta)$$

Implementing QAOA

The circuits of H_C and H_B



$$H_B = \sum_{j=1}^N X_j \quad U(\beta) = e^{-i\beta H_B}$$

The Mixing Unitary

```
from qiskit import QuantumCircuit, ClassicalRegister, QuantumRegister
from qiskit import Aer, execute
from qiskit.circuit import Parameter
```

```
# Adjacency is essentially a matrix which tells you which nodes are
# connected. This matrix is given as a sparse matrix, so we need to
# convert it to a dense matrix
adjacency = nx.adjacency_matrix(G).todense()
```

```
nqubits = 4
```

```
beta = Parameter("$\\beta$")
qc_mix = QuantumCircuit(nqubits)
for i in range(0, nqubits):
    qc_mix.rx(2 * beta, i)
```

```
qc_mix.draw()
```

try

$$q_0 \text{ --- } \boxed{R_X} \text{ ---}$$

2β

$$q_1 \text{ --- } \boxed{R_X} \text{ ---}$$

2β

$$q_2 \text{ --- } \boxed{R_X} \text{ ---}$$

2β

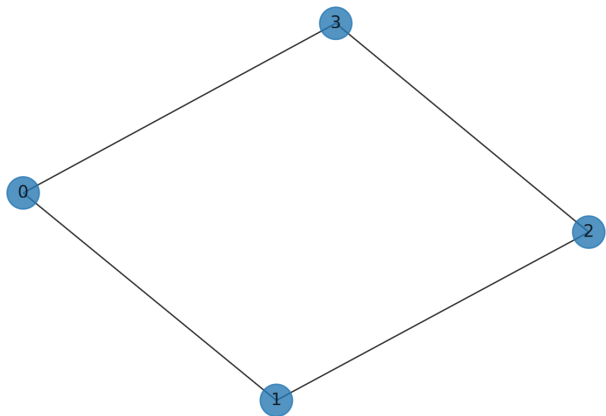
$$q_3 \text{ --- } \boxed{R_X} \text{ ---}$$

2β



Implementing QAOA

The circuits of H_C and H_B



$$H_C = \frac{1}{2} \sum_{\{i,j\} \in E} (1 - Z_i Z_j) \quad U(\gamma) = e^{-i\gamma H_C}$$

Pauli matrices

$$X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, Y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$Z|0\rangle = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = |0\rangle, \quad Z|1\rangle = -|1\rangle$$

Note that

$$e^A |v\rangle = e^\lambda |v\rangle \quad \text{if } A|v\rangle = \lambda |v\rangle$$

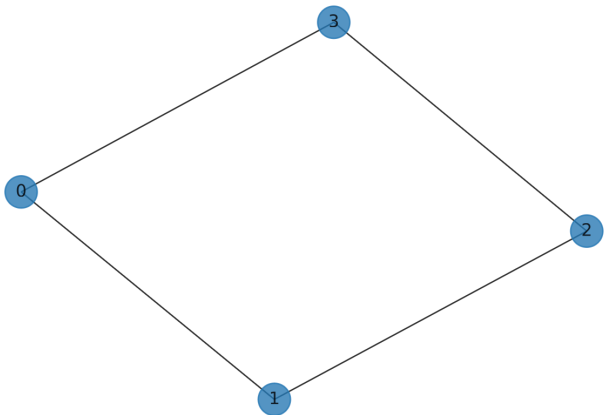
Since $Z|x\rangle = (-1)^x |x\rangle$,

$$e^{-i\gamma Z_i Z_j} |x_i x_j\rangle = e^{-i\gamma (x_i \oplus x_j)} |x_i x_j\rangle$$



Implementing QAOA

The circuits of H_C and H_B



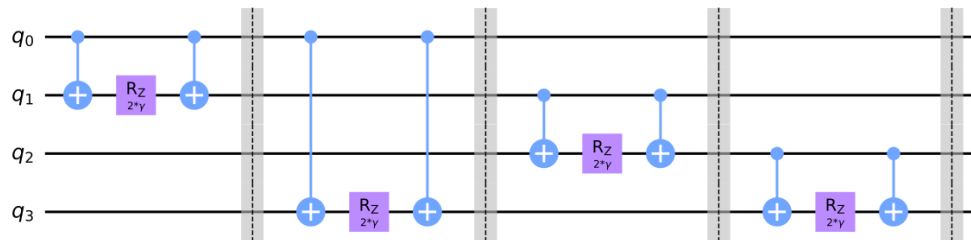
$$H_C = \frac{1}{2} \sum_{\{i,j\} \in E} (1 - Z_i Z_j) \quad U(\gamma) = e^{-i\gamma H_C}$$

The Problem Unitary

```
gamma = Parameter("$\\gamma$")
qc_p = QuantumCircuit(nqubits)
for pair in list(G.edges()): # pairs of nodes
    qc_p.rzz(2 * gamma, pair[0], pair[1])
    qc_p.barrier()

qc_p.decompose().draw()
```

try





Thank you!

—

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